INDEPENDENT AUDIT REPORT

SYDNEY METRO WEST – STAGE 1 CSSI 10038 & STAGE 2 CSSI 19238057

MARCH 2026

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Project No.: 1505

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Contents

EXECUTIVE SUMMARY

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project.

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction and Tunnel Boring Machine (TBM) excavation work between Westmead and The Bays. The Stage 1 Approval has been modified on six occasions.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works include all major civil construction work including station excavation (Pyrmont Station and Hunter Street Station (Sydney CBD)) and TBM tunnelling between The Bays and Sydney CBD. The Stage 2 Approval has been modified on three occasions.

Phasing Reports have been prepared for both stages. A summary of the Phases subject to this Independent Audit (Audit) are outlined below.

- Phase F – Western Tunnelling Package (WTP): WTP involves major civil construction works between Westmead and Sydney Olympic Park, including tunnelling and station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park; and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF. WTP is being delivered by Gamuda Australia and Laing O'Rourke Consortium (GLC).
- Phase H2 – Five Dock Overhead to Underground Relocation: Phase H2 involves the removal and undergrounding of existing power infrastructure on the Ausgrid network outside of the Five Dock eastern construction site, along Second Avenue and Waterview Street, Five Dock. The scope of works will also involve installation of three pillars and four street light poles with service connections to nine private properties at Waterview Street and Second Avenue.
- Phase B[1] - Transitional Services: Transitional Services supports the overall Sydney Metro West Delivery Strategy where gaps exist between the completion of works for existing contracts and the award of contracts to a follow-on contractor. Transitional Services includes site security and maintenance.
- Phase J – North Strathfield Power Enabling Works: Phase established an electrical kiosk and associated lines at North Strathfield to provide high voltage power to the site for follow-on contractors.

[1] Note that a Deed was issued to facilitate Phase B (AFJV) to provide Transitional Services instead of engaging a new contractor to deliver Phase I.

- Phase G2 – Eastern Tunnelling Package (ETP): ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street. ETP is being delivered by John Holland, CPB Contractors, Ghella Joint Venture (JCG).

Conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 require Independent Audits to be conducted and carried out in accordance with the *Independent Audit Post Approval Requirements* (IAPAR) and as per the timing and scope set out in an approved Audit Program. The IAPAR sets out the standard scope, methodology and reporting requirements for the Independent Audit, and an Audit Program (establishing a revised scope and timing) was prepared by WolfPeak.[2] The Audit Program was most recently approved by the Department on 8 August 2025.

This Audit Report presents the findings from the February 2026 audit, the fifth audit conducted under the approved Audit Program on both Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057).

Works on the Project are now well advanced. In summary:

- Phase F tunnelling has been recently completed. The Parramatta and Westmead station boxes are close to completion with detailed trimming, invert works, water proofing and the like continuing. Clyde MSF is largely completed and chip sealed, with Clyde Dive works progressing with final earthworks, fencing and re-sealing continuing. At Rosehill tunnel stripping is continuing following completion of TBM tunnelling and invert pours across the tunnel ongoing.
- Work on Phase H2 and Phase J were essentially completed by the time this Audit Report has been prepared. Disconnection, relocation and installation of new electrical infrastructure has been completed with minor finishing works remaining.
- Phase B inspections, maintenance and operations of The Bays continued during the audit period.
- Phase G tunnel excavation works progressed all the way from The Bays to Hunter Street East, where TBM retrieval works were conducted. Hunter Street East stage 2 shaft excavation continues as does shaft excavation at Hunter Street West. Tunnel stripping and lining works are progressing, as are tunnel invert works.

The overall outcome of the Audit was very positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, Acciona Ferrovial Joint Venture (AFJV), Gamuda Laing O'Rourke Consortium (GLC), John Holland, CPB Contractors, Ghella Joint Venture (JCG), Quickway and Syscon (together, the auditees). The auditees were cooperative and responsive to the Auditors requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements. Table 1 presents the summary of findings.

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[2] Independent Audit Program – Sydney Metro West | SSI 10038 & SSI 19238057, WolfPeak, 08 July 2024, approved 8 August 2025.

Table 1: Summary of findings

Phase	Summary
Phase F (WTP)	There were 58 conditions within the audit scope that were assessed: • Forty-two (42) conditions were considered to be compliant. • Sixteen (16) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above three observations were identified (one relating to heritage protection and two relating to property damage) There were no open findings at the time of completion of the August 2025 Audit Report.
Phase H2	There were 25 conditions within the audit scope that were assessed: • Eighteen (18) conditions were considered to be compliant. • Seven (7) conditions were considered not triggered. • No non-compliances were identified during the audit. As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.
Phase B	There were 23 conditions within the audit scope that were assessed: • Fourteen (14) conditions were considered to be compliant. • Nine (9) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above one observation regarding content of the complaint register was identified. As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.
Phase J	There were 23 conditions within the audit scope that were assessed: • Fifteen (15) conditions were considered to be compliant. • Five (5) conditions were considered not triggered. • Four (4) non-compliances were self-reported by the auditees (including one that was out of scope of this audit). • In addition to the above three observations were identified (relating to timeliness of responses to the Department's request for information and implementation of controls from the NVMP). As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.
Phase G (ETP)	There were 55 conditions within the audit scope that were assessed. • Forty-six (46) conditions were considered to be compliant.

Phase	Summary
	• Nine (9) conditions were considered not triggered. • Six (6) non-compliances were self-reported against two (2) conditions • In addition to the above one (1) observation was identified in relation to damage to a property adjacent to the Hunter Street East site. All findings that were open at the time of completing the August 2025 Independent Audit are considered to be closed.

The audit found:

- A very high degree of compliance has been achieved across all Phases. 184 x conditions were assessed. The auditees self-reported ten non-compliances, two of which related to findings made during the previous audit. No additional non-compliances were identified as part of the audit. This reflects the strong reporting culture across the Project.
- According to the incident records one notifiable incident (as defined by terms in the Stage 1 and Stage 2 approvals) was recorded during the audit period. This was appropriately investigated and managed by the auditees.
- The 8 x observations identified (summarised in Table 1 above) did not present material impacts to the environment or community.
- Positive feedback has been received on Phase F, Phase H2, and Phase G2 during the audit period from the community and the Acoustic Advisor (AA):
    - Phase F (WTP) has received positive feedback from 240 Church Street, Parramatta Mission and Westmead Primary School about strong engagement and the management of construction disruption. Phase F (WTP) also received positive feedback from the AA regarding strong implementation of noise controls during Out of Hours Works at Clyde Dive). ‘
    - The AA provided positive feedback to Phase H2 on their noise management and monitoring during their works which are in close proximity to receivers that had a long during of construction on their doorsteps (for Phase B (CTP)).
    - Phase G2 received positive feedback from 25 Bligh Street, Lowy Institute and the Maritime Museum for the team’s engagement and scheduling of construction to avoid sensitive times.
- Phase G2 also received positive feedback from the AA regarding the use of the electric crawler crane and noise attenuation of the tower crane at Hunter Street East, as well as the acoustic enclosures used for the major concrete pours from Union Street to the Pyrmont East site. Phase G2 (ETP) demonstrated effort to coordinate respite with neighbouring projects/works. Respite was able to be achieved despite those neighbouring projects/work not being State significant or needing to provide respite under their associated approvals.
- Complaints received continue to fall compared to previous audit periods, with 169 complaints recorded between March and August 2024, 136 complaints recorded between September 2024 and February 2025, 125 complaints recorded between March and August 2025 and 108 complaints recorded for this current audit period (September 2025 to February 2026). It is the Auditor’s view that this reflects both the winding down of construction, optimisation of environmental controls and ongoing engagement.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC, JCG, Quickway and Syscon, for their high level of organisation, cooperation, and assistance during the Independent Audit.

1. INTRODUCTION

1.1 The Project

1.1.1 Overview

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that will connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project. This includes the Sydney Metro West Concept and the following stages (as depicted in Figure 1, below):

- Stage 1 – All major civil construction works including station excavation and tunnelling between Westmead and The Bays
- Stage 2 – All major civil construction works including station excavation and tunnelling between The Bays to Sydney CBD
- Stage 3 – Tunnel fit-out, station building and operation of the line between Westmead to Sydney CBD.

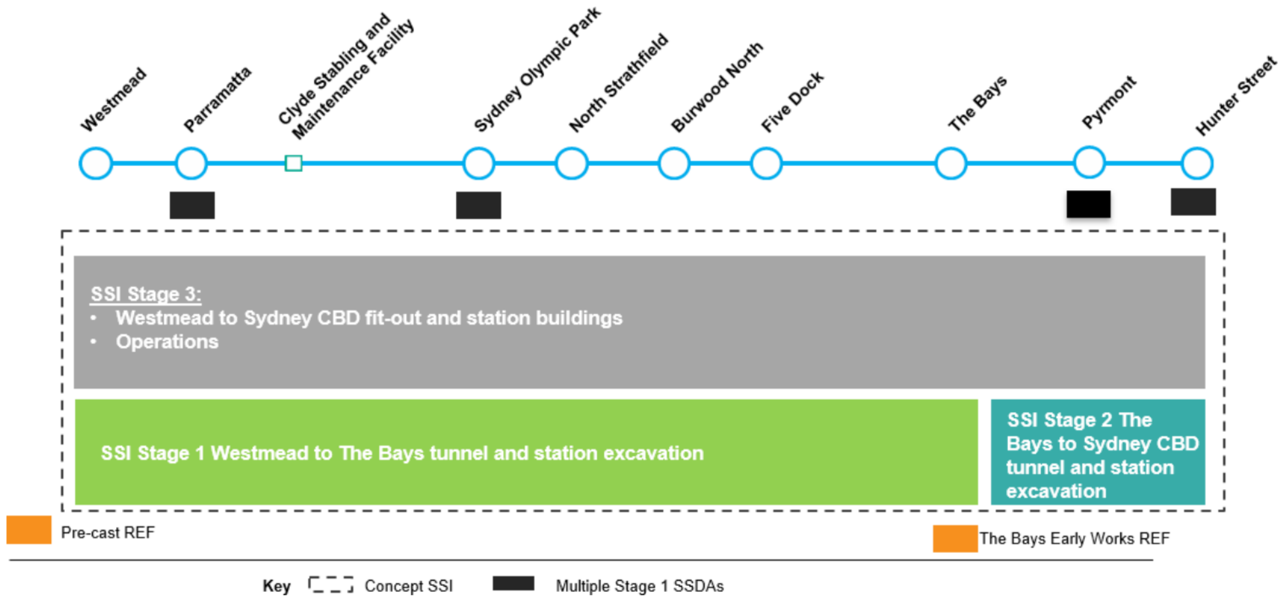

Figure 1: Sydney Metro West Planning Approval Strategy (source: Sydney Metro West Phasing Report, Version 1.7)

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction work between Westmead and The Bays, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Tunnel excavation including tunnel support activities
- Station excavation for new metro stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays
- Shaft excavation for services facility at Rosehill (within the Clyde Maintenance and Stabling Facility (MSF) construction site)
- Civil work for the stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek; and
- Excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works include all major civil construction work including station excavation (Pyrmont Station and Hunter Street Station (Sydney CBD)) and tunnelling between The Bays and Sydney CBD, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Mined crossover cavern construction
- 4.2 km of TBM tunnel excavation, 650m of mined tunnels and 7 cross passage excavation, from The Bays to Sydney CBD
- Excavation for two new underground metro stations at Pyrmont and Hunter Street
- Construction of a turnback, crossover tunnels and caverns at the eastern end of the tunnel section.

Approval for Stage 3 works was granted in CSSI 22765520 by the Minister of Planning on 25 January 2023. Stage 3 works, comprising tunnel fit-out, station building and fit-out, and operation of the line between Westmead and Sydney CBD had not commenced and are not within the scope of this Independent Audit.

An overview of Stages 1 and 2 are shown in Figures 2 and 3.

Figure 2: Stage 1 route and construction sites (source: Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report, Sydney Metro, 2020)

Figure 3: Stage 2 route and construction sites (source: Sydney Metro West Submissions Report, Major civil construction work between The Bays and Sydney CBD, Sydney Metro, April 2022)

1.1.2 Changes to the Projects

The following subsections outline modifications to the Stage 1 and Stage 2 Approvals, as well as the Consistency Assessments / Environmental Reviews determined by Sydney Metro during the audit period. All the Modifications and Consistency Assessments were considered as part of the audit where relevant to the audit scope.[3]

1.1.2.1 Stage 1 (CSSI 10038)

Modifications

The Stage 1 Approval has been modified on six occasions.

- Modification 1: On 28 July 2021 the Department approved an administrative modification to Conditions A11, C10 and D25 to improve clarity of intent and timing requirements.
- Modification 2: On 3 June 2022 the Department approved a modification to facilitate the relocation and extension of the Rosehill dive structure and realignment of Kay and Unwin streets at the Clyde MSF.
- Modification 3: On 4 July 2022 the Department approved a modification to amend the wording to conditions C-B10, D13, D17, D18, D37, D63, D66, D10 and to remove D11.
- Modification 4: On 23 December 2022 the Department approved an amendment to conditions D26 (naming of heritage item) and D122 (the need for updated groundwater report to consider future stages of project).
- Modification 5: On 20 September 2023 the Department approved a modification to allow for additional clearing of mangrove communities at the Clyde MSF for site and water management infrastructure and to require additional biodiversity offset credits retirements.
- Modification 6: On 6 November 2024 the Department approved an administrative modification to various Stage 1 conditions relating to ancillary facilities, Environmental Representative (ER) functions, reporting on respite consultation, detailed site investigations and to remove reference to operation stage (operation is regulated in Stage 3).

Consistency Assessments / Environmental Reviews

One Consistency Assessment was determined by Sydney Metro on Stage 1 during the audit period.

Utility Works at Five Dock

Sydney Metro and Quickway proposed to remove power poles and underground electrical infrastructure on the Ausgrid network outside the Five Dock East construction compound, and install pillars, poles and lighting on Great North Road. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 8 October 2025 Sydney Metro determined that the adjustment is consistent with the Stage 1 Project Approval.

1.1.2.2 Stage 2 (CSSI 19238057)

[3] Refer Section 1.4 and 2.2.1 of this Report.

Modifications

The Stage 2 Approval has been modified on three occasions.

- Modification 1: On 19 April 2023, the Department approved an application to amend condition D23 to permit tunnelling to be undertaken by non-TBM methods 24 hours per day and seven days per week.
- Modification 2: On 9 October 2023 the Department approved a modification to manage archaeological heritage excavation methodologies in Stage 2 construction sites, previously established under Sydney Metro Stage 1.
- Modification 3: On 6 November 2024 the Department approved an administrative modification to various Stage 2 conditions relating to ancillary facilities, ER functions, reporting on respite consultation, detailed site investigations and to remove reference to operation stage (operation is regulated in Stage 3).

Consistency Assessments / Environmental Reviews

No consistency assessments or environmental reviews were determined by Sydney Metro on Stage 2 during the audit period.

1.1.3 Phasing

Phasing Reports[4] have been prepared for both Stages 1 and 2 of Sydney Metro West. Construction phases and indicative dates, as outlined in the Phasing Reports are presented in Table 2.

Table 2: Construction phases

Approval	Phase[3]	Construction commencement date	Completion date
Stage 1 (CSSI 10038)	Phase A – Power Enabling Works	13 July 2021	March 2023[1]
	Phase B1 – Central Tunnelling Early Works	10 January 2022	July 2025[1]
	Phase B2 – Central Tunnelling Main Works	21 November 2022	July 2025[1]
	Phase C1 – Parramatta, Westmead and Clyde Demolition Works	8 December 2021	21 April 2023[1]
	Phase C2 – Parramatta and Clyde Archaeological Works	9 March 2022	21 December 2022[1]
	Phase D – Greater Sydney Road Works	This Phase was cancelled	

[4] Sydney Metro West Stage 1 – Phasing Report, Sydney Metro, Revision 2.0, August 2025 prepared in accordance with conditions A10/A11; and Sydney Metro West Stage 2 – Phasing Report, Sydney Metro, Revision 2.0, February 2023 prepared in accordance with conditions A14/A15.

Approval	Phase[3]	Construction commencement date	Completion date
	Phase E – Existing Rail Corridor Enabling Works	Low Impact Works Commence 10 October 2021	12 May 2023[1]
	Phase F – Western Tunnelling Package	19 July 2022	October 2026[2]
	Phase H1 – Westmead Utilities Installation and Relocation Works	4 December 2023	October 2024[2]
	Phase H2 - Five Dock Overhead to Underground Relocation	10 November 2025	30 May 2026[2]
	Phase I (Phase B, AFJV)[4] – Transitional Services	March 2025	March 2026[2]
	Phase J – North Strathfield Power Enabling Works	5 December 2025[1]	March 2026[2]
Stage 2 (CSSI 19238057)	Phase G1 – Preliminary Works	17 March 2023	May 2023[1]
	Phase G2 – Eastern Tunnelling Works	24 March 2023	October 2026[2]

Notes:

1: Actual completion date (where provided by Sydney Metro)

2: Anticipated completion date

3: Shaded phases indicate that the Phase is not included in the scope of this audit.

4: Note that a Deed was issued to facilitate Phase B (AFJV) to provide Transitional Services instead of engaging a new contractor to deliver Phase I.

A summary of the Phases subject to this audit are outlined below.[5]

Phase F – Western Tunnelling Package (WTP)

WTP involves major civil construction works between Westmead and Sydney Olympic Park, including station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park, and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF.

Civil works are carried out for a stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek, including excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

[5] Refer to Project Phasing Reports for full details.

Tunnelling work involves nine kilometres of TBM excavated twin tunnels between Sydney Olympic Park and Westmead. Cross passages and stub tunnels for future extensions are also to be constructed using hammers and road headers.

Phase H2 – Five Dock Overhead to Underground Relocation

Phase H2 involves the removal and undergrounding of existing power infrastructure on the Ausgrid network outside of the Five Dock eastern construction site, along Second Avenue and Waterview Street, Five Dock. The scope of works will also involve installation of three pillars and four street light poles with service connections to nine private properties at Waterview Street and Second Avenue.

Trenching works to install underground electrical services occurred within the roadway and footpaths of Waterview Street and Second Avenue. The ancillary facility at Silverwater used for Phase H1 was also be utilised for Phase H2 as a laydown area under the same provisions of the condition A17 of SSI 10038. This is included in the Phase H2 CEMP.

Phase B - Transitional Services

Transitional Services supports the overall Sydney Metro West Delivery Strategy where gaps exist between the completion of works for existing contracts and the award of contracts to a follow-on contractor. Transitional Services includes the following elements and activities:

- Site security patrols and static guards
- Operation, maintenance and upkeep of the water treatment plants at Burwood North and The Bays including, delivery of materials require for operation, discharging treated water, operation of pumps and plant processes and removal of wastes both solid and liquid
- Maintaining site parking areas and perimeter hoarding and fencing
- Facilitating media events and visits by authorized personnel and tenderers
- Use of small-scale site facilities including toilet blocks and offices.

Phase J – North Strathfield Power Enabling Works

A small portion of electrical works has been transferred from the Central Tunnelling Package (Phase B) to Phase J relating to the establishment of an electrical kiosk at North Strathfield to provide high voltage power to the site for follow-on contractors.

The Power Enabling Works includes the following activities:

- Investigations to establish locations of existing utilities
- Retrenching for low voltage (LV) works
- Installation of electrical kiosk including internal reticulation and outage preparation works.

Phase G2 – Eastern Tunnelling Package (ETP)

ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street.

Tunnel nozzles will be enlarged and an existing temporary connection adit at Bligh Street, linking Hunter Street Station to Martin Place Station, will be turned into a permanent pedestrian connection linking the stations, cross passages and a turnback extension tunnel east of Hunter Street.

1.1.4 Works conducted during the audit period

The Project is now well advanced, with Phase F (WTP) tunnelling completed and Phase G (ETP) tunnel excavation works progressed from The Bays to Hunter Street. Further detail on construction conducted during the audit period (as stated by the auditees and / or outlined in the ER Monthly Reports) is summarised in Table 3.

Table 3: Works completed during the audit period.

Phase	Works completed
Phase F (WTP)	Westmead • Cavern lining and other Form Reinforcement and Concrete Pouring (FRP) works ongoing • TBM 1 and TBM 2 tunnelling completed from Rosehill to Westmead and retrieval and demobilisation scope of works completed • Preparatory works for staged shed demobilisation scheduled; with housekeeping and clean up commencement at pads 1 and 3. Parramatta • Station Box: Material loadout, tunnel invert / cross passage concrete pours (surface supply), tunnel nozzles secondary lining & FRP (Eastern end of Station Box) continuing • Heritage investigations, salvage and remedial works: Archaeological investigations and clearance work completed for all zones excluding Zone 8B (based under existing offices. These works are continuing. Demobilisation of GML offices and containers in preparation for new Zone 8D for archaeological investigations. Mould remediation on George Street Heritage Shops completed. Rosehill • Tunnel stripping following completion of tunnelling. • Invert pours throughout the tunnel, FRP and final fit out of remaining cross passages ongoing • Water Treatment Plant operation ongoing • Commencement of hotspot and tank removal (carpark area). Clyde Dive • Demobilisation of tunnel and lining supporting equipment ongoing following completion of tunnelling • Caverns FRP works ongoing • Bulk earth works across site ongoing with completed areas being progressively chip sealed. Installation of drainage and permanent fencing continuing. • Demobilisation of northern laydown commenced. Clyde MSF • Completion of remediation works on Clyde Zones 1, 2A, 2B, 4W, 5, 6 and 5.

Phase	Works completed
	• Final earthworks and trimming completed. Chip sealing ongoing. • Ongoing maintenance of landscaping areas as required • Portion 12B backfilling of previous crib and site office area complete • Long term stockpile commenced landscaping • Flood Basin excavation (Stage 2) PASS excavation and treatment ongoing, as is hardstand removal and final ACM excavation. Sydney Olympic Park (SOP) was handed over to Sydney Metro in April 2025.
Phase H2 – Five Dock Overhead to Underground Relocation	September • Potholing / Investigation works commenced under Low Impact Works Approval • Work shifts split between day shift and night shift. October • No works undertaken during October. November • Construction works commence on site at Five Dock • Trenching and conduit installation works continue through to December. December • Trenching and conduit installation works continue • MAF site setup and operational • Christmas shutdown period site demobilisation January • Works re-commence on site at Five Dock 5/01/26 • Trenching and conduit installation • MAF site to be demobilised end of month / early February. February • Trenching near completion, conduits installed. • Pole works continue, private property connections • New pillar and pole install • Private switchboard circuit installed at 26 Waterview Street • Prep for upcoming outage in March (OH to UG connections). March • Finishing pole works and preparation for outage • Demobilise of Silverwater and Five Dock • Project Completion anticipated in April.

Phase	Works completed
Phase B Transitional Services	Works conducted by Phase B Transitional Services during the audit period were limited to: • Operation of Central Tunnelling Package Water Treatment Plant at The Bays • Contractor demobilisation from Minor Ancillary facility at 21 Waterview Street, Five Dock. • At SOP, the use of a workshop and laydown of materials continued as did use of the site sheds. • Defects works as required across the Central Tunnelling Package alignment.
Phase J – North Strathfield Power Enabling Works	Works conducted by Phase J during the audit period comprised: • Site establishment • Joint bay excavation, backfill and restoration works in Waratah Street. • Excavation, existing concrete removal works were completed within the site compound to allow for transformer kiosk and internal electrical works, including saw cutting, pier installation, and installation and testing of the transformer kiosk earth grid. • Low-voltage trenching and conduit and cable installation works were completed along Queen Street, Pomeroy Street and Beronga Street. • Civil, mechanical and electrical works for the installation of the transformer kiosk. Permanent restoration of internal electrical reticulation areas was completed following these works. • Reinstatement works • Commissioning and energisation of new infrastructure and installation of bollards, mechanical protection works within the site compound.
Phase G2 (ETP)	The Bays: • Completion of mainline tunnelling between Pyrmont and Hunter Street • Commencement of TBM retrieval from Hunter Street • Ongoing excavation of cross passages (XPs) 6 to 2 • Ongoing TBM support works from The Bays including spoil management, water treatment and consumables supply. Pyrmont: • Completion of Cavern excavation including invert excavation • Supply of TBM segments through the Pyrmont East Site • FRP works including pouring of cavern invert from the Union St Ancillary Facility service and pedestrian adits from Pyrmont West. Hunter Street: • Completion of Stage 1 shaft excavation at Hunter Street East, commencement and continuation of Stage 2 shaft excavation including removal of mega columns, concrete slab on ground and contamination • Completion of Steel metro deck at Hunter Street East

Phase	Works completed
	• Ongoing waterproofing, FRP works in Hunter Street East Cavern and Turnbacks • Installation of tower crane and commencement of TBM retrieval • Final heritage clearance and continued shaft excavation at Hunter Street West.

1.2 The audit team

In accordance with condition A40 of CSSI 10038, condition A42 of CSSI 19238057, and Section 3.1 of the Department's 2020 document *Independent Audit Post Approval Requirements* (IAPAR), Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary. Table 4 presents the audit team for this Audit.

Table 4: Audit Team

Name	Company	Participation during this audit	Certification
[redacted]	WolfPeak	Lead Auditor	Exemplar Global Certified Lead Environmental Auditor (Certificate C-367713)
[redacted]	WolfPeak	Auditor	Exemplar Global Certified Lead Environmental Auditor (Certificate C-360012)

Approval of the audit team was provided by the Department on 1 December 2025. The approval is presented in Appendix B. Declarations from the Auditors are presented in Appendix E.

1.3 The audit objectives

The objective of this audit is to satisfy conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 which require Independent Audits to be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (IAPAR) and as per the timing and scope set out in an approved Audit Program. It is the fifth audit under the Program.

The IAPAR sets out the standard scope, methodology and reporting requirements for the Independent Audit, and an Audit Program (establishing a revised scope and timing) was prepared by WolfPeak.[6] The Audit Program was most recently approved by the Department on 8 August 2025.[7]

[6] Sydney Metro West – Stage 1 (SSI 10038) and Stage 2 (SSI 19238057) – Independent Audit Program, Revision 5.3, 8 July 2024, WolfPeak.

[7] Letter, Department of Planning Housing and Infrastructure to Sydney Metro, dated 6 August 2025 (PA-789).

1.4 Audit scope

The specific scope for this Audit was developed through a risk-based methodology and in consultation with the Department and Sydney Metro. The audit scope accords with that defined by Section 5 (Table 2) and Section 7 of the approved Audit Program, summarized as follows:

- An assessment of the auditees, activities and requirements identified as being subject to audit in the Scoping Statement, including:
    - An assessment of compliance with:
        - All conditions identified as being subject to audit within the Scoping Statement
        - All post approval and compliance documents applicable to the conditions identified as being subject to audit within the Scoping Statement
    - A review of the environmental performance of the activities and auditees identified as subject to audit in the Scoping Statement, including but not necessarily limited to, an assessment of:
        - Actual impacts compared to predicted impacts documented in the environmental impact assessment[8]
        - The physical extent of the activities subject to audit in comparison with the relevant parts of the approved boundary[9]
        - The performance of the auditee/s and activities subject to audit having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
        - Feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee (if there is one for the Project), on the environmental performance of the auditee/s and activities subject to audit during the audit period.

[8] The EIS and RtS included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the Conditions and REMMs (and associated management plans), to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Audit. Any such comparison will be high level and qualitative only. With respect to conditions or requirements relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Audit relies on confirmation from the Independent Verifier / Certifier / Certifying or other Authority that this is the case. The Audit does not extend to a verification of the works against the design or BCA requirements themselves, nor does it examine the steps the Independent Verifier / Certifier / Certifying or other Authority has taken to verify that the design is compliant.

[9] Physical assessment of boundaries would be completed by observation only and based on the EIS / RtS and any subsequent modifications and consistency assessments. Measurement, survey or geospatial comparison would not be included.

    - A high-level assessment of the adequacy of the Environmental Management Plans and Sub-plans relevant to the activities and auditees identified as subject to audit in the Scoping Statement.

- A review of:
    - ALL (i.e.: Project wide) incidents, non-compliances and complaints that occurred or were made during the audit period (i.e.: from the preceding audit to the site inspection date), and a list of ALL consistency assessments determined in the audit period; and
    - The status of implementation of ALL previous Independent Audit findings, recommendations and actions (if any) that were open at the time of finalising the preceding Audit Report.
- Any other matters considered relevant by the auditor or the Department, considering relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

A Scoping Statement outlining the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works) was submitted to the Department on 30 June 2025. The key audit details from the Scoping Statement (incorporating the Department's feedback, received 1 August 2025) are presented in Table 5.

Table 5: Key audit details as per agreed Scoping Statement

Item	Detail
Audit period	SSI 10038: From 1 September 2025 to 28 February 2026 inclusive for all requirements audited. SSI 19238057: From 1 September 2025 to 28 February 2026 inclusive for all requirements audited.
The Auditees	Sydney Metro (across all Projects and Stages/Phases)
	Stage 1: Phase F – Western Tunnelling – Gamuda Australia and Laing O'Rourke Consortium (GLC)
	Stage 1: Phase H2 – Five Dock Overhead to Underground Relocation – Quickway
	Stage 1: Phase B – Transitional Services – Acciona Ferrovial Joint Venture (AFJV)
	Stage 1: Phase J – North Strathfield Power Enabling Works – Syscon
	Stage 2: Phase G2 – Eastern Tunnelling – John Holland, CPB Contractors, Ghella Joint Venture (JCG)
Sites to be inspected	Stage 1: Phase F – Western Tunnelling: • Westmead • Clyde Dive

Item	Detail
	Stage 1: Phase H2 – Five Dock Overhead to Underground Relocation: • Five Dock
	Stage 1: Phase B – Transitional Services • No inspection proposed
	Stage 1: Phase J – North Strathfield Power Enabling Works – Syscon • No inspection proposed
	Stage 2: Phase G2 – Eastern Tunnelling – John Holland, CPB Contractors, Ghella Joint Venture (JCG) • Hunter Street (both sites) • Pyrmont (both sites)
Primary focus (Note that this is a guide on the themes to be audited. The specific conditions subject to audit were identified in Appendix A and B of the Scoping statement and are reflected in Appendix A of this report).	Stage 1: Phase F – Western Tunnelling (58 x conditions) • Reporting of incidents and non-compliances • Community engagement and complaints management • Noise and vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program • Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan • Remediation of contaminated land.
	Stage 1: Phase H2 – Five Dock Overhead to Underground Relocation (25 x conditions) • Reporting of incidents and non-compliances • Community engagement and complaints management • Ancillary Facilities • Implementation of the CEMP • Noise and vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program • Property damage and rectification.

Item	Detail
	Stage 1: Phase B – Transitional Services (23 x conditions) • Reporting of incidents and non-compliances • Community engagement and complaints management • Ancillary Facilities • Implementation of the CEMP • Noise and vibration • Property damage and rectification.
	Stage 1: Phase J – North Strathfield Power Enabling Works (23 x conditions) • Reporting of incidents and non-compliances • Community engagement and complaints management • Ancillary Facilities • Implementation of the CEMP • Noise and vibration • Property damage and rectification.
	Stage 2: Phase G2 - Eastern Tunnelling (55 x conditions) • Reporting of incidents and non-compliances • Community engagement and complaints management • Ancillary Facilities • Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan • Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program • Property damage • Construction parking and access management • Soil and water control.
Key Milestones	December 2025: • Submission of request for auditor approval to the Department. • Submission of request for input into Independent Audit scope to the Department (accompanied by Scoping Statement).

Item	Detail
	February 2026: • Independent Audit to commence. Note: The audit is to be confined to six audit days in total in accordance with Section 7.2.1 and 7.2.3 of the Independent Audit Program.
	April 2026: • Submission of Independent Audit Report to the Department. Note: Submission of the Independent Audit report is to be no later than 2 months from the date of the Independent Audit site inspection, unless otherwise approved by the Department.

2. AUDIT METHODOLOGY

2.1 Audit process

The Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – *Guidelines for Auditing Management Systems* and the methodology set out in the Department's IAPAR.

2.2 Audit process detail

2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the auditee
- Confirm the audit team
- Confirm the audit purpose, scope, criteria and program
- Consult with the Department on the audit scope.

WolfPeak consulted with the Department on 19 December 2025 to obtain its input into the scope of the Audit in accordance with Section 3.2 of the IAPAR. On 23 January 2026 the Department responded, identifying review of key areas and requesting consultation with the relevant Councils and the NSW Environment Protection Authority (EPA). Consultation with the Councils and EPA occurred on 30 January 2026.

A summary of the consultation with stakeholders is presented in Table 6. Consultation records are presented in Appendix C.

Table 6: Key issues and areas of focus raised during consultation

Stakeholder	Issue and Focus	How Addressed
Department of Planning, Housing and Infrastructure	Consultation be undertaken with all Councils (City of Sydney, Inner West, Canada Bay, Burwood, Strathfield, Parramatta, Cumberland) and the EPA.	Consultation was completed. Refer to this table, Section 3.5 and Appendix D.
	In addition to the proposed scope, could you please consider out-of-hours-works in more detail, namely in relation to their impacts and the projects complaints handling/communication management.	Scope as agreed, delivered. Refer Section 3.5.
NSW Environment Protection Authority	The EPA identified the following key issues for consideration during the audit: • Conditions B2-B5 of CSSI 10038 and B2-B5 of CSSI 19238057 - Complaints management system/register • Conditions D35-D45 and D50-D51 of CSSI 10038 and D21-D31 and D36-D40 of CSSI 19238057 - Noise & Vibration	Refer Section 3.5 and Appendix A.

Stakeholder	Issue and Focus	How Addressed
	• Conditions D71-D78 of CSSI 10038 and D56-D65 of CSSI 19238057 - Contaminated sites (CSSI 10038) and Contamination (CSSI 19238057)	
Inner West Council	Council requested that the audit also include all the key areas as outlined in both CSSI 10038 and CSSI 19238057 Conditions of Approvals and the following key areas: • Reporting of incidents and non-compliances • Community engagement and complaints management • Condition surveys • Post construction condition surveys, repair of damage and completion of permanent works • Remediation of contaminated land • Safety of pedestrians and cyclists • Pedestrian and cyclist access • Traffic and transport • On-street parking • Waste • Tree retention • Ancillary facilities • Heavy vehicles on local roads • Groundwater • Public domain treatments including landscaping and tree planting • Public trees (if they were part of, or affected by, the works or replacement plantings on public land were undertaken) • Urban design • Vvisual amenity • Air quality • Stormwater and flooding • Soils and contamination • Utilities management • Land use and property • Aboriginal and non-aboriginal heritage.	Refer Section 3.5.
Burwood Council	Council identified the following key issues as areas to be examined as part of the audit:	Refer Section 3.5.

Stakeholder	Issue and Focus	How Addressed
	• Tree retention and protection • Landscaping provision and ongoing maintenance • Continued provision of temporary pedestrian access • Parking arrangements during construction	
City of Parramatta	Council requested that the audit examine compliance with condition C-B2 part a) & b) of Stage 1 and E75 part c) & d) of Stage 3. These conditions are in respect to consideration of Active Transport link, connectivity and public spaces for recreational use on residual land to offset the loss of the private recreational land. Although ongoing through 2025, Council had written to SMW on 21 October and 10 December, and we acknowledge that the SMW team has advised there were currently preparing a presentation on these matters, that was indicated to be scheduled for late January	Refer Section 3.5.
Cumberland City Council.	No comments on the proposed scope.	-
City of Canada Bay Council	No comments on the proposed scope.	-
City of Sydney	No response.	-
Strathfield Council.	No response.	-

2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan and delivery program, and prepared work documents (audit checklists) and distributed to the auditees in preparation for the Audit.

2.2.3 Personnel involvement and record of attendance

A number of people from the organisations subject to audit were involved in the Audit. Table 7 presents the involvement of key personnel representing the auditees.

Table 7: Key personnel involved / record of attendance

Org.	Stage / Package	Position Title	Name	Opening meeting	Inspection and interviews[10]	Closing meeting
Sydney Metro	All	Senior Manager Environment	[redacted]	Y	Y	Y
Sydney Metro	Phase B - Transitional Services	Manager, Environment	[redacted]	Y	Y	Y
AFJV	Phase B - Transitional Services	Operational Services Director	[redacted]	Y	Y	Y
Sydney Metro	Phase F - WTP	Environment Coordinator	[redacted]	Y	Y	Y
Sydney Metro	Phase F - WTP	Environment Specialist Culture Heritage	[redacted]	N	Y	N
GLC	Phase F - WTP	Environment and Sustainability Manager	[redacted]	N	Y	Y
GLC	Phase F - WTP	Senior Environment Advisor	[redacted]	Y	Y	Y
GLC	Phase F - WTP	Senior Environment Advisor	[redacted]	Y	Y	N
GLC	Phase F - WTP	Senior Environment Advisor	[redacted]	Y	Y	Y
GLC	Phase F - WTP	Stakeholder and Community. Engagement Manager	[redacted]	N	Y	N
GLC	Phase F - WTP	Stakeholder and Community. Engagement Advisor	[redacted]	N	Y	N
Sydney Metro	Phase F - WTP	Communications Manager	[redacted]	N	Y	N
GML	Phase F - WTP	Excavation Director	[redacted]	N	Y	N

[10] Inspection conducted only where specified by the Scoping Statement. Refer Section 1.4.

Org.	Stage / Package	Position Title	Name	Opening meeting	Inspection and interviews[10]	Closing meeting
Sydney Metro	Phase F - WTP and Phase G2 - ETP	Archaeological Heritage Advisor	[redacted]	N	Y	N
Sydney Metro	Phase G2 - ETP	Environment Officer	[redacted]	Y	Y	Y
Sydney Metro	Phase G2 - ETP	Communications Manager	[redacted]	Y	Y	Y
JCG	Phase G2 - ETP	Environmental Manager	[redacted]	Y	Y	Y
JCG	Phase G2 - ETP	Environment Advisor	[redacted]	Y	Y	Y
JCG	Phase G2 - ETP	Place Manager (Pyrmont)	[redacted]	Y	Y	Y
JCG	Phase G2 - ETP	Construction Integration Manager	[redacted]	N	Y	N
JCG	Phase G2 - ETP	Community & Stakeholder Manager	[redacted]	N	Y	N
JCG	Phase G2 - ETP	Place Manager (Hunter Street)	[redacted]	N	Y	N
JCG	Phase G2 - ETP	Traffic Advisor	[redacted]	N	Y	N
JCG	Phase G2 - ETP	Traffic Manager	[redacted]	N	Y	N
Sydney Metro	Phase H2	Environment Manager	[redacted]	Y	Y	Y
Sydney Metro	Phase H2 and Phase J	Community Place Manager	[redacted]	Y	Y	Y
Sydney Metro	Phase H2 and Phase J	Community Place Officer	[redacted]	Y	Y	Y
Sydney Metro	Phase H2	Traffic and Transport Manager	[redacted]	Y	Y	Y
Quickway	Phase H2	Environment Manager	[redacted]	Y	Y	Y

Org.	Stage / Package	Position Title	Name	Opening meeting	Inspection and interviews[10]	Closing meeting
Quickway	Phase H2	Project Engineer	[redacted]	Y	Y	Y
Quickway	Phase H2	Senior Traffic Manager	[redacted]	Y	Y	Y
Sydney Metro	Phase J	Environment Coordinator	[redacted]	Y	Y	Y
Syscon	Phase J	Project Manager	[redacted]	Y	Y	N

2.2.4 Meetings

Opening and closing meetings were held with the Auditor and Project personnel both face-to-face and remotely (on-line). During the opening meetings, the objectives and scope of the Audit, the resources required and methodology to be applied were discussed. At the closing meetings, preliminary audit findings were presented, preliminary recommendations (as appropriate) were made, and any post-audit actions were confirmed. Table 8 presents the dates for each of the opening and closing meetings.

Table 8: Opening and closing meetings

Phase	Opening meeting	Closing meeting
Phase F – WTP	18/02/2026	02/04/2026
Phase H2 - Five Dock Overhead to Underground Relocation	23/02/2026	30/03/2026
Phase B - Transitional Services	10/03/2026	10/03/2026
Phase J – North Strathfield Power Enabling Works	02/03/2026	30/03/2026
Phase G - ETP	26/02/2026	02/04/2026

Attendance records for the opening and closing meetings are presented in Appendix C.

2.2.5 Site inspection

The on-site inspection of activities was conducted in accordance with the Scoping Statement between 24 February and 3 March 2026. The following sites were inspected:

- Phase F (WTP) – Clyde Dive and Westmead
- Phase H2 – Five Dock
- Phase G (ETP) – Pyrmont (both sites) and Hunter Street (both sites).

The Auditor inspected the entirety of each site where it was safe to do so. Photos are presented in Appendix D.

2.2.6 Document review and interviews

The Audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement, and interviews with key Project personnel.

The Auditor conducted desktop document reviews of publicly available information and of information requested from and provided by the auditees, both prior to and after the audit inspections and interviews.

Interviews and face-to-face document review sessions were conducted with the auditees as per Table 9. The personnel involved are listed in Section 2.2.3.

Table 9: Interview and document review days

Phase	Interview / document review session dates
Phase F – WTP	24/02/2026 and 03/03/2026
Phase H2 - Five Dock Overhead to Underground Relocation	03/03/2026
Phase B - Transitional Services	10/03/2026
Phase J – North Strathfield Power Enabling Works	02/03/2026
Phase G - ETP	26/02/2026 and 27/02/2026

The Auditor raised specific requests for information, in order to obtain evidence that was not available during the audit interviews and document reviews. These requests were issued to the auditees on 2 March 2026. Responses were provided by the auditees on 13 March 2026.

2.2.7 Generating audit findings

Audit findings were based on verifiable evidence. The evidence included:

- Relevant records, documents and reports
- Interviews of relevant site personnel
- Photographs
- Figures and plans; and
- Site inspections of relevant locations, activities and processes.

2.2.8 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 10, below:

Table 10: Compliance descriptors from Table 2 of the IAPAR

Status	Description
Compliant	The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

Observations and notes were also made to provide context, identify opportunities for improvement or highlight positive initiatives.

2.2.9 Evaluation of post audit approval documentation

The Auditor assessed whether post approval documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

2.2.10 Completing the audit

The Audit Report was distributed to the auditees to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented. The Auditor's findings have been determined independent of the auditees, the Department and any other parties, based on the evidence assessed during the audit.

3. AUDIT FINDINGS

3.1 Approvals and documents audited, and evidence sighted

The documents audited comprised all the conditions from CSSI 10038 and CSSI 19238057 that were subject to audit as per the agreed Scoping Statement. The full set of documents and evidence sighted against each requirement is detailed within Appendix A.

3.2 Non-compliances, Observations and Actions

3.2.1 Stage 1 (CSSI 10038)

3.2.1.1 Summary

This Section presents findings from the Audit for Stage 1. The summary of conditions assessed, and compliance status is presented in Table 11.

Table 11: Summary of conditions assessed and compliance status from this Independent Audit (February 2026)

Phase	Summary[11]
Phase F (WTP)	There were 58 conditions within the audit scope that were assessed: • Forty-two (42) conditions were considered to be compliant. • Sixteen (16) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above three observations were identified (one relating to heritage protection and two relating to property damage) • There were no open findings at the time of completion of the August 2025 Audit Report.
Phase H2	There were 25 conditions within the audit scope that were assessed: • Eighteen (18) conditions were considered to be compliant. • Seven (7) conditions were considered not triggered. • No non-compliances were identified during the audit. As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.

[11] Numbers may not add to total number of conditions assessed in all instances, as some self-reported non-compliances were for conditions that were out of the scope of the audit.

Phase	Summary[11]
Phase B	There were 23 conditions within the audit scope that were assessed: • Fourteen (14) conditions were considered to be compliant. • Nine (9) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above one observation regarding content of the complaint register was identified. • As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.
Phase J	There were 23 conditions within the audit scope that were assessed: • Fifteen (15) conditions were considered to be compliant. • Five (5) conditions were considered not triggered. • Four (4) non-compliances were self-reported by the auditees (including one that was out of scope of this audit). • In addition to the above three observations were identified (relating to timeliness of responses to the Department's request for information and implementation of controls from the NVMP). • As this phase commenced during the current audit period, there were no open findings at the time of completion of the August 2025 Audit Report.

3.2.1.2 Non-compliances, observations and recommendations

The non-compliances that were identified and reported by the auditees during the audit period (in accordance with conditions A45/A46 of CSSI 10038) are presented in Table 12.

The non-compliances and observations (along with associated recommended or completed actions) identified from this audit are presented in Table 13. Detailed findings against each requirement, along with details on the auditee's responses on draft findings (where received), are presented in Appendix A.

The status of previously open findings (at the time of completion of the previous audit on Stage 1) is presented in Table 14.

Table 12: Non-compliances that were reported by the auditees during the audit period

Phase	Condition	Requirement	Non-compliance
Phase J – North Strathfield Power Enabling Works	B1	*The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.*	*Note that this event resulted in a non-compliance against both B1 and D38.* On 05/02/2026, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/2026). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/2026 with 15/02/2026 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/2026 in accordance with A45-A46. The Department has commenced its investigation into the matter.
Phase J – North Strathfield Power Enabling Works	D37	*Variation to Work Hours* *Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances:…….* *c) By Approval, including:* *i. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or* *ii. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or* *iii. negotiated agreements with directly affected residents and sensitive land user(s)……* *Note: Tunnelling does not include station box excavation*	Various construction activities (cutting and breaking of concrete using a concrete saw and use of excavator) were undertaken at North Strathfield during the unapproved daytime Out Of Hours period between 8.30am and 2pm on Sunday, 07/12/2025. These works were originally approved to be undertaken during the evening/night OOHW period on Sunday, 07/12/2025 but were brought forward to minimise impact. The failure to gain the associated approval resulted in a breach of D37(c)(ii). This was reported to the Department on 12/12/25 in accordance with A45/A46. Refer also to Table 13 below regarding the Department's request for information into this event and the Phase J contractor (Syscon) response. On 13 April 2026 the Department issued Syscon with a warning letter for the breach, stating that no formal action is warranted at this time.
Phase J – North Strathfield Power Enabling Works	D38	*An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide:* *a) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:* *i. the ER and AA review all proposed out-of-hours activities and confirm their risk levels;* *ii. low risk activities can be approved by the ER in consultation with the AA; and* *iii. high risk activities that are approved by the Planning Secretary;* *iv. a process for the consideration of out-of-hours work against the relevant NML and vibration criteria;* *b) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events;* *c) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and* *d) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.* *e) This condition does not apply if the requirements of Condition D37(b) of this schedule are met.* *Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.*	*Note that this event resulted in a non-compliance against both B1 and D38.* On 05/02/2026, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/2026). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/2026 with 15/02/2026 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/2026 in accordance with A45-A46. The Department has commenced its investigation into the matter.

Phase	Condition	Requirement	Non-compliance
Phase J – North Strathfield Power Enabling Works	D50	*Utility Coordination and Respite* *All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:* *a) reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or* *b) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and* *c) provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation.* *The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.*	The Acoustic Advisor (upon issue of the AA's Inspection Report) notified Sydney Metro that there was an inconsistency between the plant/equipment that was present on site on the evening of 23/1/2026 with what was approved in the OOHW permit No.01 rev 5. Upon review, the approved OOHW Permit had omitted an activity from the DNVIS that modelled that plant and equipment, and therefore had not been taken into consideration when respite and alternate accommodation offers were made prior to works being undertaken. This resulted in a breach of D50(b) and was notified to the Department on 05/02/2026 in accordance with A45/A46. Refer also to Table 13 below regarding the Department's request for information into this event and the Phase J contractor (Syscon) response. On 13 April 2026 the Department issued Syscon with a warning letter for the breach, stating that no formal action is warranted at this time.

Table 13: Stage 1 (CSSI 10038) findings from the Independent Audit (February 2026)

Item	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action[12]	By whom	Status[13]
10038_Feb26_1	Phase F (WTP)	C10	Observation	*Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).*	**Observation: The heritage no go zone for the salvaged Rosehill Bridge (stored at the Clyde Dive Northern Laydown) requires improvement to align with Section 7.6.8 of the Heritage Management Plan and to ensure it is not adversely impacted during demobilization works at this location.**	Realign the flagging and bollards, and improve the signage used to demarcate the heritage item. Remove all other items from the no go zone.	GLC	Open
10038_Feb26_2	Phase F (WTP)	D62	Observation	*The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.*	**Observation: During the audit site inspection it was observed that wear and tear has occurred to Hassell Street outside of the Westmead compound egress. The Auditor notes that Cumberland Council had raised concerns about road damage during previous audits (although not for this audit round), and GLC had a positive relationship in identifying damage and undertaking repair as needed.**	GLC will need to ensure that Hassell Street is returned to its pre-construction state or better, or that compensation is provided to Council to undertake the repairs themselves.	GLC	Open
10038_Feb26_3	Phase F (WTP)	D62	Observation	*The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.*	**Observation: During the audit site inspection it was observed that wear and tear has on the ATC northern carpark area, outside of the Clyde Dive Northern Laydown.**	GLC will need to ensure that the ATC carpark is returned to its pre-construction state or better, or that compensation is provided to the ATC to undertake the repairs themselves.	GLC	Open
10038_Feb26_4	Phase B (Transition al Services)	B4	Observation	*A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:* *a) number of complaints received;* *b) date and time of the complaint;* *c) number of people in the household affected in relation to a complaint, if relevant;* *d) method by which the complaint was made;* *e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect;* *f) issue of the complaint;* *g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and* *h) if no action was taken, the reason(s) why no action was taken.*	**Observation: The complaints register sighted indicates that complaint #6557 status is 'closed', yet the classification is still 'under investigation'. Additionally complaint #6408 appears to be not related to Project activities yet the classification is marked as 'unavoidable'.**	Update the complaints register to clarify the status and classification of complaints #6557 and #6408	Sydney Metro	Open

[12] The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A45/A46.

[13] Status of finding and action according to the Auditor at the time of finalizing the Report.

Item	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action[12]	By whom	Status[13]
10038_Feb26_5	Phase J – North Strathfield Power Enabling Works	A46	Observation	*A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.*	**Observation: As noted in Table 12 above, Sydney Metro self-reported a non-compliance with condition D37(c)(ii) due to unapproved daytime Out Of Hours Work on 07/12/2025. This was reported to the Department on 12/12/25 in accordance with A45/A46.** **The Auditor observes that on 14/01/26, 29/01/26 and 11/02/26 the Department sought additional information from Syscon (Phase J contractor) and required that the information be provided by 29/01/26 then, in the absence of a response, extended to 25/02/26.** **Syscon's response was not provided until 02/03/26.**	Syscon's response was provided to the Department after the requested timeframes. On 13 April 2026 the Department issued Syscon with a warning letter for the breach, stating that no formal action is warranted at this time..	Syscon	Closed
10038_Feb26_6	Phase J – North Strathfield Power Enabling Works	A46	Observation	*A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.*	**Observation: As noted in table 12 above, Sydney Metro self-reported a non-compliance with D50(b) due an activity from the DNVIS omitting an activity within an Out of Hours Work scope. The activity had not been taken into consideration when respite and alternate accommodation offers were made prior to works being undertaken. This was reported to the Department on 05/02/26 in accordance with A45/A46.** **On 16/02/26 the Department sought additional information from Syscon and required that the information be provided by 09/03/26.** **Syscon's response was not provided until 11/03/26.**	Syscon's response was provided to the Department after the requested timeframe. On 13 April 2026 the Department issued Syscon with a warning letter for the breach, stating that no formal action is warranted at this time.	Syscon	Closed
10038_Feb26_7	Phase J – North Strathfield Power Enabling Works	D39	Observation	*All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:* *a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009);* *b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure);* *c) Australian Standard AS 2187.2 – 2006 "Explosives – Storage and Use – Use of Explosives" (for human exposure);* *d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and* *e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items).* *Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.*	**Observation: Evidence indicated that the Phase J CEMP and NVMP are by and large being implemented. Training, inspections, and monitoring is being implemented. Induction records, toolbox talks and pre-starts and work methodologies include the relevant requirements and have been communicated to the Project teams. Monitoring has been conducted.** **However, the AA notes in its 14/12/25 inspection report that several key mitigation measures from the NVMP and relevant OOHW permit were not being implemented (no use of temporary noise barriers, no micro sighting of noisy equipment to minimise impact, simultaneous use of noisy plant, use of tonal reverse beacons). Despite these deficiencies being identified, neither the AA nor Sydney Metro classified it as a failure to implement the NVMP and the deficiencies identified were rectified following feedback being provided by the AA and Sydney Metro.**	It is understood that Phase J works are essentially complete and the contractor has demobilised from site.	Syscon	Closed

Table 14: Status of findings that were open at the time of finalising the previous Stage 1 (CSSI 10038) Independent Audit (August 2025)[14]

Item[15]	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action[16]	By whom	Status[17]
There were no open findings at the time of completion of the August 2025 Audit Report								

[14] Independent Audit Report, Sydney Metro West Stage 1 CSSI 10038 & Stage 2 CSSI 19238057, WolfPeak, 10 October 2025

[15] Item numbers are not necessarily sequential.

[16] The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A45/A46.

[17] Status of finding and action according to the Auditor at the time of finalizing the Report.

3.2.2 Stage 2 (CSSI 19238057)

3.2.2.1 Summary

This Section presents findings from the audit for Stage 2. The summary of conditions assessed and compliance status is presented in Table 15.

Table 15: Summary of conditions assessed and compliance status from this Independent Audit (February 2026)

Phase	Summary[18]
Phase G (ETP)	There were 55 conditions within the audit scope that were assessed. • Forty-six (4s) conditions were considered to be compliant. • Nine (9) conditions were considered not triggered. • Six (6) non-compliances were self-reported against two (2) conditions • In addition to the above one observation was identified in relation to damage to a property adjacent to the Hunter Street East site. • All findings that were open at the time of completing the August 2025 Independent Audit are considered to be closed.

3.2.2.2 Non-compliances, observations and recommendations

The non-compliances that were identified and reported by the auditees during the audit period (in accordance with conditions A45/A46 of CSSI 19238057) are presented in Table 16.

The non-compliances and observations (along with associated recommended or completed actions) identified from this audit are presented in Table 17. Detailed findings against each requirement, along with details on the auditee's responses on draft findings (where received), are presented in Appendix A.

The status of previously open findings (at the time of completion of the previous audit on Stage 2) is presented in Table 18.

[18] Numbers may not add to total number of conditions assessed in all instances, as some self-reported non-compliances were for conditions that were out of the scope of the audit.

Table 16: Non-compliances that were identified and reported by the auditees during the audit period

Phase	Condition	Requirement	Non-compliance
Phase G2 (ETP)	D77	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	At the previous audit a non-compliance with D77 was recorded in relation to heavy vehicles not adhering to the nominated haulage routes identified in the CTMPs between 01/03/25 and 31/08/25. Following finalization of the Audit Report Sydney Metro reported the non-compliance against D77 to the Department on 17/10/25. On 10/11/25, the Department issued a letter agreeing to submitting D77(e) non-compliance notifications monthly, instead of in accordance with the timeframes specified in condition A46. The subsequent D77(e) non-compliance notifications were raised in accordance with the Department's letter.
Phase G2 (ETP)	D52	*Community Communications Strategy(s) must be prepared in accordance with the Overarching Community Communication Strategy as provided in the documents listed in Condition A1 and must:* *a) identify affected communities, including vulnerable or marginalised groups;* *b) include specific and proportionate measures and mitigations to manage impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021) and enhance positive social outcomes;* *c) support the implementation of the Community Benefits Plan(s) as required under Condition D53;* *d) be informed by engagement with directly affected communities and stakeholders; and* *e) consider cumulative impacts at each site, as relevant.* *The Community Communication Strategy(s) must be submitted to the Planning Secretary for information before construction. The Community Communication Strategy(s) must be implemented for the duration of construction.* *The CCS(s) must be monitored and reviewed in accordance with the OCCS, including consideration of the appropriateness of mitigation measures and lessons learnt.*	JCG received correspondence from three stakeholders regarding an offer of alternative accommodation for night works occurring at Pyrmont in October 2025. The three stakeholders expressed dissatisfaction about the offer. The correspondence was initially recorded as an 'event' in the Consultation Manager Database (CMD). Following a review on 17/10/2025 of the entries these three 'events' were reclassified as 'complaints'. Complaint #6405, date logged 29/09/2025; Complaint #6406, date logged 01/10/2025; Complaint #6407, date logged 15/09/2025. The ETP Community Communications Strategy Section 10.2.1 requires: Any complaint received by JCG will be reported daily to Sydney Metro and uploaded in the CMD within 24 hours. The misclassification of these complaints meant that Section 10.2.1 of the Strategy was not met and thus resulted in a non-compliance with D52. Sydney Metro provides the Complaints Register to the Secretary, DPE as required by the Conditions of Approval. The non-compliance against D52 was reported to the Department on 22/10/25. The Auditor is not aware of any repeat occurrences of this issue nor any response from the Department.
Phase G2 (ETP)	D77	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	Following finalization of the CPAS Monitoring Report (April to September 2025), a total of 36 non-adhere haulage route events were recorded (out of a total of 28,783 trips) resulting in a non-compliance with D77(e). Sydney Metro reported the non-compliance against D77(e) to the Department on 17/11/25.
Phase G2 (ETP)	D77	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	During the reporting period (1/10/25 – 31/10/25) a total of 5 non-adhere haulage route events were recorded (out of a total of 4,629 trips) resulting in a non-compliance with D77(e). Sydney Metro reported the non-compliance against D77(e) to the Department on 05/12/25.

Phase	Condition	Requirement	Non-compliance
Phase G2 (ETP)	D77	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	During the reporting period (1/11/25 – 30/11/25) a total of 4 non-adhere haulage route events were recorded (out of a total of 3,925 trips) resulting in a non-compliance with D77(e). Sydney Metro reported the non-compliance against D77(e) to the Department on 08/12/25.
Phase G2 (ETP)	D77	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	During the reporting period (1/12/25 – 30/12/25) a total of 3 non-adhere haulage route events were recorded (out of a total of 1,475 trips) resulting in a non-compliance with D77(e). Sydney Metro reported the non-compliance against D77(e) to the Department on 15/01/26. Sydney Metro subsequently requested that the D77(e) non-compliance reporting be updated to a quarterly frequency. On 02/02/26 the Department approved the request to move to quarterly reporting with the next D77(e) non-compliance report to be submitted by 17/04/26 (outside of the current audit period).

Table 17: Stage 2 (CSSI 19238057) findings from the Independent Audit (February2026)

Item	Condition	Type	Requirement	Finding	Recommended or completed action[19]	By Whom	Status [20]
19238057_Feb 26_1	D8	Observation	*The Former Skinners Family Hotel, Tank Stream, Bennelong Stormwater Channel No. 29A, NSW Club house Building, Delfin House, Richard Johnson Square, Railway Cutting (Pyrmont), and St James Railway Station must not be destroyed, modified or otherwise affected, except as identified in the documents listed in Condition A1.* *Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).*	**Observation: On 04/11/25 a complaint was received from 16 O'Connell Street (Delfin House) regarding an internal tile falling from a column inside the property during the demolition of strip footing along the northern boundary of Hunter Street East. Investigations were conducted by the Project structural engineer on 11/11/25 and it was determined by the assessment that the event had the potential to cause harm (on the basis that the tile falling had the potential to cause physical harm) and thus was notified to the Department under A45 accordingly on 12/11/25. A detailed report was subsequently prepared and was reported to the Department on 17/11/25 and 10/12/25. The report included specialist advice from TTW and AMBS.** **TTW advised that the damage likely occurred due to a combination of previous damage and repair, poor workman ship and vibrations. The AMBS advice stresses that the adopted vibration thresholds were not surpassed by the works in the lead up to or during the incident. Further, they state that '*Based on the impact assessment outlined in Table 1 and the projects interpretation of the Heritage NSW Material Threshold Policy, the proposed works are consistent with the project approval from a heritage perspective and will not increase the heritage impacts of the project works beyond the levels assessed in the EIS.*'**	The construction methodology being used by JCG was adjusted and there have not been any instances of damage or vibration identified since. According to JCG complaints of damage at 16 O'Connell Street (light, tile and clock damage, complaints 6537, 6447, 6439) are being managed through the property damage process as a single entry. Whilst the assessment of vibration monitoring results and structural assessment determined that the project was not responsible for the damage JCG have elected to pay for the damage as a gesture of goodwill and a quote to repair the damage has yet to be provided by the property owner.	JCG	Closed

[19] The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A46/A47.

[20] Status of finding and action according to the Auditor at the time of finalising the Report.

Table 18: Status of findings that were open at the time of finalising the previous Stage 1 (CSSI 19238057) Independent Audit (August 2025)[21]

Item	Condition	Type	Requirement	Finding	Recommended or completed action[22]	By Whom	Status[23]
19238057_Aug25_2	D77	Non-compliance	*All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:* *a) minimise parking on public roads;* *b) minimise idling and queueing on state and regional roads;* *c) not carry out marshalling of construction vehicles near sensitive land user(s);* *d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and* *e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs*	Note that this finding is consistent with that identified in a previous audit report (February 2025), but covers the August 2025 audit period. JCG have a system to manage heavy vehicles on the Project, however the system failed to ensure spoil haulage vehicles adhered to the nominated haulage routes identified in the CTMPs on 73 x occasions during the audit period. JCG provided a range of information regarding this requirement and the following is of note: • JCG has an advanced and robust system for managing heavy vehicles, and is of the view that it is implementing all reasonable and feasible control measures to ensure adherence is achieved (including training, real-time monitoring, weekly analysis of route breaches, disciplinary action in accordance with the Driver Code of Conduct, and reporting via the CPAS Monitoring Report). Evidence was provided supporting this and the Auditor does not dispute this position. • The 73 x off-route events represents 0.23% of total movements for the 6 month period (32,140 trips recorded in total). • None of the off-route events resulted in heavy vehicles travelling past sensitive areas such as schools. • There were no complaints regarding off-route heavy vehicle movements recorded in the complaints register, nor were there any associated incidents.	As noted, JCG have implemented a range of controls to seek to prevent off-route events occurring. These should continue. The off-route events are reported in Table 5 of the CPAS Monitoring Report, and this information is provided to the Department. The Auditor is not aware of the Department raising issues with the reported off-route events on previous occasions. As a result of this matter being captured in the previous audit report, Sydney Metro submitted a request under A11 to report on non-compliances with this condition at the time of submission of the CPAS Monitoring Report (essentially lining up the A47 and D77 reporting times), thus avoiding the obligation to report such events continuously throughout the year. At the time of writing the Department had not yet approved (or rejected) the request.	Sydney Metro and JCG	Closed Sydney Metro and JCG have established a reporting regime with the approval of the Department and have been reporting D77(e) departures accordingly. Refer Table 16 above.

[21] Independent Audit Report, Sydney Metro West Stage 1 CSSI 10038 & Stage 2 CSSI 19238057, WolfPeak, WolfPeak, 10 October 2025

[22] The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A46/A47.

[23] Status of finding and action according to the Auditor at the time of finalising the Report.

3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

As part the Audit, the Auditor reviewed the following primary post approval documents. The list is not exhaustive. The evidence sighted and considered is presented in full in Appendix A.

- Sydney Metro Overarching Community Communications Strategy (OCCS), 5 September 2025
- Sydney Metro Complaints Management System, Sydney Metro, 5 September 2025
- Sydney Metro West complaints register, September 2025 to February 2026 inclusive
- ER Weekly Inspection Reports, September 2025 to February 2026 inclusive
- ER Monthly Reports, September 2025 to February 2026 inclusive
- AA Monthly Report, September 2025 to February 2026 inclusive
- Phase F – WTP:
    - Stakeholder and Community Engagement Management Plan, GLC, 4 November 2025
    - Heritage Management Plan, GLC, 22 May 2025
    - Noise and Vibration Management Plan, GLC, 16 June 2025
    - Noise and Vibration Monitoring Program, GLC, 25 August 2025
    - Construction Noise and Vibration Monitoring Report, July 2025 – January 2026 (DRAFT)
    - Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026
    - Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25 September 2025
    - Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13 November 2025
    - Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12 January 2026
- Phase H2 – Five Dock Overhead to Underground Relocation
    - Construction Environmental Management Plan, Quickway, 26 September 2025
    - Noise and Vibration Management Sub-Plan & Monitoring Program, Quickway, 23 September 2025
    - Five Dock Utilities Detailed Noise and Vibration Impact Statement, November 2025

  - Combined Noise and Vibration Monitoring Report, September 2025 to January 2026.
- Phase B – Transitional Services
  - Construction Environmental Management Plan, AFJV, 29 July 2025
- Phase J – North Strathfield Power Enabling Works
  - Construction Environmental Management Plan, Syscon, 5 November 2025
  - Noise and Vibration Management Plan, Syscon, 26 November 2025
- Phase G2 – Eastern Tunnelling Works
  - Community Communications Strategy, JCG, 11 February 2026
  - Construction Environmental Management Plan, JCG, 2 December 2024
  - Noise and Vibration Management Sub-plan (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18 April 2024
  - Detailed Noise and Vibration Impact Statement, Hunter Street Station, Rev 6.2.
  - Detailed Noise and Vibration Impact Statement, Addendum - Hunter Street Station - TBM Retrieval, Rev 3
  - Detailed Noise and Vibration Impact Statement, Addendum - Pyrmont Cavern Invert, Rev 5
  - Detailed Noise and Vibration Impact Statement, Addendum - Pyrmont TBM Support and Union St Concrete Pours, Rev 08
  - Detailed Noise and Vibration Impact Statement, Tunnelling, Rev 07
  - Detailed Noise and Vibration Impact Statement, Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology, Rev 03
  - ETP Heritage Management Sub-plan, JCG, 14 May 2025.

The Auditor assessed whether the above documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The Auditor also assessed the adequacy of post approval documents (on the basis of whether):

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

The development of the CEMPs, Sub-plans and Monitoring Programs, Community Communication Strategies were all completed prior to the current audit period. They have undergone consultation, review and endorsement by the necessary parties (Sydney Metro, the ER, the AA, third party agencies (e.g.: Heritage NSW, relevant councils) and, where relevant, the Department). Evidence sighted demonstrating that consultation, endorsement and approval has been adequately completed is presented in the previous Audit Reports. Where updates occurred during the current audit period, the updates appear to be consistent with the terms of the approvals.

The Auditor is of the view that generally, the management plans prepared for Sydney Metro West are of a very high quality and this is a key strength of Sydney Metro, its contractors and independent reviewers. The Auditor has not identified any material deficiencies with the documents and is of the view that their implementation would not result in a non-compliance.

Consistent with previous audits, the Auditor notes the very high degree of implementation of the noise and vibration management plans & monitoring programs, and heritage management plans on both Phase F (WTP) and Phase G2 (ETP). The Auditor draws attention to the minor observations and recommendations in tables 13 and 17 regarding protection of or potential impact to heritage items (findings 10038_Feb26_1, 19238057_Feb 26_1).

Phase H2 (Five Dock Overhead to Underground Relocation) has demonstrated a very high degree of understanding and implementation of its construction environmental management plan and noise and vibration management plan. The AA has provided positive feedback to Phase H2 regarding its performance.

Phase B (Transitional Services) works are now negligible and implementation of requirements of its construction environmental management plan is commensurate to the risks posed by its activities. Notwithstanding this, the relevant parts of the plan appear to have been implemented throughout the audit period.

It was found during the audit that Phase J (North Strathfield Power Enabling Works) did not implement the processes set out in the noise and vibration management plan on several occasions, resulting in non-compliances during the audit period. Refer to tables 12 and 13, above.

It is noted that the Audit team is not qualified or experienced community engagement specialists. Within the confines of the audit and based on the evidence provided by the auditees, the Auditors are of the view that the documents appear to be of a sufficient quality. The evidence sighted indicates a very high degree of implementation.

3.4 Summary of notices from agencies

A range of correspondence between the auditees and the Department occurred during the audit period, including informal correspondence (emails, portal responses and the like) and letters of agreement / acceptance / approval in response to submissions made. This section does not present these types of correspondence. Where they were used as evidence they are identified in Appendix A.

Formal notices issued by the Department and EPA during the audit period are summarised below.

- 5 November 2025: The Department issued a notice of breach to Sydney Metro for historical non-compliances across both Stage 1 and Stage 2 of Sydney Metro West. The notice of breach covered condition A2 of CSSI-10038 (date of non-compliance: 2 November 2023), condition A31 of CSSI -10038 (dates of non-compliance: 8 June 2023 - 10 January 2024), condition C23 of CSSI -10038 (date of non-compliance: 19 March 2024), condition D90 of CSSI -10038 (date of non-compliance: 30 April 2024), condition D90 of CSSI -10038 (date of non-compliance: 24 June 2024), condition D91 of CSSI -10038 (date of non-compliance: 13 July 2024), condition C23 of CSSI -19238057 (date of non-compliance: 25 November 2024), and condition D37 of CSSI-19238057 (dates of non-compliance: 1 September 2024 to 28 February 2025). For each of these events the Department recorded the breach and elected not to take any further action.

- 5 November 2025: The Department issued a warning letter to Sydney Metro for a historical non-compliance associated with Phase H1 failing to comply with CSSI-10038 condition D39 (date of non-compliance 9 April 2024). For this event the Department elected not to take further action.
- 5 November 2025: The Department issued warning letters to both Acciona and Ferrovial (of AFJV) for a historical non-compliance associated with Phase B failing to comply with CSSI-10038 condition D40 (date of non-compliance 31 May 2024). For this event the Department elected not to take further action.
- 11 November 2025: The EPA issued confirmation to AFJV that Clean-up Notice No. 3512691 (requiring removal of sediment from White Bay) had been complied with and that sediment removal met the requirements of the Port Authority of NSW.
- 23 December 2025: The EPA issued an advisory letter to John Holland (of JCG) regarding discharges from The Bays exceeding its Environment Protection Licence limits on 16 October 2025 (for Total Nitrogen) and on 14 November 2025 (for Total Nitrogen and Hexavalent Chromium). The EPA advised that it had reviewed information provided by JCG and elected not to take any regulatory action. JCG has implemented additional dosing processes to manage Chromium 6 concentrations and has obtained two trade waste permits from Sydney Water allowing diversion to trade waste in the event EPL criteria cannot be achieved.

In addition to the above, on 13 April 2026 (outside of the audit period), the Department issued a warning letter to the Phase J contractor (Syscon) regarding the self-reported breaches of D37 and D50 as noted in Table 12 above.

3.5 Other matters considered relevant by the Auditor or the Department

Matters considered relevant by the Auditor, the Department, EPA and Councils are discussed below.

The Department

The Department requested the audit give attention to out-of-hours-works in more detail, namely in relation to their impacts and the projects complaints handling/communication management.

The Auditor has reviewed how each package manages out of hours works and the associated complaints. The Auditor notes that:

- Phase F (WTP) recorded 5 complaints, three of which related to out of hours works. The auditor found that Phase F had robust processes in place to manage their out of hours works (all of which are conducted under its EPL). The processes ensure justification, assessment, approval and notification requirements are met prior to the works proceeding. The three complaints relating to out of hours works appear to have been adequately investigated and responded to.
- Phase H2 recorded 4 complaints. Whilst two of these relate to respite offers or alternative accommodation, they did not relate to noise or out of hours works. Rather, they related to the required bond or general dissatisfaction with the offer for upcoming works. For both the

Audit is of the view that these complaints were adequately responded to. The Phase H2 contractor also has strong processes in place to manage their hours of works. The vast majority of works have been conducted during the day and the out of hours works appear to have been managed in accordance with the Out of Hours Works Protocol. The AA has inspected the works and provided positive feedback to the Phase H2 contractor.

- Phase B (Transitional Services) did not conduct any out of hours works during the audit period. 4 complaints were received. Three related to property damage impacts and were investigated accordingly. One related to noise during out of hours periods, which were not associated with Sydney Metro's works.
- Non-compliances associated with out of hours works were recorded by Phase J (Syscon) (Table 12) and deficiencies in their noise management practices have been identified by the AA during out of hours inspections. Notwithstanding these deficiencies, Phase J attracted only two complaints and these were not related to out of hours works.
- Phase G2 (ETP) recorded 63 complaints, 33 of which were related to out of hours works and / or respite / alternative accommodation offers. As with Phase F, ETP has strong processes in place to manage its out of hours works and again these are all conducted under the EPL). One self-reported non-compliance was raised regarding the mishandling of three complaints that were about dissatisfaction of the offer of alternative accommodation. Whilst this represents a minor departure in the way the complaints are handled, JCG appears to have managed the works and community engagement adequately.

NSW EPA

The NSW EPA requested that the following conditions be considered during the audit:

- Conditions B2-B5 of CSSI 10038 and B2-B5 of CSSI 19238057 - Complaints management system/register
- Conditions D35-D45 and D50-D51 of CSSI 10038 and D21-D31 and D36-D40 of CSSI 19238057 - Noise & Vibration
- Conditions D71-D78 of CSSI 10038 and D56-D65 of CSSI 19238057 - Contaminated sites (CSSI 10038) and Contamination (CSSI 19238057).

Complaints management

The Auditor reviewed the complaints management system (Consultation Manager) and the complaints register for the audit period. Section 3.6 of this Report summarises the complaints recorded for each phase. As noted in Sections 3.2.1 and 3.2.2 there are findings (including two non-compliances) in relation to notifications to stakeholders in preparation for out of hours works for both Stage 1 and Stage 2.

As with the last audit, a random sample of complaints were tested to ensure that the stated investigation and close out was in fact representative of what occurred. In each of the samples, the Auditor sighted evidence to demonstrate that the stated actions to address the sample complaints had in fact been implemented.

As noted in the last audit, the Auditor reviewed the register that is provided to the Department (not that which is reported to the EPA under the relevant EPL(s) as This audit does not extend to an assessment against requirements from the EPL(s). Therefore the Auditor cannot comment on the accuracy or fullness of the complaints register issued to the EPA.

Noise and vibration

The EPA's stated conditions were assessed as shown in Appendix A. No observation or non-compliances were identified for Phase F, Phase H2, Phase B.

Non-compliances and observations regarding the management of noise and hours of work were identified for Phase J as presented in Tables 12 and 13.

Condition D23 of CSSI 19238057 allows for out of hours work to be conducted where approved by an EPL (among other pathways). Condition L5.20 of EPL 21784 establishes requirements of the OOH concrete works at the Pyrmont East site. On 7 January 2026 a planned 15-hour concrete pour (as per Condition L5.20(a)(i)) exceeded the permitted 15-hour time frame (finishing at midnight instead of the planned 10pm finish). The overrun was notified to affected receivers prior to 10pm and according to JCG there was no change to eligibility for additional mitigation measures offered under the NVMP. No complaints were recorded in relation to the works.[24] The EPA was also notified and according to the evidence sighted the EPA was satisfied with the measures taken by JCG and approved a variation to the conditions to provide contingency in the event of unplanned events.

Remediation and contaminated sites

Phase B (CTP) completed its obligations prior to the current audit period. At the February 2025 audit, it was found that the Detailed Site Investigation Report (DSIs), Remedial Action Plans (RAPs), Validation Reports, Site Audit Reports (SARs) and Site Audit Statements (SASs) were prepared and submitted to the relevant stakeholders in accordance with CSSI 10038 D75 and D76.

To the Auditor's knowledge, no DSI or remediation requirements apply to Phases H2, I or J.

For WTP refer to Appendix A for details. All DSIs were prepared prior to the current audit period. All RAPs have been prepared and have associated Site Audit Statements provided. According to GLC, 7 x Validation Reports have been prepared and completed. 6 x Validation Reports are still being prepared. One Section A Site Audit Statements or Site Audit Report has been issued to date. Notwithstanding this the auditees from both GLC and Sydney Metro were confident that D75 (issuing of Section A SASs with accompanying SARs prior to operations) will be fulfilled.

For CSSI 19238057, DSIs were conducted by JCG on Pyrmont East and West in May 2023.[25] These determined that no remediation was required. According to the approved Soil and Water Management Plan[26] (section 5.3) no contamination risk existed at The Bays (which was remediated prior by Phase B (CTP)), or Hunter Street and thus no further investigations or remediation were required. The Auditor is not aware of any unexpected contamination finds having occurred during the audit period.

Inner West Council

Council requested that the audit include all the key areas as outlined in both CSSI 10038 and CSSI 19238057 and the following key areas:

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[24] One noise complaint received on 7 January 2026 regarding noise was in relation to noise impacts during standard hours.

[25] Refer Independent Audit Report, Sydney Metro West Stage 2, CSSI 19238057, WolfPeak 2 August 2023.

[26] Soil and Water Management Plam, JCG, revision 05

- public domain treatments including landscaping and tree planting
- public trees (if they were part of, or affected by, the works or replacement plantings on public land were undertaken)
- urban design
- visual amenity
- air quality
- stormwater and flooding
- soils and contamination
- utilities management
- land use and property
- Aboriginal and non-aboriginal heritage.

The Auditor notes that the above request is consistent to that made by Inner West Council in the second and third audits conducted under the approved Audit Program.

Under the approved Audit Program requirements that are subject to audit are identified through a risk based approach and in consultation with the Department. As such not all requirements are subject to audit. Refer to Section 1.4 and 2.2.1 for details.

The Auditor observes that the only surface site within the Inner West Council LGA is The Bays (occupied by ETP and Phase B) and that the works approved under both CSSI 10038 and CSSI 19238057 at this location comprises station excavation, tunnelling and ancillary works. There are no works approved relating to public domain, trees, urban design, visual amenity (other than that associated with acoustic sheds and hoarding). The Bays sites were established in 2022 and 2023 and, therefore, risks and requirements associated with air quality, stormwater and flooding, soils and contamination, utilities, land use and heritage were assessed in prior audit periods. ETP works during the audit period were relatively stable from previous, with tunnelling and tunnel support ongoing. CTP had demobilised prior to the current audit period, with the only remaining activity being management of the Water Treatment Plant.

One complaint was recorded regarding dust from a streetsweeper from The Bays. As noted in response to the EPA, Phase B (CTP) has demonstrated that all remediation activities have been completed, with associated reports issued to Councils (including Inner West) and the Department.

The Auditor observes that demolition and demobilisation activities (which could give rise to greater nuisance impacts) are scheduled to commence shortly after the end of the current audit period.

Burwood Council

Council requested that the audit consider tree retention and protection, landscaping provision and ongoing maintenance and continued provision of temporary pedestrian access and parking arrangements during construction.

The only CSSI 10038 sites present in the Burwood Council are Burwood North tunnelling support sites (on either side of Parramatta Road) which were constructed by Phase B (CTP). As noted in Section 1.1.3, Phase B (CTP) was completed prior to the current audit period. All tree removal was conducted early in the construction program in 2022 and all tree removal occurred during that time. The sites are hardstand and remain secured. There are no provisions for landscaping under the

CSSI 10038 approval (other than concept conditions, which are to be delivered as part of Stage 3). Phase B conducts routine inspections and maintenance on the Burwood North sites as required. Two complaints were recorded in the Burwood area, one of which was no related to Sydney Metro works and one that related to a water leak that was repaired. No complaints regarding traffic and access during the audit period were recorded.

City of Parramatta Council

Council requested that the audit examine compliance with CSSI 10038 condition C-B2 part a) & b) of Stage 1 and E75 part c) & d) of Stage 3. For reference these conditions relate to consideration of the future state of the Clyde MSF, Active Transport link, connectivity and public spaces for recreational use on residual land to offset the loss of the private recreational land.

Council noted that Council had written to Sydney Metro on 21 October and 10 December and Sydney Metro had indicated that they would present on these matters in early 2026.

The Auditor notes that concept condition C-B2 is not necessarily required to be delivered under Stage 1 (CSSI 10038) and understands that this requirement will be addressed under Stage 3 (CSSI 22765520).

Stage 3 (CSSI 22765520) condition E73 – E75 requires Sydney Metro to prepare the Clyde Landscape Masterplan no later than one (1) month before the construction of permanent built surface works and / or landscaping in the Clyde Stabling and Maintenance Facility and Rosehill Services Facility (excluding those elements which for technical requirements, or requirements as agreed by the Planning Secretary do not allow for alternate design outcomes. The Masterplan must address matters of connectivity and public spaces etc and be implemented as part of Stage 3.

Stage 3 does not form part of this audit scope.

3.6 Complaints

A complaints register is being maintained for the Project using the software, Consultation Manager. The complaints register is issued to the ER and the Department on a regular basis. The complaints register for the audit period was provided to the Auditor.

107 complaints were received in the audit period and of these 24 were classified as not being related to Sydney Metro West, therefore 83 were related to Sydney Metro West.

Complaints for Project are inevitable given the scale and complexity of the works. Whilst they persist, they have fallen from 169 complaints recorded between March and August 2024, 136 complaints recorded between September 2024 and February 2025, 125 complaints recorded between March and August 2025 and 108 complaints recorded for this current audit period (September 2025 to February 2026).

The breakdown is presented in Figures 4 – 7. ETP continues to attract the most complaints, recording 68 for the audit period. This is expected due to the works undertaken during this time (ongoing tunnelling and shaft excavation, high impact noise activities, significant portions of Out of Hours Works) as well as the high density environment in which the phase is located. The remaining packages attracted 15 complaints combined.

The complaints register identifies the actions taken in response to each complaint, and the timing by which the complaint is considered closed. It is the Auditors view that Sydney Metro and its contractors have adequately responded to the complaints.

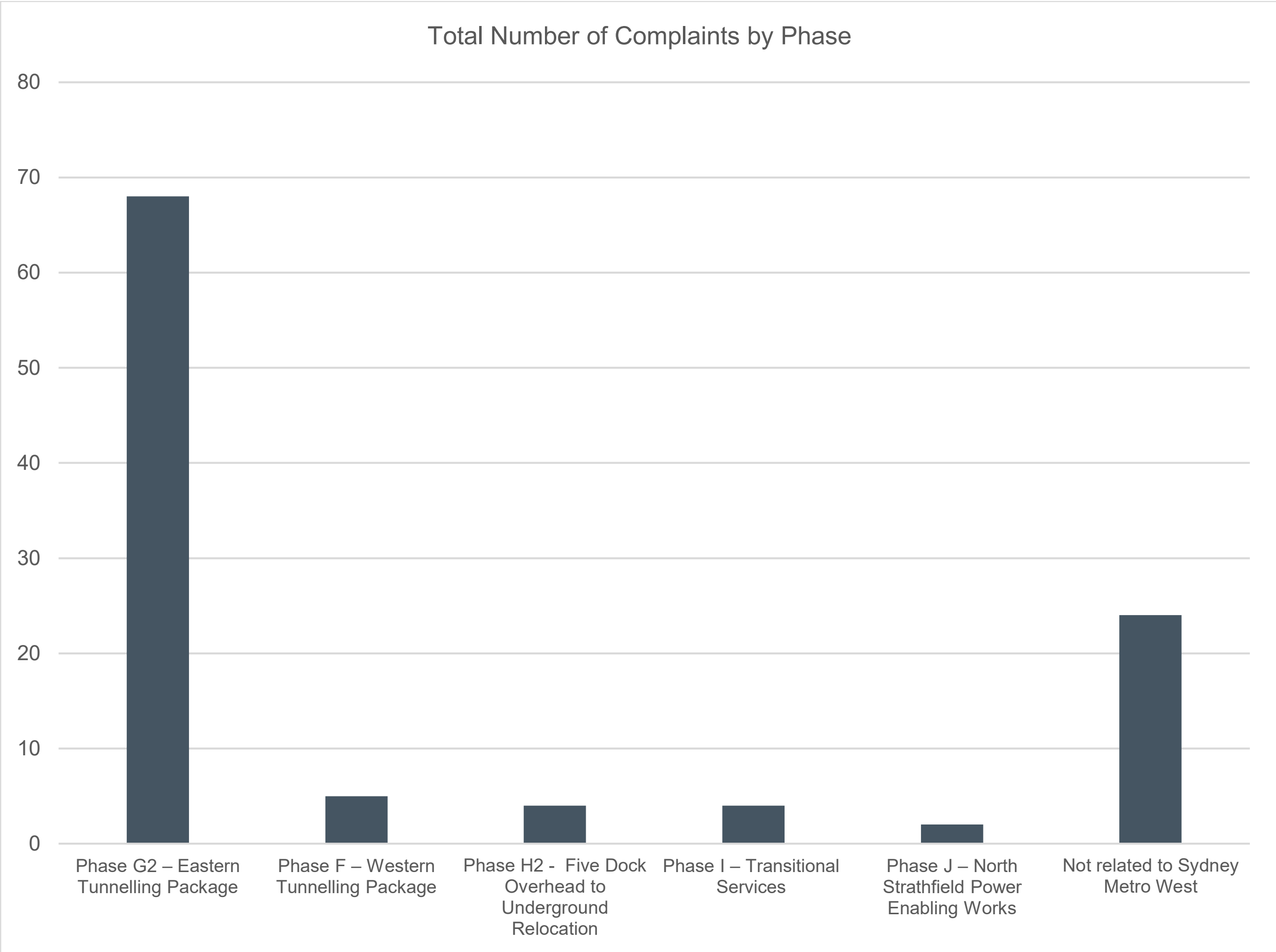

Figure 4: Complaints by Phase

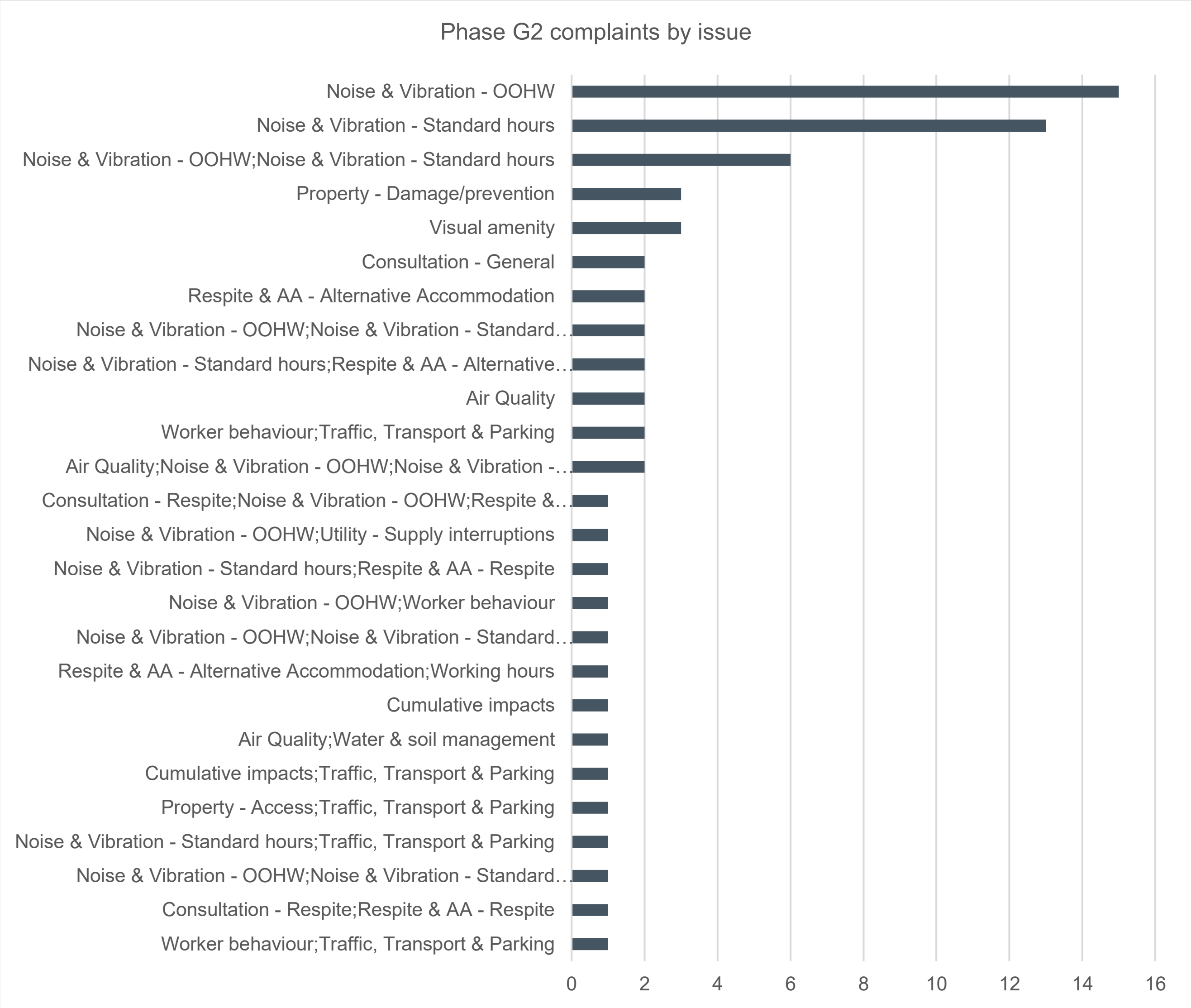

Figure 5: Phase G2 complaints by issue

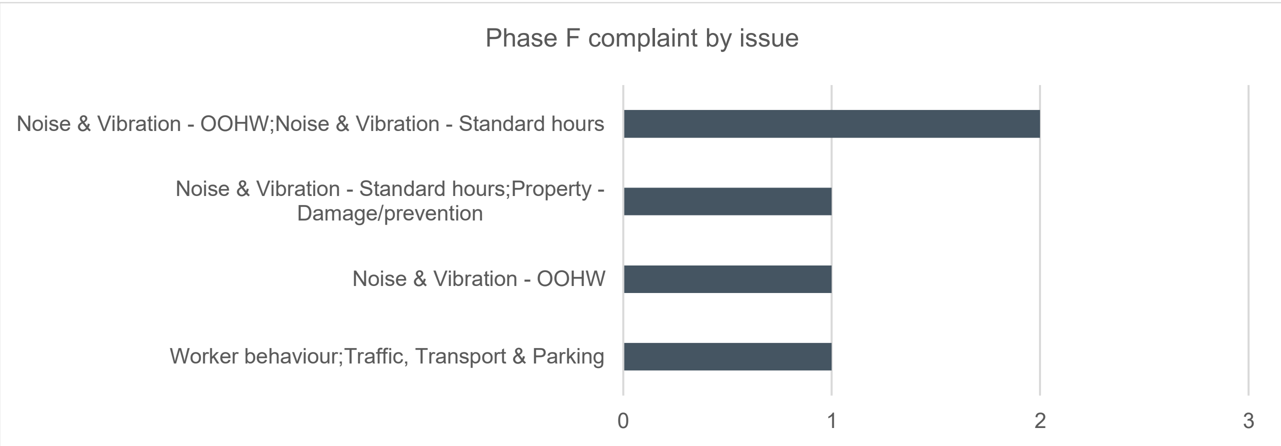

Figure 6: Phase F Complaints by Issue

Figure 7: Phases H2, I and J complaints by issue

3.7 Incidents

According to the records sighted there was one notifiable incident (as defined by terms in the Stage 1 and Stage 2 approvals) recorded during the audit period.

On 04/11/25 a complaint was received from 16 O'Connell Street (Delfin House) regarding an internal tile falling from a column inside the property during the demolition of strip footing along the northern boundary of Hunter Street East. Investigations were conducted by the Project structural engineer on 11/11/25 and it was determined by the assessment that the event had the potential to cause harm (on the basis that the tile falling had the potential to cause physical harm) and thus was notified to the Department under A45 accordingly on 12/11/25. A detailed report was subsequently prepared and was reported to the Department on 17/11/25 and 10/12/25. The report included specialist advice from TTW and AMBS.

TTW advised that the damage likely occurred due to a combination of previous damage and repair, poor workman ship and vibrations. The AMBS advice stresses that the adopted vibration thresholds were not surpassed by the works in the lead up to or during the incident. Further, they state that '*Based on the impact assessment outlined in Table 1 and the projects interpretation of the Heritage NSW Material Threshold Policy, the proposed works are consistent with the project approval from a heritage perspective and will not increase the heritage impacts of the project works beyond the levels assessed in the EIS.*'

3.8 Actual versus predicted impacts

Predicted outcomes associated with the construction of the Project are described in the following Environmental Assessment Documents (EAD):

- Stage 1 (CSSI 10038)
  - Chapters 10 – 28 of the Concept and Stage 1 (major civil construction between Westmead and The Bays) – Environmental Impact Statement, 15 April 2020
  - Sections 6.10 – 6.26 of the Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report Concept and Stage 1, 2020
  - Section 5 of the Clyde Stabling and Maintenance Facility Modification Report, November 2021 (Mod 2)
  - Section 3 of Modification Report Clyde Stabling and Maintenance Facility – Additional Mangrove Impact – Modification 5, July 2023
- Stage 2 (CSSI 19238057)
  - Chapters 5 – 22 of the Sydney Metro West Major civil construction work between The Bays and Sydney CBD – Environmental Impact Statement, 25 October 2021
  - Sections 2.2 – 2.7 and 5.6 – 5.16 of the Sydney Metro West Submissions Report – Major civil construction work between The Bays and Sydney CBD, April 2022
  - Section 5 of Major civil construction between The Bays and Sydney CBD Modification Request (Mod 1), Sydney Metro,1 February 2023.
- The consistency assessments / environmental reviews listed in Section 1.1.2 of this Report.

The EAD for Stage 1 and 2 included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the conditions and REMMs, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit. Any such comparison is qualitative only.

Assessment of actual vs predicted impacts considered:

- The extent to which the Project has been altered to that assessed in the EAD and approved, including whether Project boundaries have changed
- The works conducted during the audit period

- The degree of compliance with the Approval and the REMMS, relevant to the audit period and the works carried out
- The degree of adequacy and implementation of the approved post approval documents
- The number, nature and severity of incidents recorded during the audit period
- The number, nature and severity of complaints recorded during the audit period.

The Auditor observes that the Project has been altered via nine modifications in all (refer Section 1.1.2 of this Report). CSSI 10038 modifications 1, 3, 4 and 6 and CSSI 19238057 modifications 2 and 3 were administrative in nature and did not alter the predicted impacts from the initial Approvals. For the remainder of the modifications the revised impacts were not considered or predicted during the initial application, Sydney Metro (and the Department, through its approval of the modifications) determined that the changes would not result in a material change to impacts on the environment beyond those originally assessed.

One consistency assessment / environmental review determined during the audit period was assessed by Sydney Metro as being consistent with the respective Approval, and the associated environmental assessment.

The works undertaken during the audit period (described in Section 1.1.4) appear to be consistent with the construction works described in the relevant EAD.

Phase B (CTP) construction has been completed prior to the current audit period and AFJV are now providing Transitional Services with little to no impact. Both WTP and ETP are well advanced. The impacts appear to be consistent with those from the EAD. The ER (in monitoring implementation of the environmental management plans) has not identified any material departures between the works undertaken during the audit period, and those identified in the aforementioned documents. The ER has not raised any material concerns or non-compliances in the ER Monthly Reports that have not already been self-reported by the auditees.

As set out in Section 3.2 and Appendix A, the degree of compliance with the Approval is very high, and does not suggest that works / impacts have had any material departure from the impacts above or beyond those contemplated in the EAD. The degree of implementation of the post approval documents also appears to be of a very high standard, with no material departures identified.

The one recorded notifiable incident (associated with damage to 16 O'Connell Street at Hunter Street East) was investigated. It was found that vibration levels were below the cosmetic damage criteria and heritage impacts were not above and beyond those contemplated in the EAD.

Complaints for Project are inevitable given the scale and complexity of the works. Complaints relating noise and vibration, hours of work, traffic and access and property damage persist, however they are considered to be associated with impacts consistent with those described in the EAD.

3.9 Key strengths and environmental performance

Overall the environmental performance of the Project during the audit period is considered by the Auditor to be high. The following matters are of note:

- A very high degree of compliance has been achieved across all Phases. 184 x requirements were assessed, with no non-compliances identified as part of the audit. The auditees self-reported ten non-compliances, two of which related to findings made during the previous audit. This is reflective of the strong reporting culture across the Project.

- Phase F (WTP) has received positive feedback from 240 Church Street, Parramatta Mission and Westmead Primary School about strong engagement and the management of construction disruption. Phase F (WTP) also received positive feedback from the AA regarding strong implementation of noise controls during Out of Hours Works at Clyde Dive). ‘

The AA has provided positive feedback to Phase H2 on their noise management and monitoring during their works which are in close proximity to receivers that had a long duration of construction on their doorsteps (for Phase B (CTP)). Phase G2 (ETP) demonstrated effort to coordinate respite with neighbouring projects/works. Respite was able to be achieved despite those neighbouring projects/work not being State significant or needing to provide respite under their associated approvals.

- Phase G2 (ETP) has demonstrated that off-route haulage events have been managed throughout the spoil campaign. Spoiling is 90% complete and only 2 complaints of driver behaviour were recorded. The Department agreed to moving to a quarterly reporting regime for D77(e) non-compliance, reflective of the effort applied during the audit period.
- Phase G2 (ETP) received positive feedback from 25 Bligh Street, Lowy Institute and the Maritime Museum for the teams engagement and scheduling of construction to avoid sensitive times. Positive feedback was also provided by the AA regarding the use of the electric crawler crane and noise attenuation of the tower crane at Hunter Street East, as well as the acoustic enclosures used for the major concrete pours from Union Street to the Pyrmont East site.

4. CONCLUSIONS

This Audit Report presents the findings from the February 2026 audit conducted Sydney Metro West Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057). This is the fifth audit conducted under the risk based Audit Program.

The overall outcome of the Audit was very positive. As with previous audits, compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, AFJV, GLC, JCG, Quickway and Syscon (together, the auditees). The auditees were cooperative and responsive to the Auditor's requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements.

184 x requirements were assessed. The auditees self-reported ten non-compliances, two of which related to findings made during the previous audit. No additional non-compliances were identified as part of the audit.

The Auditor has found that the CEMP, Sub-plans and monitoring programs to be of a very high quality and have been implemented during the audit period.

The Auditor observes that complaints continue to fall from earlier audit periods, and the evidence sighted appears to indicate that complaints are being properly recorded, responded to and associated corrective actions completed. A random sample of complaints were tested to ensure that the stated investigation and close out was in fact representative of what occurred. In each of the samples, the Auditor sighted evidence to demonstrate that the stated actions to address the sample complaints had in fact been implemented.

According to the incident records one notifiable incident (as defined by terms in the Stage 1 and Stage 2 approvals) recorded during the audit period. This was appropriately investigated and managed by the auditees.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC, JCG, Quickway and Syscon, for their high level of organisation, cooperation, and assistance during the Independent Audit.

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The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the conditions, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

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APPENDIX A – CONDITIONS OF APPROVAL

Appendix A1 - SSI 10038 - Phase F - WTP

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL					
PART C-A: ADMINISTRATIVE CONDITIONS					
General					
C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: a) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.	Not included. Refer Stage 1 Independent Audit Program.			
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.			
C-A3	In the event of an inconsistency between: a) the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.	Not included. Refer Stage 1 Independent Audit Program.			
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	Not included. Refer Stage 1 Independent Audit Program.			
PART C-B: KEY ISSUE CONDITIONS					
Place and Design					
C-B1	Place and Design To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C-B2	Clyde Stabling and Maintenance Facility Site For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site: a) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces; b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council; c) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and d) integration with strategic planning for the precinct.	Not included. Refer Stage 1 Independent Audit Program.			
C-B3	Parramatta Metro Station Site The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.	Not included. Refer Stage 1 Independent Audit Program.			
Aboriginal and Non-Aboriginal Heritage					
C-B4	The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.	Not included. Refer Stage 1 Independent Audit Program.			
C-B5	The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.	Not included. Refer Stage 1 Independent Audit Program.			
C-B6	The Heritage Interpretation Strategy must include, but not be limited to: a) a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items and sections of heritage conservation areas (if applicable); b) options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design; c) Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in Condition C-A1 of this schedule, and those of any future stages of the CSSI; d) details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design; e) engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and f) with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects.	Not included. Refer Stage 1 Independent Audit Program.			
Sustainability					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C-B7	The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).	Not included. Refer Stage 1 Independent Audit Program.			
Biodiversity and Trees					
C-B8	As many mature trees as practicable must be retained. In addition, ~~within ten (10) years of the date of this approval~~ **or a net increase in the number of mature trees provided at a ratio of 2:1 must be provided by no later than the commencement of operation of the CSSI or as otherwise agreed by the Planning Secretary**. ~~(whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1.~~ Mod 6, 06/11/24	Not included. Refer Stage 1 Independent Audit Program.			
C-B9	The CSSI must result in an increase in tree canopy coverage.	Not included. Refer Stage 1 Independent Audit Program.			
C-B10	Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences. In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist. Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800 [MOD-3 – 4 July 2022]	Not included. Refer Stage 1 Independent Audit Program.			
Climate Change					
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Not included. Refer Stage 1 Independent Audit Program.			
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1					
PART A: ADMINISTRATIVE CONDITIONS					
General					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A1	The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the: a) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020; b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020; c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.; d) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021; [MOD-1, 28 Jul 2021] e) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; [MOD-2, 3 Jun 2022] f) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; [MOD-2, 3 Jun 2022] g) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022; [MOD-3, 4 Jul 2022] h) Sydney Metro West -Concept and Stage 1 – Modification 4 Administrative Mod dated 11 November 2022; and [MOD-4, 22 Dec 2022] i) Modification Report – Clyde stabling and maintenance facility – Additional Mangrove Impact – Modification dated July 2023 [MOD-5, 20 Sep 2023] **j) <u>Sydney Metro West – Concept and Stage 1 (major civil construction between Westmead and The Bays), SSI-10038, Request for Modification for Conditions C-B8, A21, D111 and D117 dated 20 June 2024.</u>** [MOD06, 6 Nov 2024]	Not subject to audit at this time.			
A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Not subject to audit at this time.			
A3	In the event of an inconsistency between: a) the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A5	The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to: a) the environmental performance of Stage 1 of the CSSI; b) any document or correspondence in relation to Stage 1 of the CSSI; c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of Stage 1 of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval.	Not subject to audit at this time.			
A6	Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include: a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed.	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Not included. Refer Stage 1 Independent Audit Program.			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval.	Not subject to audit at this time.			
A9	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule	Not subject to audit at this time.			
Phasing					
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A11	The Phasing Report must: set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; a) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI; b) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and c) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER. [MOD-1, 28 Jul 2021] [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Not subject to audit at this time.			
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Not subject to audit at this time.			
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Not subject to audit at this time.			
A15	With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of Stage 1 of the CSSI. Notes: 1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program	Not subject to audit at this time.			
Ancillary Facilities					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A16	Ancillary Facilities Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if: a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; **unless otherwise approved by the Planning Secretary;** and c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.	Not subject to audit at this time.			
Site Establishment Work					
A17	Site Establishment Management Plan Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities ~~determined by the ER to have minimal environmental impact and those~~ established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include: a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: i. meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and a) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility.	Not subject to audit at this time.			
A18	With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A19	A Site Establishment Management Plan relating to the Silverwater ancillary facility and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.	Not subject to audit at this time.			
A20	Use of Ancillary Facilities The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.	Not subject to audit at this time.			
A21	Minor Ancillary Facilities ~~Lunch sheds, office sheds, portable toilet facilities, and the like, can be~~ **Minor Ancillary Facilities may be** established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria: a) are located within or adjacent to the Construction Boundary; and b) have been assessed **by the proponent with the adoption of mitigation measures as appropriate**; and c) in the opinion of the ER to have: i. minimal amenity impacts to surrounding residences and ~~businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts,~~ and ii. ~~minimal~~ environmental impact ~~with respect to waste management and flooding~~, and iii. no impacts on biodiversity~~, soil and water~~, and Heritage items beyond those already approved under other conditions of this approval.	Not subject to audit at this time.			
A22	Boundary Screening Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Not subject to audit at this time.			
A23	Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).	Not subject to audit at this time.			
Independent Appointments					
A24	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.			
A25	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: a) facilitate and assist the Planning Secretary in any such audit; and b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A26	Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA and Independent Auditor	Not subject to audit at this time.			
Environment Representative					
A27	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time.			
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule, and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	Not subject to audit at this time.			
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A30	For the duration of the work or as agreed with the Planning Secretary, the approved ER must **be enabled to**: a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ~~ensure~~ **be satisfied that** they are consistent with requirements in or under this approval and if so: i. endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary; f) regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ~~ensure~~ **be satisfied that** implementation is being carried out in accordance with the document and the conditions of this approval; g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A39 of this schedule; h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department; i) consider ~~or assess~~ the impacts of minor ancillary facilities ~~comprising lunch sheds, office sheds and portable toilet facilities~~ as required by Condition A21 of this schedule **and where satisfied endorse**; and j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval; k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and l) assess the impacts of activities as required by the Low Impact Work definition. With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A31	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: a) the Complaints Register (to be provided on a weekly basis or as requested); and b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	Not subject to audit at this time.			
Acoustics Advisor					
A32	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.	Not subject to audit at this time.			
A33	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time.			
A34	The Proponent must cooperate with the AA by: a) providing access to noise and vibration monitoring activities as they take place; b) providing access to the Complaints Register if requested; c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.	Not subject to audit at this time.			
A35	The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A36	The approved AA must: a) receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A43 of this schedule; h) in conjunction with the ER (where required), the AA must: i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, i) if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), j) if requested by the ER, review the noise impacts of minor ancillary facilities, and k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.	Not subject to audit at this time.			
Notification of Commencement					
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Not subject to audit at this time.			
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Not subject to audit at this time.			
Independent Environmental Audit					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Not subject to audit at this time.			
A39.1	Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction. [MOD-1, 28 Jul 2021]	Not subject to audit at this time.			
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Not subject to audit at this time.			
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Not subject to audit at this time.			
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.			
Incident and Non-compliance Notification and Reporting					
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	To be audited in February 2026	WTP Incident records current to 28/02/26	WTP According to the incident records sighted and responses from WTP, there were no reportable incidents recorded during the audit period.	NT
A44	Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	To be audited in February 2026	WTP Incident records current to 28/02/26	WTP According to the incident records sighted and responses from WTP, there were no reportable incidents recorded during the audit period.	NT
A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	To be audited in February 2026	WTP Non-compliance register current to 28/02/26	WTP According to the non-compliance register sighted and responses from WTP, there were no non-compliances identified or reported by the auditees during the audit period.	NT
A46	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. Note: A non-compliance which has been notified as an incident does not need to also be notified as a noncompliance.	To be audited in February 2026	WTP Incident records current to 28/02/26 Non-compliance register current to 28/02/26	WTP According to the incident records sighted and responses from WTP, there were no reportable incidents recorded during the audit period. According to the non-compliance register sighted and responses from WTP, there were no non-compliances identified or reported by the auditees during the audit period.	NT
Identification of Workforce					
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING					
Community Information, Consultation and Involvement					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
B1	Community Communication The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of approval dated 11/09/25 Interview with auditees 24/02/26 and 03/03/26 https://caportal.com.au/tfnsw/sydmetrowest/map Sydney Metro Connect Application (no date) Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Sydney Metro Complaints Management System, 05/09/25 https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au https://www.facebook.com/SydneyMetro/ https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice WTP Stakeholder and Community Engagement Management Plan, GLC, 04/11/25 (including 6-monthly reviews) Parramatta Communications Sub-plan Lessons learnt, 08/10/25 (lessons learnt completed as per section 11 and Table 7 of the OCCS) Media release 03/12/25 Sydney Metro tunnels from Pyrmont to Westmead complete Media release 11/12/25 Lift off! Tunnel boring machines emerge from the ground at Westmead Consultation Manager extract Parramatta business survey, 08/01/26 Email 240 Church Street to Metro, 17/10/25 (positive feedback regarding parking management, scheduling of noisy works during less sensitive times) Email Parramatta Mission to Metro, 14/10/25 (positive feedback regarding quality of communication, community benefits) Email Westmead Primary to Metro, 18/02/26 (positive feedback about being a good neighbour) ATC / GLC fortnightly meeting minutes Sep 25 to Feb 26 (attended by ATC, Metro, GLC and sometimes the AA and includes works, potential impacts and requests for schedules, questions and concerns)	Sydney Metro are the primary managers of all communications across the Project. The contractors consult with agencies other than the Department, support consultation with the Department, provide information for community consultation, relay complaints and participate in community engagement forums as advised by Sydney Metro. The OCCS resides on the website and the main contract works fall out via their stand-alone Community Communications Strategies. The OCCS was updated on 31/07/24. Each of the contractor Strategies are also available on their websites. Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period. The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project. Community engagement is now quite stable, with the Project having been under construction for several years and well past its peak. The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder. This includes general construction updates and targeted engagement for specific activities (such as TBM movement underneath properties in Parramatta or TBM retrieval from Westmead). The contractor Communications Management Plans have been prepared in accordance with the OCCS. Specific commitments on the contractor are the written notifications for each site and the OOHW / work updates. All the material is sent through Sydney Metro. GLC have completed a 6 monthly review in response to feedback received. A lessons learnt review was also conducted in accordance with Section 11 and Table 7 of the OCCS. The periodic review off the back feedback provided has not resulted in any changes to the approach to or engagement of the community. A media event was held for the TBM breakthrough and TBM retrieval from Westmead. After the final breakthrough the primary focus was on the eastern end of the Project. The Interface Team manages communications with the ATC and meeting minutes indicate that issues are discussed and resolved. Business survey in Parramatta was completed and no significant issues were recorded. Regular engagement is occurring with the ATC as there are still significant works occurring on their property boundary at the MSF. Positive feedback has been received from 240 Church Street, Parramatta Mission, Westmead Primary about strong engagement and the management of construction disruption.	C
Complaints Management System					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of approval dated 11/09/25 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Sydney Metro Complaints Management System, 05/09/25 https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice Complaints register current to 28/02/26	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation. The data required under the OCCS and B4 has been captured.	C
B3	The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction: a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; b) a postal address to which written complaints and enquires may be sent; c) an email address to which electronic complaints and enquiries may be transmitted; and d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of approval dated 11/09/25 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Sydney Metro Complaints Management System, 05/09/25 https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station Complaints register current to 28/02/26	Project signage is on each compound fence line sighted during the audit, identifying the contact details as required by this condition. The Project works notifications includes contact details as required by this condition. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies. The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The website includes a statement about how complaints are managed and the availability of mediation if required.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
B4	A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the: a) number of complaints received; b) date and time of the complaint; c) number of people in the household affected in relation to a complaint, if relevant; d) method by which the complaint was made; e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; f) issue of the complaint; g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and h) if no action was taken, the reason(s) why no action was taken.	To be audited in February 2026	Sydney Metro Complaints Management System, 05/09/25 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Complaints register current to 28/02/26 DPHI post approval portal lodgements 01/09/25 – 28/02/26 (submission of complaints register to Department).	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation. The Complaints Management System and register define whether a complaint is: - 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or - 'avoidable' that is a complaint 'about issues outside planning approval, or a commitment that has been given to the community or stakeholders''. For WTP according to the complaints register sighted, 11 x complaints were received by WTP during the audit period, 6 of which were considered not related to Sydney Metro's works. This is a significant fall from earlier periods, reflective of the decline in construction intensity and scale along with other factors such as string engagement and falling interest. 3 x complaints relate to noise and OOHW, 1 x for traffic and 1 x for noise/vibration and property damage. The Auditor considers the responses by WTP to each complaint to be adequate.	C
B5	Complainants must be advised of the following information before, or as soon as practicable after, providing personal information: a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; c) the supply of personal information by the complainant is voluntary; and d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement.	To be audited in February 2026	https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/complaints-privacy-collection-notice Email auto reply for community email (example only) Community Information line (1800 612 173)	The collection statement is available on the Sydney Metro website. The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act. and directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition.	C
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.	To be audited in February 2026	Complaints register current to 28/02/26 DPHI post approval portal lodgements 01/09/25 - 28/02/26 (submission of complaints register to Department).	Sydney Metro West complaints register is issued to the Department on a weekly basis; sighted records current to August 2024. Refer B5 regarding notification about use of personal information.	C
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	To be audited in February 2026	Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services – Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021	At the August 2023 Independent Audit, the auditor sighted a letter from Sydney Metro was observed which accepted a mediation proposal dated 30/09/21 to provide Mediation Services for Sydney Metro by Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions. The letter stated that neither Negocio Resolutions nor its contractors had provided any other services to or at the request of Sydney Metro West Project and that Negocio Resolutions does not provide any services to any contractor or subcontractor for the Sydney West Project. According to the auditees, no complaints were escalated to mediation during the audit period.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	To be audited in February 2026	Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services – Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021	The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute.	C
B9	Community Complaints Mediation will: a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints	To be audited in February 2026	Construction Complaints Management System, Sydney Metro, 31/07/24 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Complaints register current to 28/02/26	At the August 2023 Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022. No other cases have been referred to the Complaints Mediator.	NT
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	To be audited in February 2026	Construction Complaints Management System, Sydney Metro, 31/07/24 Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) Complaints register current to 28/02/26	At the August 2023 Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022. No other cases have been referred to the Complaints Mediator.	NT
Provision of Electronic Information					
B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including: a) information on the current implementation status of Stage 1 of the CSSI; b) a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; d) a copy of each statutory approval, licence or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and f) a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.	To be audited in February 2026	https://www.sydneymetro.info/documents https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility https://www.sydneymetro.info/station/Silverwater-laydown-site https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au https://www.facebook.com/SydneyMetro/ https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites). https://gamuda.com.au/sydney-metro-west-western-tunnelling-package-wtp/ Email GLC to GLC, 24/02/26 (email chain requesting and confirming upload of updated DNVIS).	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors. The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise. Refer to the March 2024 audit for details on the process of uploading information to each of the project websites. The Auditor notes that construction on the two phases commenced prior to the current audit period and are well advanced. Therefore, the extent to which documents must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation (as per B11e)) is limited relative to previous audit periods. These documents generally pertain to updates to existing approved documents, or project notifications / updates, new or addendum DNVISs / CTMPs / MAF applications and the like. The Auditor has reviewed the Sydney Metro and Contractor webpages and as far as the Auditor can ascertain from the review, the documents were uploaded and readily available. Where published dates are available these appear to have been published prior to the relevant works having commenced.	C
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT					
Construction Environmental Management Plan					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

<table>
<tr><th rowspan="2">Unique ID</th><th rowspan="2">Compliance requirement</th><th>Condition subject to audit as per approved Scoping Statement</th><th rowspan="2">Evidence collected</th><th rowspan="2">Audit findings and recommendations</th><th rowspan="2">Compliance status</th></tr>
<tr><th>Phase F (WTP)</th></tr>
<tr><td>C1</td><td>Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C2</td><td>With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C3</td><td>The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 of this schedule.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C4</td><td>Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C5</td><td>Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:
<table>
<tr><th></th><th>Required CEMP Sub-plan</th><th>Relevant government agencies to be consulted for each CEMP Sub-plan</th></tr>
<tr><td>a)</td><td>Noise and vibration</td><td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>b)</td><td>Flora and fauna</td><td>DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)</td></tr>
<tr><td>c)</td><td>Soil and water</td><td>DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Sydney Water (if Sydney Water's assets are affected)</td></tr>
<tr><td>d)</td><td>Heritage (Non-Aboriginal and Aboriginal)</td><td>Heritage NSW, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>e)</td><td>Spoil</td><td>Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)</td></tr>
</table>
[MOD-2, 3 Jun 2022]</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C6</td><td>The CEMP Sub-plans must state how:
a) the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved;
b) the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented;
c) the relevant conditions of this approval will be complied with; and
d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
</table>

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.			
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.			
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable). MOD-1	Implementation of the Noise and Vibration CEMP Sub-Plan and Monitoring Program and the Heritage CEMP Sub-plan to be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 and evidence of submission to ER 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 and evidence of submission to ER 13/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 Activity Management Plan, Westmead FRP works, 16/12/25 (includes hours in section 4.5) Activity Management Plan, Clyde Dive civil works, 25/11/25 (includes hours in section 3.2) Toolbox Talk Site Environmental refresher, January 26 (includes approved hours, permits, controls, high impact works, criteria for N&V) Activity Management Plan, Parramatta Box excavation and steel report, Rev 6, 05/12/25 (includes hours and permissible high noise activity hours) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 OOHW Permits CD094, 21-23/01/26 (JRD concrete barrier adjustments, including RO offer and associated monitoring reports) OOHW Permit WEST-134, 29/09/25 – 08/10/25 (Priddle Street roadworks, including RO offer and associated monitoring reports) AA Inspection Report 29/09/25 (Priddle Street roadworks) Email AA to GLC 23/01/26 (AA positive observation of the implementation of the Clyde DNVIS for M4 OOHW) Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) GLC Velocity environmental inspection records (includes noise and vibration mitigation measure implementation) Environment and Approvals Team Meeting Minutes #119 (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day). GLC photo 22/01/26 (evidence of use of electric powered grappler and chainsaw on excavator for tree removal on JRD). WTP Heritage Management Plan, GLC, 22/05/25 Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26	The Plans were endorsed prior to the current audit period. Refer to earlier audit reports for details Evidence indicated that the Sub-plans are being implemented. Training, inspections, and monitoring is being implemented. A system or file directory for the recording, actioning, escalation and close out of actions (inspections, monitoring, deficiency management, incident / non-compliance management). Deficiencies in controls / incident / non-compliances are being identified and actioned. Induction records, toolbox talks and pre-starts and Activity Management Plans include the relevant requirements and have been communicated to the Project teams. Physical controls appear to have been implemented consistent with the documents. The ER and AA have monitored the implementation of the noise and vibration Sub-plan and heritage Sub-plan and have made minor recommendations to ensure the Plans are effectively implemented. These primarily relate to maintenance and improvements to site based controls. The findings do not indicate systematic failures or contravention with the documents. **WTP Observation: The heritage no go zone for the salvaged Rosehill Bridge requires improvement to align with Section 7.6.8 of the Heritage Management Plan and to ensure it is not adversely impacted during demobilization works at Clyde Dive north.**	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
			GML RAP consultation register, December 2025 (register of consultation completed between GML heritage and RAPs during ARDEM works) Sydney Metro West Quarterly Newsletter December 2025, and distribution list 26/11/25 Parramatta Station Excavation Presentation to the Heritage Working Group, 17/12/25 Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19). WTP UFP Report 25/09/25 (heritage items at MSF (UHF 11)) and heritage advisor letter 08/10/24 and notification from GLC to GLC and ER 25/09/25.		
C11	In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to: a) site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); b) measures to minimise disturbance to habitat associated with Myotis macropus / Southern Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished; c) measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and d) details for undertaking and mitigating vegetation clearance through improved environmental outcomes.	Not subject to audit at this time.			
C12	In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to: a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C13	In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI; d) in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: i. the Roxy Theatre (SHR I00711); ii. White Bay Power Station (SHR I01015); iii. the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and iv. the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and e) set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.	Not subject to audit at this time.			
Construction Monitoring Programs					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

<table>
<tr><th>Unique ID</th><th>Compliance requirement</th><th>Condition subject to audit as per approved Scoping Statement
Phase F (WTP)</th><th>Evidence collected</th><th>Audit findings and recommendations</th><th>Compliance status</th></tr>
<tr><td>C14</td><td>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:
<table>
<tr><th></th><th>Required Construction Monitoring Programs</th><th>Relevant government agencies to be consulted for each Construction Monitoring Program</th></tr>
<tr><td>a)</td><td>Noise and vibration</td><td>EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>b)</td><td>Blasting</td><td>SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>c)</td><td>Surface water quality</td><td>DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)</td></tr>
<tr><td>d)</td><td>Groundwater</td><td>DPE Water and SOPA (in respect of Sydney Olympic Park)</td></tr>
</table>
Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction.
[MOD-2, 3 Jun 2022]</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C15</td><td>Each Construction Monitoring Program must provide:
a) details of baseline data available including the period of baseline monitoring;
b) details of baseline data to be obtained and when;
c) details of all monitoring of the project to be undertaken;
d) the parameters of the project to be monitored;
e) the frequency of monitoring to be undertaken;
f) the location of monitoring;
g) the reporting of monitoring results and analysis results against relevant criteria;
h) details of the methods that will be used to analyse the monitoring data;
i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts;
j) a consideration of SMART principles; and
k) any consultation to be undertaken in relation to the monitoring programs; and
l) any specific requirements as required by Conditions C16 to C17 of this schedule.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
</table>

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C16	The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include: a) noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request.	Not subject to audit at this time.			
C17	Groundwater Construction Monitoring Program must include: a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets.	Not subject to audit at this time.			
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.			

Legend	
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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Implementation of the Noise and Vibration CEMP Sub-Plan and Monitoring Program to be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT)	The current Noise and Vibration Monitoring Report has been drafted. The data presented indicates that the noise and vibration monitoring (including attended, unattended noise, groundborne noise, vibration at heritage structures) has been conducted as per the monitoring program. All exceedances recorded have been attributed to non-project sources (e.g.: traffic etc). The Report concludes that *noise and vibration monitoring of the WTP Project during the reporting period of 20th of July 2025 to 19th of January 2026 was undertaken in accordance with the NVMP and NVMoP.* *Attended monitoring was conducted in line with the Project EPL, NVMP and NVMoP. Generally, where attended noise monitoring events resulted in the LAeq above modelled noise levels, it was due to heightened ambient noise levels of the surrounding environment.* *Vibration exceedances were due to extraneous variables such as local displacement or human interaction, or other factors unrelated to construction works themselves as determined by GLC's investigations into construction works following each exceedance.* *Noise and vibration monitoring will continue in accordance with the Project NVMP, NVMoP and DNVIS.* The Monitoring Report is currently under review by the AA and ER for verification.	C
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.	Implementation of the Noise and Vibration CEMP Sub-Plan and Monitoring Program to be audited in February 2026	DPHI post approval portal lodgement, 25/09/25 (submission of the July 2025 Noise and Vibration Monitoring Report to EPA) Email GLC to EPA, 16/09/25 (submission of the July 2025 Noise and Vibration Monitoring Report to EPA) Email chain GLC to ER and AA, 16/09/25 (submission of the July 2025 Noise and Vibration Monitoring Report to ER and AA) Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT)	The January to July 2025 Noise and Vibration Monitoring Report was developed, reviewed and submitted to the stakeholders required by the Noise and Vibration Monitoring Program. The current Noise and Vibration Monitoring Report has been drafted and is under review by the ER and AA.	C
PART D: KEY ISSUE CONDITIONS					
Air Quality					
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Not subject to audit at this time.			
Biodiversity and Trees					
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Not subject to audit at this time.			
D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

<table>
<tr><th rowspan="2">Unique ID</th><th rowspan="2">Compliance requirement</th><th>Condition subject to audit as per approved Scoping Statement</th><th rowspan="2">Evidence collected</th><th rowspan="2">Audit findings and recommendations</th><th rowspan="2">Compliance status</th></tr>
<tr><th>Phase F (WTP)</th></tr>
<tr><td>D4</td><td>Before any vegetation clearing or tree removal that must be offset, under the BC Act, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act.

Table 3: Biodiversity Credits to be Retired

<table><tr><th>Credit Type</th><th>Number of Credits</th></tr><tr><td colspan="2">Ecosystem Credits</td></tr><tr><td>Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor</td><td>11</td></tr><tr><td colspan="2">Species Credits for Threatened Species</td></tr><tr><td>Myotis macropus / Southern Myotis (Fauna)</td><td>11</td></tr><tr><td>Acacia pubescens / Downy Wattle (Flora)</td><td>1</td></tr></table>
[MOD-2, 3 Jun 2022]

[MOD-5, 20 Sep 2023]</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>D5</td><td>The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>D6</td><td>The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset under the BC Act.

[MOD-5, 20 Sep 2023]</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>D6A</td><td>Impact to Key Fish Habitat (KFH) as defined in Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update) must be avoided where possible. KFH must be offset at a ratio of 2:1 in accordance with the documents listed in Condition A1.

On-ground offsetting within Duck or A'Becketts creek catchments must be prioritised. Where there are insufficient on-ground offset opportunities within the Parramatta River catchment, a compensatory payment for the residual offset, at the rate outlined in the documents listed in Condition A1 of this schedule, must be made to the DPI Fish Conservation Trust Fund by the time specified in the Key Fish Habitat Offset Strategy required in Condition D6B. A receipt confirming payment to the DPI Fish Conservation Trust Fund must be submitted to the Planning Secretary within one (1) month of making the payment.

[MOD-5, 20 Sep 2023]</td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
</table>

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	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D6B	A Key Fish Habitat Offset Strategy (the strategy) must be prepared in consultation with DPI Fisheries and published in accordance with Condition B11 before the commencement of operation of the Concept of the CSSI. The strategy must: a) consider relevant policies and guidelines, including but not limited to, the NSW Biodiversity Offsets Policy for Major Projects and Policy and guidelines for fish habitat conservation and management. Update 2013 (DPI, 2013); b) preference on-ground offsetting within Duck or A'Becketts creek catchments where practicable. Where sufficient offsets cannot be provided in those locations, alternative locations within the Parramatta River catchment may be considered; c) consider, in order of priority: d) expanding existing mangrove or saltmarsh patches, and e) improving condition of existing mangrove or saltmarsh patches by removing exotic or non-endemic species to allow for natural regeneration of mangrove and saltmarsh species and / or replacing these with mangrove or saltmarsh species; f) identify outcomes to be achieved, including the form and timing for them to be achieved and the likely split between on-ground and other offsets; g) include a program for completion of rehabilitation work identified; and h) include a maintenance and monitoring program which establishes clear actions, timing, success targets and actions to be undertaken when success is not achieved. Note: When considering (c) (i), the Proponent should investigate opportunities for reducing the gradient of steep banks that currently do not support marine vegetation and creating new levels that would be appropriate for mangrove or saltmarsh habitat. [MOD-5, 20 Sep 2023]	Not subject to audit at this time.			
D7	Microbat Management Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.	Not subject to audit at this time.			
D8	In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided or suitable habitat built within the Clyde Stabling and Maintenance Facility site.	Not subject to audit at this time.			
D9	Tree Removal As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.	Not subject to audit at this time.			
Flooding					

Legend	
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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D10	Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following: a) a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event; b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event; c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and d) no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event. Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s). [MOD-2, 3 Jun 2022] Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following: a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.			
D11	Deleted [MOD-3, 4 Jul 2022]				
D12	Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction. Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES, SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
Heritage					

Legend	
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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D13	Non-Aboriginal Heritage The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies. Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020) [MOD-3, 4 Jul 2022]	To be audited in February 2026	Interview with auditees 24/02/26 and 03/03/26	According to the auditees there were no unexpected non-Aboriginal heritage finds during the audit period.	NT
D14	Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.	To be audited in February 2026	Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building)	According to WTP there have been no changes to protective hoarding or vibration monitoring at Parramatta. Hoarding, monitoring and signage remains unchanged from the earlier audit periods. Fencing and vibration monitoring of the RTA building at Clyde was relocated during the previous audit period to allow for clearing of trees and for the future construction of the retention basin. These works were completed in Q3 of 2025 and old fenceline was reinstated. No works have occurred on items of archaeological significance that are not already covered under the ARDEM.	C
D15	Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary why its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.	To be audited in February 2026	Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Request for Approval to Remove Heritage Convict Town Drain, Approved 24 May 2023 Letter DPHI to Sydney Metro, 24/05/23 (approval to remove heritage convict drain) Letter DPHI to Sydney Metro 08/09/22 (approval to remove timber capping) Letter DPHI to Sydney Metro, 25/10/22 (removal of concrete structures) Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Parramatta Heritage Interpretation Plan (DRAFT) Parramatta Convict Drain Archival Report, GML, July 23 Conservation Report, ICS, April 2024 (conservation report for Drain timbers) GLC photo Feb 2026 of convict drain Email Metro to Metro, 01/10/25 (confirmation of inspection of recovered Convict Drain Timbers).	For WTP, the Department provided approval on 24/05/23 to remove the drain on the basis that salvage, archival recording and inclusion in the interpretation strategy was completed. The archiving has been completed and the draft Interpretation Plan shows the use of the drain in heritage interpretation. The Drain Timbers have been placed in storage for conservation at Yennora storage facility. The Conservation Report was prepared and completed in April 24 and the recommendations include inspection. Archaeological Works under Phase 1 at Parramatta were completed prior to the audit period. The Parramatta Convict Drain has not been impacted and it remains in situ and any works with the potential to impact on the Drain were completed prior to the current audit period. Phase 2 Archaeological Works are still and have not impacted the convict drain thus far. The Conservation Report for the Convict Drain Timbers recommends 8 x items including ensuring storage is of a certain standard, status, humidity and temp and that inspections occur biannually. Sydney Metro provided evidence that demonstrates that inspections have occurred during the audit period and that the material is adequately stored.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D16	During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Heritage No-Go Zones Parramatta, Rev01 (Plans and project photo series showing protection of heritage items) Environmental Review Assessment from SM, 22/12/2023 (included and Environmental Control Plan (attachment)) Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 Consistency Assessment, George Street Shops Remediation, determined 25/10/24 SMW09 Parramatta – Temporary Roof Repairs required for 45 George Street, Parramatta Environment Review, April 2023 Condition Assessment report of George Street shops, August 2025 (post remediation inspection report)	For WTP no change from that reported in previous audit periods, consistent with the Heritage No-Go Zone maps and figures. Sighted physical controls around the Regency Shops and Kia Ora. Program of mould remediation occurred on the Regency Shops. Dehumidifiers had been installed and confirmed that mould was removed. Structural inspection was then completed. A Consistency Assessment was prepared to accommodate these works and this included confirmation that the mould removal works did not present any unacceptable impacts to the Regency Shops. The heritage advisors completed inspections during the works to confirm that impact was acceptable with no material impact on heritage significance and confirmation that conservation and structural works can proceed. No change to the controls to Kia Ora occurred during the audit period. The GLC inspection template includes the need to check that heritage no-go zones are delineated and protected. A breach of a no-go zone would be considered a non-compliance and GLC have not identified any breaches of no go zones in the audit period. Monitoring results do not identify any unacceptable impacts arising from construction. Archaeological investigations are ongoing under the supervision of the Excavation Director.	C
D17	The Roxy Theatre, White Bay Power Station, the former State Abattoirs and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule. Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020) [MOD-3, 4 Jul 2022]	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 Email GLC, Umwelt and SLR, and Briefing Note Umwelt, 24/10/24 and Memo SLR 19/09/24 (heritage and vibration assessment of working within safe working distance) Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26	According to WTP there have been no changes to protective hoarding or vibration monitoring at Parramatta. Hoarding, monitoring and signage remains unchanged from the earlier audit periods. Fencing and vibration monitoring of the RTA building at Clyde was relocated during the previous audit period to allow for clearing of trees and for the future construction of the retention basin. These works were completed in Q3 of 2025 and old fenceline was reinstated. The GLC inspection template includes the need to check that heritage no-go zones are delineated and protected. A breach of a no-go zone would be considered a non-compliance and GLC have not identified any breaches of no go zones in the audit period.	C
D18	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and where significance is retained or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW. [MOD-3, 4 Jul 2022]	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 Clyde Dive ECM, January 2025 (showing protection of Rosehill Bridge whilst being held on site)	There have been no items of local significance destroyed or removed during the audit period. Refer to the first audit (April 2024) condition D18.1 and D18.2 regarding the Rosehill Bridge. The auditees are not aware of any update to the status of this and the bridge remains stored at the Clyde site. The bridge is identified on the Clyde ECM and there is fencing around it to ensure it is not impacted whilst being held.	NT

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D18.1	The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary. If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
D18.2	Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council. Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of the required documents and does not prevent Council from preparing them. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
D19	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	To be audited in February 2026	Interview with auditees 24/02/26 and 03/03/26	For WTP the auditees are not aware of any unexpected Aboriginal heritage finds during the audit period. Aboriginal items are being uncovered at Parramatta as part of the ARDEM, and these are not being harmed, modified or impacted.	NT
D20	The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 Umwelt RAP consultation register, January 2025 (register of consultation completed between Umwelt heritage and RAPs during mangrove removal works) Email GLC to Umwelt 04/02/25 (summary of RAP consultation on the removal of mangroves at A'Becketts creek, including RAP inspection of the works) GML RAP consultation register, December 2025 (register of consultation completed between GML heritage and RAPs during ARDEM works) Sydney Metro West Quarterly Newsletter December 2025, and distribution list 26/11/25 Parramatta Station Excavation Presentation to the Heritage Working Group, 17/12/25	There is an area claim at the mangroves at MSF East of which the RAPs need to review the surface of land. These works have not occurred during the audit period. Other mangroves were removed during the audit period, and RAPs were engaged as part of this exercise. The evidence sighted confirms that the RAPS attended the site in accordance with REMM AH5 and the engagement concluded that there were no heritage finds associated with the works. This is complete, with no further action required. RAP consultation also occurs during excavation aboriginal items / natural strata at Parramatta in accordance with the ARDEM. A field work roster is maintained to allow for RAP coverage of Aboriginal heritage works. The last phase of relevant excavation occurred just before Christmas and two RAPs shared attendance. According to the excavation director and the GML consultation register the RAPs have attended on each occasion. In addition to the above the RAPs receive Aboriginal specific newsletters and project updates to ensure they are kept informed of relevant activities. The most recent update was provided in November 2025. According to the auditees there have not been any requests for further information or recommendations on how to manage heritage.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D21	Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19).	As noted in the August 2023 audit by the Auditor, Section 1.2 of the Heritage Management Plan was observed to include Aboriginal Archaeological Test Excavation Methodology prepared by Sydney Metro, which is outlined in the AHR (GML, 2021). The Aboriginal Archaeological Salvage Excavation Methodology will be revised by Sydney Metro following analysis of the test excavation results. Section 7.5.1 of the plan states, "The archaeological investigations will be undertaken in accordance with the AHR 2021, which outlines the Aboriginal test excavation methodology and provides a standard Salvage methodology which will be updated following the results of the testing phase. The full methodology can be found in Section 5.2 of the AHR 2021." Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete. No change. The Stage 3 Report is yet to commence as excavation is continuing. The excavations are no down to two small areas on Phase 2 of Parramatta. The intention is to progress the Excavation Reports as one event (following completion of stage 3 works), through to consultation and submission under D23.	C
D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19).	As noted by the Auditor in the August 2023 audit, Section 1.8 of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology was observed to include a compliance checklist and a summary of the Aboriginal archaeological potential is provided in Section 3. Research questions for Aboriginal archaeology are included in Section 5. The integrated approach to archaeological excavation methods for both historical and Aboriginal archaeological investigations are also included in Section 6. Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and are complete. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete. No change. The Stage 3 Report is yet to commence as excavation is continuing. The excavations are no down to two small areas on Phase 2 of Parramatta. The intention is to progress the Excavation Reports as one event (following completion of stage 3 works), through to consultation and submission under D23.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19).	Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete. No change. The Stage 3 Report is yet to commence as excavation is continuing. The excavations are no down to two small areas on Phase 2 of Parramatta. Note that development of the Report is being staged in line with excavation stages, however one Report will be finalized synthesizing all results recorded and submitted under D23.	NT
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19).	As noted by the Auditor in the August 2023 audit, a process has been defined in the ARDEM (03/11/21) and ACHAR (submitted with the EIS in 2020). Assessment of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology observed that the report has been prepared to guide archaeological investigations and management for the Parramatta station construction site. It includes a detailed site history outlining the development of each allotment, comparative analysis, a revised historical archaeological potential and significance assessment, and a site-specific archaeological research design and methodology. Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete. A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process. Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. According to the auditees, there have been no unexpected Aboriginal Heritage finds during the audit period.	NT

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D25	Excavation and Archaeology Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include: a) site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance; b) comparative analysis from archaeological investigations in Parramatta (including theses, publications and grey literature reports); c) preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and d) a reconsideration of archaeological methods to manage the sites based on this additional assessment. The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval. The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs. Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures. [MOD-1, 28 Jul 2021]	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021 Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23 Email, GML to GLC, 23/02/24 (email notice of archaeological clearance of Parramatta station north east crane pad) Archaeological Clearance Areas Map, GML, 12/02/26 (covering up to clearance certificate #19).	Processes have been established to protect, investigate and report on potential Aboriginal Heritage finds within the Heritage Management Plan and ARDEM. As noted by the Auditor in the August 2023 audit, Section 5.2.1.3 of the Heritage Management Plan states that, "the ARDEM 2021 subsequently prepared provided a more detailed assessment of non-Aboriginal archaeological potential and identified areas ranging from low to high potential to contain historical archaeological remains. Figure 4 shows the mapping of archaeological potential for the construction site, including the approximate location of the Convict Drain which will not be impacted by the Project. Archaeological investigations, including testing and salvage excavations is required prior to the commencement of construction impacts on the site. This has commenced as part of the Early Works package by Sydney Metro and will be continued as part of the Project. The location of the Convict Drain has been confirmed as part of the Early Works archaeological investigations." Section 1.8 Compliance checklist states, "The revised ARD for the Parramatta Station construction site has been prepared prior to excavation at the site. This report has been prepared by experienced historical archaeologists and Excavation Directors approved under Condition D27—Abi Cryerhall and Sophie Jennings. - Section 7.5.1.1 Excavation Director states "All Aboriginal archaeological investigations will be undertaken in accordance with the methodology contained in the AHR 2021, which was prepared by the nominated Aboriginal archaeological Excavation Director. The nominated Aboriginal archaeological Excavation Director for the Project is Dr Tim Owen." - Attachment 7 ARDEM 2021 states "GML Heritage Pty Ltd, Sydney Metro West Parramatta Station Construction Site Archaeological Research Design and Excavation Methodology, report prepared for Sydney Metro November 2021." Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM. A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete. A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.	C
D26	The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel. [MOD-4, 22 Dec 2022]	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D27	Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.	Not subject to audit at this time.			
D28	Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.	Not subject to audit at this time.			
D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Not subject to audit at this time.			
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: a) the key findings of the archaeological investigations must be documented which explain their significance within the context of Parramatta and NSW no later than two (2) years after the completion of the archaeological excavations; and b) provide for the curation, display and public access of artefacts, site records and final reports. Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities	Not subject to audit at this time.			
D31	Unexpected Finds An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	Not subject to audit at this time.			
D32	The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D33	The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction. Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.	To be audited in February 2026	Site inspection 24/02/26 Interview with auditees 24/02/26 and 03/03/26 WTP Heritage Management Plan, GLC, 22/05/25 WTP UFP Report 25/09/25 (heritage items at MSF (UHF 11)) and heritage advisor letter 08/10/24 and notification from GLC to GLC and ER 25/09/25.	The unexpected finds procedure was prepared well prior to the current audit period and is in Attachment 2 of the approved WTP Heritage Management Plan. The procedure addresses the requirements from this condition. Fror WTP, SOPA, Westmead, Clyde MSF and Dive, and Silverwater are well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. Parramatta phased site establishment continue under supervision of Heritage Advisors and Excavation Directors. For WTP, one unexpected non-Aboriginal Heritage Find was identified during the audit period. This related to uncovering of some bottles and lantern in an ACM investigation area. Works were stopped and the heritage advisor determined the finds to be not significant and are permitted to be discarded, with works able to be recommenced. According to the auditees, there have been no unexpected Aboriginal heritage finds during the audit period.	C
Noise and Vibration					
D34	Land Use Survey A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D35	Construction Hours Work must only be undertaken during the following hours: a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 Toolbox Talk Site Environmental refresher, January 26 (includes approved hours, permits, controls, high impact works, criteria for N&V) OOHW Behaviours for permit CD.19, October 25 (communication of hours for specific OOHW) Toolbox Talk, 01/07/25, (standard hours and high impact noise, including further reduced hours to accommodate the horses at ATC) Activity Management Plan, Westmead FRP works, 16/12/25 (includes hours in section 4.5) Activity Management Plan, Clyde Dive civil works, 25/11/25 (includes hours in section 3.2) Activity Management Plan, Parramatta Box excavation and steel report, Rev 6 (includes hours and permissible high noise activity hours) Parramatta ECM, Rev 27 (includes approved hours) Complaints register current to 28/02/26 OOHW Register Sep 25 – Feb 26 OOHW Permits CD094, 21-23/01/26 (JRD concrete barrier adjustments, including RO offer and associated monitoring reports) OOHW Permit WEST-134, 29/09/25 – 08/10/25 (Priddle Street roadworks, including RO offer and associated monitoring reports)	Approved hours are communicated to the workforce through the induction, toolbox talks and are within Activity Management Plans. All staff and contractors engaged are required to attend Construction hours are captured in Project documentation from the CEMP, down to work activity documents. The requirements have been communicated to the workforce. The site induction and sign on to the toolbox talks and AMPs as relevant. This includes engineers and logistics teams that book deliveries so they are aware not to book OOHW unless adequate approvals obtained prior. All staff are made aware that departure from approved hours requires a permit. Pre-starts are held at 645am with works commencing after 7am. The OOHW Tracker allows WTP to schedule and track OOHW from planning through to completion, and is supported by the individual permits. The Tracker identifies Permit No., Status, Site, Start Date, End Date, Activity, Days work planned, Equipment, EPL Approval Pathway, Modelling status, ER/AA Signature Requirements, Potential for cumulative impact with other sites or projects. GLC, Sydney Metro and the ER conduct inspections and are not aware of OOHWs occurring where not approved. The complaints register does not identify events whereby OOHW were being conducted without approval.	C
D36	Highly Noise Intensive Work Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken: a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.	To be audited in February 2026	Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) Toolbox Talk, 01/07/25, (standard hours and high impact noise, including further reduced hours to accommodate the horses at ATC) Activity Management Plan, Westmead FRP works, 16/12/25 (includes hours in section 4.5) Activity Management Plan, Clyde Dive civil works, 25/11/25 (includes hours in section 3.2) Toolbox Talk Site Environmental refresher, January 26 (includes approved hours, permits, controls, high impact works, criteria for N&V) Activity Management Plan, Parramatta Box excavation and steel report, Rev 6, 05/12/25 (includes hours and permissible high noise activity hours)	High noise intensive works were conducted during the audit period including hammering of concrete on surface and cross passage excavation. The training and construction planning material sighted demonstrates that high noise hours have been communicated to the workforce.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

D37	Variation to Work Hours Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances: a) Safety and Emergencies, including: i. for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or ii. where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. iii. On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. b) Low noise impact work, including: i. construction that causes Laeq(15 minute) noise levels: 1. no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and 2. no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and 3. construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or ii. construction that causes: 4. continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or 5. intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). c) By Approval, including: i. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or ii. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or iii. negotiated agreements with directly affected residents and sensitive land user(s). d) By Prescribed Activity, including: i. tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or ii. concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week; or iii. delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or iv. haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or	To be audited in February 2026	EPL 21676 WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 OOHW Register Sep 25 – Feb 26 OOHW Permits CD094, 21-23/01/26 (JRD concrete barrier adjustments, including RO offer and associated monitoring reports) OOHW Permit WEST-134, 29/09/25 – 08/10/25 (Priddle Street roadworks, including RO offer and associated monitoring reports) Email AA to GLC 23/01/26 (AA positive observation of the implementation of the Clyde DNVIS for M4 OOHW) Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) Complaints register current to 28/02/26 ATC / GLC fortnightly meeting minutes Sep 25 to Feb 26 (attended by ATC, Metro, GLC and sometimes the AA and includes works, potential impacts and requests for schedules, questions and concerns) Environment and Approvals Team Meeting Minutes #119 (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day).	The auditees are not aware of any emergency OOHW having occurred during the audit period. All OOHW are regulated through the EPL. The OOHW Tracker allows WTP to schedule and track OOHW from planning through to completion, and is supported by the individual permits. The Tracker identifies Permit No., Status, Site, Start Date, End Date, Activity, Days work planned, Equipment, EPL Approval Pathway, Modelling status, ER/AA Signature Requirements, Potential for cumulative impact with other sites or projects. 37 x permit were prepared or used during the audit period. Each OOHW permit includes description of the proposed works, identification of justification for undertaking the works OOH (including, where relevant, attachment of the ROL), assessment of predicted noise and vibration, the required controls to be deployed to minimise impacts, the EPL condition that the permit is being issued under. OOHW permits for works that triggered AMM were reviewed along with their associated RO offers and monitoring results. These indicated that the process was applied as required.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
	v. work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary. Note: Tunnelling does not include station box excavation [MOD-3, 4 Jul 2022]				
D38	Out-of-hours Work Protocol – Work Not Subject to an EPL An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide: a) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: i. the ER and AA review all proposed out-of-hours activities and confirm their risk levels; ii. low risk activities can be approved by the ER in consultation with the AA; and iii. high risk activities that are approved by the Planning Secretary; iv. a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; b) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; c) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and d) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. e) This condition does not apply if the requirements of Condition D37(b) of this schedule are met. Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D39	Construction Noise Management Levels and Vibration Criteria All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria: a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); c) Australian Standard AS 2187.2 – 2006 "Explosives – Storage and Use – Use of Explosives" (for human exposure); d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and (e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items). Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan. Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 and evidence of submission to ER 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 and evidence of submission to ER 13/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Activity Management Plan, Westmead FRP works, 16/12/25 (includes hours in section 4.5) Activity Management Plan, Clyde Dive civil works, 25/11/25 (includes hours in section 3.2) Toolbox Talk Site Environmental refresher, January 26 (includes approved hours, permits, controls, high impact works, criteria for N&V) Activity Management Plan, Parramatta Box excavation and steel report, Rev 6, 05/12/25 (includes hours and permissible high noise activity hours) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 OOHW Permits CD094, 21-23/01/26 (JRD concrete barrier adjustments, including RO offer and associated monitoring reports) OOHW Permit WEST-134, 29/09/25 – 08/10/25 (Priddle Street roadworks, including RO offer and associated monitoring reports) AA Inspection Report 29/09/25 (Priddle Street roadworks) Email AA to GLC 23/01/26 (AA positive observation of the implementation of the Clyde DNVIS for M4 OOHW) Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) GLC Velocity environmental inspection records (includes noise and vibration mitigation measure implementation) Environment and Approvals Team Meeting Minutes #119 (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day). GLC photo 22/01/26 (evidence of use of electric powered grappler and chainsaw on excavator for tree removal on JRD).	The NVMP and DNVISs have been prepared for all major works and these set out the standard and extra controls to be deployed during the works. The main controls are use of acoustic sheds and hoarding at major sites, adherence to approved hours, utilization of plant and equipment suitable for the task, maintained and fitted with quackers. The controls are inspected by site teams during their routine inspections and by the AA during their routine inspections. GLC inspection records prepared since 01/09/25 have not identified any deficiencies in implementation of noise mitigation measures. The AA inspection reports and meeting minutes with the Project environmental teams have not identified any material deficiencies in controls compared to the DNVIS and notes positive observations (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day, paused rock hammering proximal to church during funeral time and near a mosque during prayer times) OOHW permits include the need to identify impacts d the controls to be deployed to minimize impacts. These are communicated to the site teams for implementation as they sign on to the permit. Acoustic sheds and site hoarding is erected at each site as described in the DNVIS. Selection of appropriate plant and equipment is managed through engagement with engineers and site teams, and environmental review of the AMPs or OOHW permits. Installation of noise blankets being deployed for hydro blasting at Clyde was observed. In events that additional mitigation measures are required, these involve engagement with potentially affected receivers, respite offers, alternate accommodation. These controls are identified as part of the OOHW permit and are offered by community team. Sighted records that indicated AMM have been offered to eligible receivers. The complaints register does not indicate a failure to implement AMM.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D40	All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded: a) evening (6:00 pm to 10:00 pm) — internal Laeq(15 minute): 40 dB(A); and b) night (10:00 pm to 7:00 am) — internal Laeq(15 minute): 35 dB(A). The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 ((includes tunnelling and excavation of cross passages) WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Predicted noise levels impact maps – rock-breaking of cross passages (no date)	Ground-borne noise assessment is covered under the site wide DNVIS. This is complimented by groundborne noise level maps showing the distances of receivers subject to ground-borne noise above the criteria. Monitoring associated with cross passage excavation has not identified any exceedances of the adopted criteria. No complaints regarding groundborne noise recorded during the audit period.	C
D41	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	To be audited in February 2026	Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) ATC / GLC fortnightly meeting minutes Sep 25 to Feb 26 (attended by ATC, Metro, GLC and sometimes the AA and includes works, potential impacts and requests for schedules, questions and concerns) Environment and Approvals Team Meeting Minutes #119 (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day). Email Parramatta Mission to Metro, 14/10/25 (positive feedback regarding quality of communication, community benefits) Email Westmead Primary to Metro, 18/02/26 (positive feedback about being a good neighbour)	DNVISs identified the sensitive land uses and the likely impacts resulting from project works. Relevant receivers for the current audit period are Parramatta Mission, Parramatta Mosque and Westmead Public school. According to the Auditees no works occurred near the mosque or outside the school during their opening hours within the audit period. Positive feedback has been received from Parramatta Mission, Westmead Primary about strong engagement and the management of construction disruption. For ATC, the project team meets with them weekly and works are scheduled to avoid events and the like. The meeting minutes sighted do not indicate a failure to meet this condition. The AA monthly reports and meeting minutes with the Project environmental teams have not identified any material deficiencies in controls compared to the DNVIS and notes positive observations (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day, paused rock hammering proximal to church during funeral time and near a mosque during prayer times)	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D42	Construction Noise and Vibration Mitigation and Management Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to: a) use of regularly serviced low sound power equipment; b) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and c) use of alternative construction and demolition techniques.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 and evidence of submission to ER 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 and evidence of submission to ER 13/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Activity Management Plan, Westmead FRP works, 16/12/25 (includes hours in section 4.5) Activity Management Plan, Clyde Dive civil works, 25/11/25 (includes hours in section 3.2) Toolbox Talk Site Environmental refresher, January 26 (includes approved hours, permits, controls, high impact works, criteria for N&V) Activity Management Plan, Parramatta Box excavation and steel report, Rev 6, 05/12/25 (includes hours and permissible high noise activity hours) GLC environmental inspection checklists 26/09/25, 17/10/25, 11/11/25, 16/12/25, 28/01/26, 12/02/26 ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 OOHW Permits CD094, 21-23/01/26 (JRD concrete barrier adjustments, including RO offer and associated monitoring reports) OOHW Permit WEST-134, 29/09/25 – 08/10/25 (Priddle Street roadworks, including RO offer and associated monitoring reports) AA Inspection Report 29/09/25 (Priddle Street roadworks) Email AA to GLC 23/01/26 (AA positive observation of the implementation of the Clyde DNVIS for M4 OOHW) Acoustic Advisor Monthly Report, 07/11/25 (includes observation regarding paused rock hammering proximal to church during funeral time and near a mosque during prayer times) GLC Velocity environmental inspection records (includes noise and vibration mitigation measure implementation) Environment and Approvals Team Meeting Minutes #119 (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day). GLC photo 22/01/26 (evidence of use of electric powered grappler and chainsaw on excavator for tree removal on JRD).	The NVMP and DNVISs have been prepared for all major works and these set out the standard and extra controls to be deployed during the works. The main controls are use of acoustic sheds and hoarding at major sites, adherence to approved hours, utilization of plant and equipment suitable for the task, maintained and fitted with quackers. The controls are inspected by site teams during their routine inspections and by the AA during their routine inspections. GLC inspection records prepared since 01/09/25 have not identified any deficiencies in implementation of noise mitigation measures. The AA inspection reports and meeting minutes with the Project environmental teams have not identified any material deficiencies in controls compared to the DNVIS and notes positive observations (AA noting good implementation of noise mats and use of solar powered light towers on 12/11 and works on 05/11 near the ATC whereby high noise activities were paused during race day, paused rock hammering proximal to church during funeral time and near a mosque during prayer times) OOHW permits include the need to identify impacts d the controls to be deployed to minimize impacts. These are communicated to the site teams for implementation as they sign on to the permit. Acoustic sheds and site hoarding is erected at each site as described in the DNVIS. Selection of appropriate plant and equipment is managed through engagement with engineers and site teams, and environmental review of the AMPs or OOHW permits. Installation of noise blankets being deployed for hydro blasting at Clyde was observed. In events that additional mitigation measures are required, these involve engagement with potentially affected receivers, respite offers, alternate accommodation. These controls are identified as part of the OOHW permit and are offered by community team. Sighted records that indicated AMM have been offered to eligible receivers. The complaints register does not indicate a failure to implement AMM.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D43	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead FRP & Shed Demolition Works, 26/02/26 and evidence of submission to AA and ER 02/03/26 (note these works had not commenced in the audit period) Detailed Noise and Vibration Impact Statement (DNVIS), Macquarie Lane Reinstatement, 17/02/26 and ER endorsement 26/02/26 and evidence of submission to ER 24/02/26 (note these works had not commenced in the audit period)	According to the auditees 4 x DNVISs were implemented during the audit period (3 of which were prepared and endorsed in the audit period and 1 x of which was prepared prior and implemented during). 2 x additional DNVISs were prepared and endorsed during the audit period but the works to which they relate did not occur in the audit period (commenced afterwards). The DNVISs have been prepared in accordance with this condition and have been issued to the ER and AA prior to implementation/commencement of the relevant works. The auditees are not aware of the EPA or department requesting copies during the audit period.	C
D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead FRP & Shed Demolition Works, 26/02/26 and evidence of submission to AA and ER 02/03/26 (note these works had not commenced in the audit period) Detailed Noise and Vibration Impact Statement (DNVIS), Macquarie Lane Reinstatement, 17/02/26 and ER endorsement 26/02/26 and evidence of submission to ER 24/02/26 (note these works had not commenced in the audit period)	The construction site DNVISs were prepared in accordance with this condition. The Auditor notes that specific targeted consultation for specific activities may not have occurred on the basis that overall the impact and eligibility for additional mitigation remains consistent with previously endorsed DNVISs and the wider program of engagement established through the OCCS and NVMP. This is consistent with existing practice over the life of the Project and the Auditor is not aware of the Department requesting an alternative approach to be adopted.	C
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT) Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26	According to the DNVISs and the auditees outline of the orks undertaken, there were no activities conducted during the audit period that involved properties at risk of exceeding the cosmetic damage criteria. No complaints regarding vibration impacts recorded during the audit period.	NT

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D46	Construction Vibration Mitigation – Heritage Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. in the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.	To be audited in February 2026	WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Construction Noise and Vibration Monitoring Report, July 2025 – Jan 2026 (DRAFT), Clyde MSF Heritage – Page 220-237, Parramatta Heritage (with non-heritage items) page 262- 330	Vibration monitoring was conducted at the required heritage sites/items at Clyde RTA Depot and Parramatta during the audit period. The results indicate that there was no genuine exceedances of the cosmetic damage criteria.	C
D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.	To be audited in February 2026	WTP Heritage Management Plan, GLC, 22/05/25 WTP Noise and Vibration Management Plan, GLC, 16/06/25 WTP Noise and Vibration Monitoring Program, GLC, 25/08/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Heritage No-Go Zones Parramatta Rev01 Email Umwelt to GLC, 01/12/22 (heritage advisor acceptance of vibration monitoring points on heritage buildings) SiteHive noise and vibration module (online), includes vibration logging at Roxy, Shops Building, Horse Parapet Building, Kia Ora and Convict Drain, RTA Depot	Vibration monitoring points at Clyde RTA Depot and Parramatta were established prior to the current audit period and have received confirmation that the monitoring points were acceptable. Monitoring was conducted during the audit period and results indicate that there was no genuine exceedances of the cosmetic damage criteria.	C
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead FRP & Shed Demolition Works, 26/02/26 and evidence of submission to AA and ER 02/03/26 (note these works had not commenced in the audit period) Detailed Noise and Vibration Impact Statement (DNVIS), Macquarie Lane Reinstatement, 17/02/26 and ER endorsement 26/02/26 and evidence of submission to ER 24/02/26 (note these works had not commenced in the audit period)	According to WTP, there have not been any at-property treatment works on heritage items during the audit period.	NT
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead FRP & Shed Demolition Works, 26/02/26 and evidence of submission to AA and ER 02/03/26 (note these works had not commenced in the audit period) Detailed Noise and Vibration Impact Statement (DNVIS), Macquarie Lane Reinstatement, 17/02/26 and ER endorsement 26/02/26 and evidence of submission to ER 24/02/26 (note these works had not commenced in the audit period)	According to WTP, there have not been any at-property treatment works on heritage items during the audit period.	NT

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D50	Utility Coordination and Respite All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must: a) reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or b) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and c) provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.	To be audited in February 2026	Interview with auditees 24/02/26 and 03/03/26 OOHW Register Sep 25 – Feb 26 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead to Sydney Olympic Park (Project Wide), 2026 Detailed Noise and Vibration Impact Statement (DNVIS), Priddle St and Hawkesbury Rd Intersection Works, 25/09/25 and AA endorsement 25/09/25 and evidence of submission to ER 25/09/25 Detailed Noise and Vibration Impact Statement (DNVIS) Westmead TBM OSOM retrieval, 13/11/25 and AA endorsement 17/11/25 and evidence of submission to ER 13/11/25 Detailed Noise and Vibration Impact Statement (DNVIS) M4 Ramp Tree Removal, 12/01/26 and AA endorsement 21/01/26 and evidence of submission to ER 13/01/26	Cumulative impacts are considered in the DNVISs where relevant. The OOHW Tracker allows WTP to schedule and track OOHW from planning through to completion, and is supported by the individual permits. The Tracker identifies Permit No., Status, Site, Start Date, End Date, Activity, Days work planned, Equipment, EPL Approval Pathway, Modelling status, ER/AA Signature Requirements, Potential for cumulative impact with other sites or projects. The auditees are not aware of any other utility or State significant projects occurring proximal to WTPs works was required during the audit period.	NT
D51	Out-of-Hours Works – Community Consultation and Respite In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with: a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; b) a description of the potential work, location and duration of the out-of-hours work; c) the noise characteristics and likely noise levels of the work; and d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA before the out of hours work commences and to the EPA and the Planning Secretary on request. Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.	To be audited in February 2026	Fortnightly Environment and Planning Meetings (Metro, GLC, AA, ER), Sep 25 – Feb 26 (includes D51 reporting in Attachment 4) Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) https://www.sydneymetro.info/station/westmead-metro-station https://www.sydneymetro.info/station/parramatta-metro-station https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility	Consultation is completed weekly and monthly via the project updates issued by email and letterbox dropped. The updates include the information required under D37 a) – d). Specific updates are also provided for OOHW where modelling identifies AMM. The team meets with the ER and AA fortnightly. The scheduled of likely OOHW are captured in the meeting minutes (Attachment 4), the outcomes of community consultation and the identified respite periods are captured in an attachment to the minutes. The auditees are not aware of the EPA or Department requesting the D51 reports during the audit period.	C
D52	Traffic Noise Mitigation at Westmead Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments. Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner. At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.	To be audited in February 2026	Consistency Assessment, Revised Metro Station Box Westmead, 16/02/22 Westmead Noise Attenuation Register (no date)	Alexandra Avenue was not closed for any extended time during the project. The closure of Alexandra Avenue, as was predicted in the EIS, was no longer required following the revision of the Westmead metro station construction site, relocated station box excavation area and the revised tunnel alignment. This was assessed and determined via a consistency assessment that was determined by Sydney Metro in 2022. The revised arrangement removed the need to close Alexandra Avenue during construction and to permanently realign it between Hassall Street and Hawkesbury Avenue during operation. This reduced road traffic noise impacts on the surrounding roads from the redistribution of traffic (including buses). According to the information sighted 46 x properties were eligible for at property treatment. 32 x properties accepted the treatment and had the treatment installed. 13 x properties had noise attenuation offered, but owner did not respond. 1 x property declined the offer. The Auditor is not aware of any outstanding complaints regarding the at property treatment.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D53	Blasting Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours: a) 9:00am to 5:00pm, Monday to Friday, inclusive; b) 9:00am to 1:00pm on Saturday; and c) at no time on Sunday or public holidays; or d) as authorised through an EPL. This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.	Not subject to audit at this time.			
D54	Blasting Management Strategy A Blast Management Strategy must be prepared and must include: a) sequencing and review of trial blasting to inform blasting; b) regularity of blasting; c) intensity of blasting; d) periods of relief; and e) blasting program.	Not subject to audit at this time.			
D55	The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.	Not subject to audit at this time.			
D56	The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).	Not subject to audit at this time.			
D57	The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.	Not subject to audit at this time.			
Socio-Economic, Land Use and Property					
D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Not subject to audit at this time.			
D59	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Not subject to audit at this time.			
D60	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D61	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.			
D62	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	To be audited in February 2026	Complaints register current to 28/02/26 WTP Post Construction Condition Survey Report Tracker PowerBI current to 28/02/26 (including property, date of completion, date of finish of relevant works, date of issue of report and teambinder reference) Property Condition Claim – Flow chart Rev01, GLC, 12/11/25 IPIAP Meeting Presentation #13 27/11/25 Complaint reports and Consultation Manager files for complaints #6445 and #6432	For WTP, post construction condition surveys are managed in portions, whereby completion of tunnelling and cross passages in a portion triggers the clock to complete post-construction conditions surveys. According to the Condition Survey Report Tracker 244 properties are identified as potentially receiving impact. 151 x properties received pre-construction property condition surveys. The remainder either didn't respond after repeat attempts, declined or are awaiting the inspection. 95 x post construction condition surveys have been completed with reports issued. The remainder are either not eligible (due to not accepting a pre-construction survey or are awaiting completion of the relevant construction works). Two property damage complaints were recorded during the audit period. 6445 – complaint regarding damage due to tunnelling. GLC investigated settlement and vibration monitoring data as well as the pre-construction condition survey, comparison of photos to pre-construction condition survey photos. The claim was rejected and communicated to the property owner and no dispute was raised. 6432 – complaint regarding property damage. A pre-construction condition survey of the unit was not accepted by the property owner was not accepted. GLC review the pre-construction survey on the apartment block common areas, settlement and vibration monitoring data. The claim was rejected and communicated to the property owner and no dispute was raised. GLC present to the IPIAP and the above processes are discussed (progress on condition surveys, settlement and monitoring and damage claims). According to the auditees no damage claims have been escalated to IPIAP. **WTP Observation: During the audit site inspection it was observed that wear and tear has occurred to Hassell Street outside of the Westmead compound egress. The Auditor notes that Cumberland Council had raised concerns about road damage during previous audits (although not for this audit round), and GLC had a positive relationship in identifying damage and undertaking repair as needed. GLC will need to ensure that Hassell Street is returned to its pre-construction state or better, or that compensation is provided to Council to undertake the repairs themselves.** **WTP Observation: During the audit site inspection it was observed that wear and tear has on the ATC northern carpark area, outside of the Clyde Dive Northern Laydown. GLC will need to ensure that the ATC carpark is returned to its pre-construction state or better, or that compensation is provided to the ATC to undertake the repairs themselves.**	C
D63	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Not subject to audit at this time.			
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Not subject to audit at this time.			
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.			
D67	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.	Not subject to audit at this time.			
D68	The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.	Not subject to audit at this time.			
D69	Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.	Not subject to audit at this time.			
D70	Small Business Owners Engagement Plans Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.	Not subject to audit at this time.			
Soils and Contamination					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D71	Contaminated Sites **Unless otherwise agreed by the Planning Secretary, b** Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s), must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.	To be audited in February 2026	Interview with auditees 04/03/24	No DSIs have been developed for the audit period and no new exemptions. .	NT
D72	Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CenvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.	To be audited in February 2026	Westmead Petrol Station UPSS RAP, 19/12/22 Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C) Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22 Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosynetc 19/05/23 Clyde Zone 5 - RAP, Epic, 22/02/24 (and Section B SAS, Geosynetc 06/09/24) Clyde Zone 6 – RAP, Epic, 14/02/24 (and Section B SAS, Geosyntec 23/08/24) Clyde Zone 2B/4E RAP, Epic 26/06/25 (and Section B SAS, Geosyntec 28/10/25) WTP Fortnightly Meeting Minutes current to 28/02/26 (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)	RAPs are not required for Parramatta or SOP. RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6 and Clyde Zone 2B/4E. All have Section B SASs granted for them.	C
D73	Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor. Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.	To be audited in February 2026	Westmead Petrol Station UPSS RAP, 19/12/22 Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C) Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22 Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosynetc 19/05/23 Clyde Zone 5 - RAP, Epic, 22/02/24 (and Section B SAS, Geosynetc 06/09/24) Clyde Zone 6 – RAP, Epic, 14/02/24 (and Section B SAS, Geosyntec 23/08/24) Clyde Zone 2B/4E RAP, Epic 26/06/25 (and Section B SAS, Geosyntec 28/10/25) WTP Fortnightly Meeting Minutes current to 28/02/26 (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)	RAPs are not required for Parramatta or SOP. RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6. The UPSS RAP was reviewed, endorsed and works completed prior to the current audit period, with the review of the validation report pending. The sitewide offsite disposal and Clyde Zone 1 and Clyde Zones 5 and 6 RAPs have been approved by the Contaminated Sites Auditor via issue of the Section B Site Audit Statements.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D74	Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW). Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.	To be audited in February 2026	Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C) Portion 8 Validation Report Clyde Zones 4 and 5 (Unwin Street alignment), Epic, 04/04/25, Auditor endorsement pending) Zone 6 Validation Report, Epic, 05/11/25 MSF East (Zone 1) Validation Report, Epic, 22/01/26 MSF West (Zone 5 and 4W) Validation Report, Epic, 16/02/26 Rosehill Portion 2 (Zone 2B) Validation Report, Epic 20/02/26 Rosehill Box (Zone 2A and under offsite disposal RAP) Validation Report, Epic, 27/02/26	7 x Validation Reports have been prepared and completed. 6 x Validation Reports are still being prepared.	C
D75	A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI. Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	To be audited in February 2026	Interview with auditees 04/03/25 Westmead UPSS Section A1 Site Audit Report and Site Audit Statement, Geosyntec, 11/02/26	One Section A Site Audit Statements or Site Audit Report has been issued to date. ~7 x Site Audit Reports/Statements are pending.	C
D76	A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s) and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	To be audited in February 2026	Interview with auditees 04/03/25	WTP will undertake this task upon prior to operations as per D75.. Currently Metro anticipates doing this as two submissions.	C
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	To be audited in February 2026	Soil and Water Management Plan, GLC, 14/12/23 Acid Sulphate Soil Work Method Statement, Revision C (Issued on 17 May 2023) Interview with auditees 04/09/24	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were seven unexpected finds for the audit period, covering hydrocarbons, USTs and ACM. These were identified, isolated with stop works and cleared (or awaiting clearance). According to the auditees none have resulted in a change to the RAPs.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	To be audited in February 2026	Soil and Water Management Plan, GLC, 14/12/23 Acid Sulphate Soil Work Method Statement, Revision C (Issued on 17 May 2023) Interview with auditees 04/09/24 Unexpected Find 15/10/25 (ACM Parramatta Zone 10 including notification, Hibbs Associated clearing certificates) Unexpected Find 24/10/25 (ACM Parramatta Zone 10 including notification, Epic clearing certificates) Unexpected Find 07/11/25 (Hydrocarbons Parramatta Zone 10 including notification, Hibbs clearance certificate, Epic Waste classification report) Unexpected Find 07/01/26 (ACM Parramatta Zone 6B including notification, Hibbs clearance certificate) Unexpected Find 14/01/26 (ACM Parramatta Zone 8C including notification, Hibbs clearance certificate) Unexpected Find 14/01/26 (ACM Parramatta Zone 8C including notification, Hibbs clearance certificate) Unexpected Find 27/01/26 (ACM Parramatta Zone 6B, including notification, Hibbs clearance certificate) Unexpected Find 03/02/26 (ACM Parramatta Zone 10 including notification, clearance pending)	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. There were numerous unexpected finds at Parramatta following the removal of the site sheds and slab in Zone 10 heritage investigation area. Each find is largely a continuation of the initial find (i.e.: following the asbestos as excavation works have proceed. Evidence sighted indicates that the procedure was implemented.	C
Sustainability					
D79	A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to: a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction. Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail. A copy of the Water Reuse Strategy must be made publicly available. Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.	Not subject to audit at this time.			
Traffic and Transport					
D80	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Not subject to audit at this time.			
D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	Not subject to audit at this time.			
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Not subject to audit at this time.			
D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Not subject to audit at this time.			
D85	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Not subject to audit at this time.			
D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	Not subject to audit at this time.			
D87	All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following: a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
D88	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.	Not subject to audit at this time.			
D89	If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement Phase F (WTP)	Evidence collected	Audit findings and recommendations	Compliance status
D90	Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to: a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	Not subject to audit at this time.			
D91	A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to: a) achieving the requirements of Condition D90 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders signalize existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; g) where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce; h) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; i) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; j) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and k) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.	Not subject to audit at this time.			
D92	The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D93	During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Not subject to audit at this time.			
D94	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Not subject to audit at this time.			
D95	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Not subject to audit at this time.			
D96	The permanent road works at Clyde / Rosehill must be designed, constructed and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency and, accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken: a) in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group; b) in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements; c) to minimise and manage local area traffic impacts; d) to ensure access is maintained to property and infrastructure; and e) to meet relevant design, engineering and safety guidelines, including Austroads, Australian Standards, and TfNSW requirements. Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.	Not subject to audit at this time.			
D96.1	The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the Camellia Rosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its masterplanning of the precinct. The current road reserve of Unwin Street between Kay Street and the TfNSW Granville Depot driveway (including the A'becketts Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary. Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
D97	Permanent road works, including vehicular access, signalized intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D98	Pedestrian and Cyclist Access Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided and signposted before the restriction or removal of the impacted access.	Not subject to audit at this time.			
D98.1	Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public). The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge. Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant masterplans and strategic planning documents. [MOD-2, 3 Jun 2022]	Not subject to audit at this time.			
D99	Spoil Movement Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.	Not subject to audit at this time.			
D100	Emergency Vehicle Access The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.	Not subject to audit at this time.			
Utilities Management					
D101	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Not subject to audit at this time.			
D102	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to: a) the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community; b) providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and c) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.	Not subject to audit at this time.			
Urban Design and Visual Amenity					
D103	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D104	Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.	Not subject to audit at this time.			
D105	Temporary Activations The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial "pop up" spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area and creating temporary active frontages to construction sites during Stage 1 of the CSSI.	Not subject to audit at this time.			
D106	Five Dock Metro Station Eastern Construction Site The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access and overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.	Not subject to audit at this time.			
D107	The Visual Amenity, Solar Access and Overshadowing Report must include: a) visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site; b) solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and c) a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners. The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.	Not subject to audit at this time.			
D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Not subject to audit at this time.			
D109	Lighting and Security Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	Not subject to audit at this time.			
D110	Visual Amenity Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	Not subject to audit at this time.			
Waste					

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D111	Waste generated during construction and operation must be dealt with in accordance with the following priorities: a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.	Not subject to audit at this time.			
D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Not subject to audit at this time.			
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Not subject to audit at this time.			
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Not subject to audit at this time.			
Water					
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Not subject to audit at this time.			
D116	Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).	Not subject to audit at this time.			
D117	Water Quality Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO~~, in which case those requirements must be complied with.~~	Not subject to audit at this time.			
D118	Unless an EPL is in force in respect to Stage 1 of the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed: a) the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase F (WTP)			
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not subject to audit at this time.			
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not subject to audit at this time.			
D121	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.	Not subject to audit at this time.			
D122	The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sties; and f) a schematic of the conceptual hydrogeological model. [MOD-4, 22 Dec 2022]	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Appendix A2 - SSI 10038 - Phases B, H2, J

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL							
PART C-A: ADMINISTRATIVE CONDITIONS							
General							
C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and d) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A3	In the event of an inconsistency between: c) the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and d) any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
PART C-B: KEY ISSUE CONDITIONS							
Place and Design							
C-B1	Place and Design To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
C-B2	Clyde Stabling and Maintenance Facility Site For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site: e) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces; f) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council; g) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and h) integration with strategic planning for the precinct.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B3	Parramatta Metro Station Site The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Aboriginal and Non-Aboriginal Heritage							
C-B4	The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B5	The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B6	The Heritage Interpretation Strategy must include, but not be limited to: g) a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items and sections of heritage conservation areas (if applicable); h) options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design; i) Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in Condition C-A1 of this schedule, and those of any future stages of the CSSI; j) details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design; k) engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and l) with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Sustainability							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
C-B7	The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Biodiversity and Trees							
C-B8	As many mature trees as practicable must be retained. In addition, ~~within ten (10) years of the date of this approval~~ **<u>or a net increase in the number of mature trees provided at a ratio of 2:1 must be provided by no later than the commencement of operation of the CSSI or as otherwise agreed by the Planning Secretary</u>**. ~~(whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1.~~ Mod 6, 06/11/24	Not subject to audit at this time.	Not included. Refer Stage 1 Independent Audit Program.	Not subject to audit at this time.			
C-B9	The CSSI must result in an increase in tree canopy coverage.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B10	Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences. In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist. Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800 [MOD-3 – 4 July 2022]	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Climate Change							
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1							
PART A: ADMINISTRATIVE CONDITIONS							
General							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A1	The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the: k) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020; l) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020; m) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.; n) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021; [MOD-1, 28 Jul 2021] o) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; [MOD-2, 3 Jun 2022] p) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; [MOD-2, 3 Jun 2022] q) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022; [MOD-3, 4 Jul 2022] r) Sydney Metro West -Concept and Stage 1 – Modification 4 Administrative Mod dated 11 November 2022; and [MOD-4, 22 Dec 2022] s) Modification Report – Clyde stabling and maintenance facility – Additional Mangrove Impact – Modification dated July 2023 [MOD-5, 20 Sep 2023] **t) Sydney Metro West – Concept and Stage 1 (major civil construction between Westmead and The Bays), SSI-10038, Request for Modification for Conditions C-B8, A21, D111 and D117 dated 20 June 2024.** [MOD06, 6 Nov 2024]	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A3	In the event of an inconsistency between: c) the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and d) any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A5	The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to: h) the environmental performance of Stage 1 of the CSSI; i) any document or correspondence in relation to Stage 1 of the CSSI; j) any notification given to the Planning Secretary under the conditions of this approval; k) any audit of Stage 1 of the CSSI; l) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); m) the carrying out of any additional monitoring or mitigation measures; and n) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A6	Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include: f) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; g) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; h) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; i) outline of the issues raised by the identified party(s) and how they have been addressed; and j) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A9	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
Phasing							
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A11	The Phasing Report must: set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; d) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI; e) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and f) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER. [MOD-1, 28 Jul 2021] [MOD-2, 3 Jun 2022]	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A15	With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of Stage 1 of the CSSI. Notes: 3. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 4. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
Ancillary Facilities							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A16	Ancillary Facilities Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if: e) they are located within or immediately adjacent to the Construction Boundary; and f) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; **unless otherwise approved by the Planning Secretary;** and g) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and h) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
Site Establishment Work							
A17	Site Establishment Management Plan Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities ~~determined by the ER to have minimal environmental impact and those~~ established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include: e) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); f) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); g) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; h) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: iii. meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and iv. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and b) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A18	With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A19	A Site Establishment Management Plan relating to the Silverwater ancillary facility and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A20	Use of Ancillary Facilities The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.	Not subject to audit at this time.	Not subject to audit at this time	Not subject to audit at this time.			
A21	Minor Ancillary Facilities ~~Lunch sheds, office sheds, portable toilet facilities, and the like, can be~~ **Minor Ancillary Facilities may be** established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria: d) are located within or adjacent to the Construction Boundary; and e) have been assessed **by the proponent with the adoption of mitigation measures as appropriate**; and f) in the opinion of the ER to have: iv. minimal amenity impacts to surrounding residences and ~~businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts,~~ and v. ~~minimal~~ environmental impact ~~with respect to waste management and flooding~~, and vi. no impacts on biodiversity~~, soil and water~~, and Heritage items beyond those already approved under other conditions of this approval.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Interview with auditees 03/03/26 (Phase H2). Minor Ancillary Facility – Second Avenue, ER approved 30/10/25 Complaints register current to 28/02/26 and submission to DPHI (portal records 01/09/25 – 28/02/26) Phase B Interview with auditees 10/03/26 (Phase B – TS) Minor Ancillary Facility 21 Waterview Street, ER approved 25/07/25 Phase J	Phase H2 Phase H2 established 1 x MAF for their works on the roadside of Second Avenue Five Dock. It was approved by the ER prior to establishment. The site was established in line with the approval. The MAF arrangement appeared consistent with the MAF application during the audit site inspection. No complaints were recorded in relation to the facility. Phase B 1 x Minor Ancillary Facility (MAF) was utilized during the audit period by Sydney Metro at 21 Waterview Street Five Dock. This was used as an ancillary facility (offices, amenities) for CTP during construction. Under the current program, the Five Dock handover milestone occurred on 8 May 2025 after which some defect rectification works at 21 Waterview St were undertaken before the end of May 2025. Sydney Metro (not AFJV) will continue to use 21 Waterview St as an office MAF from the date of the AFJV completion of defects milestone in May 2025 until 30 June 2026. Phase J No Minor Ancillary Facilities were established for Phase J.	C
A22	Boundary Screening Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A23	Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Independent Appointments							
A24	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A25	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: c) facilitate and assist the Planning Secretary in any such audit; and d) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A26	Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA and Independent Auditor	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Environment Representative							
A27	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule, and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A30	For the duration of the work or as agreed with the Planning Secretary, the approved ER must **be enabled to**: m) receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI; n) consider and inform the Planning Secretary on matters specified in the conditions of this approval; o) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; p) review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ~~ensure~~ **be satisfied that** they are consistent with requirements in or under this approval and if so: iii. endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or iv. endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); q) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary; r) regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ~~ensure~~ **be satisfied that** implementation is being carried out in accordance with the document and the conditions of this approval; s) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A39 of this schedule; t) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department; u) consider ~~or assess~~ the impacts of minor ancillary facilities ~~comprising lunch sheds, office sheds and portable toilet facilities~~ as required by Condition A21 of this schedule **and where satisfied endorse**; and v) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval; w) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading “Environmental Representative Monthly Reports”. The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER’s engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and x) assess the impacts of activities as required by the Low Impact Work definition. With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A31	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: c) the Complaints Register (to be provided on a weekly basis or as requested); and d) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Acoustics Advisor							
A32	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A33	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A34	The Proponent must cooperate with the AA by: e) providing access to noise and vibration monitoring activities as they take place; f) providing access to the Complaints Register if requested; g) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and h) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A35	The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A36	The approved AA must: l) receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration; m) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; n) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; o) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; p) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); q) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; r) review the Proponent's notification of incidents in accordance with Condition A43 of this schedule; s) in conjunction with the ER (where required), the AA must: iii. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits, iv. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, t) if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), u) if requested by the ER, review the noise impacts of minor ancillary facilities, and v) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Notification of Commencement							
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Independent Environmental Audit							
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A39.1	Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction. [MOD-1, 28 Jul 2021]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.		Not subject to audit at this time.			
Incident and Non-compliance Notification and Reporting							
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Interview with auditees 03/03/26 (Phase H2) ER Monthly Reports Sep 25 – Feb 26 Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Phase J Interview with auditees 02/03/26 (Phase J) ER Monthly Reports Sep 25 – Feb 26	Phase H2 Phase H2 have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase B TS have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase J Phase J have not identified any incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports	NT

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A44	Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Interview with auditees 03/03/26 (Phase H2) ER Monthly Reports Sep 25 – Feb 26 Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Phase J Interview with auditees 02/03/26 (Phase J) ER Monthly Reports Sep 25 – Feb 26	Phase H2 Phase H2 have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase B TS have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase J Phase J have not identified any incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports.	NT

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Interview with auditees 03/03/26 (Phase H2) ER Monthly Reports Sep 25 – Feb 26 Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Letter DPHI to Acciona and Ferrovial, 05/11/25 (warning letter for historical breach) Email DPHI to Sydney Metro, 05/11/25 (SSI-10038 and SSI-19238057 - Non-Compliances Record of Breach of historical non-compliances) Email EPA to AFJV, 11/11/25 (EPA confirmation that AFJV has complied with the requirements of Clean Up Notice (Notice No. 3512691); and on 07/11/25, the Port Authority of NSW confirmed the sediment removal has met their requirements) Phase J Interview with auditees 02/03/26 (Phase J) ER Monthly Reports Sep 25 – Feb 26 Non-compliance Report NC-01 (breach of D37(c)(ii) on 07/12/25, DPHI post approval portal submission, 12/12/25, DPHI Request for Information 14/01/26, 29/01/26 and 11/02/26 and Phase J response 02/03/26. Non-compliance Report NC-02 (breach of D50(b) on 23/01/26, DPHI post approval portal submission, 05/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26. Non-compliance Report NC-03 (breach of B1 and D38(e) on 05/02/26, DPHI post approval portal submission, 13/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26.	Phase H2 Phase H2 have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase B TS have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase J For Phase J, 3 non-compliances were reported against 4 x conditions. Phase J NC01: Various construction activities (cutting and breaking of concrete using a concrete saw and use of excavator) were undertaken at North Strathfield during the unapproved daytime Out Of Hours period between 8.30am and 2pm on Sunday, 07/12/25. These works were originally approved to be undertaken during the evening/night OOHW period on Sunday, 7 December 2025 but were brought forward to minimise impact. The failure to gain the associated approval resulted in a breach of D37(c)(ii). This was reported to the Department on 12/12/25 in accordance with A45/A46. On 14/01/26, 29/01/26 and 11/02/26 the Department sought additional information from Phase J and required that the information be provided by 29/01/26 then extended to 25/02/26. Phase J's response was not provided until 02/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response. Phase J NC02: The Acoustic Advisor (upon issue of the AA's Inspection Report) notified Sydney Metro that there was an inconsistency between the plant/equipment that was present on site on the evening of 23/1/26 with what was approved in the OOHW permit No.01 rev 5. Upon review, the approved OOHW Permit had omitted an activity from the DNVIS that modelled that plant and equipment, and therefore had not been taken into consideration when respite and alternate accommodation offers were made prior to works being undertaken. This resulted in a breach of D50(b) and was notified to the Department on 05/02/26 in accordance with A45/A46. On 16/02/26 the Department sought additional information from Phase J and required that the information be provided by 09/03/26. Phase J's response was not provided until 11/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response. Phase J NC03: On 05/02/26, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/26). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/26 with 15/02/26 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/26 in accordance with A45-A46. The Department has commenced its investigation into the matter.	C

A46	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. Note: A non-compliance which has been notified as an incident does not need to also be notified as a noncompliance.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Interview with auditees 03/03/26 (Phase H2) ER Monthly Reports Sep 25 – Feb 26 Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Letter DPHI to Acciona and Ferrovial, 05/11/25 (warning letter for historical breach) Email DPHI to Sydney Metro, 05/11/25 (SSI-10038 and SSI-19238057 - Non-Compliances Record of Breach of historical non-compliances) Email EPA to AFJV, 11/11/25 (EPA confirmation that AFJV has complied with the requirements of Clean Up Notice (Notice No. 3512691); and on 07/11/25, the Port Authority of NSW confirmed the sediment removal has met their requirements) Phase J Interview with auditees 02/03/26 (Phase J) ER Monthly Reports Sep 25 – Feb 26 Non-compliance Report NC-01 (breach of D37(c)(ii) on 07/12/25, DPHI post approval portal submission, 12/12/25, DPHI Request for Information 14/01/26, 29/01/26 and 11/02/26 and Phase J response 02/03/26. Non-compliance Report NC-02 (breach of D50(b) on 23/01/26, DPHI post approval portal submission, 05/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26. Non-compliance Report NC-03 (breach of B1 and D38(e) on 05/02/26, DPHI post approval portal submission, 13/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26.	Phase H2 Phase H2 have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase B TS have not identified any non-compliances or incidents as defined by the Approval for the current audit period. None appear to have been identified by the ER in the Monthly Reports. Phase J Refer A45. 3 non-compliances were reported against 4 x conditions. The reports appear to have addressed the content and timing requirements. Refer to the observations below regarding Phase J's failure to meet the timeframes specified by the Department in their requests for information on NC-01 and NC-02. **Observation: Phase J NC01: Various construction activities (cutting and breaking of concrete using a concrete saw and use of excavator) were undertaken at North Strathfield during the unapproved daytime Out Of Hours period between 8.30am and 2pm on Sunday, 07/12/25. These works were originally approved to be undertaken during the evening/night OOHW period on Sunday, 7 December 2025 but were brought forward to minimise impact. The failure to gain the associated approval resulted in a breach of D37(c)(ii). This was reported to the Department on 12/12/25 in accordance with A45/A46. On 14/01/26, 29/01/26 and 11/02/26 the Department sought additional information from Phase J and required that the information be provided by 29/01/26 then extended to 25/02/26. Phase J's response was not provided until 02/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response.** **Phase J NC02: The Acoustic Advisor (upon issue of the AA's Inspection Report) notified Sydney Metro that there was an inconsistency between the plant/equipment that was present on site on the evening of 23/1/26 with what was approved in the OOHW permit No.01 rev 5. Upon review, the approved OOHW Permit had omitted an activity from the DNVIS that modelled that plant and equipment, and therefore had not been taken into consideration when respite and alternate accommodation offers were made prior to works being undertaken. This resulted in a breach of D50(b) and was notified to the Department on 05/02/26 in accordance with A45/A46. On 16/02/26 the Department sought additional information from Phase J and required that the information be provided by 09/03/26. Phase J's response was not provided until 11/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response.** Phase J NC03: On 05/02/26, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/26). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/26 with 15/02/26 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/26 in accordance with A45-A46. The Department has	C

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
Identification of Workforce							
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	Not subject to audit at this time.		Not subject to audit at this time.			
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Not subject to audit at this time.		Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING							
Community Information, Consultation and Involvement							

B1	Community Communication The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI https://caportal.com.au/tfnsw/sydmetrowest/map Sydney Metro Connect Application (no date) Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management) https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au https://www.facebook.com/SydneyMetro/ https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice Phase H2 Phase H2 Community Communications Plan, 06/11/25 AARO Tracker current to 28/02/26 (Phase H2 tracker of properties eligible for Alternative Accommodation or Respite Offers) OOHW-001 – Investigation Works, 04/09/25 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) OOHW-002 – Cutover Works, 18/02/26 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) Letter, Sydney Metro, Offer of respite temporary alternative accommodation or cinema vouchers, 19/09/25 Complaint record #6553 along with emails between complainant and Quickway 29-30/01/26 and photo series 18/02/26 Complaint record #6386 and Letter of Offer of Alternate Accommodation Phase J https://syscon.net.au/project/sydney-metro-west-north-strathfield-power-enabling-works/ Phase J Community Communications Plan, Sydney Metro, 02/12/25 NST Notifications Map (part of Community Communications Plan) Complaint record 6510 and post construction photos (no date) Non-compliance Report NC-03 (breach of B1 and D38(e) on 05/02/26, DPHI post approval portal submission, 13/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26.	Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. The OCCS underwent a review and was updated on 05/09/25 and most recently approved by Department on 11/09/25. Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period. The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project. Phase H2 Specific engagement has been conducted in accordance with the OCCS and H2 NVMP for OOHW. OOHW applications prepared which assesses noise impact and eligibility for additional mitigation measures. Community team review the application and agree with the receivers impacted community engagement material is developed (construction updates, OOHW notification, RO and AA offers). The notifications make clear on the works and that RO and AA costs will be paid for by Metro and instructions. Community team maintain a tracker identifying key elements. Itineraries are booked through a travel agent and vouchers are managed via Event Cinema (vouchers are for each resident within the building). Of note is that [redacted] is a shared house that do shift work and so they know when to make contact and offers are made to the entire household (i.e.: AA offer is for them to go to individual alternate accommodation). Residents that Metro has the contact details for have been called to advise of the coming door knock. Door knocks are done at agreed times. (2 x door knocks and phone call, plus a letter of offer left in their door). Metro has access to the apartment blocks and therefore can usually make direct contact to the receivers. Complaint 6553: Property damage claim. Metro stated that the Contractor would repair the damaged fence and that temporary restoration has been done to footpath and lawn. Emails between the stakeholder and Quickway (29-30/01/26) indicate that the damage to the fence was present in the pre-construction dilapidation report and that it was not a result of construction. The complainant provided advice that this was accepted. Evidence was also provided demonstrating that the footpath and grassed verge had been restored. Complaint 6386: Complaint expressing dissatisfaction that the stakeholder had to pay the $600 bond out of their own pocket. Metro advises that the accommodation service providers must take a bond taken from the stakeholders credit card to manage risk of damage, theft etc. This is stated in letter of offer as follows: *Please note that most hotels require an imprint of your credit card upon arrival to cover any of these items if incurred. The security of your property during your stay in alternative accommodation is your responsibility.* Two complaints were received regarding supply interruption and OOHW (6473 and 6468). Investigations determined these to not be related to Sydney Metro works. Quickway was working day shifts during the associated periods and the third party works were not proximal to Quickway's. On this basis Sydney metro determined there to be no issue associated with coordination and respite. Phase B For Phase B Transitional Services there have been monthly notifications in December 2025 and February 2026 at Five Dock and North Strathfield. The notifications issued predominantly address Phase J and Phase H2, but have included a statement that some	NC

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
						transitional services may be conducted. Monthly notifications for ETP at The Bays have also included some minor statements about the potential for transitional services. Quarterly notifications occur for Burwood and SOP (most recently in December 2025) as part of the broader project updates and due to the absence of construction at these sites during the audit period. Phase J For Phase J, Sydney Metro manages the community engagement. The CCP is prepared and implemented by Sydney Metro. The Contractor does not have communications personnel. Complaint 6510 relating to restoration works. Photos were sighted to evidence that the works were completed as stated in the complaint (see below). There were no further complaints received in relation to this event. **Phase J Non-compliance: Phase J NC03: On 05/02/26, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/26). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/26 with 15/02/26 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/26 in accordance with A45-A46. The Department has commenced its investigation into the matter.**	
Complaints Management System							
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. The data required under the OCCS and B4 has been captured. Refer to the evidence sighted in B1 in relation to complaints management. The Auditor is of the view that complaints are being managed as described in the CMS.	C

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
B3	The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction: e) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; f) a postal address to which written complaints and enquires may be sent; g) an email address to which electronic complaints and enquiries may be transmitted; and h) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station	Project signage is on each compound fence line sighted during the audit, identifying the contact details as required by this condition. The Project works notifications includes contact details as required by this condition. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies. The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The complainants are not advised of the existence of the complaints mediator and the being an option for them to use. That being said, the website includes a statement about how complaints are managed and the availability of mediation if required.	C
B4	A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the: i) number of complaints received; j) date and time of the complaint; k) number of people in the household affected in relation to a complaint, if relevant; l) method by which the complaint was made; m) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; n) issue of the complaint; o) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and p) if no action was taken, the reason(s) why no action was taken.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI Complaint record 6510 and post construction photos (no date)	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. The register sighted contains the information specified by this condition. Phase H2 Phase H2 received 6 complaints during the audit period, two of which were not related to the Project. The remainder of the complaints relate to property damage, notifications, Respite Offers and dust. Refer to B1 for investigation into a selection of the complaints. The Auditor considers the response to the complaints to be adequate. Phase B **Phase B Observation: The complaints register sighted indicates that complaint #6557 status is 'closed', yet the classification is still 'under investigation'. Additionally complaint #6408 appears to be not related to Project activities yet the classification is marked as 'unavoidable'.** Phase J Phase J received two complaints during the audit period. One relating to property damage and one relating to dust. Complaint 6510 relating to restoration works. Photos were sighted to evidence that the works were completed as stated in the complaint (see below). There were no further complaints received in relation to this event. Complaint 6525 regarding dust. Contractor stated that dust suppression was in place and the works were called off due to weather conditions. Complainant satisfied and no follow up complaints received.	C

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B5	Complainants must be advised of the following information before, or as soon as practicable after, providing personal information: e) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; f) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; g) the supply of personal information by the complainant is voluntary; and h) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/complaints-privacy-collection-notice Email auto reply for community email (example only) Community Information line (1800 612 173)	The collection statement is available on the Sydney Metro website. The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act. and directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition.	C
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Complaints register current to 28/02/26 and submission to DPHI	Sydney Metro West complaints register is issued to the Department on a weekly basis; sighted records current to February 2026. Refer B5 regarding notification about use of personal information.	C
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services – Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021	At the August 2023 Independent Audit, the auditor sighted a letter from Sydney Metro which accepted a mediation proposal dated 30/09/21 to provide Mediation Services for Sydney Metro by Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions. The letter stated that neither Negocio Resolutions nor its contractors had provided any other services to or at the request of Sydney Metro West Project and that Negocio Resolutions does not provide any services to any contractor or subcontractor for the Sydney West Project. According to the auditees, no complaints were escalated to mediation during the audit period.	C
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services – Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021	The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute.	C
B9	Community Complaints Mediation will: c) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; d) make recommendations to the Proponent to satisfactorily address complaints	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI	A very high-level description of the CCMs role is included in the OCCS. The auditees are not aware of any complaints having been referred to the Mediator by the ER during the audit period.	NT
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25 Complaints Management System, Sydney Metro, 05/09/25 Complaints register current to 28/02/26 and submission to DPHI	The auditees are not aware of any complaints having been referred to the Mediator by the ER during the audit period.	NT
Provision of Electronic Information							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including: g) information on the current implementation status of Stage 1 of the CSSI; h) a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; i) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; j) a copy of each statutory approval, licence or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; k) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and l) a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	https://www.sydneymetro.info/documents https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/station/sydney-olympic-park-metro-station https://www.sydneymetro.info/station/north-strathfield-metro-station https://www.sydneymetro.info/station/burwood-north-station https://www.sydneymetro.info/station/five-dock-station https://www.sydneymetro.info/station/bays-station https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au https://www.facebook.com/SydneyMetro/ https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites). Phase H2 https://www.quickway.com.au/projects/sydney-metro-west-five-dock-oh-to-ug-utility-relocations/ Phase B https://www.acciona.com.au/projects/sydney-metro-west https://www.acciona.com.au/content/dam/accionaau/project-landing-page-files/CTP/SMWSTCTP-AFJ-1NL-PE-PLN-000001%20SMW-CTP%20CEMP_Rev10.pdf	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors. The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise. Phase H2 The Phase H2 contractor website contains the CEMP, NVMP, CTMP, CPAS, HVLR, DNVIS, noise and vibration monitoring reports, the description of the works and contact details. As far as the Auditor can ascertain from the review of the Sydney Metro and Contractor websites, the documents were uploaded prior to the relevant works having commenced. Phase B The Auditor notes that construction on Phase B (CTP) was completed prior to the current audit period. Transitional Services has an extremely limited amount of information required to be published at this stage. Monthly EPL premise maps are still being published as are EPL monitoring data reports. The final construction monitoring report (for Jan – Jun 2025) was finalized prior to the current audit period and is available online. As are the current CEMP and Sub-plans etc. Phase J The Phase J contractor website contains the CEMP, NVMP, CTMP, CPAS, HVLR, DNVIS, the description of the works and contact details. As far as the Auditor can ascertain from the review of the Sydney Metro and Contractor websites, the documents were uploaded prior to the relevant works having commenced.	C
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT							
Construction Environmental Management Plan							
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction	Not subject to audit at this time.		Not subject to audit at this time.			
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.		Not subject to audit at this time.			
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 of this schedule.	Not subject to audit at this time.		Not subject to audit at this time.			
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.		Not subject to audit at this time.			

<table>
<tr><th rowspan="2">Unique ID</th><th rowspan="2">Compliance requirement</th><th colspan="3">Condition subject to audit as per approved Scoping Statement</th><th rowspan="2">Evidence collected</th><th rowspan="2">Audit findings and recommendations</th><th rowspan="2">Compliance status</th></tr>
<tr><th>Phase B (TS)</th><th>Phase J (NSPE)</th><th>Phase H2 (FDOHP)</th></tr>
<tr><td>C5</td><td>Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:
<table>
<tr><th></th><th>Required CEMP Sub-plan</th><th>Relevant government agencies to be c</th></tr>
<tr><td>a)</td><td>Noise and vibration</td><td>SOPA (in respect of Sydney Olympic Par Bays) and Relevant Council(s)</td></tr>
<tr><td>b)</td><td>Flora and fauna</td><td>DPE BCD, DPI Fisheries, SOPA (in respe Council(s)</td></tr>
<tr><td>c)</td><td>Soil and water</td><td>DPE BCD, Relevant Council(s), SOPA (ir Water (if Sydney Water's assets are affec</td></tr>
<tr><td>d)</td><td>Heritage (Non-Aboriginal and Aboriginal)</td><td>Heritage NSW, SOPA (in respect of Sydn respect of The Bays) and Relevant Counc</td></tr>
<tr><td>e)</td><td>Spoil</td><td>Relevant Council(s) and SOPA (in respec</td></tr>
</table>
[MOD-2, 3 Jun 2022]</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C6</td><td>The CEMP Sub-plans must state how:
e) the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved;
f) the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented;
g) the relevant conditions of this approval will be complied with; and
h) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles.</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C7</td><td>With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C8</td><td>The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C9</td><td>Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
</table>

C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable). MOD-1	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Sydney Metro West Stage 1 Phasing Report, August 2025 Phase H2 Phase H2 CEMP, Quickway, 26/09/25 and ER endorsement 26/09/25 Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Sydney Metro West – Five Dock Utilities Detailed noise and vibration impact statement, November 2025 Combined Noise and Vibration Monitoring Report, Sep 25 to Jan 26 and submission to AA and ER 17/10/25, 05/12/25, 22/01/26. Phase H2 induction, Rev01 (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Five Dock OH to UG Utility Relocations EWMS, Rev 01 (EWMS establishing process, requirements and controls and signed on to be work crews). Low Impact Works presentation (no date) (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 prestart, 25/09/25 (includes key risks and requirements) Phase H2 Toolbox Talk, 04/12/25 (includes environmental requirements re community, parking housekeeping etc) Phase H2 Toolbox talk, 4/11/25 (includes working hours, noise and vibration, stakeholder management, sustainability, air quality, traffic, site rules etc) ER Inspection Reports 23/01/26 and 02/12/25 AA Inspection Reports, 25/09/25, 02/12/25, 23/01/26 Quickway Environmental Inspection Reports, Nov 25 – Feb 26 Quickway plant onboarding checks tipper truck DB52VX, Vac Truck XO1AR, excavator 17751E AARO Tracker current to 28/02/26 (Phase H2 tracker of properties eligible for Alternative Accommodation or Respite Offers) OOHW-001 – Investigation Works, 04/09/25 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) OOHW-002 – Cutover Works, 18/02/26 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) Phase B Construction Environmental Management Plan, AFJV, Revision 10, 29/07/25 and ER endorsement 20/08/25 https://www.acciona.com.au/content/dam/accionaau/project-landing-page-files/CTP/SMWSTCTP-AFJ-1NL-PE-PLN-000001%20SMW-CTP%20CEMP_Rev10.pdf ER Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26 Phase J Phase J CEMP, 05/11/25 and ER endorsement 06/11/25 and submitted to DPHI on 14/11/25 (PA-844) Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval)	The Sydney Metro West Stage 1 Phasing Report establishes the approval pathway for various management plans. Phase H2 The Phase H2 CEMP does not require Department approval (ER endorsement only). The NVMP requires ER endorsement and Department approval. The CEMP was prepared and endorsed by the ER prior to commencement of construction. The Noise and Vibration Management Plan was prepared, reviewed and endorsed by the AA and ER and approved by the Department prior to commencement of construction. Evidence indicated that the Phase H2 CEMP and NVMP are being implemented. Training, inspections, and monitoring is being implemented. Induction records, toolbox talks and pre-starts and work methodologies include the relevant requirements and have been communicated to the Project teams. Physical controls appear to have been implemented consistent with the documents. Inspections and monitoring is occurring. The ER and AA have monitored the implementation. No material issues have been raised in their respective monthly reports. Noise and vibration monitoring has been conducted in accordance with the NVMP, Noise Monitoring Program and DNVIS. The results are issued to the ER, Sydney Metro and the AA for review. The results show that noise levels are below that predicted. The AA has not identified any compliance issues with the information presented. Phase B The CTP CEMP was prepared and approved prior to the current audit period. Revision 10 of the CEMP includes changes made to reflect the reduced activities on the CTP sites during Transitional Services period following substantial completion of the CTP scope of works. A new Section 1.3.4 defines the scope of works for THS. Site plans have been included to reflect where portions of sites have been handed over to Sydney Metro or other contractors. Sydney Metro, the AA and the ER have reviewed the changes made as part of this revision and have endorsed the document. Section 1.3.4 states that ongoing inspections will be limited to fortnightly site inspections (unless otherwise directed by Sydney Metro) with Sydney Metro and the Environmental Representative and fortnightly meetings which include discussion on environment and planning requirements. Ongoing WTP inspections continue in accordance with the requirements of the Operation and Maintenance Manual. There are no requirements for training, monitoring, sampling and the like during this phase. The records sighted indicate that the inspections are being conducted as stated in the CEMP. The ER has not identified any departures from the CEMP. Phase J The Phase J CEMP does not require Department approval (ER endorsement only). The NVMP requires ER endorsement and Department approval. The CEMP was prepared and endorsed by the ER prior to commencement of construction. The Noise and Vibration Management Plan was prepared, reviewed and endorsed by the AA and ER and approved by the Department prior to commencement of construction. Evidence indicated that the Phase J CEMP and NVMP are by and large being implemented. Training, inspections, and monitoring is being implemented. Induction records, toolbox talks and pre-starts and work methodologies include the relevant requirements and have been communicated to the Project teams. Monitoring has been conducted. Refer to D39 regarding implementation of best practice controls.	C

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
					Post approval portal submission PA-836 (submission of NVMP) Letter Metro to DPHI 01/12/25 PA-851 (A38 commencement of works notification for works commencing 05/12/25) Syscon Project Induction (no date / revision number, covers key environmental risks and the existing of rules and controls as stated in the CEMP, NVMP and DNVIS) and attendance record (05/12/25) Phase J Toolbox Talk, 05/12/25 (includes environmental check) Phase J Toolbox Talk, 08/12/25 (includes environmental check) Phase J Inspection Reports, 14/12/25, 22/12/25, 23/01/26, 13/02/26 ER inspection reports, 08/12/25, 23/01/26, 28/01/26, 19/02/26 Phase J Noise Monitoring Records 14/12/25, 23/01/26, 13/02/26 AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26		
C11	In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to: e) site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); f) measures to minimise disturbance to habitat associated with Myotis macropus / Southern Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished; g) measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and h) details for undertaking and mitigating vegetation clearance through improved environmental outcomes.	Not subject to audit at this time.		Not subject to audit at this time.			
C12	In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to: d) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; e) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and f) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported.	Not subject to audit at this time.		Not subject to audit at this time.			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
C13	In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: f) be prepared in consultation with a suitably qualified and experienced heritage expert; g) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); h) identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI; i) in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: v. the Roxy Theatre (SHR I00711); vi. White Bay Power Station (SHR I01015); vii. the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and viii. the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and j) set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.	Not subject to audit at this time.		Not subject to audit at this time.			
Construction Monitoring Programs							

<table>
<tr><th>Unique ID</th><th>Compliance requirement</th><th colspan="3">Condition subject to audit as per approved Scoping Statement</th><th>Evidence collected</th><th>Audit findings and recommendations</th><th>Compliance status</th></tr>
<tr><th></th><th></th><th>Phase B (TS)</th><th>Phase J (NSPE)</th><th>Phase H2 (FDOHP)</th><th></th><th></th><th></th></tr>
<tr><td>C14</td><td>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:
<table>
<tr><th></th><th>Required Construction Monitoring Programs</th><th>Relevant government agencies to be consulted for eac Construction Monitoring Program</th></tr>
<tr><td>a)</td><td>Noise and vibration</td><td>EPA, SOPA (in respect of Sydney Olympic Park), Place M NSW (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>b)</td><td>Blasting</td><td>SOPA (in respect of Sydney Olympic Park), Place Manage (in respect of The Bays) and Relevant Council(s)</td></tr>
<tr><td>c)</td><td>Surface water quality</td><td>DPE Water, Relevant Council(s) and Sydney Water (if any Water assets are impacted)</td></tr>
<tr><td>d)</td><td>Groundwater</td><td>DPE Water and SOPA (in respect of Sydney Olympic Park</td></tr>
</table>
Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction.
[MOD-2, 3 Jun 2022]</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
<tr><td>C15</td><td>Each Construction Monitoring Program must provide:
m) details of baseline data available including the period of baseline monitoring;
n) details of baseline data to be obtained and when;
o) details of all monitoring of the project to be undertaken;
p) the parameters of the project to be monitored;
q) the frequency of monitoring to be undertaken;
r) the location of monitoring;
s) the reporting of monitoring results and analysis results against relevant criteria;
t) details of the methods that will be used to analyse the monitoring data;
u) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts;
v) a consideration of SMART principles; and
w) any consultation to be undertaken in relation to the monitoring programs; and
x) any specific requirements as required by Conditions C16 to C17 of this schedule.</td><td>Not subject to audit at this time.</td><td></td><td>Not subject to audit at this time.</td><td></td><td></td><td></td></tr>
</table>

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
C16	The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include: d) noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; e) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and f) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request.	Not subject to audit at this time.		Not subject to audit at this time.			
C17	Groundwater Construction Monitoring Program must include: m) groundwater monitoring networks at each construction excavation site; n) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; o) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; p) results from existing monitoring bores; q) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; r) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; s) daily measurement of the amount of water discharged from the water treatment plants; t) water quality testing of the water discharged from treatment plants; u) management and mitigation measures and criteria; v) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and w) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and x) methods for providing the data collected to Sydney Water where discharges are directed to their assets.	Not subject to audit at this time.		Not subject to audit at this time.			
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.		Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.		Not subject to audit at this time.			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.		Not subject to audit at this time.			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not subject to audit at this time.		Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Not subject to audit at this time.	Not subject to audit at this time.	To be audited in February 2026 on Phase H2 only	Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Sydney Metro West – Five Dock Utilities Detailed noise and vibration impact statement, November 2025 Combined Noise and Vibration Monitoring Report, Sep 25 to Jan 26 and submission to AA and ER 17/10/25, 05/12/25, 22/01/26.	Noise and vibration monitoring has been conducted in accordance with the NVMP, Noise Monitoring Program and DNVIS. The results are issued to the ER, Sydney Metro and the AA for review. The results show that noise levels are below that predicted. The AA has not identified any compliance issues with the information presented.	C
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.	Not subject to audit at this time.	Not subject to audit at this time.	To be audited in February 2026 on Phase H2 only	Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Sydney Metro West – Five Dock Utilities Detailed noise and vibration impact statement, November 2025 Combined Noise and Vibration Monitoring Report, Sep 25 to Jan 26 and submission to AA and ER 17/10/25, 05/12/25, 22/01/26.	According to Phase H2, the 6-monthly report has not yet been developed as construction has not yet extended beyond six months. It is currently anticipated that this will be prepared in March or April (outside of the current audit period).	NT
PART D: KEY ISSUE CONDITIONS							
Air Quality							
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Biodiversity and Trees							
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D4	Before any vegetation clearing or tree removal that must be offset, under the BC Act, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act. Table 3: Biodiversity Credits to be Retired **Credit Type** / **Number of Credits** Ecosystem Credits Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor / 11 Species Credits for Threatened Species Myotis macropus / Southern Myotis (Fauna) / 11 Acacia pubescens / Downy Wattle (Flora) / 1 [MOD-2, 3 Jun 2022] [MOD-5, 20 Sep 2023]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D5	The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D6	The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset under the BC Act. [MOD-5, 20 Sep 2023]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D6A	Impact to Key Fish Habitat (KFH) as defined in Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update) must be avoided where possible. KFH must be offset at a ratio of 2:1 in accordance with the documents listed in Condition A1. On-ground offsetting within Duck or A'Becketts creek catchments must be prioritised. Where there are insufficient on-ground offset opportunities within the Parramatta River catchment, a compensatory payment for the residual offset, at the rate outlined in the documents listed in Condition A1 of this schedule, must be made to the DPI Fish Conservation Trust Fund by the time specified in the Key Fish Habitat Offset Strategy required in Condition D6B. A receipt confirming payment to the DPI Fish Conservation Trust Fund must be submitted to the Planning Secretary within one (1) month of making the payment. [MOD-5, 20 Sep 2023]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D6B	A Key Fish Habitat Offset Strategy (the strategy) must be prepared in consultation with DPI Fisheries and published in accordance with Condition B11 before the commencement of operation of the Concept of the CSSI. The strategy must: i) consider relevant policies and guidelines, including but not limited to, the NSW Biodiversity Offsets Policy for Major Projects and Policy and guidelines for fish habitat conservation and management. Update 2013 (DPI, 2013); j) preference on-ground offsetting within Duck or A'Becketts creek catchments where practicable. Where sufficient offsets cannot be provided in those locations, alternative locations within the Parramatta River catchment may be considered; k) consider, in order of priority: l) expanding existing mangrove or saltmarsh patches, and m) improving condition of existing mangrove or saltmarsh patches by removing exotic or non-endemic species to allow for natural regeneration of mangrove and saltmarsh species and / or replacing these with mangrove or saltmarsh species; n) identify outcomes to be achieved, including the form and timing for them to be achieved and the likely split between on-ground and other offsets; o) include a program for completion of rehabilitation work identified; and p) include a maintenance and monitoring program which establishes clear actions, timing, success targets and actions to be undertaken when success is not achieved. Note: When considering (c) (i), the Proponent should investigate opportunities for reducing the gradient of steep banks that currently do not support marine vegetation and creating new levels that would be appropriate for mangrove or saltmarsh habitat. [MOD-5, 20 Sep 2023]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D7	Microbat Management Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D8	In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided or suitable habitat built within the Clyde Stabling and Maintenance Facility site.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D9	Tree Removal As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Flooding							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D10	Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following: e) a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event; f) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event; g) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and h) no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event. Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s). [MOD-2, 3 Jun 2022] Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following: c) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and d) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D11	Deleted [MOD-3, 4 Jul 2022]						
D12	Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction. Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES, SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Heritage							

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D13	Non-Aboriginal Heritage The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies. Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020) [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D14	Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D15	Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary why its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D16	During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D17	The Roxy Theatre, White Bay Power Station, the former State Abattoirs and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule. Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020) [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D18	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and where significance is retained or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW. [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D18.1	The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary. If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D18.2	Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council. Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of the required documents and does not prevent Council from preparing them. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D19	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D20	The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D21	Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D25	Excavation and Archaeology Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include: e) site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance; f) comparative analysis from archaeological investigations in Parramatta (including theses, publications and grey literature reports); g) preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and h) a reconsideration of archaeological methods to manage the sites based on this additional assessment. The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval. The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs. Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures. [MOD-1, 28 Jul 2021]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D26	The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel. [MOD-4, 22 Dec 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D27	Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D28	Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: c) the key findings of the archaeological investigations must be documented which explain their significance within the context of Parramatta and NSW no later than two (2) years after the completion of the archaeological excavations; and d) provide for the curation, display and public access of artefacts, site records and final reports. Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D31	Unexpected Finds An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D32	The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D33	The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction. Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Noise and Vibration							
D34	Land Use Survey A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

D35	Construction Hours Work must only be undertaken during the following hours: d) 7:00am to 6:00pm Mondays to Fridays, inclusive; e) 8:00am to 6:00pm Saturdays; and f) at no time on Sundays or public holidays.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Phase H2 induction, Rev01 (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Five Dock OH to UG Utility Relocations EWMS, Rev 01 (EWMS establishing process, requirements and controls and signed on to be work crews). Low Impact Works presentation (no date) (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 prestart, 25/09/25 (includes key risks and requirements) Phase H2 Toolbox Talk, 04/12/25 (includes environmental requirements re community, parking housekeeping etc) Phase H2 Toolbox talk, 4/11/25 (includes working hours, noise and vibration, stakeholder management, sustainability, air quality, traffic, site rules etc) Quickway Environmental Inspection Reports, Nov 25 – Feb 26 Quickway plant onboarding checks tipper truck DB52VX, Vac Truck XO1AR, excavator 17751E AARO Tracker current to 28/02/26 (Phase H2 tracker of properties eligible for Alternative Accommodation or Respite Offers) OOHW-001 – Investigation Works, 04/09/25 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) OOHW-002 – Cutover Works, 18/02/26 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) Complaints register current to 28/02/26 and submission to DPHI) Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Complaints register current to 28/02/26 and submission to DPHI Phase J Phase J CEMP, 05/11/25 and ER endorsement 06/11/25 and submitted to DPHI on 14/11/25 (PA-844) Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval) Post approval portal submission PA-836 (submission of NVMP) Letter Metro to DPHI 01/12/25 PA-851 (A38 commencement of works notification for works commencing 05/12/25) Syscon Project Induction (no date / revision number, covers key environmental risks and the existing of rules and controls as stated in the CEMP, NVMP and DNVIS) and attendance record (05/12/25) Phase J Toolbox Talk, 05/12/25 (includes environmental check)	Phase H2 Construction hours are captured in Project documentation from the CEMP, down to the induction, work activity documents, toolbox talks and pre-starts. The requirements have been communicated to the workforce. Two OOHW approvals have been granted. The auditees are not aware of any unauthorized OOHW. No complaints regarding Phase H2 OOHW recorded on the complaints register. Phase B Transitional Services have not conducted any construction during the audit period. Works are extremely limited (inspections, minor defects and maintenance of Water Treatment Plants). The auditees have not identified any instances of works outside of approved hours. No complaints received indicating a breach of permitted hours. Phase J Construction hours are captured in Project documentation from the CEMP, down to the induction, work activity documents, toolbox talks and pre-starts. The requirements have been communicated to the workforce. One OOHW approval has been granted covering off all OOHW conducted to date. No complaints regarding Phase J OOHW recorded on the complaints register	C

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
					Phase J Toolbox Talk, 08/12/25 (includes environmental check) Phase J Inspection Reports, 14/12/25, 22/12/25, 23/01/26, 13/02/26 ER inspection reports, 08/12/25, 23/01/26, 28/01/26, 19/02/26 Phase J Noise Monitoring Records 14/12/25, 23/01/26, 13/02/26 AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26		

D36	Highly Noise Intensive Work Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken: d) between the hours of 8:00 am to 6:00 pm Monday to Friday; e) between the hours of 8:00 am to 1:00 pm Saturday; and f) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Sydney Metro West – Five Dock Utilities Detailed noise and vibration impact statement, November 2025 Phase H2 induction, Rev01 (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Five Dock OH to UG Utility Relocations EWMS, Rev 01 (EWMS establishing process, requirements and controls and signed on to be work crews). Low Impact Works presentation (no date) (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 prestart, 25/09/25 (includes key risks and requirements) Phase H2 Toolbox Talk, 04/12/25 (includes environmental requirements re community, parking housekeeping etc) Phase H2 Toolbox talk, 4/11/25 (includes working hours, noise and vibration, stakeholder management, sustainability, air quality, traffic, site rules etc) Complaints register current to 28/02/26 and submission to DPHI Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Complaints register current to 28/02/26 and submission to DPHI Phase J Phase J CEMP, 05/11/25 and ER endorsement 06/11/25 and submitted to DPHI on 14/11/25 (PA-844) Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval) Post approval portal submission PA-836 (submission of NVMP) Letter Metro to DPHI 01/12/25 PA-851 (A38 commencement of works notification for works commencing 05/12/25) Syscon Project Induction (no date / revision number, covers key environmental risks and the existing of rules and controls as stated in the CEMP, NVMP and DNVIS) and attendance record (05/12/25) Phase J Toolbox Talk, 05/12/25 (includes environmental check) Phase J Toolbox Talk, 08/12/25 (includes environmental check) Phase J Inspection Reports, 14/12/25, 22/12/25, 23/01/26, 13/02/26 ER inspection reports, 08/12/25, 23/01/26, 28/01/26, 19/02/26 Phase J Noise Monitoring Records 14/12/25, 23/01/26, 13/02/26 AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26	Phase H2 Phase H2 high impact noise activities have been limited to saw cutting. This activity has been scheduled to run between 8am and 11am before they have an extended break. No complaints regarding high noise recorded on the complaints register. Phase B Transitional Services have not conducted any construction during the audit period. Works are extremely limited (inspections, minor defects and maintenance of Water Treatment Plants). The auditees have not identified any instances of high noise intensive works during the audit period. Phase J Phase J high impact noise activities have been limited to saw cutting. The works have been conducted during OOHW and subject to the OOHW protocol. Refer C10, D37 and D38. Regarding management of noisy plant and OOHW.	C
D37	Variation to Work Hours	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2	Phase H2	NC

	Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances: e) Safety and Emergencies, including: iv. for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or v. where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. vi. On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. f) Low noise impact work, including: iii. construction that causes Laeq(15 minute) noise levels: 1. no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and 2. no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and 3. construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or iv. construction that causes: 4. continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or 5. intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). g) By Approval, including: iv. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or v. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or vi. negotiated agreements with directly affected residents and sensitive land user(s). h) By Prescribed Activity, including: vi. tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or vii. concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week; or viii. delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or				Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) AA Inspection Reports, 25/09/25, 02/12/25, 23/01/26 Quickway Environmental Inspection Reports, Nov 25 – Feb 26 Phase H2 induction, Rev01 (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Five Dock OH to UG Utility Relocations EWMS, Rev 01 (EWMS establishing process, requirements and controls and signed on to be work crews). Low Impact Works presentation (no date) (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Toolbox talk, 4/11/25 (includes working hours, noise and vibration, stakeholder management, sustainability, air quality, traffic, site rules etc) AARO Tracker current to 28/02/26 (Phase H2 tracker of properties eligible for Alternative Accommodation or Respite Offers) OOHW-001 – Investigation Works, 04/09/25 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) OOHW-002 – Cutover Works, 18/02/26 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) Complaints register current to 28/02/26 and submission to DPHI) Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Complaints register current to 28/02/26 and submission to DPHI EPL 21610 Phase J Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval) Non-compliance Report NC-01 (breach of D37(c)(ii) on 07/12/25, DPHI post approval portal submission, 12/12/25, DPHI Request for Information 14/01/26, 29/01/26 and 11/02/26 and Phase J response 02/03/26. AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26	The NVMP includes the OOHW Protocol for Sydney metro West (approved in 2022). Phase H2 OOHW are all done under the OOHW protocol (involving 6 night shifts). One permit exists for this package. Two OOHW approvals have been granted. Each OOHW Permit (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program. The auditees are not aware of any unauthorized OOHW. Three complaints relate to OOHW, notifications and respite offers. For each evidence was provided to show that the works were notified and that offers were made consistent with the OCCS. Specific engagement has been conducted in accordance with the OCCS and H2 NVMP for OOHW. OOHW applications prepared which assesses noise impact and eligibility for additional mitigation measures. Community team review the application and agree with the receivers impacted community engagement material is developed (construction updates, OOHW notification, RO and AA offers). The notifications make clear on the works and that RO and AA costs will be paid for by Metro and instructions. Community team maintain a tracker identifying key elements. Itineraries are booked through a travel agent and vouchers are managed via Event Cinema (vouchers are for each resident within the building). Of note is that [redacted] is a shared house that do shift work and so they know when to make contact and offers are made to the entire household (i.e.: AA offer is for them to go to individual alternate accommodation). Residents that Metro has the contact details for have been called to advise of the coming door knock. Door knocks are done at agreed times. (2 x door knocks and phone call, plus a letter of offer left in their door). Metro has access to the apartment blocks and therefore can usually make direct contact to the receivers. Phase B Transitional Services have not conducted any construction during the audit period. Works are extremely limited (inspections, minor defects and maintenance of Water Treatment Plants). The auditees have not identified any instances of works outside of approved hours. No complaints received indicating a breach of permitted hours. AFJV are planning to surrender EPL 21610 by the end of March 2026. Phase J **Phase J Non-compliance: Phase J NC01: Various construction activities (cutting and breaking of concrete using a concrete saw and use of excavator) were undertaken at North Strathfield during the unapproved daytime Out Of Hours period between 8.30am and 2pm on Sunday, 07/12/25. These works were originally approved to be undertaken during the evening/night OOHW period on 07/12/25 but were brought forward to minimise impact. The failure to gain the associated approval resulted in a breach of D37(c)(ii). This was reported to the Department on 12/12/25 in accordance with A45/A46. On 14/01/26, 29/01/26 and 11/02/26 the Department sought additional information from Phase J and required that the information be provided by 29/01/26 then extended to 25/02/26. Phase J's response was not provided until 02/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response.**	

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
	ix. haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or x. work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary. Note: Tunnelling does not include station box excavation [MOD-3, 4 Jul 2022]						
D38	Out-of-hours Work Protocol – Work Not Subject to an EPL An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide: f) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: v. the ER and AA review all proposed out-of-hours activities and confirm their risk levels; vi. low risk activities can be approved by the ER in consultation with the AA; and vii. high risk activities that are approved by the Planning Secretary; viii. a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; g) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; h) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and i) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. j) This condition does not apply if the requirements of Condition D37(b) of this schedule are met. Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Complaints register current to 28/02/26 and submission to DPHI EPL 21610 Phase J Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval) Non-compliance Report NC-03 (breach of B1 and D38(e) on 05/02/26, DPHI post approval portal submission, 13/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26. AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26	The OOHW Protocol for Sydney Metro West was approved in 2022. The Protocol addresses the requirements of this condition. Phase H2 The NVMP includes the OOHW Protocol for Sydney Metro West (approved in 2022). The Protocol addresses the requirements of this condition. The NVMP was approved by the Department on 23/10/25. Refer to D37 regarding management of OOHW. Phase B Transitional Services are conducted under EPL 21610. The auditees have not conducted any construction during the audit period. Works are extremely limited (inspections, minor defects and maintenance of Water Treatment Plants). The auditees have not identified any instances of works outside of approved hours. No complaints received indicating a breach of permitted hours. AFJV are planning to surrender EPL 21610 by the end of March 2026. Phase J The NVMP was approved by the Department on 28/11/25. Phase J prepared a single permit and update the permits as scope evolved or dates changed. This allowed the works to be controlled and includes the justification, related communications, assessment, controls, approvals etc. No OOHW qualified as high risk. **Phase J Non-compliance: Phase J NC03: On 05/02/26, during a practical completion meeting, Ausgrid identified that existing cable lengths and joints may be insufficient for safe energisation of the kiosk to occur. Pre-outage enabling (investigation) works were originally scheduled for the evening prior to the outage occurring (the evening of 18/02/26). Due to resource and program limitations, the only dates available for the Ausgrid outage enabling works to be undertaken by Syscon were identified as being 13/02/26 with 15/02/26 as a contingency. Neither of these dates satisfy the requirement for the minimum seven (7) days notification period to receivers as specified in both the Sydney Metro OCCS or the OOHW Protocol. The OOHW proceeded and thus resulted in a breach against conditions B1 and D38(e). The breach was notified to the Department on 13/02/26 in accordance with A45-A46. The Department has commenced its investigation into the matter**	NC

D39	Construction Noise Management Levels and Vibration Criteria All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria: f) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); g) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); h) Australian Standard AS 2187.2 – 2006 "Explosives – Storage and Use – Use of Explosives" (for human exposure); i) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and (j) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items). Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan. Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 Phase H2 Noise and Vibration Management Sub-Plan, Quickway, 23/09/25 and Letter AA to Sydney Metro, 25/09/25 (AA endorsement of Phase H2 NVMP) and Letter ER to Sydney Metro, 25/09/25 (ER endorsement of Phase H2 NVMP) and Letter DPHI to Sydney Metro, 23/10/25 (DPHI approval of NVMP) Sydney Metro West – Five Dock Utilities Detailed noise and vibration impact statement, November 2025 Combined Noise and Vibration Monitoring Report, Sep 25 to Jan 26 and submission to AA and ER 17/10/25, 05/12/25, 22/01/26. Phase H2 induction, Rev01 (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 Five Dock OH to UG Utility Relocations EWMS, Rev 01 (EWMS establishing process, requirements and controls and signed on to be work crews). Low Impact Works presentation (no date) (includes requirements around the Approval, working hours, noise and vibration, stakeholder management, heritage, soil and water, biodiversity, site rules etc) Phase H2 prestart, 25/09/25 (includes key risks and requirements) Phase H2 Toolbox Talk, 04/12/25 (includes environmental requirements re community, parking housekeeping etc) Phase H2 Toolbox talk, 4/11/25 (includes working hours, noise and vibration, stakeholder management, sustainability, air quality, traffic, site rules etc) ER Inspection Reports 23/01/26 and 02/12/25 AA Inspection Reports, 25/09/25, 02/12/25, 23/01/26 Quickway Environmental Inspection Reports, Nov 25 – Feb 26 Quickway plant onboarding checks tipper truck DB52VX, Vac Truck XO1AR, excavator 17751E AARO Tracker current to 28/02/26 (Phase H2 tracker of properties eligible for Alternative Accommodation or Respite Offers) OOHW-001 – Investigation Works, 04/09/25 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) OOHW-002 – Cutover Works, 18/02/26 (includes scope, justification, assessment, consideration of cumulative impacts, community engagement /. Notification material, authorization, program) Complaints register current to 28/02/26 and submission to DPHI) Phase B Interview with auditees 10/03/26 (Phase B – TS) ER Monthly Reports Sep 25 – Feb 26 Complaints register current to 28/02/26 and submission to DPHI Phase J Phase J CEMP, 05/11/25 and ER endorsement 06/11/25 and submitted to DPHI on 14/11/25 (PA-844) Phase J NVMP, 26/11/25 and ER endorsement 03/11/25 and Letter DPHI to Sydney Metro 28/11/25 (NVMP Approval) Post approval portal submission PA-836 (submission of NVMP) Letter Metro to DPHI 01/12/25 PA-851 (A38 commencement of works notification for works commencing 05/12/25)	Phase H2 Controls are identified in the NVMP and DNVISs as relevant. Training, inspections, and monitoring is being implemented. Induction records, toolbox talks and pre-starts and work methodologies include the relevant requirements and have been communicated to the Project teams. Phase H2 use noise blankets around work zones, solar powered lights and regularly serviced plant fitted with non-tonal beacons. Where possible works are scheduled during the day time hours. The ER and AA have monitored the implementation. No material issues have been raised in their respective monthly reports. Noise and vibration monitoring has been conducted in accordance with the NVMP, Noise Monitoring Program and DNVIS. The results are issued to the ER, Sydney Metro and the AA for review. The results show that noise levels are below that predicted. The AA has not identified any compliance issues with the information presented. Phase B Transitional Services have not conducted any construction during the audit period. Works are extremely limited (inspections, minor defects and maintenance of Water Treatment Plants). No complaints received regarding construction noise during the audit period. Phase J The Noise and Vibration Management Plan was prepared, reviewed and endorsed by the AA and ER and approved by the Department prior to commencement of construction. **Phase J Observation: Evidence indicated that the Phase J CEMP and NVMP are by and large being implemented. Training, inspections, and monitoring is being implemented. Induction records, toolbox talks and pre-starts and work methodologies include the relevant requirements and have been communicated to the Project teams. Monitoring has been conducted. However, the AA notes in its 14/12/25 inspection report that several key mitigation measures from the NVMP and relevant OOHW permit were not being implemented (no use of temporary noise barriers, no micro sighting of noisy equipment to minimise impact, simultaneous use of noisy plant, use of tonal reverse beacons). Despite these deficiencies being identified, neither the AA nor Sydney Metro classified it as a non-compliance with C10.**	C

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
					Syscon Project Induction (no date / revision number, covers key environmental risks and the existing of rules and controls as stated in the CEMP, NVMP and DNVIS) and attendance record (05/12/25) Phase J Toolbox Talk, 05/12/25 (includes environmental check) Phase J Toolbox Talk, 08/12/25 (includes environmental check) Phase J Inspection Reports, 14/12/25, 22/12/25, 23/01/26, 13/02/26 ER inspection reports, 08/12/25, 23/01/26, 28/01/26, 19/02/26 Phase J Noise Monitoring Records 14/12/25, 23/01/26, 13/02/26 AA Inspection Reports 08/12/25, 14/12/25, 23/01/26 OOHW Permit No. 1, 27/11/25 and Rev6 updated 10/02/26		
D40	All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded: c) evening (6:00 pm to 10:00 pm) — internal Laeq(15 minute): 40 dB(A); and d) night (10:00 pm to 7:00 am) — internal Laeq(15 minute): 35 dB(A). The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D41	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D42	Construction Noise and Vibration Mitigation and Management Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to: d) use of regularly serviced low sound power equipment; e) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and f) use of alternative construction and demolition techniques.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D43	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D46	Construction Vibration Mitigation – Heritage Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. in the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D50	Utility Coordination and Respite All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must: d) reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or e) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and f) provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time	Phase J Non-compliance Report NC-02 (breach of D50(b) on 23/01/26, DPHI post approval portal submission, 05/02/26, DPHI Request for Information 16/02/26 and Phase J response 11/03/26.	**Phase J Non-compliance: Phase J NC02: The Acoustic Advisor (upon issue of the AA's Inspection Report) notified Sydney Metro that there was an inconsistency between the plant/equipment that was present on site on the evening of 23/1/26 with what was approved in the OOHW permit No.01 rev 5. Upon review, the approved OOHW Permit had omitted an activity from the DNVIS that modelled that plant and equipment, and therefore had not been taken into consideration when respite and alternate accommodation offers were made prior to works being undertaken. This resulted in a breach of D50(b) and was notified to the Department on 05/02/26 in accordance with A45/A46. On 16/02/26 the Department sought additional information from Phase J and required that the information be provided by 09/03/26. Phase J's response was not provided until 11/03/26. The Auditor has not sighted any further response from the Department in relation to the non-compliance or Phase J's response.**	NC
D51	Out-of-Hours Works – Community Consultation and Respite In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with: e) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; f) a description of the potential work, location and duration of the out-of-hours work; g) the noise characteristics and likely noise levels of the work; and h) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA before the out of hours work commences and to the EPA and the Planning Secretary on request. Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D52	Traffic Noise Mitigation at Westmead Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments. Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner. At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D53	Blasting Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours: e) 9:00am to 5:00pm, Monday to Friday, inclusive; f) 9:00am to 1:00pm on Saturday; and g) at no time on Sunday or public holidays; or h) as authorised through an EPL. This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D54	Blasting Management Strategy A Blast Management Strategy must be prepared and must include: f) sequencing and review of trial blasting to inform blasting; g) regularity of blasting; h) intensity of blasting; i) periods of relief; and j) blasting program.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D55	The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D56	The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D57	The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Socio-Economic, Land Use and Property							
D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D59	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D60	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D61	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

D62	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	To be audited in February 2026	To be audited in February 2026	To be audited in February 2026	Phase H2 ER Inspection Reports 23/01/26 and 02/12/25 Complaints register current to 28/02/26 and submission to DPHI Complaint record #6553 along with emails between complainant and Quickway 29-30/01/26 and photo series 18/02/26 Phase B AFJV Property Damage Claim Register SMWSTCTP-AFJ-1NL-PP-REG-000004, Rev 04 Memorandum of Understanding for Restoration Works, AFJV and CoCBC, 18/12/24 and Addendums 1 and 2 Complaints register current to 28/02/26 and submission to DPHI Phase J Phase J interviews with auditees 02/03/26 Complaints register current to 28/02/26 Complaint record 6510 and post construction photos (no date)	Phase H2 The ER inspection report noted that pre-construction condition surveys had been completed. One property damage complaint was received during the audit period. Complaint 6553: Property damage claim. Metro stated that the Contractor would repair the damaged fence and that temporary restoration has been done to footpath and lawn. Emails between the stakeholder and Quickway (29-30/01/26) indicate that the damage to the fence was present in the pre-construction dilapidation report and that it was not a result of construction. The complainant provided advice that this was accepted. Evidence was also provided demonstrating that the footpath and grassed verge had been restored. Phase B AFJV tracks property damage claims via the register. The register captures claim type, date notified, description of damage, relevant site, claim notes (detail) and status (open/closed). Claim status for the period from 1 Sept 2025 to 28 Feb 2026: • 10 new property damage claims during this period- 5 remain open ○ 2 claims have goodwill offers pending a response from owners ○ 2 claims relate to [redacted] which has involved significant engagement over the life of CTP/TS ○ 1 has not issued claim forms after their initial claim. Claim status for CTP/TS to date: • 51 property damage claims received: ○ 45 claims investigated and closed (includes agreements or multiple claims for single property/issue) ○ 2 claims have not lodged their damage claim forms or haven't responded to contact by AFJV ○ 4 claims open/under review, 2 of which have goodwill offers pending a response from owners and 2 of which relate to [redacted] who we have tried to liaise with on multiple occasions ○ Out of the 45 claims investigated and closed over CTP- 2 claims have been escalated to IPIAP who agreed with AFJV findings (no change since last audit). AFJV has entered into an agreement with Council, whereby AFJV have made footpaths and roads safe and accessible, but have paid Council to complete final restoration. Council provided written agreement of the handover state of the affected areas, as well as acceptance of the payment. Phase J The Phase J auditees are not aware of any property damage claims. None are recorded on the complaints register sighted. Of note though is a complaint regarding restoration (Complaint #6510). Photos were sighted to evidence that the works were completed as stated in the	C

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
						complaint (see below). There were no further complaints received in relation to this event.	
D63	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings. [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request. [MOD-3, 4 Jul 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D67	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: e) make a positive contribution to the potentially affected community; f) respond to community priorities and needs; g) create positive community or environmental outcomes; and h) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D68	The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D69	Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D70	Small Business Owners Engagement Plans Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Soils and Contamination							

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D71	Contaminated Sites **Unless otherwise agreed by the Planning Secretary, b** Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s), must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D72	Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CenvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D73	Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor. Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D74	Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW). Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D75	A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI. Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D76	A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s) and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Sustainability							
D79	A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to: f) evaluation of reuse options; g) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; h) measures to avoid misuse of recycled water as potable water; i) consideration of the public health risks from water recycling; and j) time frame for the implementation of the preferred reuse option(s). The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction. Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail. A copy of the Water Reuse Strategy must be made publicly available. Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Traffic and Transport							
D80	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D85	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D87	All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following: f) a swept path analysis; g) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; h) details as to the date of completion of the road dilapidation surveys for the subject local roads; and i) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and j) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D88	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D89	If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D90	Construction Parking and Access Management Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to: f) minimise parking on public roads; g) minimise idling and queueing on state and regional roads; h) not carry out marshalling of construction vehicles near sensitive land user(s); i) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and j) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D91	A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to: l) achieving the requirements of Condition D90 above; m) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; n) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; o) consultation with affected stakeholders signalize existing on- and off-street parking stock which will be impacted as a result of construction; p) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; q) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; r) where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce; s) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; t) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; u) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and v) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D92	The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D93	During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D94	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D95	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D96	The permanent road works at Clyde / Rosehill must be designed, constructed and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency and, accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken: f) in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group; g) in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements; h) to minimise and manage local area traffic impacts; i) to ensure access is maintained to property and infrastructure; and j) to meet relevant design, engineering and safety guidelines, including Austroads, Australian Standards, and TfNSW requirements. Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D96.1	The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the Camellia Rosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its master planning of the precinct. The current road reserve of Unwin Street between Kay Street and the TfNSW Granville Depot driveway (including the A'becketts Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary. Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D97	Permanent road works, including vehicular access, signalized intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D98	Pedestrian and Cyclist Access Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided and signposted before the restriction or removal of the impacted access.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D98.1	Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public). The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge. Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant masterplans and strategic planning documents. [MOD-2, 3 Jun 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

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		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D99	Spoil Movement Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D100	Emergency Vehicle Access The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Utilities Management							
D101	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D102	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to: d) the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community; e) providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and f) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Urban Design and Visual Amenity							
D103	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D104	Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D105	Temporary Activations The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial "pop up" spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area and creating temporary active frontages to construction sites during Stage 1 of the CSSI.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D106	Five Dock Metro Station Eastern Construction Site The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access and overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D107	The Visual Amenity, Solar Access and Overshadowing Report must include: d) visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site; e) solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and f) a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners. The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D109	Lighting and Security Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D110	Visual Amenity Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Waste							
D111	Waste generated during construction and operation must be dealt with in accordance with the following priorities: d) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; e) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and f) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
Water							
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D116	Stormwater Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D117	Water Quality Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO~~, in which case those requirements must be complied with.~~	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D118	Unless an EPL is in force in respect to Stage 1 of the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed: d) the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; e) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and f) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			
D121	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement			Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (TS)	Phase J (NSPE)	Phase H2 (FDOHP)			
D122	The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: g) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; h) predicted incidental groundwater take (dewatering) including cumulative project effects; i) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; j) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; k) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sties; and l) a schematic of the conceptual hydrogeological model. [MOD-4, 22 Dec 2022]	Not subject to audit at this time	Not subject to audit at this time	Not subject to audit at this time			

Appendix A3 - SSI 19238057 – Phase G2 - WTP

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
SCHEDULE 2: CONDITIONS OF APPROVAL							
PART A: ADMINISTRATIVE CONDITIONS							
General							
A1	The Proponent must carry out the CSSI in accordance with the conditions of this approval and generally in accordance with the: a) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Environmental Impact Statement dated 25 October 2021; b) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Submissions Report dated April 2022; c) Sydney Metro West – Archaeological Research Design and Excavation Methodology – Pyrmont Station dated April 2022; d) Sydney Metro West – Aboriginal and Historical Archaeological Research Design– Hunter Street Station (Sydney CBD) dated May 2022;. **e) Sydney Metro West – Major civil construction between The Bays and Sydney CBD – Modification Request (Mod 1) dated 1 February 2023;** **[MOD 1, 19 Apr 2023]** **f) Sydney Metro West – Stage 2 – Modification 1: Response to Submissions (correspondence) undated; and** **[MOD 1, 19 Apr 2023]** **g) Correspondence dated 15 August 2023 requesting modification to Conditions A9, D12, D15, D16 and D17 of SSI 19238057** **[MOD 2, 9 Oct 2023]**	Full Compliance	Full Compliance	Not subject to audit at this time.			
A2	The CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 unless otherwise specified in, or required under, this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A3	In the event of an inconsistency between: a) the conditions of this approval and any document listed in Condition A1, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1, the most recent document will prevail to the extent of the inconsistency. *Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document*	Full Compliance	Full Compliance	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A5	The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to: a) the environmental performance of the CSSI; b) any document or correspondence in relation to the CSSI;	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval.						
A6	Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include: a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. Note: *Consultation with the community and businesses will be undertaken in accordance with the Overarching Community Communication Strategy required under Condition B1.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval, unless otherwise approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A9	**Notwithstanding the requirement of any condition of this approval, the Proponent may adopt an existing Project Process endorsed by the ER or approved by the Planning Secretary to satisfy a condition of this approval.** **The Proponent must notify the Planning Secretary of the adoption of a Project Process and state which condition under the aforementioned approvals the Project Process is adopted from.** **If a Project Process prepared to meet the requirements of CSSI Approvals SSI 10038 or SSI 7400 is required to be updated to satisfy a corresponding condition of this approval, the Project Process must be submitted at least one month before construction to which the Project Process relates:**	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	(a) for endorsement by the ER or AA, where the relevant condition for which the project process is updated requires it to be endorsed by the ER or AA; or **(b) for the Planning Secretary's approval where the requirements of the relevant condition for which the project is updated requires it to be approved by the Planning Secretary.** **The ER or AA endorsement or Planning Secretary's approval (where required) must be obtained before construction or investigations relating to the Project Process can commence.** ***Note: For the purposes of this condition, the term 'Project Process' is taken to mean any strategy, plan, procedure, panel, system, protocol, archaeological research design and program required to be completed to satisfy a condition of this approval or for SSI 10038 or SSI 7400.*** **[MOD 2, 9 Oct 2023]**						
A10	Notwithstanding Condition A9, where the following have been approved by the Planning Secretary for the purpose of SSI 10038 and SSI 7400, further approval is not required for the CSSI where the same individual/company/document is nominated: a) Acoustics Advisor; b) Excavation Director c) Community Complaints Mediator; d) Community Communication Strategy; e) Out-of-Hours Work Protocol; or f) Independent Property Impact Assessment Panel The Proponent must notify the Planning Secretary of any such appointment of an individual/company or application of a document consistent with the requirements of the corresponding condition in SSI 10038 and SSI 7400.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Timing and Approvals							
A11	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under **Condition A45.**	Full Compliance	Full Compliance	Not subject to audit at this time.			
A12	Any document or similar that must be prepared under the conditions of this approval, may be prepared, and where applicable submitted, for multiple phases of the CSSI, if agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A13	Without limitation, all strategies, plans, programs, reviews, audits, report recommendations, protocols and the like required by the terms of this approval must be implemented by the Proponent and in accordance with all requirements issued by the Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Phasing							
A14	The CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A15	The Phasing Report must: a) set out how construction of the whole of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; b) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of the CSSI; c) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and d) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of the CSSI. With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A16	The CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A17	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A18	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A19	With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of the CSSI. *Notes:* *1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and* *2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program.*	Full Compliance	Full Compliance	Not subject to audit at this time.			
Ancillary Facilities							
A20	Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 can only be established and used in each case if: a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the	Full Compliance	Full Compliance	Not subject to audit at this time.	Hunter Street Ancillary Facility, 18/12/25 and DPHI approval 22/11/24 Union Street Closure Ancillary Facility, 18/12/25 and DPHI approval 16/09/25 The Bays Truck Marshaling Ancillary Facility, 04/09/25 and DPHI approved via CPAS 14/06/24 The Bays Car Parking, Storage and Truck Marshalling Ancillary Facility, 13/02/26	Four Ancillary Facilities were in use during the audit period, all of which were reviewed and assessed by the ER and approved by the Department. These were all approved prior to the current audit period and incorporated into the CEMP their use continued through the current audit period. The Auditor has not identified any Ancillary Facilities that were not approved. Arrangements sighted and referred to in the ER Monthly	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	carrying out of the relevant facility in the proposed location **unless otherwise approved by the Planning Secretary**; and c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. Note: Th*is condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A23.*					Reports indicates that the set up and use is consistent with the Ancillary facility applications.	
Site Establishment Work							
Site Establishment Management Plan							
A21	Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A23, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include: a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: i. meet the performance outcomes stated in the documents listed in Condition A1, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and e) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Use of Ancillary Facilities							

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A22	The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1, relevant CEMP Sub-plans required by Condition C5 and relevant Construction Monitoring Programs required by Condition C14 have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). *Note: This condition does not apply to Condition A23 or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.*	Full Compliance	Full Compliance	Not subject to audit at this time.	Hunter Street Ancillary Facility, 18/12/25 and DPHI approval 22/11/24 Union Street Closure Ancillary Facility, 18/12/25 and DPHI approval 16/09/25 The Bays Truck Marshaling Ancillary Facility, 04/09/25 and DPHI approved via CPAS 14/06/24 The Bays Car Parking, Storage and Truck Marshalling Ancillary Facility, 13/02/26	Four Ancillary Facilities were in use during the audit period, all of which were reviewed and assessed by the ER and approved by the Department. These were all approved prior to the current audit period and incorporated into the CEMP their use continued through the current audit period. The Auditor has not identified any Ancillary Facilities that were not approved. Arrangements sighted and referred to in the ER Monthly Reports indicates that the set up and use is consistent with the Ancillary facility applications.	C
Minor Ancillary Facilities							
A23	~~Lunch sheds, office sheds, portable toilet facilities, and the like, can~~ **Minor Ancillary Facilities may be** established and used where they have been assessed in the documents listed in Condition A1 or satisfy the following criteria: a) are located within or adjacent to the Construction Boundary; and b) have been assessed **by proponent with the adoption of mitigation measures** as appropriate; and c) **in the opinion of** the ER to have: ~~i.~~ minimal amenity ~~impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and~~ ii. ~~minimal~~ and environmental impact ~~with respect to waste management and flooding~~, and iii. no impacts on biodiversity, ~~soil and water, and~~ **or** Heritage items beyond those already approved under other conditions of this approval.	Full Compliance	Full Compliance	To be audited in February 2026	Hunter Street East Minor Ancillary Facility Assessment, ER approved 23/10/24 Hunter St Ancillary Facility Amenities, ER approved 19/03/25 Union Street Minor Ancillary facility, ER approved 19/03/25 Glebe Island Bridge Minor Ancillary facility, ER approved 15/09/25 The Bays Laydown Minor Ancillary facility, ER approved 14/07/25	Five Minor Ancillary Facilities were put into use during the audit period, with all but one (Glebe Islan Bridge) approved prior to the current audit period. The Auditor has not identified any Minor Ancillary Facilities that were not approved. Arrangements sighted and referred to in the ER Monthly Reports indicates that the set up and use is consistent with the Minor Ancillary Facility applications.	C
Boundary Screenings							
A24	Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A25	Boundary screening required under Condition A24 must minimise visual impacts on adjacent sensitive land user(s).	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Appointments							
A26	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A27	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: a) facilitate and assist the Planning Secretary in any such audit; and	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit						
A28	Upon completion of an audit under Condition A27 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. *Note: Conditions A27 and A28 apply to all Independent Appointments including the ER, AA and Independent Auditor.*	Full Compliance	Full Compliance	Not subject to audit at this time.			
Environment Representative							
A29	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A30	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1, is independent from the design and construction personnel for the CSSI and those involved in the delivery of it and engaged for the duration of work and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A31	The Proponent may engage more than one ER for the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Full Compliance	Full Compliance	Not subject to audit at this time.			
A32	For the duration of the work or as agreed with the Planning Secretary, the approved ER must **be enabled to**: a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A14, A21, C1, C5 and C14 and any other documents that are identified by the Planning Secretary, to ~~ensure~~ **be satisfied that** they are consistent with requirements in or under this approval and if so: i. must make a written statement to this effect before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. must make a written statement to this effect before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary /	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Department for information or are not required to be submitted to the Planning Secretary / Department); iii. provide a written statement to the Planning Secretary advising the documents have been endorsed. e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary; f) regularly monitor the implementation of the documents listed in Conditions A14, A21, C1, C5 and C14 to ~~ensure~~ **be satisfied that** implementation is being carried out in accordance with the document and the conditions of this approval; g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A41; h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department; i) consider ~~or assess~~ the impacts of minor ancillary facilities ~~comprising lunch sheds, office sheds and portable toilet facilities~~ as required by Condition A23 of this schedule **and where satisfied endorse**; and j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval; k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the CSSI, or as otherwise agreed by the Planning Secretary; and l) assess the impacts of activities as required by the Low Impact Work definition. With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.						
A33	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A32 (including preparation of the ER monthly report), as well as: a) the Complaints Register (to be provided on a weekly basis or as requested); and b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	Full Compliance	Full Compliance	Not subject to audit at this time.			
Acoustics Advisor							

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Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A34	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A35	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A34) and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A36	The Proponent may nominate additional suitably qualified and experienced persons, for the Planning Secretary's approval, to assist the lead AA.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A37	The approved AA must: a) receive and respond to communication from the Planning Secretary in relation to the performance of the CSSI in relation to noise and vibration; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A45; h) in conjunction with the ER (where required), the AA must: i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8), help plan, attend or undertake audits of noise and vibration management of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, iii. if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
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OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	not include any modifications to the conditions of this approval), iv. if requested by the ER, review the noise impacts of minor ancillary facilities, and v. prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for the CSSI, or as otherwise agreed by the Planning Secretary.						
A38	The Proponent must cooperate with the AA by: a) providing access to noise and vibration monitoring activities as they take place; b) providing access to the Complaints Register if requested; c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Notification of Commencement							
A39	The Department must be notified in writing of the date of commencement of construction before the commencement of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A40	If construction of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Environmental Audit							
A41	Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020). Notwithstanding the above, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A42	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A43	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A44	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Incident and Non-Compliance Notification and Reporting							
A45	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident. Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	Full Compliance	Full Compliance	To be audited in February 2026	Incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 17/11/25 AMBS heritage assessment of damage to [redacted], 21/11/25	The Auditees identified one notifiable incident during the audit period. On 04/11/25 a complaint was received from [redacted] egarding an internal tile falling from a column inside the property during the demolition of strip footing along the northern boundary of Hunter Street East. Investigations were conducted by the Project structural engineer on 11/11/25 and it was determined by the assessment that the event had the potential to cause harm (on the basis that the tile falling had the potential to cause physical harm) and thus was notified to the Department under A45 accordingly on 12/11/25. A detailed report was subsequently prepared and was reported to the Department on 17/11/25 and 10/12/25. The report included specialist advice from TTW and AMBS. TTW have advised that the damage likely occurred due to a combination of previous damage and repair, poor workman ship and vibrations. The AMBS advice stresses that the adopted vibration thresholds were not surpassed by the works in the lead up to or during the incident. Further, they state that '*Based on the impact assessment outlined in Table 1 and the projects interpretation of the Heritage NSW Material Threshold Policy, the proposed works are consistent with the project approval from a heritage perspective and will not increase the heritage impacts of the project works beyond the levels assessed in the EIS.*' The Auditor is not aware of any feedback having been provided by the Department on the matter.	C
A46	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	Full Compliance	Full Compliance	To be audited in February 2026	Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/03/2025 to 31/08/2025 and DPHI notification 17/10/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/04/2025 to 30/09/2025 (CPAS reporting period) and DPHI notification 17/11/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/10/2025 to 31/10/2025 and DPHI notification 05/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/11/25 to 30/11/25 and DPHI notification 08/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/12/2025 to 31/12/2025 and DPHI notification 15/01/26 Letter DPHI to Sydney Metro, 02/02/26 (acknowledgement of monthly reports and switch to quarterly reporting for 2026 with the next report due 17/04/26).	A non-compliance with D77 was identified during the previous audit. This was subsequently reported to the Department on 17/10/25. As a result of the finding, the auditees requested approval from the Department to establish a routine (monthly) reporting mechanism for reporting breaches of approved routes. This was approved by the Department on 10/11/25 and reporting of non-compliances with D77 has been conducted in line with that approval. For each month between 01/09/25 and 31/12/26 a monthly notification of breaches of approved routes occurred. On 02/02/26 The Department approved switching the notifications of breaches of approved routes to quarterly with the next notification due 17/04/26. JCG received correspondence from three stakeholders regarding an offer of alternative accommodation for night works occurring at Pyrmont in October 2025. The three stakeholders expressed dissatisfaction about the offer. The correspondence was initially recorded as an 'event' in the Consultation Manager Database (CMD). Following a review on 17/10/2025 of the entries these three	C

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	Condition / requirement within this audit scope and subject to assessment.

wolfpeak
A SEQUANA COMPANY

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					Non-compliance Report, D52 Classification / Handling of Complaints regarding AA in October 2025 and DPHI notification 22/10/25	'events' were reclassified as 'complaints'. Complaint ID 6405, date logged 29/09/2025; Complaint ID 6406, date logged 01/10/2025; Complaint ID 6407, date logged 15/09/2025. As a result the requirements of the ETP Community Communications Strategy Section 10.2.1 were not met. Section 10.2.1 requires: *Any complaint received by JCG will be reported daily to Sydney Metro and uploaded in the CMD within 24 hours. Sydney Metro provides the Complaints Register to the Secretary, DPE as required by the Conditions of Approval.* The non-compliance against D52 was reported to the Department on 22/10/25. The Auditor is not aware of any repeat occurrences of this issue nor any response from the Department.	
A47	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. Note: A non-compliance which has been notified as an incident does not need to also be notified as a non- compliance.	Full Compliance	Full Compliance	To be audited in February 2026	Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/03/2025 to 31/08/2025 and DPHI notification 17/10/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/04/2025 to 30/09/2025 (CPAS reporting period) and DPHI notification 17/11/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/10/2025 to 31/10/2025 and DPHI notification 05/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/11/25 to 30/11/25 and DPHI notification 08/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/12/2025 to 31/12/2025 and DPHI notification 15/01/26 Letter DPHI to Sydney Metro, 02/02/26 (acknowledgement of monthly reports and switch to quarterly reporting for 2026 with the next report due 17/04/26). Non-compliance Report, D52 Classification / Handling of Complaints regarding AA in October 2025 and DPHI notification 22/10/25	The non-compliance notifications and reports sighted include the information required by this condition.	C
Identification of Project							
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A49	The locations of all heavy vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available to the Planning Secretary and the EPA upon request for a period of no less than one year following the completion of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING							
Community Information, Consultation and Involvement							

Legend	
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		Phase G1	Phase G2				
B1	Community Notification The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 must be implemented for the duration of the work. Should the Overarching Community Communication Strategy be updated, a copy must be provided to the Planning Secretary for information.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 and DPHI letter of Approval dated 11/09/25[Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/02/26(JCG website) Consultation Manager (CM) online database Project notifications and construction updates 01/09/25 – 28/02/26 (published online, but removed after 3 months to maintain currency): https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station Complaints register current to 28/02/26 and submission to DPHI https://www.sydneymetro.info/tunnelling and https://www.sydneymetro.info/sydney-metro/journey-sydney-metro-west-tunnel-boring-machines (TBM tracker) JCG Project Progress Reports (Community and Community benefits Sections), September 2025 to February 2026 Email Lowy to ETP, 17/10/25 (thank you notification for the site Email chain Lowy and ETP, Feb 2026 (request to avoid hammering between 12/02/26 and 13/02/26) CCTV footage from 12 and 13/02/26 Email [redacted] to ETP, 23/02/26 (thank you for the inspection on 18/02/26) Email [redacted] to ETP, 06/02/26 (request for ETP to share weekly vibration data Email ETP to [redacted], 17/02/26 (ETP sharing of vibration data). Email chain ETP and Maritime Museum, October 2025 (engagement for inspection) Emails ETP to Maritime Museum (daily for 3 weeks in October 2025 sharing vibration data and again in November during cross passage excavation) Email ETP to Maritime, 30/01/26 (Maritime stating that no further specific engagement required at this time). Premier visit photos, 17/11/26 Union Street Closure and Concrete Pours Noise assessment and engagement tracker (no date) (identification of receivers subject to noise impact, notification dates and offers, and acceptance of offers) Sorry I Missed You slips (for unsuccessful contact re OOHW RO offers) Email ETP to stakeholder between 14/01/26 and 14/02/26 (offer of AA on compassionate grounds, which was accepted, and thanks provided later)	Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. The OCCS underwent a review and was updated on 05/09/25 and most recently approved by Department on 11/09/25. Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period. The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project. The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder There is also a subordinate Community Communications Strategy that has been prepared by JCG. This document has been prepared in a manner consistent with that specified by Section 2 of the OCCS. It has been reviewed on a 6 monthly basis and the most recent version 11/02/26 is available online. The CCS is regularly monitored and reviewed to meet the requirements listed in OCCS Table 6. Evidence of implementation of the OCCS was sighted as follows: - Community Day records - Comms with specific stakeholders are tailored depending on needs (Maritime Museum, Lowy Institute, several stakeholders at Pyrmont and Hunter Street) - Distribution of works notifications - Project updates - Specific reports issued to key stakeholders - Signage A site based information session was held for the Lowy Institute on 10/10/25. This received positive feedback by the stakeholder. This stakeholder has also been directly engaged with as a neighbouring property. A request was raised by them to avoid hammering during some events held on 12/02/26 and 13/02/26. The CCTV footage indicates that no hammering was occurring against this receiver on the periods when the events were occurring. 25 Bligh Street property managers have been given a site tour and provided positive feedback. This stakeholder requested vibration data be provided weekly and evidence shows that this has been occurring since 17/02/26 (looking back each week). Particular engagement also happened with Maritime Museum due to TBMs passing underneath the boundary and extensive cabin excavation nearby. They attended the site (including underground) on 14/10/25. Special criteria was applied to the museum from a vibration perspective (2.5mm/s) which aligned with the Museums own criteria. No exceedances were recorded. Maritime stated that no further specific engagement required at this time (once excavation nearby was completed). Community information session held on 26/11/25 and attracted ~30 people that were interested in the wider project.	C

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		Phase G1	Phase G2				
					Pyrmont call to action 27/11/25 (notification and request to make contact regarding Pyrmont concrete pours). Pyrmont Newsletter 23/09/25 (summary of the activities at Pyrmont and advising of future targeted engagement for specific works). Pyrmont Distribution Map, September 2025 ConMan extract with Dodgy Sounds (August 2025, advising of all the future works which were occurring during the audit period). ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly, between 01/09/25 and 19/02/26 (includes community feedback)	No specific open days due to the state of the project and Christmas. Major presentations are scheduled in the next audit period for the TBM breakthroughs. Engagement with the music studios in Pyrmont continued, noting however that the bulk excavation and hammering works had significantly progressed and the ground borne noise and vibration risk reduced during the current audit period. For the Pyrmont Concrete extended hours, the DNVIS identified highly impacted receivers eligible for respite for OOHW. The data is provided to the comms team and they maintain a tracker identifying the receiver, the date/s that contact was sought (3 x attempts). Once contact is achieved an offer of respite is made (minimum of 7 days prior) noting that the offer stands throughout (i.e.: they don't need to accept straight away). In addition to respite the consultation process identified a stakeholder with special needs that was offered alternate accommodation. The receiver provided written thanks afterwards. Interrogation of random selection of complaints was conducted: Complaint 6542: The complainant was offered but did not accept RO prior to the OOHW concreting commencing. ETP stated in the complaints register that RO was provided retrospectively (i.e.: after the works were completed). The actual triggering works were not Sydney Metro's (Jemena). Email to the stakeholder dated 16/01/26 demonstrates that the RO offer was made. The RO has not yet been taken up. Complaint 6523 (07/01/26): Auditees state that the minimisation of noise would occur. This is evidenced via the DNVIS, OOHW permit and TBT and then the monitoring and inspection. Complaint 6419. ETP stated that the hammering in the Pyrmont cavern will finish by 10pm and confirmed that the hammering activities will conclude on 24/10. ETP offered to undertake noise monitoring in the stakeholder's home before the work concludes. Stakeholder did not respond to the offer of noise monitoring and there was no further feedback logged from this stakeholder on this matter. The auditees confirmed that the works were completed in line with schedule. In the monthly reports to Sydney Metro JCG summarises all engagement conducted each month, along with statements as to whether community engagement metrics from the CCs are being achieved. JCG states in the monthly reports from Sep 25 to Feb 26 that metrics have been met.	
Complaints Management System							
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of the CSSI.	Full Compliance	Full Compliance	To be audited in February 2026	Complaints Management System, Sydney Metro, 05/09/25 Interview with auditees 26-27/02/26 Consultation Manager (CM) online database Complaints register current to 28/02/26 and submission to DPHI https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. ETP is also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation. The data required under the OCCS and B4 has been captured. Every complaint is logged into Consultation Manager platform (shared between contractor and Metro). It is shared daily to allow immediate reporting with third parties, as necessary. The complaint is distilled by comms team reflective of the purpose and audience	C

Legend	
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		Phase G1	Phase G2				
						of the complaints register. Majority of complaints are received through emails. The Complaints Management System document underwent a minor update during the audit period, updating to NSW Government Department and project names.	
B3	The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction: a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; b) a postal address to which written complaints and enquires may be sent; c) an email address to which electronic complaints and enquiries may be transmitted; and d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Community Information line (1800 612 173) Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/02/26 (JCG website) Consultation Manager (CM) online database Complaints register current to 28/02/26 https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/how-to-make-a-complaint https://www.sydneymetro.info/complaints-privacy-collection-notice https://www.sydneymetro.info/documents https://caportal.com.au/tfnsw/sydmetrowest https://www.sydneymetro.info/website-accessibility	The Project works notifications includes contact details as required by this condition. Works updates are directly dropped to community. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies. The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The auditees are not aware of any complaints requiring escalation during the audit period. Signage on the Project construction sites includes the 1800 number and a QR code for community engagement.	C
B4	A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the: a) number of complaints received; b) date and time of the complaint; c) number of people in the household affected in relation to a complaint, if relevant; d) method by which the complaint was made; e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; f) issue of the complaint; g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and h) if no action was taken, the reason(s) why no action was taken.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Complaints Management System, Sydney Metro, 05/09/25 Complaints Privacy Collection Notice Consultation Manager (CM) online database Complaints register current to 28/02/26 and submission to DPHI	Sydney Metro operates an overarching complaints register via the Consultation Manager platform. ETP is also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation. The Complaints Management System and register define whether a complaint is: • 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or • 'avoidable' that is a complaint 'about issues outside planning approval, or a commitment that has been given to the community or stakeholders.'' According to the Complaints Management System, complaints classed as unavoidable must be supported by a justification. 79 x complaints were recorded during the audit period (essentially steady with the previous audit period), 11 of which were not related to the project. ~87% relate to noise during standard or OOHW with the remainder made up of a mix of issues relating to traffic/access/parking, air quality, property acquisition, worker behaviour.	C
B5	Complainants must be advised of the following information before, or as soon as practicable after, providing personal information: a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning and	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 https://www.sydneymetro.info/get-touch	The collection statement is available on the Sydney Metro website. The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; c) the supply of personal information by the complainant is voluntary; and d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement.				https://www.sydneymetro.info/complaints-privacy-collection-notice Email auto reply for community email (example only) Community Information line (1800 612 173) Complaints register current to 28/02/26	Personal Information Protection Act and directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition. An automatic email response is sent to the complainant and when the complaint is made by phone, this is directly addressed on the call.	
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. *Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.*	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Complaints register current to 28/02/26 and submission to DPHI (portal records 01/09/25 – 28/02/26)	Sydney Metro West (for both Stage 1 & 2) Complaints Register is issued to the DPHI on a weekly basis. Refer B5 regarding notification about use of personal information.	C
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 SM appointment letter for ER, AA, CCM and IPIAP dated 5/12/22 DPE approval letter for Appointment of Experts (ER, AA, IPIAP and CCM) 21/12/22 Complaints register current to 28/02/26	Appointment letter for ER, AA and CCM and IPIAP dated 5/12/2022. Letter was submitted to DPE on the 28/11/2022 and approved on the 21/12/2022. Nominated CCM: Steve Lancken from Negocio Resolutions. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	C
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	Full Compliance	Full Compliance	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/02/26(JCG website) Interview with auditees 26-27/02/26 Complaints register current to 28/02/26	The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute. A very high-level description of the CCMs role is included in the OCCS and CCS. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	C
B9	Community Complaints Mediation will: a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints, resolve disputes or mitigate against the occurrence of future complaints or disputes.	Full Compliance	Full Compliance	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/02/26(JCG website) Interview with auditees 26-27/02/26 Complaints register current to 28/02/26	A very high-level description of the CCMs role is included in the OCCS. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	NT
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	Full Compliance	Full Compliance	To be audited in February 2026	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/02/26(JCG website)	The auditees are not aware of any complaints having been referred to the Mediator by the ER.	NT

Legend	
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		Phase G1	Phase G2				
					Interview with auditees 26-27/02/26 Complaints register current to 28/02/26		
Provision of Electronic Information							
B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including: a) information on the current implementation status of the CSSI; b) a copy of the documents listed in Condition A1, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and f) a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate. *Note: The intention of this condition is to increase transparency and for information/documents required as part of the approval to be provided proactively and publicly in an easily accessible manner. Where information is excepted by this condition, it is intended that these documents are provided in their redacted form.*	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites). https://www.sydneymetro.info/west/project-overview https://www.sydneymetro.info/get-touch https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station https://www.sydneymetro.info/west/environment-planning https://jcgjv.com.au/documents/ https://jcgjv.com.au/contact-us/ https://www.sydneymetro.info/documents https://caportal.com.au/tfnsw/sydmetrowest https://www.sydneymetro.info/media/document/39296	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractor. The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise. Refer to the March 2024 audit for details on the process of uploading information to each of the project websites. The Auditor notes that the information required to be published and the processes to support that activity are now well progressed. Construction commenced prior to the current audit period. Therefore the extent to which documents must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation (as per B11e)) is limited. The publications relevant to the current audit period generally pertain to updates to existing approved documents, or project notifications / updates, new or addendum DNVISs / CTMPs / AF/MAF applications and Monitoring Reports. As far as the Auditor can ascertain from the review of the Sydney Metro and Contractor websites, the documents were uploaded prior to the relevant works having commenced.	C
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT							
Construction Environmental Management Plan							
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 will be implemented and achieved during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
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		Phase G1	Phase G2				
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C5	Of the CEMP Sub-plans required under Condition C1, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub- plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why: **Required CEMP Sub-plan** – **Relevant government agencies to be consulted for each CEMP Sub-plan** a) Noise and vibration – Place Management NSW (in respect of The Bays) and Relevant Council(s) b) Flora and fauna – DPE Environment and Heritage Group, DPI Fisheries, and Relevant Council(s) c) Heritage (Non-Aboriginal and Aboriginal) – Heritage NSW, Place Management NSW (in respect of The Bays) and Relevant Council(s) d) Spoil – Relevant Council(s) e) Soil and water – DPE Water and Relevant Council(s)	Full Compliance, except for (b), (c) and (d).	Full Compliance	Not subject to audit at this time.			
C6	The CEMP Sub-plans must state how: a) the environmental performance outcomes identified in the documents listed in Condition A1 will be achieved; b) the mitigation measures identified in the documents listed in Condition A1 will be implemented; c) the relevant conditions of this approval will be complied with; and d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).	Full Compliance	Full Compliance	Implementation of Noise and Vibration CEMP Sub-plan and Heritage CEMP Sub-plan to be audited in February 2026.	Construction Environmental Management Plan (CEMP), JCG, 02/12/24 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Activity Method Statements: - ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - ETP Permanent Lining at Pyrmont, 26/08/25 (includes reference to the need for a OOHW permit) - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Toolbox Talk, 11/02/26 (covers cross passage excavation working hours) and attendance record Toolbox Talk, 04/11/25 (covers Permanent Lining Concrete pours and noise management, and the potential for EPA interest) and attendance record Hunter Street Toolbox talk, September 2025 (D39-D40 management). OOHW Concrete pours noise monitoring report EPL 21784, January 2026 under condition L5.20 (submitted to EPA on 30/01/26) Notice to vary EPL 21784, EPA, 02/02/26 Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Complaints register current to 28/02/26 OOHW Tracker (online, SharePoint), and OOHW permits (various) Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26)	The Plans were endorsed prior to the current audit period. Refer to earlier audit reports for details Evidence indicated that the Sub-plans are being implemented. Training, inspections, and monitoring is being implemented. A system or file directory for the recording, actioning, escalation and close out of actions (inspections, monitoring, deficiency management, incident / non-compliance management). Deficiencies in controls / incident / non-compliances are being identified and actioned. Induction records, toolbox talks and pre-starts and Activity Method Statement or work methodologies include the relevant requirements and have been communicated to the Project teams. Physical controls appear to have been implemented consistent with the documents. Unplanned events relating noise, vibration or heritage impacts have been identified and corrective actions applied consistent with the plans. Specialist advice has been sought and followed where this forms part of the corrective action process. The ER and AA have monitored the implementation of the noise and vibration Sub-plan and heritage Sub-plan and have made minor recommendations to ensure the Plans are effectively implemented. These relate to improvements site based controls (particularly soil and water controls at Hunter Street West, which gets raised regularly). The findings do not indicate systematic failures or contravention with the documents. .	C

Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf - DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring). ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly, between 01/09/25 and 19/02/26 (includes community feedback) D39 Consultation Report, Hunter Street Excavation, JCG, September 2024 D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25 D39 Consultation Report, Pyrmont East and West shaft excavation, 13/02/24		

Legend	
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		Phase G1	Phase G2				
					Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams D39-D40 Monitoring results (tracking map and spreadsheet of all attended noise monitoring for Hunter Street) Hunter Street Cut Plan (daily to plant operators under D39-D40) Weekly update – Hunter Street (Sydney CBD) example Feb 2026 Complaints register current to 28/02/26 Heritage management Sub-plan, JCG, 14/05/25 Weekly Instrumentation and Monitoring Reports, JCG. Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26). Instrumentation and Monitoring Procedure, JCG, Rev1.0 Sydney Metro Heritage Advisor handover notes, 18/12/25 (statement from heritage advisor regarding down pipe damage and storage). Sydney Metro Heritage Advisor inspection report on Skinners Hotel, 19/08/25. AMBS email to JCG, 05/03/26 (confirmation that damage to doorway of Skinners Hotel is immaterial) Incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 17/11/25 AMBS heritage assessment of damage to [redacted], 21/11/25 TTW structural Assessment of [redacted], 12/11/25 Heritage Salvage Register, current to 28/08/24 – two items have been registered (29/5/23 plaques Pyrmont West and 31/8/23 Gilbey's logo at Pyrmont West) Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely). Hunter Street West Clearance certificate 02/06/25 (entire West site cleared) Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West) ER Weekly Inspection Reports, 01/09/25 – 28/02/26 ER Monthly Reports Sep 25 – Feb 26		

Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
C11	In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must address procedures to avoid, minimise, mitigate and manage impacts on native fauna prior to clearing of vegetation and demolition of potential microbat roost structures. The Flora and fauna CEMP Sub-plan must include, but not be limited to: a) preclearance surveys for vegetation and potential microbat roost structures that require demolition; b) Unexpected Microbat Find Procedure that: i. includes protocols in the event microbats are identified; ii. notification to the NSW Environment and Heritage Group in the event microbats and/or roosting sites are identified; and iii. details for undertaking and mitigating vegetation clearance.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C12	In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 and which will be impacted by the CSSI; d) in association with Condition D44, set out the final site inspections to be conducted for the Post-Construction Condition Report within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: i. Former Skinners Family Hotel (SHR 00584); ii. NSW Club House Building (SHR 00145); iii. Former Bank – Delfin House (SLEP I1903); and iv. Richard Johnson Square (SLEP I1673). e) set out means of rectification of damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C13	In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to: a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during	Full Compliance	Full Compliance with respect to the White Bay Power Station and former State Abattoirs	Not subject to audit at this time.			

Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023) Phase G1	Phase G2	Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
	the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. The contamination component of the Soil and Water CEMP Sub-plan must be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme.						
Construction Monitoring Programs							
C14	The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of the CCSI against the performance predicted in the documents listed in Condition A1 or in the CEMP: **Required Construction Monitoring Programs** | **Relevant government ager Monitoring Program** a) Noise and vibration | EPA, Place Management NS Council(s) b) Surface water quality | DPE Water, Relevant Counc assets are impacted) c) Groundwater | DPE Water	Not Applicable	Full Compliance	Not subject to audit at this time.			
C15	Each Construction Monitoring Program must provide: a) details of baseline data available including the period of baseline monitoring; b) details of baseline data to be obtained and when; c) details of all monitoring of the project to be undertaken; d) the parameters of the project to be monitored; e) the frequency of monitoring to be undertaken; f) the location of monitoring; g) the reporting of monitoring results and analysis results against relevant criteria; h) details of the methods that will be used to analyse the monitoring data; i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; j) a consideration of SMART principles; and k) any consultation to be undertaken in relation to the monitoring programs; and l) any specific requirements as required by Conditions C16 and C17.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C16	The Noise and Vibration Construction Monitoring Program must include: a) noise and vibration monitoring determined in consultation with the AA to confirm the best- achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and	Not Applicable	Full Compliance	Implementation of Noise and Vibration CEMP Monitoring Program to be audited in February 2026.	Refer to evidence sighted in C22 and C23	Refer to finding in C22 and C23	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. d) noise monitoring methods must be developed and carried out to meet the requirements of the EPA's Approved Methods for the Measurement and Analysis of Environmental Noise (EPA, 2022).						
C17	Groundwater Construction Monitoring Program must include: a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval	Not Applicable	Full Compliance	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	
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		Phase G1	Phase G2				
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Not Applicable	Full Compliance	Implementation of Noise and Vibration CEMP Monitoring Program to be audited in February 2026.	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 AA Monthly Reports, March – August 2025 Noise and Vibration Annual Monitoring Report 1 March 2024 to 31 March 2025, 07/05/25 Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS) Hunter Street D39 Monitoring results spreadsheet and map (21/07/25). Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring). Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) SiteHive vibration monitoring results for Skinners Hotel, current to 21/08/25OOHW Concrete pours noise monitoring report EPL 21784, January 2026 under condition L5.20 (submitted to EPA on 30/01/26) Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring). Weekly Instrumentation and Monitoring Reports, JCG. Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26). Instrumentation and Monitoring Procedure, JCG, Rev1.0	Noise and Vibration Monitoring results are being recorded in online modules (SiteHive, Soteria). Realtime attended and unattended noise and vibration monitoring is occurring at each site where real-time monitoring is required under the monitoring programs. Verification monitoring is being conducted at the commencement of each new OOHW activity and in response to complaints. Exceedances have been recorded and responded to (where related to Project activities, or have been deemed not associated to Project works). The AA reviews noise and vibration monitoring information and has not identified a non-compliance with this condition. are reviewed by the AA.	C
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER, AA (where relevant) and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. *Notes:* *1. Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.* *2. Data must be provided in an acceptable format for relevant regulatory agencies.* *3. With regards to monitoring data to be provided to DPE Water, the format of the dataset must be both in a tabulated and electronic quality-controlled data (.csv, Excel) ready to use format.*	Not Applicable	Full Compliance	Implementation of Noise and Vibration CEMP Monitoring Program to be audited in February 2026.	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 DPHI post approval lodgment, 28/05/25 (noise vibration monitoring report) Noise and Vibration Annual Monitoring Report 1 March 2024 to 31 March 2025, 07/05/25 https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/Annual-NV-Monitoring-Report-24-25_Rev2_redacted.pdf	The Annual Noise and Vibration Monitoring Report (1 March 2024 to 31 March 2025) was prepared, reviewed by the AA, and submitted to the AA, ER and Department in accordance with the Noise and Vibration Monitoring Program. Submission occurred on 28/05/25. The next Annual Noise and Vibration Monitoring Report is under preparation and is to be submitted in May 2026.	C
PART D: KEY ISSUE CONDITIONS							
Air Quality							

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	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Flooding							
D2	Unless otherwise agreed by the Planning Secretary, the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following: a) a maximum increase in inundation time of one hour during any flood event up to and including a one (1) per cent Annual Exceedance Probability (AEP) flood event; b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded during any flood event up to and including a one (1) per cent AEP flood event; c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded during any flood event up to and including a one (1) per cent AEP flood event; and d) no inundation of floor levels which are currently not inundated during any flood event up to and including a one (1) per cent AEP flood event. Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, Environment and Heritage Group, NSW State Emergency Service (SES) and relevant Councils. Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following: a) consult with property owners for properties adversely flood affected as a result of the CSSI and mitigate where necessary; and b) consult with the NSW State Emergency Service (SES) and relevant Councils regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D3	Flood information including flood reports, project flood models, data and geographic information system outputs, must be provided to the relevant Council, Environment and Heritage Group and the SES. The Council, Environment and Heritage Group and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction. Information requested by the relevant Council, Environment and Heritage Group or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the relevant Council, Environment and Heritage Group and the SES.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Heritage							
D4	Non-Aboriginal Heritage The Proponent must not destroy, modify or otherwise affect any Heritage item not identified in documents referred to in Condition A1. Unexpected	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26	According to the auditees, there was one unexpected heritage find during the previous audit period. A well and curbline of stone and bricks De Mestre Place. This was later determined to not be an	C

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Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	heritage finds identified by the CSSI must be managed in accordance with the Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022) outlined in the documents list under Condition A1. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies. Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).				Heritage management Sub-plan, JCG, 14/05/25 Unexpected finds report 11/09/24 (well and curbline of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) and final site wide clearance 02/06/25 Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).	unexpected find as it was identified in the ARD. AMBS was on site at the time of the find. The well was isolated and assessed and a report raised in accordance with the procedure. The ARD was implemented and proper investigation proceeded by AMBS Excavation Director. Several artefacts were retrieved, but all the brick work and well was recorded then able to be discarded. A clearance certificate has been issued and works were able to proceed under D4 and D15 with the findings included in the Final Archaeological Report under D17. No unexpected finds have been recorded during the current audit period. Refer to D5-D18 regarding impacts and management to known heritage items. No change from previous audit report. Finds from earlier audit periods were recorded and cleared by the heritage consultants and will be reported in the Final Archaeological Report under D17.	
D5	Before installing acoustic treatment at any heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained to guide installation to minimise impact to the heritage significance of the item or fabric.	Full Compliance	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Interview with auditees 26-27/02/26	No property treatment has been required on the project during the audit period.	NT
D6	Before commencement of excavation at the Hunter Street metro station construction site, investigations must be carried out to confirm and record the location, depth, integrity, extent and condition of the Tank Stream (SHR item no. 00636) and Bennelong Stormwater Channel No. 29A (Sydney Water s170 item no. 4570854). Survey investigations must be supervised by a suitably qualified heritage consultant in consultation with Sydney Water. The results of the investigations must be incorporated in the relevant final Heritage Report.	Full Compliance	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Interview with auditees 26-27/02/26 Tank Stream Cross Section, RPS, 03/12/21 Tank Stream Sections, CRM, Dec 2021 Dilapidation Inspection Reports, Bennelong Stormwater and Tanks Stream, RPS/SAS TTIJV, December 2021 and September 2021 Preliminary Advice: Sydney Metro West Construction Sites Hunter Street: Archaeology, CRM, 20/12/21 (prelim assessment on Tank Stream and Bennelong Stormwater) Design Memorandum from PSM (Designer Consultant), 21/7/2023 re. Sydney Metro West ETP – Sydney Water Tank Stream Asset – Specialist Engineering Letter from SW to JCG with approval for building over/adjacent to Sydney Water Asset (Tank Stream), 11/8/23 Weekly Instrumentation and Monitoring Reports, JCG. Instrumentation and Monitoring Procedure, JCG, Rev1.0 Design Memo, PSM to JCG, 10/03/25 (heritage protection methodology)	The Auditor understands that this condition is referring to activities at Hunter Street West. Excavation at Hunter Street East is not yet proximal to the Tank Stream and Bennelong Stormwater Channel. Notwithstanding these investigations were carried out. A dilapidation survey was conducted on the Bennelong Stormwater. The dilapidation survey was prepared in conjunction with the written advice from a heritage specialist. Sydney Metro and JCG advise that the final Heritage Report will be prepared prior to completion of construction. A Design Memorandum from PSM (Design Consultant) indicates that given the predicted negligible consequence category, long term structural integrity of the assets is unlikely to be compromised, subject to further analysis. Sydney Water comments are included in Appendix A of the Memorandum. Sydney Water has issued an approval for building over/adjacent to Sydney Water Asset on the 11/8/2023, therefore the previous observation has been resolved. On 10/03/25 PSM provided a protection measures methodology in accordance with NAH3. Excavation proceeded beyond the Tank Stream prior to the current audit period and the auditees advise that the ground conditions were better than expected. JCG are not aware of any impacts having occurred during the audit period. The concrete casing at Hunter Street West remains in place. Vibration monitoring data is captured by SiteHive and issued to the AA weekly. According to the auditees and the data sighted there have not been exceedances of the relevant criteria during the audit period. No change for the current audit period.	C

Legend	
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D7	During construction, the Proponent must implement protective measures to prevent adverse impacts to the heritage significance of the former Skinners Family Hotel. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D16 below.	Full Compliance	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology) Environmental Review, Skinners basement temporary electrical works, determined 22/05/24, (including AMBS email 09/05/24 (their technical review of the environmental review) Consistency Assessment, Skinners hotel roof modification, determined 31/05/24 (including AMBS letter to JCG 30/05/24) Environmental Review, Skinners Hotel investigations, determined 09/08/24 (and Letter AMBS to JCG 07/08/24) Memo, AMBS to JCG, Hunter Street West – Skinners Hotel, 296 Goerge Street, Protective Measures for demolition of surrounding structures, 27/09/24 Letter TKD to JCG, Protective measures for Skinners Hotel Statement of Heritage Impact Addenda, 20/09/24 Consistency Assessment, Structural works to Skinners Family Hotel, 21/10/24 Skinners Family Hotel structural protection presentation from Sydney Metro to Heritage NSW, 05/12/24 Meeting Minutes, 05/12/24 (Heritage NSW and JCG and Sydney Metro regarding structural protection) Consistency Assessment, Strengthening works to Skinners Family Hotel, 30/07/25 (including historical heritage impact assessment, AMBS, 29/07/25) Interview with auditees 26-27/02/26 Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26). Instrumentation and Monitoring Procedure, JCG, Rev1.0 Sydney Metro Heritage Advisor handover notes, 18/12/25 (statement from heritage advisor regarding down pipe damage and storage). Sydney Metro Heritage Advisor inspection report on Skinners Hotel, 19/08/25. AMBS email to JCG, 05/03/26 (confirmation that damage to doorway of Skinners Hotel is immaterial)	Refer to earlier audit reports for historical information regarding protective measures assessed, approved and implemented on the Skinners Family Hotel. As noted in those reports, investigations conducted by JCG and Sydney Metro determined that significant monitoring and structural support was required as a result of deficiencies in the building's structure and wind loading as a result of demolition of the surrounding buildings. These investigations and methodologies for monitoring and protection have been developed and implemented in consultation with the Excavation Director During the current audit period, an additional CA was prepared in consideration of additional wind load risk and angled wall. The CA was prepared in consultation with the Excavation Director. Protection was completed prior to the current audit period and remains in place. There was 1 x exceedance of the amber tilt criteria recorded during the audit period. Investigations were conducted involving validation of the data by survey and it was determined that the departure was as a result of a dirty survey mark (as opposed to impact/tilt/crack). Vibration monitoring is being conducted at both ground floor and levels 1 and 3. Data was sighted. The result indicates that there were no exceedances of the applicable criteria that was attributed to construction (i.e.: exceedances related to impact of the geophone and not the building). It was noted during the inspection that wear and tear on the hotel is occurring just through the presence of work/occupancy within the hotel (as a site shed). Evidence was provided showing that the Sydney Metro heritage advisors conduct periodic inspections and advice has been sought from AMBS. Both Sydney Metro and AMBS advise that the wear and tear is not material (i.e.: has not resulted in impacts beyond that contemplated in the EIS / Approval) and make good repairs would not require any additional approvals.	C
D8	The Former Skinners Family Hotel, Tank Stream, Bennelong Stormwater Channel No. 29A, NSW Club house Building, Delfin House, Richard Johnson Square, Railway Cutting (Pyrmont), and St James Railway Station must not be destroyed, modified or otherwise affected, except as identified in the documents listed in Condition A1. *Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).*	Full Compliance	Full Compliance	To be audited in February 2026	Refer D6 and D7 regarding the Tank Stream and Skinners Hotel. Minor Ancillary facility, Hunter Street east, Rev C, ER approved 23/10/24 (prior to current audit period, but implemented during the audit period). Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Interview with auditees 26-27/02/26	Refer D6 and D7 regarding the Tank Stream and Skinners Hotel. A MAF has been established at Richard Johnson Square. Protective measures have been built in to ensure the monument and steps are not adversely impacted. **Observation: On 04/11/25 a complaint was received from 16 O'Connell Street (Delfin House) regarding an internal tile falling from a column inside the property during the**	C

Legend	
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		Phase G1	Phase G2				
					Incident report (damage to wall of Delfin House on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall of Delfin House on 04/11/25) and notification to DPHI, 17/11/25 AMBS heritage assessment of damage to Delfin House, 21/11/25 TTW structural Assessment of Delfin House, 12/11/25	**demolition of strip footing along the northern boundary of Hunter Street East. Investigations were conducted by the Project structural engineer on 11/11/25 and it was determined by the assessment that the event had the potential to cause harm (on the basis that the tile falling had the potential to cause physical harm) and thus was notified to the Department under A45 accordingly on 12/11/25. A detailed report was subsequently prepared and was reported to the Department on 17/11/25 and 10/12/25. The report included specialist advice from TTW and AMBS.** **TTW advised that the damage likely occurred due to a combination of previous damage and repair, poor workman ship and vibrations. The AMBS advice stresses that the adopted vibration thresholds were not surpassed by the works in the lead up to or during the incident. Further, they state that 'Based on the impact assessment outlined in Table 1 and the projects interpretation of the Heritage NSW Material Threshold Policy, the proposed works are consistent with the project approval from a heritage perspective and will not increase the heritage impacts of the project works beyond the levels assessed in the EIS.'** The auditees advise that the other heritage buildings are outside the safe working distances have not experienced any damage or impact.	
D9	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and the potential for re-use or reinstatement has been identified. The salvage from any State-listed items must be undertaken in consultation with Heritage NSW.	Not Applicable	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 AMBS Assessment, dated 5/7/2023, Ref. No. 23111 M12 – one item of potential salvage on Gilbert's distillery. Salvage methodology by TTW, 11/8/2023 for Gilbert's Distillery (including investigation) Salvage Report for Gilby's Motif Rev. B, 21/9/23 Heritage Salvage Register, current to 28/08/24 – two items have been registered (29/5/23 plaques Pyrmont West and 31/8/23 Gilbey's logo at Pyrmont West)	Items identified for salvage are set out in Section 8.3 of the HMP. This is currently confined to the Gilbey's Distillery but may be expanded subject to what is identified during further archaeological investigations. Works impacting on the identified items was completed in September 2023. Works carried out in accordance with the Salvage methodology. A final report was prepared on the 21/09/2023 by TTW. Salvage item was received in September 2023 and was stored at the Yennora facility. Heritage Salvage Register, current to 29/2/2024 has now two items registered (29/5/23 plaques Pyrmont West and 31/8/23 Gilbey's logo at Pyrmont West). No change since early 2024.	C
D10	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D11	The Registered Aboriginal Parties (RAPs) must be kept informed about the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
D12	Excavation must not commence in areas where archaeological excavation is required until the archaeological works outlined in the Archaeological Research Design/s referred to in Condition A1 have been completed **or where work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 has been completed.** **[MOD 2, 9 Oct 2023]**	Full Compliance	Full Compliance	Not subject to audit at this time.			
D13	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Full Compliance	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West) Unexpected finds report 11/09/24 (well and curbline of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) and final site wide clearance 02/06/25 Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street was required prior to archaeological excavations being able to commence. These works commenced in Q3 2024 and excavations were completed in 02/06/25. A draft Report has been prepared and issued to metro for initial review. The Report is not due until June 2027.	C
D14	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Site inspection 26/02/26 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West) Unexpected finds report 11/09/24 (well and curbline of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street was required prior to archaeological excavations being able to commence. These works commenced in Q3 2024 and excavations were completed in 02/06/25. Excavations at Hunter Street West are continuing. The auditees are not aware of any Aboriginal objects being identified during the audit period.	NT
D15	Excavation and Archeology Archaeological mitigation measures recommended in the Archaeological Research Design/s **referred to in Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9** must be carried out in accordance with Heritage NSW guidelines, and where appropriate, supervised by a suitably qualified Excavation Director approved under Condition D16. The Archaeological Research Design/s must be implemented throughout the entire archaeological excavation programs. **[MOD 2, 9 Oct 2023]**	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in February 2026	Letter Sydney Metro to DPE, 15/02/23 (nomination of excavation directors) Letter DPE to Metro, 01/03/23 (approval of excavation directors) AMBS Pyrmont Station West Construction Site Preliminary Testing Report, 25/10/23. WMS from AMBS The Bays to Sydney CBD, 10/7/23 re. excavation at the Bays WMS from ABMS, The Bays to Sydney CBD, 26/7/23 re. conduit connection works WMS from AMBS, The Bays Flood Gate installation, 27/05/24 Email AMBS to JCG 25/07/24 (AMBS report on the monitoring of excavation at the Bays)	Lian Ramage and Mike Hincks have been nominated as the Excavation Directors on the Project. On 01/03/2023 the Department approved the appointment. Ground disturbance in archaeological sensitive areas was completed on the 16/10/2023. Test excavations were undertaken on the 16/10/2023 by Excavation Director. For The Bays, there have been a series of WMS excavation methodologies provided by the consultant AMBS (about 8 of them). ABMS were on site during excavation works (well prior to the current audit period) to monitor that the WMS are carried out as per the methodology. Excavation at The Bays was completed well prior to the current audit period, with no issues identified in previous audit periods. No change for the current period. The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont.	C

Legend	OFFICIAL
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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West) Unexpected finds report 11/09/24 (well and curbline of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely). Hunter Street West Clearance certificate 02/06/25 (entire West site cleared)	Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to commence. Clearance has been issued for Hunter Street East and West. The auditees are not aware of any Aboriginal objects being identified during the audit period.	
D16	Before ground disturbance in areas subject to archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Designs under Condition A1 **or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9**. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for the CSSI to exercise the functions required under the conditions of this approval. **[MOD 2, 9 Oct 2023]**	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in February 2026	Letter Sydney Metro to DPHI, 15/02/23 Letter DPHI to Metro, 01/03/23 Letter Heritage NSW to Sydney Metro, 06/08/24 (Heritage NSW approval of Ronan McEleney and Tyler Beebe) Letter DPHI to Metro, 17/09/24 (approval of Ronan McEleney as ED)	Lian Ramage, Mike Hincks, Ronan McEleney and Tyler Beebe have been nominated as the Excavation Directors on the Project. On 01/03/23 the Department approved the appointment of Lian Ramage, Mike Hincks. Ronan McEleney was utilized to monitor non-Aboriginal test pit work at Hunter Street West on 05/08/24 in an area that is subject to Archaeological excavation. According to the auditees Ronan was working under one of the approved Excavation Directors (Lian Ramage). Ronan was then approved by Heritage NSW until 06/08/24 and was not approved by the Department until 17/09/24. No change since September 2024.	C
D17	Following completion of all work described in the documents listed in Condition A1 **or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9** in relation to heritage items, a Heritage Report including the details of any archival recording, further historical research either undertaken or to be carried out and archaeological excavations (with artefact analysis and identification of a final repository for finds), must be prepared in accordance with any guidelines and standards required by the Heritage Council of NSW and Heritage NSW. **[MOD 2, 9 Oct 2023]**	Not Applicable	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West)	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to occur. As noted in D16 some investigations have commenced and these works are ongoing. A single consolidated Report (with specialist reports included) will be developed to address D13, D17 and D18 and this is due in June 2027.	NT
D18	The Heritage Report must be submitted to the Planning Secretary, the Heritage Council of NSW and Heritage NSW for information no later than 24 months after the completion of the work referred to in Condition D17.	Not Applicable	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearence Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West)	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to occur. As noted in D16 some investigations have commenced and these works are ongoing. A single consolidated Report (with specialist reports included) will be developed to address D13, D17 and D18 and this is due in June 2027.	NT

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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D19	Unexpected Finds The Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022), as submitted to the Planning Secretary, must be implemented for the duration of construction. *Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW).*	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26	According to the auditees there have been no aboriginal unexpected finds during the audit period.	NT
Noise and Vibration							
D20	Land Use Survey A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D21	Construction Hours Work must only be undertaken during the following hours: a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays.	Full Compliance	Full Compliance	To be audited in February 2026	Construction Environmental Management Plan (CEMP), JCG, 02/12/24 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Activity Method Statements: - ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - ETP Permanent Lining at Pyrmont, 26/08/25 (includes reference to the need for a OOHW permit) - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Toolbox Talk, 11/02/26 (covers cross passage excavation working hours) and attendance record Toolbox Talk, 04/11/25 (covers Permanent Lining Concrete pours and noise management, and the potential for EPA interest) and attendance record Hunter Street Toolbox talk, September 2025 (D39-D40 management). OOHW Concrete pours noise monitoring report EPL 21784, January 2026 under condition L5.20 (submitted to EPA on 30/01/26) Notice to vary EPL 21784, EPA, 02/02/26 Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)	Construction hours are captured in Project documentation from the CEMP, down to work activity documents. The requirements have been communicated to the workforce. JCG's OOHW Tracker (online, SharePoint), and OOHW permits (various) ensure control over what OOHW occur. The system includes traffic light and condition identification system to ensure all authorising persons approve prior to the works commencing and that the appropriate approval pathway (under the EPL). No works are conducted outside of the EPL. The cross-passage Activity Method Statement identified the potential to work under a range of hours, subject to DNVIS modelling and relevant EPL requirements having been met. The toolbox talk for cross passages XP01-04 identifies permissible hours based on DNVIS data. The Permanent Lining Activity Method Statement identified the need for a OOHW permit and monitoring. Monitoring was completed and results were considered acceptable by ETP. The results were submitted to the EPA and the auditees are not aware of any feedback. Program of the pours under the approved hours and number of pours is managed through the programming team. Due to an issue on site it became apparent that an additional pour was to be required and an application to further vary the EPL was submitted and approved. Performance of the controls for these works were verified by Renzo Tonin on 18/12/25 and presented within the noise monitoring report dated 05/02/26. The Hunter Steet West daily excavation cut plans are issued to plant operators every day. The cut plan identifies the number of	C

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		Phase G1	Phase G2				
					Complaints register current to 28/02/26 OOHW Tracker (online, SharePoint), and OOHW permits (various) Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).	plant that can hammer in specific zones at specific hours. This is supplemented by Toolbox talks as required. The Hunter Street attended monitoring data verifies whether the D39-D40 extended hours can be utilised or not. The results indicate that extended 9.75 hours are only implemented when the results confirm they can do so. The decision to extend hours is then flowed in to the revised cut sheets. The environmental inspections occur at least weekly and as part of this the team needs to verify that controls as stated in the NVMP (and other management plans) are being implemented. Records sighted include observations about reconnection of the Site Hive noise monitor at Hunter Street. As with previous audits, of particular note are the D39 respite posters installed at project sites and (according to JCG) fitted inside the demolition plant to ensure work hours adhere to the D39 hours. This is an excellent way to communicate the complex work hours permitted under D39. The Auditor notes that the hours specified in project documentation aligns with the D39 negotiated hours. OOHW tracker allows for the management and oversight of OOHW to ensure approval justification, assessment and approvals are completed / obtained. JCG now use an online SharePoint system with a traffic light system showing whether approval is granted. The records show that some OOHW applications raised by the engineers have been rejected by the enviro team. The complaints register and OOHW register indicates that any complaints associated with works outside of the hours of D21, were conducted in accordance with the OOHW protocol and the EPL and notified prior. The site hive monitoring data collected in adjacent buildings allows for the assessment against D38/D39. The data shows that there have been short periods of high noise activities (particularly involving rock breaking at Hunter Street) exceeding the internal noise criteria of 55 and 60dB(A), but these are not at risk of exceeding the respite periods in D39. Attended measurement is also conducted for OOHW (where determined to be required under the permit, based on risk level and whether a new activity is occurring).	

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		Phase G1	Phase G2				
D22	Highly Noise Intensive Work Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken: a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.	Full Compliance	Full Compliance	To be audited in February 2026	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Construction Environmental Management Plan (CEMP), JCG, 02/12/24 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Activity Method Statements: - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Hunter Street Toolbox talk, September 2025 (D39-D40 management). D39-D40 Monitoring results (tracking map and spreadsheet of all attended noise monitoring for Hunter Street) Hunter Street Cut Plan (daily to plant operators under D39-D40) Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Complaints register current to 28/02/26 Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams	Construction hours (including high noise impact hours) are captured in Project documentation from the CEMP, DNVIS, down to work activity documents. Training has been provided to the workforce on the permissible work hours and the need of respite and permit requirements around conducting works outside of approved hours. The primary high noise activities for the audit period are related to excavation at Hunter Street sites with some residual hammering at Pyrmont West (albeit at depth). . Pyrmont East and The Bays have not involved high noise impact works. Works are covered under the EPL, and the requirements are consistent with the Project Approval. Refer to D38/D39. These conditions have been utilised by the project.	C
D23	Variation to Work Hours Notwithstanding Conditions D21 and D22 work may be undertaken outside the hours specified in the following circumstances: a) Safety and Emergencies, including: i. for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or ii. where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm.	Full Compliance	Full Compliance	To be audited in February 2026	EPL 21784 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 EPL 21784 variation 02/02/26 Activity Method Statements:	EPL in place, which allows them to work under various OOHW arrangements separate to the SSI. JCG's OOHW Tracker (online, SharePoint), and OOHW permits (various) ensure control over what OOHW occur. The system includes traffic light and condition identification system to ensure all authorising persons approve prior to the works commencing and that the appropriate approval pathway (under the EPL). No works are conducted outside of the EPL. The OOHW Tracker in place	C

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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. b) Low noise impact work, including: i. construction that causes LAeq(15 minute) noise levels: • no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and • no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and ii. construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or iii. construction that causes: • continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or • intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). c) By Approval, including: i. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or ii. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D24 of this schedule; or iii. negotiated agreements with directly affected residents and sensitive land user(s). d) By Prescribed Activity, including: i. tunnelling **(and associated activities of rockbolting, shotcreting and mucking out, but** excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or ii. delivery of material that is required to be delivered outside of standard construction hours in Condition D21 to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Pyrmont construction site which could result in a sleep disturbance event for receivers in the proximity of Pyrmont Street, Edward Street, Union Street, Paternoster Row and Pyrmont Bridge Road; or iii. haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Pyrmont construction site; or iv. work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary. *Note: Tunnelling does not include station box excavation **and the requirements of Condition D26 apply.*** **[MOD 1, 19 Apr 2023]**				- ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - ETP Permanent Lining at Pyrmont, 26/08/25 (includes reference to the need for a OOHW permit) - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Toolbox Talk, 11/02/26 (covers cross passage excavation working hours) and attendance record Toolbox Talk, 04/11/25 (covers Permanent Lining Concrete pours and noise management, and the potential for EPA interest) and attendance record Hunter Street Toolbox talk, September 2025 (D39-D40 management). OOHW Concrete pours noise monitoring report EPL 21784, January 2026 under condition L5.20 (submitted to EPA on 30/01/26) Notice to vary EPL 21784, EPA, 02/02/26 Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS) Complaints register current to 28/02/26 OOHW Tracker (online, SharePoint), and OOHW permits (various) Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website:	showing the work description, location, plant/equipment, days/months where it will be carried out. Noise monitoring is conducted for all new activities. Results are largely showing that project noise is below that predicted with the exceedances being attributed to extraneous noise sources. The monitoring results are being progressively reviewed by the AA. Complaints received for noise indicated that those complaints associated with works outside of the hours of D21 were conducted in accordance with the OOHW protocol and the EPL and notified prior. Refer to D29 regarding use of the scrubbers. Condition L5.20 of EPL 21784 establishes requirements of the OOH concrete works at the Pyrmont East site. On 07/01/26 planned 15-hour concrete pour (as per Condition L5.20(a)(i)) exceeded the permitted 15-hour time frame (finishing at midnight instead of the planned 10pm finish). The overrun was notified to affected receivers prior to 10pm and according to JCG there was no change to eligibility for additional mitigation measures offered under the NVMP. Additionally no complaints were recorded in relation to the works (one noise complaint received on 07/01/26 confirmed that the complainant was concerned about noise during standard hours). On 08/01/26 JCG notified the EPA by phone. JCG advised the EPA that unforeseen mechanical failures had occurred, creating significant and unavoidable delays in the concrete pour. Immediate corrective actions were required to ensure the works met the necessary technical and structural standards, and as a result, the pour continued beyond the permitted 15-hour time frame. The EPA noted that prior to January 2026, JCG had not completed any of the 25-hour pours permitted under Condition L5.20. Based on the information provided by JCG the EPA considered it appropriate to vary the EPL to consider unforeseen technical issues that may occur during works that are permitted under Condition L5.20. The variation was executed on 02/02/26 and the Auditor is not aware of the EPA taking any action in relation to the overrun.	

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		Phase G1	Phase G2				
					https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).		
D24	Out-of-Hours Work Protocol – Work Not Subject to an EPL An Out-of-Hours Work Protocol must be prepared before the approval of out-of-hours-work under Condition D23(c)(ii). The Protocol must identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D21 and D22. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER and the AA. The Protocol must provide: a) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: i. the ER and AA review all proposed out-of-hours activities and confirm their risk levels; ii. low risk activities can be approved by the ER in consultation with the AA; and iii. high risk activities that are approved by the Planning Secretary; b) a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; c) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D36. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; d) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and e) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. This condition does not apply if the requirements of Condition D23(b) are met. *Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D21 and D22 of this schedule.*	Full Compliance	Full Compliance	Not subject to audit at this time.			

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D25	Construction Noise Management Levels and Vibration Criteria All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria: a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); c) Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure); d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items). Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan. *Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.*	Full Compliance	Full Compliance	To be audited in February 2026	Construction Environmental Management Plan (CEMP), JCG, 02/12/24 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Activity Method Statements: - ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - ETP Permanent Lining at Pyrmont, 26/08/25 (includes reference to the need for a OOHW permit) - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Toolbox Talk, 11/02/26 (covers cross passage excavation working hours) and attendance record Toolbox Talk, 04/11/25 (covers Permanent Lining Concrete pours and noise management, and the potential for EPA interest) and attendance record Hunter Street Toolbox talk, September 2025 (D39-D40 management). 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Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG	Controls are identified in the NVMP and DNVISs as relevant. Concrete pours for Pyrmont cavern occurred during the audit period. The campaign was managed using a combination of source, path and administration controls. Refer D21 and D23 regarding the management of noise / hours from these works. The pumps are modelled as the dominant noise source. Acoustic tents are planned to be installed around the pumps and predicted noise has been reduced as a result (thus reducing the need for AA for nearby stakeholders). The layout in the DNVIS also shows the general arrangement to ensure public safety on Union street during the works. At Hunter Street plant size has been reduced where possible, but largely JCG are relying on the D39 hours as this will ensure construction timeframes are minimised as best as possible. Refer to findings in D8 regarding vibration impact at Delfin House. The impact was not attributed to an exceedance of the vibration criteria for this structure. During the audit period, JCG, the ER and AA conducted inspections and (in the case of JCG and the AA) monitoring of project noise levels. Opportunities for improvement to reduce noise and vibration impacts over the audit period are minor and none of the identified parties have identified a contravention with this condition. The hoarding is in place at Hunter Street west, hoarding and the acoustic shed at Hunter Street East. Hoarding and acoustic sheds are in place at Pyrmont. Noise and vibration risk at The Bays is minimal. Noise and vibration monitoring is being conducted at the locations and on the works as set out in the Monitoring Program. Instances whereby the predicted works exceed the applicable criteria, measures have been implemented as per the NVMP / DNVIS and / or exceeding noise has been attributed to non-project noise sources (traffic etc).	C

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					Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).		
D26	All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded: a) evening (6:00 pm to 10:00 pm) — internal LAeq(15 minute): 40 dB(A); and b) night (10:00 pm to 7:00 am) — internal LAeq(15 minute): 35 dB(A). The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D24.	Full Compliance	Full Compliance	To be audited in February 2026	Activity Method Statements: - ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).	Ground borne noise is a risk from tunnelling at Hunter Street, Pyrmont East and cross passage excavation. Ground borne noise monitoring undertaken in accessible properties to support the DNVIS predictions. The results have been deemed acceptable by JCG. 6 x complaints were received regarding groundborne noise during the reporting period (the most recent being 22/10/25), all from a single receiver at [redacted]. JCG investigated each complaint, confirming that the source was likely from project works (cavern excavation at Pyrmont West) and that results were below adopted criteria.	C
D27	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	Full Compliance	Full Compliance	To be audited in February 2026	Site inspection 26/02/26 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24	Sensitive land uses affected by the works are identified in the relevant DNVISs. Relevant receivers during the audit period are the acoustic studios in Pyrmont (with impacts falling from previous periods) and the Maritime Museum (with TBM excavation passing under the	C

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					Email chain ETP and Maritime Museum, October 2025 (engagement for inspection) Emails ETP to Maritime Museum (daily for 3 weeks in October 2025 sharing vibration data and again in November during cross passage excavation) Email ETP to Maritime, 30/01/26 (Maritime stating that no further specific engagement required at this time). ConMan extract with Dodgy Sounds (August 2025, advising of all the future works which were occurring during the audit period).		
D28	Construction Noise and Vibration Mitigation and Management Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to: a) use of regularly serviced low sound power equipment; b) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and c) use of alternative construction and demolition techniques.	Full Compliance	Full Compliance	To be audited in February 2026	Construction Environmental Management Plan (CEMP), JCG, 02/12/24 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Activity Method Statements: - ETP Cross Passage Excavation, 13/03/25 (includes specific requirements around noise control and hours) - ETP Permanent Lining at Pyrmont, 26/08/25 (includes reference to the need for a OOHW permit) - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Toolbox Talk, 11/02/26 (covers cross passage excavation working hours) and attendance record Toolbox Talk, 04/11/25 (covers Permanent Lining Concrete pours and noise management, and the potential for EPA interest) and attendance record Hunter Street Toolbox talk, September 2025 (D39-D40 management). OOHW Concrete pours noise monitoring report EPL 21784, January 2026 under condition L5.20 (submitted to EPA on 30/01/26) Notice to vary EPL 21784, EPA, 02/02/26 Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS) Complaints register current to 28/02/26 OOHW Tracker (online, SharePoint), and OOHW permits (various) Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-	Refer D25. Lower noise construction methods have been identified in project documentation such as the NVMP, DNVIS, Activity Method Statement and have been communicated to the workforce through training, inductions, toolbox talks and the like. Hoarding and acoustic sheds in place and functioning Pyrmont East and West and Hunter Street as relevant as well as Consultation with sensitive receivers. The activity method statements for include the relevant noise controls (where appropriate). The controls, as described in the documentation were sighted during the audit inspection and have been recorded by JCG and the AA during their inspections conducted during audit period.	C

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					03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-204 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206 Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).		
D29	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D25 and D26 at any residence outside construction hours identified in Condition D21, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy (ies) of the DNVIS.	Full Compliance	Full Compliance	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108.	Seven DNVISs were endorsed during the audit period, with other having been approved and implemented prior. The DNVISs have been prepared in accordance with this condition and have been issued to the ER and AA prior to implementation/commencement of the relevant works and provided to DPHI for information. Controls are identified in the NVMP and DNVISs as relevant. Concrete pours for Pyrmont cavern occurred during the audit period. The campaign was managed using a combination of source, path and administration controls. Refer D21 and D23 regarding the management of noise / hours from these works. The pumps are modelled as the dominant noise source. Acoustic tents are planned to be installed around the pumps and predicted noise has been reduced as a result (thus reducing the need for AA for nearby stakeholders). The layout in the DNVIS also shows the	C

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		Phase G1	Phase G2				
					Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-2 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206	general arrangement to ensure public safety on Union street during the works. At Hunter Street plant size has been reduced where possible, but largely JCG are relying on the D39 hours as this will ensure construction timeframes are minimised as best as possible. Refer to findings in D8 regarding vibration impact at Delfin House. The impact was not attributed to an exceedance of the vibration criteria for this structure. During the audit period, JCG, the ER and AA conducted inspections and (in the case of JCG and the AA) monitoring of project noise levels. Opportunities for improvement to reduce noise and vibration impacts over the audit period are minor and none of the identified parties have identified a contravention with this condition. The hoarding is in place at Hunter Street west, hoarding and the acoustic shed at Hunter Street East. Hoarding and acoustic sheds are in place at Pyrmont. Noise and vibration risk at The Bays is minimal. Noise and vibration monitoring is being conducted at the locations and on the works as set out in the Monitoring Program. Instances whereby the predicted works exceed the applicable criteria, measures have been implemented as per the NVMP / DNVIS and / or exceeding noise has been attributed to non-project noise sources (traffic etc). The concrete pour DNVIS (Renzo Tonin, 23/07/25) modelled the predicted noise from the activity on the assumption that concrete pumps would be enclosed on three sides and the top (refer page 10 and Figure C1B and Table C4A in Appendix C of the DNVIS). In reality, some openings needed to be installed to allow for the concrete pump exhausts to function. These opening were then contained using additional noise blankets fitted to temporary fencing. This resulted in noise levels recorded by Renzo in December 2dB(A) higher than that predicted in the DNVIS. According to JCG the measured noise level did not alter any of the additional mitigation measures applied, and is within the margin of error permissible under the Noise Policy for Industry and the Project EPL. The auditees also advised the Auditor that the Department, ER and AA attended the site during the concrete pour operations and were satisfied with the arrangement.	
D30	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users and updated as required if site conditions or activities change.	Full Compliance	Full Compliance	To be audited in February 2026	Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG	The construction site DNVISs were prepared in accordance with this condition. Consultation has been included in the DNVISs prior to endorsement. The Auditor notes that consultation for specific activities may not have occurred on the basis that overall the impact and eligibility for additional mitigation remains consistent with previously endorsed DNVISS. This has been accepted by the AA. Each DNVIS has been submitted to the Department and the Auditor is not aware of the Department providing comment on the documents.	C

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		Phase G1	Phase G2				
					Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/05/250509-DNVIS-Tunnelling.pdf. DPHI submission: PA-2 - DNVIS Addendum - Pyrmont Station and Tunnelling - Alternative Excavation Methodology - Rev 03 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006753 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/06/TM372-02-1-02F20-SMW-ETP-ADD-DNVIS-Alt-Excavr3_endorsed_redacted.pdf. DPHI submission: PA-206		
D31	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 Emails ETP to [redacted] (notification of vibration intensive works under D31) between 01/09/25 and 28/02/26 Email Lowy to ETP, 17/10/25 (thank you notification for the site Email chain Lowy and ETP, Feb 2026 (request to avoid hammering between 12/02/26 and 13/02/26) CCTV footage from 12 and 13/02/26 Email [redacted] to ETP, 23/02/26 (thank you for the inspection on 18/02/26) Email [redacted] to ETP, 06/02/26 (request for ETP to share weekly vibration data Email ETP to [redacted], 17/02/26 (ETP sharing of vibration data).	Properties identified as at risk of exceeding the screening criteria for cosmetic damage are identified in the NVMP and the Hunter Street DNVIS. No properties in Pyrmont or near The Bays were predicted to receive vibration levels above the screening criteria. Evidence of notification to potentially affected receivers ([redacted]) was sighted. The consultation records identify the relevant DNVIS (with link) and the potential for vibration, the vibration monitoring proposed / being conducted, the dates in which the vibration intensive works will be occurring. Notification included identification of the potential elevated levels and a schedule of exceedances on a monthly basis. The notification was provided 7 days prior of the first scheduled event. A site based information session was held for the Lowy Institute on 10/10/25. This received positive feedback by the stakeholder. This stakeholder has also been directly engaged with as a neighbouring property. A request was raised by them to avoid hammering during some events held on 12/02/26 and 13/02/26. The CCTV footage indicates that no hammering was occurring against this receiver on the periods when the events were occurring. 25 Bligh Street property managers have been given a site tour and provided positive feedback. This stakeholder requested vibration data be provided weekly and evidence shows that this has been occurring since 17/02/26 (looking back each week).	C

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		Phase G1	Phase G2				
					Email chain ETP and Maritime Museum, October 2025 (engagement for inspection) Emails ETP to Maritime Museum (daily for 3 weeks in October 2025 sharing vibration data and again in November during cross passage excavation) Email ETP to Maritime, 30/01/26 (Maritime stating that no further specific engagement required at this time). -	Particular engagement also happened with Maritime Museum due to TBMs passing underneath the boundary and extensive cabin excavation nearby. They attended the site (including underground) on 14/10/25. Special criteria was applied to the museum from a vibration perspective (2.5mm/s) which aligned with the Museums own criteria. No exceedances were recorded. Maritime stated that no further specific engagement required at this time (once excavation nearby was completed).	
D32	Construction Vibration Mitigation – Heritage Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.	Full Compliance	Full Compliance	To be audited in February 2026	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Sept 25 to Feb 26). Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology) JCG Minimum working distance attended vibration monitoring 17/04/24 (still valid) SiteHive vibration monitoring results for Skinners Hotel and O'Connell Street, current to 21/08/25 Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26). Instrumentation and Monitoring Procedure, JCG, Rev1.0 Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245	Section 7 of the Hunter Street DNVIS deals with vibration impacts and management, including on heritage items / structures. The DNVIS sets minimum working distances to achieve the required vibration impacts, the need for structural assessment and monitoring requirements. Skinners Hotel and 16 O'Connell Street are within safe working distances of some vibration intensive plant. The works are managed so that safe working distances are maintained. This is supplemented by the SiteHive monitoring data. According to the auditees, they are not aware of any genuine exceedances of the vibration thresholds. That being said, the internal 2.5mm/s trigger was exceeded for 16 O'Connell street and two complaints of damage were received. As a result JCG modified the construction methodology so that concrete slabs on the boundary were cored and / or sawed prior to removal. Refer D8 regarding vibration impact on this receiver. There were no genuine vibration exceedances for the Skinners hotel during the audit period. According to the I&M data, there was one amber alert on Skinners I&M data which prompted an investigation which determined that the survey marker was dirty and affected the results. No other heritage items have been predicted to be impacted as a result of the works over the audit period.	C
D33	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items. *Note: The installation of noise and vibration equipment must not impact on the heritage value of the Heritage items.*	Full Compliance	Full Compliance	To be audited in February 2026	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Interview with auditees 26-27/02/26 Site inspection 26/02/26 AMBS Heritage Memo dated 11/8/23 Ref. No. 23111 M16 re. survey monitoring targets for sensitive structures – Area 3B Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26).	Consultation with the heritage specialist has occurred and they accepted the attached methodology and location. The geophone is not attached via any intrusion to Skinners Hotel. A tilt meter was installed and this was reviewed and accepted by the heritage advisor prior. The recommendation as that where possible the tilt meter be installed on non-original fabric. JCG endeavoured to do so, but in some cases the original fabric was required to be impacted. JCG are not aware of the heritage advisors taking issue with the installation when attending site. As noted in D7, it was noted during the inspection that wear and tear on the hotel is occurring just through the presence of work/occupancy within the hotel (as a site shed). Evidence was provided showing that the	C

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					Instrumentation and Monitoring Procedure, JCG, Rev1.0 Email AMBS to JCG, 20/11/24 (heritage review of the installation of tilt meters at Skinners Hotel) Consistency Assessment, Strengthening works to Skinners Family Hotel, 30/07/25 (including historical heritage impact assessment, AMBS, 29/07/25) Incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 17/11/25 AMBS heritage assessment of damage to [redacted], 21/11/25 TTW structural Assessment of [redacted], 12/11/25 Correspondence AMBS to JCG, 24/11/25 (confirmation that external wall of Delfin House is not heritage listed).	Sydney Metro heritage advisors conduct periodic inspections and advice has been sought from AMBS. Both Sydney Metro and AMBS advise that the wear and tear is not material (i.e.: has not resulted in impacts beyond that contemplated in the EIS / Approval) and make good repairs would not require any additional approvals. The O'Connell Street geophones are set up via the use of spikes on the external part of the buildings. AMBS has confirmed that the external walls are not heritage listed and there has been no intrusion into the heritage fabrics.	
D34	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Detailed Noise and Vibration Impact Statement (DNVIS): - Sydney Metro West - ETP - DNVIS - Hunter Street Station Rev 6.2. Doc No: SMWSTETP-JCG-SCB-SN100-NV-RPT-095010 Transmittal No: SMWSTETP-JCG-TX-005023 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/12/TM372-02-1-03F01-SMW-ETP_DNVIS-HUN-rev6.2_ER-Redacted.pdf. DPHI submission: PA-245 - Sydney Metro West - ETP - DNVIS Addendum - Hunter Street Station - TBM Retrieval. Doc No: SMWSTETP-JCG-SCB-SN100-EN-RPT-095123 Transmittal No: SMWSTETP-JCG-TX-004898 (ER and AA) JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/11/TM372-02-1-03F11-SMW-ETP_ADD_DNVIS-HUN-TBM-Retreival-OOHW-r3.pdf. DPHI submission: PA-234 - Sydney Metro West - ETP - DNVIS Addendum - Pyrmont Cavern Invert. Doc No: SMWSTETP-JCG-PYR-SN150-EN-RPT-095108. Transmittal No: SMWSTETP-JCG-TX-004721 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/09/TM372-02-1-04F05-SMW-ETP-ADD-DNVIS-TUN-PYRr5.pdf. DPHI submission: PA-219 - DNVIS Addendum - Pyrmont TBM Support and Union St Concrete Pours - Rev 08 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006750 (ER and AA). JCG Website: https://jcgjv.com.au/wordpress/wp-content/uploads/2025/08/TM372-02-1-02F20-SMW-ETP_ADD-DNVIS-PYR_TBM-Supportr8.pdf. DPHI submission:PA-213 - Sydney Metro West - ETP - DNVIS - Tunnelling - Rev 07 - AA Endorsed. Transmittal No: SMWSTETP-SMD-TX-006754 (ER and AA).	The auditees are not aware of any heritage properties requiring at-property treatment with respect to noise. None are identified in the DNVISs or NVMP. Refer D7 regarding ongoing investigations and support on Skinners Hotel.	NT

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D35	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Full Compliance	Full Compliance	To be audited in February 2026	Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 TTW Structural Condition Report, [redacted], 5/10/2023 TTW Structural Condition Report, [redacted], 01/02/24 TTW Structural Condition Report, [redacted], 09/05/23 Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Sep 25 - Feb 26). Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology) JCG Minimum working distance attended vibration monitoring 17/04/24 (still valid) Kronos online database and property reports (Skinners Hotel 01/09/25 – 28/02/26). Instrumentation and Monitoring Procedure, JCG, Rev1.0 Two CAs have been completed on the Skinners Hotel (prior to the current audit period) and these, with support of heritage reports, identified the need for bracing to manage wind loading (not vibration) Incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall of [redacted] on 04/11/25) and notification to DPHI, 17/11/25 AMBS heritage assessment of damage to [redacted], 21/11/25 TTW structural Assessment of [redacted], 12/11/25 Correspondence AMBS to JCG, 24/11/25 (confirmation that external wall of [redacted] is not heritage listed)..	Consultation with the heritage specialist is ongoing, sighted structural condition reports from TTW for [redacted]. The structural reports do not identify any unsound buildings. To note however, Skinners was subsequently re-assessed as being potentially structurally unsound due to wind loading and not vibration. Some impacts to Skinners and [redacted] were recorded during the reporting period. These do not indicate that impacts have resulted in the buildings becoming structurally unsound. Refer D7, D8, D32 and D33.	C
D36	Utility Coordination and Respite All work undertaken for the delivery of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must: a) reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D37; or	Full Compliance	Full Compliance	To be audited in February 2026	EPL 21784 Evidence sighted under D21 – D24. OOHW Tracker (online, SharePoint), and OOHW permits (various).	EPL 21784 sets requirements around the maximum number of nights affecting the same noise receiver that can be worked for certain activities each week and month. ETPs utility relocations were completed prior to the current audit period. JCG's OOHW Tracker (online, SharePoint), and OOHW permits (various) ensure control over what OOHW occur. The system	C

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	b) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and c) provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of the CSSI.				ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly, between 01/09/25 and 19/02/26 (includes community feedback) https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station Email JCG and Jemena Contractor 15-16/01/26 (requesting information to assist with coordination) Email JCG to Mann Group 15/07/25 (requesting information to assist with coordination)	includes traffic light and condition identification system to ensure all authorising persons approve prior to the works commencing and that the appropriate approval pathway (under the EPL). No works are conducted outside of the EPL. Refer to D21 – D24 regarding compliance with hours of work. At the Bays there is interaction with WHT, but this is limited and coordination has been included in the communication for OOHW. Sighted OOHW Tracker. No other State significant projects are situated within proximity to the Hunter Street and Pyrmont sites that would result in cumulative noise impacts. **Positive Observation: There are projects adjacent to the Hunter Street West site (George Street pedestrianisation and demolition of corner of Hunter and Pitt Street) and at Pyrmont (Jemena maintenance works). These projects are not State significant and according to JCG do not have respite hours imposed on them by their consent/works approvals. It is noted that the Project has already undertaken significant community consultation and respite hours were established based on the feedback from those stakeholders. There has been no request from these stakeholders requesting changes to hours. Notwithstanding the above, JCG provided evidence to demonstrate that they sought to coordinate respite**	
D37	Out-of-Hours Works – Community Consultation and Respite In order to undertake out-of-hours work outside the work hours specified under Condition D21 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with: a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; b) a description of the potential work, location and duration of the out-of-hours work; c) the noise characteristics and likely noise levels of the work; and d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D26 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA, before the out of hours work commences, and to the EPA and the Planning Secretary on request.. *Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.*	Full Compliance	Full Compliance	To be audited in February 2026	ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly, between 01/09/25 and 19/02/26 (includes community feedback) https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station	Consultation is completed weekly and monthly via the project updates issued by email and letterbox dropped. The updates include the information required under D37 a) – d). The team meets with the ER and AA weekly. The scheduled of likely OOHW are captured in the meeting minutes, the outcomes of community consultation and the identified respite periods are captured in an attachment to the minutes. The auditees are not aware of the EPA or Department requesting the D37 reports during the audit period.	C
D38	Rock breaking and Respite The Proponent must identify all receivers at Pyrmont and Hunter Street Sydney CBD likely to experience internal noise levels greater than Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty, if rock breaking or any other	Full Compliance	Full Compliance	To be audited in February 2026	Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite) D39 Consultation Report, Hunter Street Excavation, JCG, September 2024	The EPL aligns with conditions D38/D39. A D39 Consultation Report was prepared for both Hunter Street demolition and Pyrmont shaft excavation, to establish respite periods in accordance with D38/D39.	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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	highly noise intensive activity likely to result in regenerated (ground- borne) noise or a perceptible level of vibration is planned (including works associated with utility adjustments), between 7am and 8pm. *Note: This condition does not override requirements for work hours as outlined in Conditions D21, D22 and D23 above.*				D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25 D39 Consultation Report, Pyrmont East and West shaft excavation, 13/02/24 Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams Activity Method Statements: - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Hunter Street Toolbox talk, September 2025 (D39-D40 management). D39-D40 Monitoring results (tracking map and spreadsheet of all attended noise monitoring for Hunter Street) Hunter Street Cut Plan (daily to plant operators under D39-D40) Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Weekly update – Hunter Street (Sydney CBD) example Feb 2026 Complaints register current to 28/02/26 Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26)	High noise impact activities are being carried out at Hunter Street between 730am and 430pm weekdays and 8am and 430pm Saturdays. Respite periods have been established and followed (as evidenced via training material and monitoring data). High noise impact activities are being carried out at Pyrmont between 800am and 330pm. Respite periods have been established and followed (as evidenced via training material and monitoring data). In May 24 (prior to the current audit period) the EPA queried JCG as to whether respite was being achieved at Hunter Street off the back of feedback from Ivy. JCG provided monitoring data, and the EPA did not raise any further concerns. There has been no change for the current audit period. The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed and being communicated to the stakeholders proximal to Hunter Street. Note that for the audit period rock breaking at Pyrmont had been largely completed with detailed excavation occurring at depth of Pyrmont West and these did not exceed the criteria under D38/D39. .	
D39	The Proponent must consult with all receivers identified in accordance with Condition D38 with the objective of determining appropriate hours of respite so that construction noise (including ground-borne noise), does not exceed internal noise levels of: a) Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 50 percent of the time; and b) Leq(15 minute) 55 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 25 percent of the time, unless an agreement is reached with those receivers. This condition does not apply to noise associated with the cutting surface of a TBM as it passes under receivers. *Note: This condition requires that noise levels be less than Leq(15 minute) 60 dB(A) for at least 6.5 hours between 7am and 8pm, of which at least 3.25 hours must be below Laeq(15 minute) 55 dB(A). Noise equal to or above Leq(15 minutes) 60 dB(A) is allowed for the remaining 6.5 hours between 7am and 8pm.*	Full Compliance	Full Compliance	To be audited in February 2026	D39 Consultation Report, Hunter Street Demolition, JCG, 16/11/23 Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite) D39 Consultation Report, Hunter Street Excavation, JCG, September 2024 D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25 D39 Consultation Report, Pyrmont East and West shaft excavation, 13/02/24 Hunter Street D39 Monitoring results spreadsheet and map (26/02/26). Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) and associated AA comments sheet. Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams Activity Method Statements: - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours).	The EPL aligns with conditions D38/D39. A D39 Consultation Report was prepared for both Hunter Street demolition and excavation and Pyrmont shaft excavation, to establish respite periods in accordance with D38/D39. High noise impact activities are being carried out at Hunter Street for demo between 730am and 430pm weekdays and 8am and 430pm Saturdays. For excavation high noise impact activities will be carried out 8am and 10am, 1030am to 1230pm and 230pm to 5pm Mon to Fri, and 9am to 1230pm and 1pm to 4 pm Sat and Sun. Respite periods have been established and followed (as evidenced via training material and monitoring data). High noise impact activities are being carried out at Pyrmont between 800am and 330pm. Respite periods have been established prior to the current audit period and remain the same as originally established. There has been no change for the current audit period. The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed and being communicated to the stakeholders proximal to Hunter Street. Note that for the audit period rock breaking at Pyrmont had been largely completed with detailed excavation occurring at depth of Pyrmont West and these did not exceed the criteria under D38/D39. .	C

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		Phase G1	Phase G2				
					Hunter Street Toolbox talk, September 2025 (D39-D40 management). D39-D40 Monitoring results (tracking map and spreadsheet of all attended noise monitoring for Hunter Street) Hunter Street Cut Plan (daily to plant operators under D39-D40) Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Weekly update – Hunter Street (Sydney CBD) example Feb 2026 Complaints register current to 28/02/26		
D40	Notwithstanding Conditions D22 and D23, rock breaking and other particularly highly noise intensive activities for station shaft or cut and cover stations is not permitted outside of hours identified in Condition D21, except at Hunter Street Sydney CBD; or a) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or b) where different construction hours are permitted or required under an EPL in force in respect of the construction; or c) where an EPL is not required or in force, approved through an Out of Hours Work Protocol developed in accordance with Condition D24; or d) construction that causes LAeq(15 min) noise levels: i. no more than 5 dB(A) above the rating background level at any residence in accordance with the Interim Construction Noise Guideline (DECC, 2009); and ii. no more than the noise management levels specified in Table 3 of the Interim Construction Noise Guideline (DECC, 2009) at other sensitive land uses; and iii. continuous or impulsive vibration values, measures at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006); and iv. intermittent vibration values measured at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006).	Full Compliance	Full Compliance	To be audited in February 2026	D39 Consultation Report, Hunter Street Demolition, JCG, 16/11/23 Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite) D39 Consultation Report, Hunter Street Excavation, JCG, September 2024 D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25 D39 Consultation Report, Pyrmont East and West shaft excavation, 13/02/24 Hunter Street D39 Monitoring results spreadsheet and map (26/02/26). Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (01/09/25 – 28/02/26) and associated AA comments sheet. Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams Activity Method Statements: - ETP Hunter Shaft Excavation, 23/02/25 (includes noise and vibration management including hours). Hunter Street Toolbox talk, September 2025 (D39-D40 management). D39-D40 Monitoring results (tracking map and spreadsheet of all attended noise monitoring for Hunter Street) Hunter Street Cut Plan (daily to plant operators under D39-D40) Soteria environmental inspection records (weekly between 01/09/25 and 23/02/26, covering all environmental aspects that lines up with the management plan commitments) Weekly update – Hunter Street (Sydney CBD) example Feb 2026 - Complaints register current to 28/02/26	Refer to D38/D39 High noise impact activities are being carried out at Hunter Street between 730am and 430pm weekdays and 8am and 430pm Saturdays. Respite periods have been established and followed (as evidenced via training material and monitoring data). High noise impact activities are being carried out at Pyrmont between 800am and 330pm. There has been no change for the current audit period. The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed and being communicated to the stakeholders proximal to Hunter Street. Note that for the audit period rock breaking at Pyrmont had been largely completed with detailed excavation occurring at depth of Pyrmont West and these did not exceed the criteria under D38/D39.	NT

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	Condition / requirement within this audit scope and subject to assessment.

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		Phase G1	Phase G2				
D41	Blasting No Blasting is permitted as part of this CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Land Use and Property							
D42	The CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D43	The utilities and services (hereafter “services”) potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D44	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D45	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D44 must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D44. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D46	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D44 unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	Full Compliance	Full Compliance	To be audited in February 2026	Interview with auditees 26-27/02/26 Property Damage Register 01/09/25 to 26/02/26 Property damage assessment [redacted] Complaints register current to 28/02/26 November 2025 IPIAP presentation (JCG to IPIAP) Incident report (damage to wall of Delfin House on 04/11/25) and notification to DPHI, 12/11/25 7-day follow up incident report (damage to wall [redacted] on 04/11/25) and notification to DPHI, 17/11/25	Property damage queries will come through the community engagement team. A property damage claim form is then issued to the complainant. Until such time as the form is completed, it remains as a query, once submitted it will become a claim and be subject to assessment. The claim is assessed by a panel and considers information in the PCS (if one completed), inspection and monitoring data (including work faces and where JCG have worked prior to or at the time of the complaint, vibration and settlement data, convergence monitoring data). A response with the findings is then issued to the owner. If liable, JCG will rectify or seek written agreement for payment to the owner.	C

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					AMBS heritage assessment of damage to [redacted], 21/11/25 TTW structural Assessment of [redacted], 12/11/25	If JCG state that they are not liable, the claimant will go back through the system and JCG meet to state their position. If the claimant is still not satisfied, the claimant can go to Sydney Metro. The letter of conclusion from JCG identifies the option for the claimant to challenge via the community team. With respect to claims raised during the current audit period: - According to JCG the damage claim about a car being damaged by shade cloth (complaint record 6418) was approved and JCG would pay, however the claim was withdrawn by the complainant following cleaning of the vehicle. - Three complaints of damage at [redacted] Street were recorded (tile and clock damage, complaints 6537, 6447, 6439) and these are being managed through the property damage process as a single entry. The assessment of vibration monitoring results and structural assessment determined that the project was not responsible for the damage but that they would pay for the damage as a gesture of goodwill and a quote to repair the damage has yet to be provided by the complainant. With respect to a complaint raised in previous audit period, there was 1 open claim (Unit [redacted] regarding crack expanding in the bedroom). This was rejected by JCG and disputed by the complainant. The current action as agreed by the complainant is that a post-construction condition assessment is needed to compare the impacts. This was reported to the IPIAP in a presentation in November 2025 and there were no directions issued. This claim will remain open until the post-construction condition survey is completed and assessment closed. No claims have been formally escalated to IPIAP for arbitration.	
D47	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D44 and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D48	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D44 and D45, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D49	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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D50	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D47. The results of the monitoring must be made available to the Planning Secretary upon request.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D51	Social Adverse social impacts as a result of the CSSI must be minimised and managed, and social benefits enhanced in accordance with the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021).	Full Compliance	Full Compliance	Not subject to audit at this time.			
D52	Community Communications Strategy(s) Community Communications Strategy(s) must be prepared in accordance with the Overarching Community Communication Strategy as provided in the documents listed in Condition A1 and must: a) identify affected communities, including vulnerable or marginalised groups; b) include specific and proportionate measures and mitigations to manage impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021) and enhance positive social outcomes; c) support the implementation of the Community Benefits Plan(s) as required under Condition D53; d) be informed by engagement with directly affected communities and stakeholders; and e) consider cumulative impacts at each site, as relevant. The Community Communication Strategy(s) must be submitted to the Planning Secretary for information before construction. The Community Communication Strategy(s) must be implemented for the duration of construction. The CCS(s) must be monitored and reviewed in accordance with the OCCS, including consideration of the appropriateness of mitigation measures and lessons learnt.	Full Compliance	Full Compliance	To be audited in February 2026	Non-compliance Report, D52 Classification / Handling of Complaints regarding AA in October 2025 and DPHI notification 22/10/25 Consultation Manager (CM) online database Project notifications and construction updates 01/09/25 – 28/02/26 (published online, but removed after 3 months to maintain currency): https://www.sydneymetro.info/station/bays-station https://www.sydneymetro.info/station/pyrmont-station https://www.sydneymetro.info/station/hunter-street-station Complaints register current to 28/02/26 and submission to DPHI https://www.sydneymetro.info/tunnelling and https://www.sydneymetro.info/sydney-metro/journey-sydney-metro-west-tunnel-boring-machines (TBM tracker) JCG Project Progress Reports (Community and Community benefits Sections), September 2025 to February 2026 Email Lowy to ETP, 17/10/25 (thank you notification for the site Email chain Lowy and ETP, Feb 2026 (request to avoid hammering between 12/02/26 and 13/02/26) CCTV footage from 12 and 13/02/26 Email [redacted] to ETP, 23/02/26 (thank you for the inspection on 18/02/26) Email [redacted] to ETP, 06/02/26 (request for ETP to share weekly vibration data Email ETP to [redacted], 17/02/26 (ETP sharing of vibration data). Email chain ETP and Maritime Museum, October 2025 (engagement for inspection) Emails ETP to Maritime Museum (daily for 3 weeks in October 2025 sharing vibration data and again in November during cross passage excavation) Email ETP to Maritime, 30/01/26 (Maritime stating that no further specific engagement required at this time). Premier visit photos, 17/11/26	**Non-compliance: JCG received correspondence from three stakeholders regarding an offer of alternative accommodation for night works occurring at Pyrmont in October 2025. The three stakeholders expressed dissatisfaction about the offer. The correspondence was initially recorded as an 'event' in the Consultation Manager Database (CMD). Following a review on 17/10/2025 of the entries these three 'events' were reclassified as 'complaints'. Complaint ID 6405, date logged 29/09/2025; Complaint ID 6406, date logged 01/10/2025; Complaint ID 6407, date logged 15/09/2025. As a result the requirements of the ETP Community Communications Strategy Section 10.2.1 were not met. Section 10.2.1 requires: *Any complaint received by JCG will be reported daily to Sydney Metro and uploaded in the CMD within 24 hours. Sydney Metro provides the Complaints Register to the Secretary, DPE as required by the Conditions of Approval.* The non-compliance against D52 was reported to the Department on 22/10/25. The Auditor is not aware of any repeat occurrences of this issue nor any response from the Department.** Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. The subordinate Community Communications Strategy that has been prepared by JCG. This document has been prepared in a manner consistent with that specified by Section 2 of the OCCS. It has been reviewed on a 6 monthly basis and the most recent version 11/02/26 is available online. The CCS is regularly monitored and reviewed to meet the requirements listed in OCCS Table 6. Evidence of implementation of the OCCS was sighted as follows: - Community Day records - Comms with specific stakeholders are tailored depending on needs (Maritime Museum, Lowy Institute, several stakeholders at Pyrmont and Hunter Street) - Distribution of works notifications - Project updates - Specific reports issued to key stakeholders - Signage A site based information session was held for the Lowy Institute on 10/10/25. This received positive feedback by the stakeholder. This stakeholder has also been directly engaged with as a neighbouring	NC

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					Union Street Closure and Concrete Pours Noise assessment and engagement tracker (no date) (identification of receivers subject to noise impact, notification dates and offers, and acceptance of offers) Sorry I Missed You slips (for unsuccessful contact re OOHW RO offers) Email ETP to stakeholder between 14/01/26 and 14/02/26 (offer of AA on compassionate grounds, which was accepted, and thanks provided later) Pyrmont call to action 27/11/25 (notification and request to make contact regarding Pyrmont concrete pours). Pyrmont Newsletter 23/09/25 (summary of the activities at Pyrmont and advising of future targeted engagement for specific works). Pyrmont Distribution Map, September 2025 ConMan extract with Dodgy Sounds (August 2025, advising of all the future works which were occurring during the audit period). ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly, between 01/09/25 and 19/02/26 (includes community feedback)	property. A request was raised by them to avoid hammering during some events held on 12/02/26 and 13/02/26. The CCTV footage indicates that no hammering was occurring against this receiver on the periods when the events were occurring. 25 Bligh Street property managers have been given a site tour and provided positive feedback. This stakeholder requested vibration data be provided weekly and evidence shows that this has been occurring since 17/02/26 (looking back each week). Particular engagement also happened with Maritime Museum due to TBMs passing underneath the boundary and extensive cabin excavation nearby. They attended the site (including underground) on 14/10/25. Special criteria was applied to the museum from a vibration perspective (2.5mm/s) which aligned with the Museums own criteria. No exceedances were recorded. Maritime stated that no further specific engagement required at this time (once excavation nearby was completed). Community information session held on 26/11/25 and attracted ~30 people that were interested in the wider project. No specific open days due to the state of the project and Christmas. Major presentations are scheduled in the next audit period for the TBM breakthroughs. Engagement with the music studios in Pyrmont continued, noting however that the bulk excavation and hammering works had significantly progressed and the ground borne noise and vibration risk reduced during the current audit period. For the Pyrmont Concrete extended hours, the DNVIS identified highly impacted receivers eligible for respite for OOHW. The data is provided to the comms team and they maintain a tracker identifying the receiver, the date/s that contact was sought (3 x attempts). Once contact is achieved an offer of respite is made (minimum of 7 days prior) noting that the offer stands throughout (i.e.: they don't need to accept straight away). In addition to respite the consultation process identified a stakeholder with special needs that was offered alternate accommodation. The receiver provided written thanks afterwards. Interrogation of random selection of complaints was conducted: Complaint 6542: The complainant was offered but did not accept RO prior to the OOHW concreting commencing. ETP stated in the complaints register that RO was provided retrospectively (i.e.: after the works were completed). The actual triggering works were not Sydney Metro's (Jemena). Email to the stakeholder dated 16/01/26 demonstrates that the RO offer was made. The RO has not yet been taken up. Complaint 6523 (07/01/26): Auditees state that the minimisation of noise would occur. This is evidenced via the DNVIS, OOHW permit and TBT and then the monitoring and inspection. Complaint 6419. ETP stated that the hammering in the Pyrmont cavern will finish by 10pm and confirmed that the hammering activities will conclude on 24/10. ETP offered to undertake noise monitoring in the stakeholder's home before the work concludes. Stakeholder did not respond to the offer of noise monitoring and there was no further feedback logged from this stakeholder on this matter. The auditees confirmed that the works were completed in line with schedule. In the monthly reports to Sydney Metro JCG summarises all engagement conducted each month, along with statements as to whether community engagement metrics from the CCs are being	

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		Phase G1	Phase G2				
						achieved. JCG states in the monthly reports from Sep 25 to Feb 26 that metrics have been met.	
D53	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. The CBP(s) must include a community benefit initiative impact register to monitor, review, and report on the effectiveness of the plan(s). The CBP(s) must review and refine the social impacts, risks and mitigations in the documents listed in Condition A1, including impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021 Nothing in this condition prevents the preparation of individual Community Benefit Plan(s) for each station precinct. The Community Benefit Plan(s) must be submitted to the Planning Secretary for information, before construction. The Community Benefit Plan(s) must be implemented for the duration of construction. The community benefit initiative impact register must be provided to the Planning Secretary upon request.	Not Applicable	Full Compliance	Not subject to audit at this time.			
Business							
D54	Small Business Owners Engagement Plan(s) Small Business Owners Engagement Plan(s) must be prepared and implemented in accordance with the Overarching Community Communication Strategy to minimise adverse impacts and secure benefits to businesses and traders on streets and underground pedestrian access affected by construction of the CSSI. The Plan(s) must include but not necessarily be limited to: a) measures to address amenity, vehicular and pedestrian access (including wayfinding) and visibility of the business or service appropriate to its reliance on such, and other reasonable matters raised in consultation with affected businesses and traders; b) measures to address impacts from the permanent closure of DeMastre Place and temporary closure of the underground pedestrian walkway between Wynyard Station and Pitt Street; c) measures to assist small businesses adversely impacted by construction of the CSSI, such as small business education and mentoring, activation events, business engagement events, marketing and promotion. The Plan(s) must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site. The Plan(s) must be monitored and reviewed in accordance with the Overarching Community Communication Strategy.	Full Compliance	Full Compliance	Not subject to audit at this time.	-		
Soils and Contamination							

Legend	
(yellow)	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D55	Soils Prior to the commencement of any ground disturbance, erosion and sediment controls must be installed and maintained, in accordance with the publication Managing Urban Stormwater: Soils & Construction (4th edition, Landcom 2004) commonly referred to as the 'Blue Book'.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D56	Contamination A NSW EPA-accredited Site Auditor must be engaged throughout the duration of works to ensure that any work required in relation to contamination is appropriately managed.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D57	A Sampling and Analysis Quality Plan (SAQP) must be prepared for medium and high risk sites as identified in the documents referred to in Condition A1 to ensure that field investigations and analyses will be undertaken in a way that enables the collection and reporting of reliable data to meet project objectives, including (where applicable) the relevant site characterisation requirements of the detailed or targeted site investigations. The SAQP must: a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; and c) be reviewed by a NSW EPA accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether they consider the SAQP to be appropriate. The SAQP and the interim audit advice prepared by a Site Auditor must be submitted to the Planning Secretary for information.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D58	Detailed Site Investigations to confirm moderate and high risk contaminated sited identified in Preliminary Site Investigation in Condition A1 must be prepared, or reviewed and approved by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken before ground disturbance in areas identified in the documents under Condition A1 as moderate to high risk.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D59	A Detailed Site Investigation Report must be prepared and submitted to the Planning Secretary for information following the completion of Detailed Site Investigations required by Condition D58 and: a) be prepared (or reviewed and approved) by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW); and	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	c) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether the DSI appropriately categorises risk and remediation requirements. The Detailed Site Investigation Report and interim audit advice must be submitted to the Planning Secretary for information. Nothing in this condition prevents the Proponent from preparing individual Site Contamination Reports for separate sites.						
D60	If remediation is required to make land suitable for the intended land use, a Remedial Action Plan must: a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; and b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; c) include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use and detail how the environmental and human health risks will be managed during the disturbance, remediation and/or removal of contaminated soil/sediment or groundwater; and d) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue a Section B Site Audit Statement or interim audit advice which certifies that the Remedial Action Plan is appropriate to remediate identified contamination. Nothing in this condition prevents the preparation of individual Remedial Action Plans for separate sites.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D61	Before commencing remediation, a copy of the Remedial Action Plan and the Section B Site Audit Statement, or interim audit advice, must be submitted to the Planning Secretary for information.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D62	The Remedial Action Plan must be implemented and changes to the Remedial Action Plan must be approved in writing by the NSW EPA accredited Site Auditor. A copy of the revised Remedial Action Plan must be provided to the Planning Secretary for information. Nothing in this condition prevents the Proponent from engaging the Site Auditor to prepare Site Audit Statements for separate sites.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D63	A Section A1 or A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state the contaminated land subject to the Remedial Action Plan has been made suitable for the intended land use, must be submitted to the Planning Secretary and Council after remediation and before the commencement of operation of the CSSI. Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D64	Contaminated land must not be used for the purpose approved under the terms of this approval until a Section A1 or A2 Site Audit Statement is obtained which states that the land is suitable for that purpose and any conditions on the Section A Site Audit Statement have been complied with.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D65	An Unexpected Finds Procedure for Contamination must be prepared before the commencement of construction and implemented throughout construction. The procedure must: a) be followed should unexpected contamination or asbestos (or suspected contamination) be excavated or otherwise discovered; b) include details of who will be responsible for implementing the unexpected finds procedure and the roles and responsibilities of all parties involved; and c) be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme	Full Compliance	Full Compliance	Not subject to audit at this time.			
Sustainability							
D66	A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater during the CSSI. The Water Reuse Strategy must include, but not be limited to: a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction. Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail. A copy of the Water Reuse Strategy must be made publicly available. Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of the CSSI.	Not Applicable	Full Compliance	Not subject to audit at this time.			
Traffic and Transport							
D67	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D68	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

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Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D69	During construction of the CSSI, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of affected businesses / traders. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian and vehicular access, and parking arrangements must be developed in consultation with affected businesses / traders and relevant Councils and implemented prior to the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D70	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency situation.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D71	The locations of all heavy vehicles used for spoil haulage for the CSSI must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D72	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D73	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMPs.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D74	All requests to the Planning Secretary under Condition D73 must include the following: a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D75	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Council within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D76	If damage to roads occurs as a result of the construction of the CSSI, the Proponent must either (at the Council's discretion): a) compensate the Relevant Council for the damage so caused; or b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D77	Construction Parking and Access Management All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to: a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	Full Compliance	Full Compliance	To be audited in February 2026	Overarching Construction Traffic Management Plan, JCG, 10/03/23 (and DPE portal submission, 24/03/23) Construction Parking and Access Strategy Stage 1 for Hunter and Pyrmont, 07/11/25 (and prior DPHI approval, 15/12/25) Construction Traffic Management Plan The Bays – Stage 2 – Tunnelling Operations Rev 06, 16/06/25 Construction Traffic Management Plan Hunter Street Precinct – TBM Demobilisation Rev 01, 11/11/25 Construction Traffic Management Plan Hunter St East – Stage 3 – Site Establishment and Shaft Excavation, Rev 04, 11/03/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/03/2025 to 31/08/2025 and DPHI notification 17/10/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/04/2025 to 30/09/2025 (CPAS reporting period) and DPHI notification 17/11/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/10/2025 to 31/10/2025 and DPHI notification 05/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/11/25 to 30/11/25 and DPHI notification 08/12/25 Non-compliance Report, D77 Heavy Vehicle Approved Route Deviation, 1/12/2025 to 31/12/2025 and DPHI notification 15/01/26 Letter DPHI to Sydney Metro, 02/02/26 (acknowledgement of monthly reports and switch to quarterly reporting for 2026 with the next report due 17/04/26). Email JCG to Holcim, 06/08/25 (program planning of Pyrmont Cavern pours to manage truck flows) [redacted] On Street Work Zone Permit, City of Sydney to JCG, 25/06/25, 10/09/25, 08/12/25 [redacted] On Street Work Zone Permit, City of Sydney, 06/01/26 (TBM retrieval) ROLs O'Connell Street TBM Retrieval (Westbound closure and full closure) Hunter Street Ancillary Facility, 18/12/25 and DPHI approval 22/11/24 Union Street Closure Ancillary Facility, 18/12/25 and DPHI approval 16/09/25 The Bays Truck Marshalling Ancillary Facility, 04/09/25 and DPHI approved via CPAS 14/06/24	This requirement is addressed in the Construction Parking and Access Strategies (CPAS). The CPASs have gone through review by Sydney Metro, the ER, TfNSW, City of Sydney and issued to the Department. The Department has approved each CPAS prepared for the Project. **Non-compliance: A non-compliance with D77 was identified during the previous audit. This was subsequently reported to the Department on 17/10/25. As a result of the finding, the auditees requested approval from the Department to establish a routine (monthly) reporting mechanism for reporting breaches of approved routes. This was approved by the Department on 10/11/25 and reporting of non-compliances with D77 has been conducted in line with that approval. For each month between 01/09/25 and 31/12/26 a monthly notification of breaches of approved routes occurred. On 02/02/26 The Department approved switching the notifications of breaches of approved routes to quarterly with the next notification due 17/04/26.** Spoil haulage is 90% complete and spoil haulage from The bays is essentially complete. Minor amounts from Pyrmont West. Hunter Stret cavern and turn backs are complete and thus only excavation of shafts remaining. These sources equate to the remaining 10% of haulage. Marshalling and laydown areas have been established in Ancillary Facilities and these help prevent queuing on the street. Union Street Ancillary Facility was used for concrete pours which allowed for a number of concrete trucks to be held on site. Additionally, JCG worked with Holcim to schedule the trucks so that trucks are not staged in areas that weren't approved. Personnel typically get public transport or in the Wilson Harbourside Parking nearby. Hunter Street West has relied on Hunter Street ancillary facility for turning circles and marshalling within the site. Hunter Street East stage 2 area now has a deck within the site to allow plant and vehicles to be held in the site. For TBM demobilisation at Hunter Street these have required TGS arrangements, whilst noting that these have occurred late at night. Mobilisation of the crane on O'Connell also required road close, with local access maintained and detours of pedestrians. The TGSs and On Street Work Zone Permits were sighted. The Bays has a number of parking (149 spots) and marshalling areas. JCG run buses for personnel going to other sites. This area is to be also used for managing deliveries going forward through the next phase of M&E.	NC

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					The Bays Car Parking, Storage and Truck Marshalling Ancillary Facility, 13/02/26 Hunter Street East Minor Ancillary Facility Assessment, ER approved 23/10/24 Hunter St Ancillary Facility Amenities, ER approved 19/03/25 Union Street Minor Ancillary facility, ER approved 19/03/25 Glebe Island Bridge Minor Ancillary facility, ER approved 15/09/25 The Bays Laydown Minor Ancillary facility, ER approved 14/07/25 Complaints register current to 28/02/26 Telematics system - My virtual super system (online) Delivery Driver Induction (issued by QR code upon or prior to booking a delivery)	Complaint 6565 regarding driver behaviour of vehicle on the M4, recorded on 30/01/26. JCG provided evidence that this complaint was investigated and (whilst no evidence of speeding was recorded), verbal warning was issued and the driver was stood down during the investigation period (returning to work on 05/02/26).	
D78	A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to: a) achieving the requirements of Condition D77 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders utilising existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; g) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; h) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; i) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and j) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals. The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month prior to the commencement of any construction that reduces the availability of existing	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.						
D79	Road Safety A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D80	Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Full Compliance except in relation to operational traffic.	Full Compliance except in relation to operational traffic.	Not subject to audit at this time.			
D81	Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D82	Pedestrian and Cyclist Access Safe pedestrian and cyclist access must be maintained and signposted around CSSI construction sites during construction, including during the operation of festivals and special events, in accordance with the CTMPs. Note: Pedestrian and cyclist access around construction sites must be as direct as reasonably practicable.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D83	Emergency Vehicle Access The Proponent must maintain emergency vehicle access, in consultation with TfNSW, relevant Councils and emergency services at all times throughout the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D78 above.	Not Applicable	Full Compliance	Not subject to audit at this time.			
Utilities Management							
D84	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D85	Utility Coordination Manager A Utility Coordination Manager must be appointed for the duration of work associated with the CSSI. The role of the Utility Coordination Manager must include, but not be limited to:	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	a) the management and coordination of all utility work associated with the delivery of the CSSI, to ensure respite is provided to the community; b) providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and c) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.						
Urban Design and Visual Amenity							
D86	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D87	Nothing in this approval permits advertising on any element of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D88	Lighting and Security The CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D89	Visual Amenity The CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Waste							
D90	Waste generated during construction and operation must be dealt with in accordance with the following priorities: a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.	Full Compliance except for operation	Full Compliance except for operation	Not subject to audit at this time.			

Legend	
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OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D91	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D92	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D93	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Water							
D94	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D95	Water Quality The CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO.	Full Compliance	Full Compliance	To be audited in February 2026	Soil And Water Management Sub-Plan, JCG 25/07/25 (ER endorsed 06/08/25) Eastern Tunnelling Package Discharge Impact Assessment, Epic, 01/12/22 EPL 21784 EPL Monthly Environmental Monitoring Data Report, JCG Sep 25 – Feb 26 Biannual Water Monitoring report April 2025 – September 2025, 17/11/25 Letter EPA to JCG, 23/12/25 Trade Waste Agreement Hunter Street #53826, 28/01/26 Trade Waste Agreement The Bays #53835, 22/01/26	Site based and administrative controls are set out in the Soil and Water Management Plan, including section 7.6.1. Treatment Plants at Hunter Street and The Bays. Both are licensed under EPL 21784. The EPL criteria is based on the Water Discharge Impact Assessment which assesses the selected criteria against the WQOs. On 16/10/25 exceedances of Total N were recorded at The Bays WTP. The exceedance of the total nitrogen discharge limit was identified during monthly monitoring and reported to the EPA. Following identification of the exceedance an investigation into the potential cause was undertaken by the licensee. Results of the investigation indicate that: elevated total nitrogen levels in localized groundwater, tunnel boring machine (TBM) interaction with localised ground water, operating procedures of the TBM (slurry handling), and functionality of the WTP to effectively treat the elevated levels of total nitrogen to the licence limit contributed to the exceedance. Review of the historical operation of the WTP indicated that ammonia and nitrate had been effectively treated by the technologies on the WTP, however these technologies can't effectively treat the increased levels encountered in the local area, and options for addition to the WTP are not considered reasonable and feasible, considering the time left on the project. The investigation indicates that elevated levels of total nitrogen are expected to be encountered by the TBMs as they travel towards Hunter Street. On 14/11/25 exceedances of Total N and Hexavalent Chromium were recorded at The Bays WTP. Exceedances of Total Nitrogen and Hexavalent Chromium limits were identified during monthly monitoring and reported to the EPA. Following identification of the exceedance an investigation into the potential cause was undertaken by the licensee. Results of the investigation presented indicate that: elevated total nitrogen levels in localized groundwater, tunnel boring machine (TBM) interaction with	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
						localised ground water, operating procedures of the TBM (slurry handling) and functionality of the WTP to effectively treat the elevated levels of total nitrogen to the licence limit contributed to the exceedance of Total Nitrogen. Investigation indicated that the hexavalent chromium exceedance coincided with the commencement of cavern blinding and invert concrete pour in the pedestrian adit and service adit. Investigation states that following the exceedance an additional dosing process was introduced to the WTP to reduce hexavalent chromium to Chromium III. Testing results following commencement of the dosing process provided indicate that hexavalent chromium in discharge water is now below the licensed limit. For both events the EPA has elected to not take regulatory action. At The Bays a trial has been completed to allow dosing TAM Chem treatment on The Bays WTP. This has been effective in reducing Chromium 6. Not required at Hunter Street WTP as yet. Further, Tradewaste agreements are now in place for both WTPs so that discharges that won't meet EPL criteria will be sent to sewer. For the previous reporting period (current reporting period data being prepared) Surface water quality monitoring was undertaken monthly at The Bays (ETP-01), Pyrmont Station (ETP-02) and Hunter Street (ETP-03) monitoring locations with no exceedances attributed to the project. Groundwater level declines are within predicted ranges. Groundwater quality was consistent with regional / natural chemistry.	
D96	Unless an EPL is in force in respect to the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed: a) the *Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018* (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and *New Zealand Guidelines for Fresh and Marine Water Quality 2000* (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level; and d) the draft Australian and New Zealand Guidelines default guideline values for iron (marine) Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D97	If alternative discharge criteria from the Water Treatment Plants are proposed via an EPL, a Water Pollution Impact Assessment will be required for the relevant pollutants to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

OFFICIAL

Unique ID	Compliance requirement[1]	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D98	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D99	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D100	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction dewatering by the CSSI in accordance with the NSW Aquifer Interference Policy (NSW DPIE, 2012).	Not Applicable	Full Compliance	Not subject to audit at this time.			
D101	The Proponent must submit a revised Groundwater Modelling Report in association with the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sties; and f) a schematic of the conceptual hydrogeological model.	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS

Department of Planning, Housing & Infrastructure

NSW Planning Ref: SSI-10038-PA-850

Sydney Metro
Level 43
680 George Street
Sydney NSW 2000

Attention: [redacted] – Senior Manager Environment

01/12/2025

Subject: Sydney Metro West Stages 1&2– Agreement to Independent Auditors Nomination

Dear [redacted],

I refer to your submission lodged via the Major Projects Portal on 27 November 2025 (SSI-10038-PA-850), requesting the Planning Secretary's agreement to suitably qualified, experienced, and independent persons to conduct the upcoming Independent Audit of the Sydney Metro West: Stages 1 & 2 projects (**Projects**) under SSI 10038, as modified and SSI 19238057, as modified (**Approvals**).

The NSW Department of Planning, Housing and Infrastructure (**NSW Planning**) has reviewed the independent auditor nominations and based on the information you have provided and is satisfied that the proposed persons are suitably qualified, experienced, and independent.

In accordance with Schedule 3, Condition A40 of SSI 10038 and Schedule 2, Condition A42 of SSI 19238057 and the NSW Planning, *Independent Audit Post Approval Requirements* (2020) (**IAPAR**), as nominee of the Planning Secretary, I endorse the following independent audit team for the upcoming Independent Audit:

- Mr [redacted], as Lead Auditor.
- Ms [redacted], as Assistant Auditor.

Please ensure this correspondence is appended to the Independent Audit Report.

Please note that any subsequent Independent Audits of the Project, Approvals, require a new auditor nomination and written approval from the Planning Secretary prior to commencement.

This agreement is also conditional on the above auditors maintaining certification as a lead, principal or master auditor with a relevant industry body.

The Independent Audit must be prepared, undertaken and finalised in accordance with the conditions of Approvals, IAPAR and the approved Independent Audit Program prepared by WolfPeak, Version 5.3 and dated 8 July 2024. Failure to meet these requirements will require revision and resubmission.

The Department reserves the right to request an alternate auditor or audit teams for future audits.

Should you have any questions, or wish to discuss the matter further, please do not hesitate to contact us at compliance@planning.nsw.gov.au.

Yours sincerely

[redacted]

[redacted]
A/Team Leader Compliance - Metro Rail
Compliance

As nominee of the Planning Secretary

APPENDIX C – CONSULTATION RECORDS

[redacted]

From: [redacted]@dpie.nsw.gov.au>
Sent: Friday, 23 January 2026 3:59 PM
To: [redacted]
Cc: [redacted]
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2026 - Department consultation

Hi [redacted],

Thank you for your time today to discuss the scoping statement submitted to the Department for the Sydney Metro West Stage 1 and Stage 2 audits proposed for February 2026. We note that the submission is consistent with the Department-approved audit program.

As discussed during the call, in addition to the proposed scope, could you please consider out-of-hours-works in more detail, namely in relation to their impacts and the projects complaints handling/communication management.

Please also consult with the NSW EPA and all relevant local councils, including the City of Parramatta, Cumberland, Strathfield, Burwood, the City of Canada Bay, the Inner West and the City of Sydney.

Please feel free to contact us at any time should you require any assistance.

Regards,

[redacted]

Senior Compliance Officer – Metro Rail

Development Assessment & Sustainability

Department of Planning, Housing and Infrastructure

E [redacted]@dpie.nsw.gov.au **W** dphi.nsw.gov.au

Level 31, 4 Parramatta Square, Parramatta NSW 2150

Working days Monday to Friday, 09:00am - 05:00pm

I acknowledge the traditional custodians of the land and pay respects to Elders past and present. I also acknowledge all the Aboriginal and Torres Strait Islander staff working with NSW Government at this time.

Please consider the environment before printing this email.

-----Original Appointment-----
From: [redacted]
Sent: Monday, 22 December 2025 3:17 PM
To: [redacted]
Subject: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2026 - Department consultation
When: Friday, 23 January 2026 1:00 PM-2:00 PM (UTC+10:00) Canberra, Melbourne, Sydney.
Where: Microsoft Teams Meeting

Hi [redacted],

As discussed, scheduling this meeting to review the February 2026 audit scope and the submitted documentation.

Regards,

[redacted]

Microsoft Teams [Need help?]

[Join the meeting now]

Meeting ID: 266 675 549 612 48

Passcode: aM9im9ED

Dial in by phone

+61 2 8318 0003,,501855555# Australia, Sydney

1800 568 994,,501855555# Australia (Toll-free)

Find a local number

Phone conference ID: 501 855 555#

Join on a video conferencing device

Tenant key: dpiensw@m.webex.com

Video ID: 114 938 778 0

More info

For organizers: Meeting options | Reset dial-in PIN

Please use the Teams application for meetings and the toll free 1800 number for dial in phone access.

Org help | Privacy and security

From: [redacted]@wolfpeak.com.au>
Sent: Monday, 22 December 2025 10:57 AM
To: [redacted]@dpie.nsw.gov.au>
Cc: [redacted]@planning.nsw.gov.au>
Subject: Re: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2026 - Department consultation

Hi [redacted]

22/01 or 29/01 1pm-3pm are best.

23/01 between 1pm-3pm also works.

Cheers,

[redacted] | **Principal**

Director - Delivery

A SEQUANA COMPANY

P: 1800 979 716

M: [redacted]

E: [redacted]@wolfpeak.com.au

W: www.wolfpeak.com.au

Consider the environment. Please don't print this e-mail unless really necessary.

From: [redacted]@dpie.nsw.gov.au>
Sent: Monday, December 22, 2025 10:26:58 am
To: [redacted]@wolfpeak.com.au>
Cc: [redacted]@planning.nsw.gov.au>
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2026 - Department consultation

You don't often get email from shema.thadathil@dpie.nsw.gov.au. Learn why this is important

Hi [redacted]

Thank you for forwarding the scoping documents. We will review the material and provide any feedback as needed.

We appreciate the opportunity to convene via Teams to discuss the scope of works further.

Our availability is as follows:

- 22 January 2026: 1:00–3:00pm
- 23 January 2026: 9:30–10:30am, 1:00–4:00pm
- 27 January 2026: 10:30am–12:00pm
- 28 January 2026: 10:30–11:30am, 1:00–4:00pm
- 29 January 2026: 1:00–4:00pm

Please let us know your preferred time and we will coordinate accordingly.

Regards,

[redacted]

Senior Compliance Officer – Metro Rail

Development Assessment & Sustainability

Department of Planning, Housing and Infrastructure

T [redacted] **E** [redacted]@dpie.nsw.gov.au

W dphi.nsw.gov.au

Level 31, 4 Parramatta Square, Parramatta NSW 2150

Working days Monday to Friday, 09:00am - 05:00pm

NSW GOVERNMENT

I acknowledge the traditional custodians of the land and pay respects to Elders past and present. I also acknowledge all the Aboriginal and Torres Strait Islander staff working with NSW Government at this time.

Please consider the environment before printing this email.

From: [redacted]@wolfpeak.com.au>
Sent: Friday, 19 December 2025 3:10 PM
To: [redacted]
Cc: [redacted]
Subject: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit February 2026 - Department consultation
Importance: High

Hello [redacted] and Compliance Team.

As you know, Sydney Metro West Stage 1 (CSSI 10038) and Sydney Metro West Stage 2 (CSSI 19238057) are required to undertake Independent Audits in accordance with the Sydney Metro West Audit Program (WolfPeak, Rev 5.3, 8 July 2024) which was most recently approved by the Department on 6 August 2025. The next audit under the Audit Program is scheduled to commence in late February 2026.

In accordance with Section 7.2.3 of the Audit Program, WolfPeak is consulting with the Department on the scope of the audit and for confirmation as to whether other parties or agencies are to be consulted.

The required scope (as outlined in Section 5 of the Audit Program) already covers an assessment of each relevant condition along with an assessment of environmental performance, and the management of complaints, incidents and non-compliances and so forth. These matters are included in the audit scope. A Scoping Statement is attached, and it outlines the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works).

In providing input to the scope, we kindly request Department review the attached Scoping Statement and confirm:

- if it any key requirements / issues it would like examined that are not already called up by the scope defined by Section 5 of the Audit Program and that specified by the attached Scoping Statement; or

- if it recommends that other parties or agencies are to be consulted. If so, we request that the Department identify those parties.

If the Department would like to meet to work through the proposed scope, we would welcome this opportunity. Please let me know if this is the case.

We look forward to hearing from you.

Regards

[redacted] **Principal**

Director - Delivery

wolfpeak
A SEQUANA COMPANY

P: 1800 979 716

M: [redacted]

E: [redacted]@wolfpeak.com.au

W: www.wolfpeak.com.au

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Our ref: DO26/48509-1

10 February 2026

[redacted]
Principal
WolfPeak Group Pty Ltd
180 George St
SYDNEY NSW 2000

By email: [redacted]@wolfpeak.com.au

Dear [redacted]

Re: Sydney Metro West – Risk Based Audit February 2026 - Consultation

The Environment Protection Authority (EPA) refers to your email of 30 January 2026 regarding your engagement as one of the independent auditors for the Sydney Metro West stages 1 and 2 project (CSSI 10038 and CSSI 19238057) for the period September 2025 to February 2026.

Three environment protection licences (Nos. 21784, 21610 and 21676) (licences), are in force for activities being undertaken across the project. Copies of the licences, associated notices and a list of non-compliances reported in the annual returns are publicly available on the EPA's POEO Public Register at Environment & Heritage | PRPOEO

The EPA has considered the scope of works you have provided and has identified the following key issues for consideration during the audit:

- Conditions B2-B5 of CSSI 10038 and B2-B5 of CSSI 19238057 - Complaints management system/register
- Conditions D35-D45 and D50-D51 of CSSI 10038 and D21-D31 and D36-D40 of CSSI 19238057 - Noise & Vibration
- Conditions D71-D78 of CSSI 10038 and D56-D65 of CSSI 19238057 - Contaminated sites (CSSI 10038) and Contamination (CSSI 19238057)

If you have any further questions about this matter, please contact Joanne Bell, Senior Operations Officer, on (02) 9274 6285 or at **info@epa.nsw.gov.au**, copying joanne.bell1@epa.nsw.gov.au

Kind regards

[redacted]

Unit Head Regulatory Operations
NSW Environment Protection Authority

[redacted]

From:	[redacted]
Sent:	Friday, 30 January 2026 9:22 AM
To:	[redacted]
Cc:	[redacted]
Subject:	Sydney Metro West Stage 2 - Risk Based Audit February 2026 - Consultation

Hello City of Sydney.

I am one of the approved Independent Auditors on Sydney Metro West Stage 2 (CSSI 19238057) (the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Ancillary facilities
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage
- Construction parking and access.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, <u>relating to compliance with the conditions of Approval the during the audit period</u>.

In providing input I kindly note that feedback be provided no later than **<u>13 February 2026</u>**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

[redacted] | **Principal**
Director - Delivery
wolfpeak
A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au

Consider the environment. Please don't print this e-mail unless really necessary.

[redacted]

From: [redacted]@innerwest.nsw.gov.au>
Sent: Friday, 30 January 2026 10:28 AM
To: [redacted]
Cc: [redacted]
Subject: RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hi [redacted],

Council would like the audit to also include all the key areas as outlined in both CSSI 10038 and CSSI 19238057 Conditions of Approvals and the following key areas:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Condition surveys
- Post construction condition surveys, repair of damage and completion of permanent works
- Remediation of contaminated land
- Safety of pedestrians and cyclists
- Pedestrian and cyclist access
- Traffic and transport
- On-street parking
- waste
- Tree retention
- Ancillary facilities
- Heavy vehicles on local roads
- Groundwater
- public domain treatments including landscaping and tree planting
- public trees (if they were part of, or affected by, the works or replacement plantings on public land were undertaken)
- urban design
- visual amenity
- air quality
- stormwater and flooding
- soils and contamination
- utilities management
- land use and property
- Aboriginal and non-aboriginal heritage

Thank you for consulting with Council regarding the audit scope.

Regards,

[redacted]
Road Access Project Engineer (Acting)
p +61[redacted] e[redacted]@innerwest.nsw.gov.au

We acknowledge that this land area traditionally belonged to the Gadigal and Wangal peoples of the Sydney Basin.

From: [redacted]@wolfpeak.com.au>
Sent: Friday, 30 January 2026 9:28 AM
To: [redacted]
Cc: [redacted]
Subject: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hello Inner West Council.

I am one of the approved Independent Auditors on Sydney Metro West stages 1 and 2 (CSSI 10038 and CSSI 19238057) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Ancillary facilities
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **<u>13 February 2026</u>**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

[redacted] | **Principal**
Director - Delivery

wolfpeak
A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au

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[redacted]

From: [redacted]@canadabay.nsw.gov.au>
Sent: Wednesday, 4 February 2026 10:33 AM
To: [redacted]
Subject: RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

You don't often get email from steven.mrmacovski@canadabay.nsw.gov.au. Learn why this is important

Hello [redacted],
I received this email from an internal source noted below.
I am Council's Interface Manager on the Sydney Metro West project for the 3 locations within our LGA.
These locations include Five Dock, Burwood North and North Strathfield.
In terms of your audit period it should be noted that the project was substantially complete in terms of its tunnelling phase and in procurement for the next phase of the project. Just recently another 4 contracts have been awarded.
Therefore, there are no key issues to report for this audit period.

Thanks and Regards,

[redacted] | **Senior Project Manager**
City of Canada Bay

15-17 Regatta Road Five Dock NSW 2046 | www.canadabay.nsw.gov.au
T: 02[redacted] | M: [redacted] | [redacted]@canadabay.nsw.gov.au

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.

From: [redacted]@canadabay.nsw.gov.au>
Sent: Friday, 30 January, 2026 9:37 AM
To: [redacted]
Cc: [redacted]
Subject: FW: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hi [redacted] for your action if required.

You may have a RM in TechOne and Jenny may assist with you on this.

Should you have any questions, don't hesitate to contact me.

Kind regards,

[redacted]

[redacted] | Development Engineer
City of Canada Bay

15-17 Regatta Road Five Dock NSW 2046 | www.canadabay.nsw.gov.au
T: 02 [redacted] | M: [redacted] | [redacted]@canadabay.nsw.gov.au

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.

From: [redacted]@wolfpeak.com.au>
Sent: Friday, 30 January 2026 9:32 AM
To: [redacted]
Cc: [redacted]
Subject: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hello City of Canada Bay.

I am one of the approved Independent Auditors on Sydney Metro West stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Ancillary facilities
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, <u>relating to compliance with the conditions of Approval the during the audit period</u>.

In providing input I kindly note that feedback be provided no later than **13 February 2026**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

[redacted] **| Principal**
Director - Delivery

wolfpeak
A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au
Consider the environment. Please don't print this e-mail unless really necessary.

Burwood Council
2 Conder St, Burwood 2134
PO Box 240 Burwood NSW 1805

P (02) 9911 9911
E council@burwood.nsw.gov.au

Mr [redacted]
Principal
Director – Delivery
Wolfpeak

Our Ref: 26/4653
10 February 2026

By email: [redacted]@wolfpeak.com.au

Dear [redacted]

RE: Submission regarding Audit Scope for Sydney Metro West Stage 1

I refer to your email dated 30 January 2026 and thank you for the opportunity to provide input into the audit scope for the Sydney Metro West Stage 1 project (CSSI 10038). Council has reviewed and agrees with the items listed in your email as areas to be audited. These are as follows:

- *Reporting of incidents and non-compliances*
- *Community engagement and complaints management*
- *Ancillary facilities*
- *Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan*
- *Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program*
- *Property damage.*

In addition to the above, Council identifies the following key issues as areas to be examined as part of the audit:

- Tree retention and protection
- Landscaping provision and ongoing maintenance
- Continued provision of temporary pedestrian access
- Parking arrangements during construction

Should you wish to discuss this matter further, please do not hesitate to contact Geraldine Pham, Senior Strategic Planner on 9911 9832

Yours sincerely,

[redacted]

Manager City Planning

[redacted]

From: [redacted]
Sent: Friday, 30 January 2026 9:42 AM
To: [redacted]
Cc: [redacted]
Subject: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hello Strathfield Council.

I am one of the approved Independent Auditors on Sydney Metro West stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Ancillary facilities
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage
- Remediation of land.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, <u>relating to compliance with the conditions of Approval the during the audit period</u>.

In providing input I kindly note that feedback be provided no later than **<u>13 February 2026</u>**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

[redacted] | **Principal**
Director - Delivery
wolfpeak
A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au

[redacted]

From: [redacted]@cityofparramatta.nsw.gov.au>
Sent: Friday, 20 February 2026 2:33 PM
To: [redacted]
Cc: [redacted]
Subject: RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hi [redacted]

I refer to your request below for Council to confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period (September 2025 to February 2026) relating to the seven listed items;

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Ancillary facilities
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage
- Remediation of land.

Council would appreciate if you could examine an issue associated with *Ancillary Facilities*, relating to compliance with CoA **C-B2 part a) & b) of Stage 1** and **E75 part c) & d) of Stage 3** (copied below). These conditions are in respect to consideration of Active Transport link, connectivity and public spaces for recreational use on residual land to offset the loss of the private recreational land. Although ongoing through 2025, Council had written to SMW on 21 October and 10 December, and we acknowledge that the SMW team has advised there were currently preparing a presentation on these matters, that was indicated to be scheduled for late January.

Regards

Stage 1 Conditions of Approval for Sydney Metro West – Concept and Stage 1 Construction (SSI 10038)

Clyde Stabling and Maintenance Facility Site

C-B2 For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:

(a) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces;
(b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council;
(c) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and
(d) integration with strategic planning for the precinct.

Stage 3 Conditions of Approval for Sydney Metro West – Rail infrastructure, stations, precincts and operators (SSI 22765520)

Clyde Stabling and Maintenance Facility and Rosehill Services Facility

E73 A **Clyde Landscape Masterplan** must document and illustrate the permanent built work including landscape design of the Clyde Stabling and Maintenance Facility and the Rosehill Services Facility and how this work will be maintained. The **Clyde Landscape Masterplan** must be:

(a) prepared by a suitably qualified and experienced person(s);
(b) reviewed by an independent and suitably qualified and experienced person nominated by the DRP;
(c) submitted to the Planning Secretary for information no later than one (1) month before the construction of permanent built surface works and / or landscaping in the Clyde Stabling and Maintenance Facility and Rosehill Services Facility, excluding those elements which for technical requirements, or requirements as agreed by the Planning Secretary do not allow for alternate design outcomes; and
(d) implemented before the commencement of operation of the CSSI.

Note: The Clyde Landscape Masterplan may be developed and considered in phases to facilitate design progression and construction. Any such phasing would need to facilitate a cohesive final design and not limit final design outcomes.

E74 In preparation of the **Clyde Landscape Masterplan**, the Proponent must document how the following matters have been considered in the design, place making and landscaping of the CSSI:

(a) outcomes of consultation with the community (including the affected landowners and businesses or a representative of the businesses) and City of Parramatta Council; and
(b) advice and recommendations from the DRP.

E75 The **Clyde Landscape Masterplan** must include descriptions and visualisations (as appropriate) of:

(a) the design (including form, materials and detail) of the Clyde Stabling and Maintenance Facility and the Rosehill Services Facility and tunnel portals;
(b) consideration of relevant local strategic plans;
(c) active transport integration with the wider Camellia-Rosehill precinct;
(d) residual land and potential use of such land;
(e) relevant principles and guidelines set out in the Design Guidelines;
(f) landscaping and building design opportunities to mitigate visual and noise impacts and minimise light spill;
(g) landscaping, including:
  (i) hard and soft elements around Duck Creek and A'Becketts Creek;
  (ii) use of native species from the relevant native vegetation community (or communities), where identified as appropriate;
  (iii) water sensitive urban design initiatives;
(h) the indicative timing and responsibilities for implementation of included elements; and
(i) operational management and routine maintenance standards (including adequate watering of plants following planting and ongoing weed management).

[redacted]
Rail Projects Interface Manager | Infrastructure Planning and Design
02 [redacted]

City of Parramatta
9 Wentworth Street, Parramatta NSW 2150 Australia
PO Box 32, Parramatta, NSW 2124
cityofparramatta.nsw.gov.au

CITY OF PARRAMATTA

Council acknowledges the Traditional Owners of the land, the Dharug Peoples and pays respect to their Elders past and present.

From: [redacted]@wolfpeak.com.au>
Sent: Friday, 30 January 2026 9:43 AM
To: [redacted]
Cc: [redacted]
Subject: Sydney Metro West - Risk Based Audit February 2026 - Consultation

*****[EXTERNAL EMAIL]** Stop and think before opening attachments, clicking on links or responding. *******

Hello City of Parramatta Council.

I am one of the approved Independent Auditors on Sydney Metro West stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
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- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage
- Remediation of land.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **13 February 2026**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

[redacted] **| Principal**
Director - Delivery
wolfpeak
A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au
Consider the environment. Please don't print this e-mail unless really necessary.

Disclaimer

[redacted]

From: [redacted]@cumberland.nsw.gov.au>
Sent: Friday, 13 February 2026 10:25 AM
To: [redacted]
Cc: [redacted]
Subject: RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hi [redacted]

Just confirming that compliance have advised that there are no concerns relating to this project.

I trust the above is of assistance.

Regards,
[redacted]

CUMBERLAND CITY COUNCIL

[redacted]
EXECUTIVE ASSISTANT TO DIRECTOR ENVIRONMENT AND PLANNING

16 Memorial Avenue, PO Box 42 Merrylands NSW 2160
T +61 [redacted]
E [redacted]@cumberland.nsw.gov.au
W www.cumberland.nsw.gov.au

From: [redacted]@wolfpeak.com.au>
Sent: Thursday, 12 February 2026 10:42 AM
To: [redacted]
Cc: [redacted]
Subject: [EXTERNAL] RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hi [redacted]. Thanks for taking my call.

As discussed, the Heritage CEMP Sub-plan refers to the Construction Environmental Management Plan specific for Heritage. It was prepared and approved by the Department prior to commencement of construction.

Our focus is on key risks for the entire Project and thus heritage is an area of interest, albeit for other LGAs. I understand there are no known heritage items that are being directly impacted by the works during the audit period that reside in Cumberland Council's LGA.

You mentioned that Council's compliance team have yet to provide a response. I kindly request that if they have any feedback that this be provided by tomorrow COB to give us adequate time to consider it in the audit.

Regards,

[redacted] **| Principal**
Director - Delivery

A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au

Consider the environment. Please don't print this e-mail unless really necessary.

From: [redacted]@cumberland.nsw.gov.au>
Sent: Wednesday, 11 February 2026 12:08 PM
To: [redacted]
Cc: [redacted]
Subject: RE: Sydney Metro West - Risk Based Audit February 2026 - Consultation

Good afternoon [redacted]

Thank you for your email.

I can confirm that Council has no concerns regarding this project however, we note that Council has not been made aware of the Heritage CEMP Sub-Plan.

We request that someone liaise with Council's Coordinator Urban Strategy and Planning, [redacted] on [redacted]@cumberland.nsw.gov.au or 02 [redacted] to discuss this commitment in more detail.

Kindly also note that [redacted] is no longer employed at Council and can be removed from the mailing list.

Please let me know if you require anything further.

Regards,
[redacted]

CUMBERLAND
CITY COUNCIL

[redacted]
EXECUTIVE ASSISTANT TO DIRECTOR ENVIRONMENT AND PLANNING

16 Memorial Avenue, PO Box 42 Merrylands NSW 2160
T +61 2 [redacted]
E [redacted]@cumberland.nsw.gov.au
W www.cumberland.nsw.gov.au

From: [redacted]
Sent: Friday, 30 January 2026 9:40 AM
To: [redacted]
Cc: [redacted]
Subject: [EXTERNAL] Sydney Metro West - Risk Based Audit February 2026 - Consultation

Hello Cumberland Council.

I am one of the approved Independent Auditors on Sydney Metro West stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late February 2026, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late April 2026.

The temporal period considered as part of this audit runs from September 2025 to February 2026 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

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- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Remediation of land.

On 23 January 2026, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, <u>relating to compliance with the conditions of Approval the during the audit period</u>.

In providing input I kindly note that feedback be provided no later than **<u>13 February 2026</u>**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

[redacted] | **Principal**
Director - Delivery

A SEQUANA COMPANY

P: 1800 979 716
M: [redacted]
E: [redacted]@wolfpeak.com.au
W: www.wolfpeak.com.au

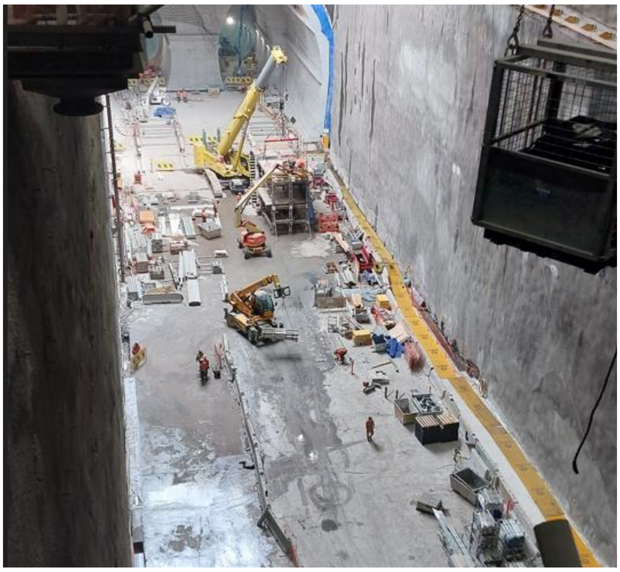
Consider the environment. Please don't print this e-mail unless really necessary.

Disclaimer

The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this unintentionally, please contact the sender and delete the material from all computers. Cumberland City Council does not warrant the material contained in this message is free from Computer virus or defect. Loss or damage incurred in use is not the responsibility of Cumberland City Council. Cumberland City Council respects your privacy and is committed to protecting it. To view our Privacy Policy please visit www.cumberland.nsw.gov.au

APPENDIX D – PHOTOS

No.	Comment	Photograph
1	Phase F – WTP Westmead acoustic shed with wheel wash and clean hard stand.	
2	Phase F – WTP Westmead collection point for surface water which is then transferred via lines within the tunnel to the Rosehill Water Treatment Plant for treatment and discharge.	
3	Phase F – WTP Westmead chemical stores were all double skinned or bunded.	

No.	Comment	Photograph
4	Phase F – WTP Westmead station box lining and invert works continuing post TBM retrieval.	
5	Phase F – WTP Evidence of road wear and tear at the egress gate on Hassell Street.	

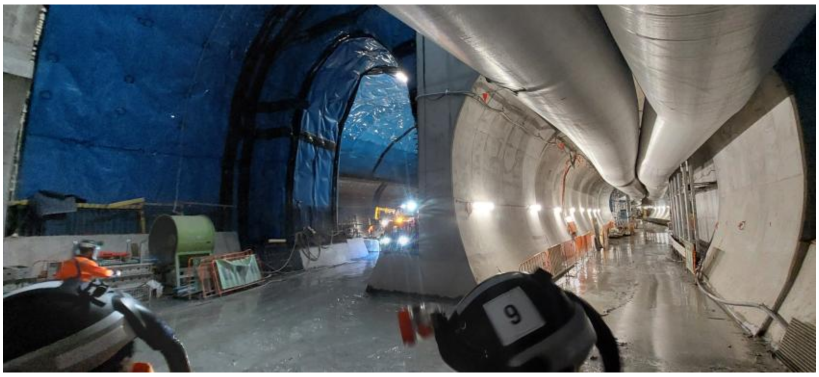
No.	Comment	Photograph
6	Phase F – WTP Westmead SiteHive noise monitoring module in operation.	

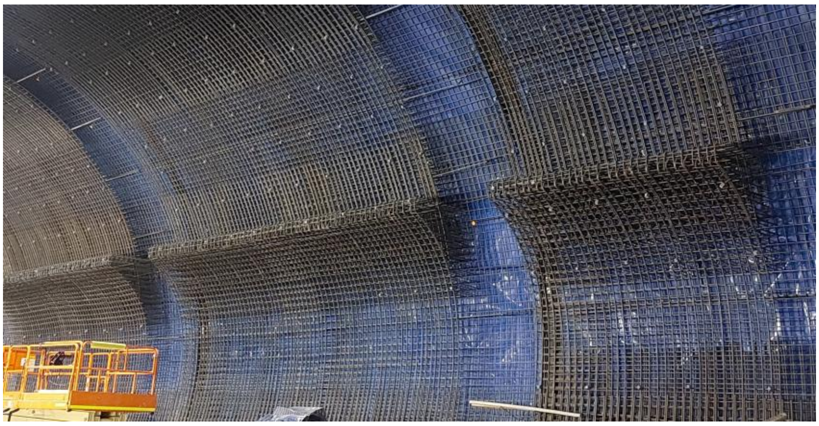
No.	Comment	Photograph
7	Phase F – WTP Westmead external hoarding with no obstructions to the public way.	
8	Phase F – WTP Clyde Dive. Noise matts being erected over high noise pressure blasting.	
9	Phase F – WTP Clyde Dive earthworks are nearing completion, with swale drain and permanent fencing installed.	

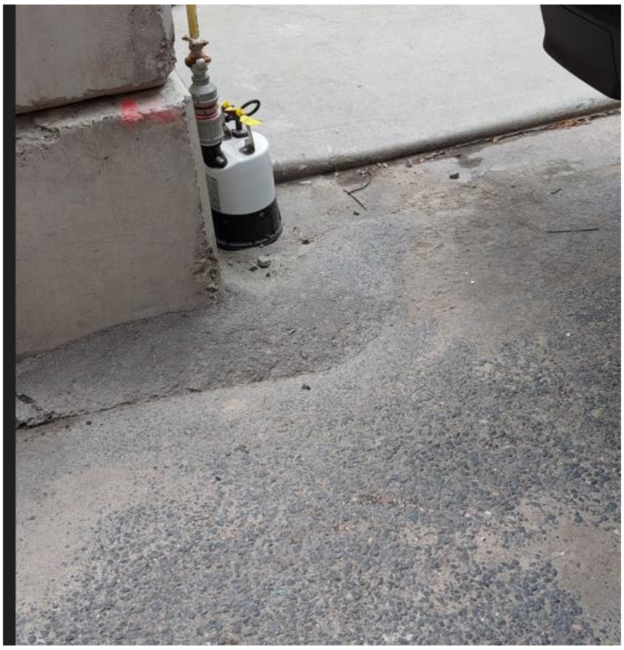
No.	Comment	Photograph
10	Phase F – WTP Damage to the ATC carpark surface at the Clyde Dive Northern Laydown access.	
11	Phase F – WTP The heritage no go zone for the Rosehill Bridge structure needs to be upgraded.	
12	Phase F – WTP Clyde Dive access road and walls, fencing almost complete. Resurfacing works are pending.	
13	Phase F – WTP Clyde Dive access road and walls, fencing almost complete. Resurfacing works are pending.	

No.	Comment	Photograph
14	Phase F – WTP Access and egress at the ATC gate being used. Washdown at this location flows to local stormwater pits into the Rosehill Flood Detention Basin.	
15	Phase F – WTP Chip sealing of Clyde MSF is nearing completion.	
16	Phase G2 – ETP Lowering of acoustic door on Pyrmont East prior to loading / unlading of heavy vehicle.	

No.	Comment	Photograph
17	Phase G2 – ETP Access tunnels between Pyrmont East and West under construction with formwork being removed post concrete curing.	
18	Phase G2 – ETP Tunnel water proofing well advanced, with the remainder to occur following TBM breakthrough	
19	Phase G2 – ETP Egress from Pyrmont West had traffic controls in place and was free of material tracking.	

No.	Comment	Photograph
20	Phase G2 – ETP Stage 2 shaft excavation at Hunter Street East, with the reported impact to 16 O'Connell Street situated at the centre-rear of shot.	
21	Phase G2 – ETP TBM retrieval works underway at Hunter Street East, with tunnel lining also progressing.	
22	Phase G2 – ETP Tunnel lining, structures and invert works at Hunter Street East progressing.	

No.	Comment	Photograph
23	Phase G2 – ETP At Hunter Street East, formwork, reinforcement and lining installed in preparation of concrete pours.	
24	Phase G2 – ETP Environmental Control Maps visible at Hunter Street East.	
25	Phase G2 – ETP Hunter Street West Ancillary Facility was well maintained and free of material tracking.	

No.	Comment	Photograph
26	Phase G2 – ETP Flexdrive (shot 1) in place to collect water (when present) prior to it flowing to nearby stormwater (shot 2).	 

No.	Comment	Photograph
27	Phase G2 – ETP Structural reinforcement in place and being monitored on Skinners Family Hotel.	
28	Phase G2 – ETP Vibration logging at Hunter Street West.	

OFFICIAL

No.	Comment	Photograph
29	Phase G2 – ETP Shaft excavation continuing at Hunter Street West.	

APPENDIX E – DECLARATIONS

Declaration of Independence - Auditor

Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	Stage 1 works of Sydney Metro West includes: - new passenger rail infrastructure between Westmead and the central business district (CBD) if Sydney, including: o tunnels, stations (including surrounding areas) and associated ail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of Audit	Independent Audit Stage 1 and 2 February 2026
Date:	30/03/26

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;

ii. the findings of the audit are reported truthfully, accurately and completely;

iii. I have exercised due diligence and professional judgement in conducting the audit;

iv. I have acted professionally, objectively and in an unbiased manner;

v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;

vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;

vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and

viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Refer page 2 of this document for declarations regarding WolfPeak's non-audit involvement in the Project. These were declared to the Department prior to the audit and approval of the audit team.

Notes:

a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, $1 million and for an individual, $250,000; and

b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Declaration of Independence - Auditor

Name of Auditor:	[redacted]
Signature:	[redacted]
Qualification:	Bachelor of Environmental Management, Macquarie University Master of Environmental Engineering Management, UTS Exemplar Global Certified Lead Environmental Auditor (No. C-367713)
Company:	WolfPeak Group Pty Ltd

Declarations of involvement

WolfPeak's auditor has not had any involvement in the Sydney Metro West Stage 1 Project. Regarding other WolfPeak employees, WolfPeak would like to declare their involvement as follows:

Sydney Metro West Stage 1 (Central Tunnelling Project (CTP)) SSI 10038

One (1) WolfPeak staff member was engaged during late 2021 and early 2022. This person did not form part of the audit team. This was declared to Sydney Metro during tender and to the Department prior to the commencement of the first Independent Audit. WolfPeak's involvement in this package was completed in March 2022 and we do not expect any further involvement.

Sydney Metro West Stage 1 (Western Tunnelling Project (WTP)) SSI 10038

One (1) WolfPeak staff member was providing environmental support to the contractor. This person did not form part of the audit team. This involvement commenced after the first Independent Audit and was declared to the Department prior to commencing the second Independent Audit. WolfPeak's involvement in this package was completed in October 2022 and we do not expect any further involvement at this time.

Controls in place to manage potential conflict

The following controls are in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- None of the WolfPeak employees who have or are working for the contractor/s are on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.
- The WolfPeak employees who have or are working for the contractor/s have signed non-disclosures with the contractor/s.
- The following controls are in place to manage the potential for unintended sharing of information:
    - The WolfPeak employees who have worked for the contractor/s have worked in the contractor systems and drives. They have not undertaken work on the

Project within WolfPeak systems and drives. The only records retained on the WolfPeak drives are the engagement contract / agreement and information required for invoicing (timesheets). To note, the WolfPeak ISC Independent Sustainability Professional is an independent role and therefore continues to work on WolfPeak systems.

  - The WolfPeak audit team does not have access to the contractor/s systems and drives unless this is arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
  - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been locked so only the WolfPeak audit team has access.

- The WolfPeak employees who have worked for the contractor/s have not been the owners of any of the documents being produced. WolfPeak assisted with drafting and preparation for the contractor managers / advisors to finalise and implement.
- The WolfPeak employees who have worked on site for the contractor/s were not responsible for site works. WolfPeak provided feedback to the contractor managers / advisors to consider.

Declaration of Independence - Auditor

Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	Stage 1 works of Sydney Metro West includes: - new passenger rail infrastructure between Westmead and the central business district (CBD) if Sydney, including: o tunnels, stations (including surrounding areas) and associated ail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development
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Proponent:	Sydney Metro
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i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
ii. the findings of the audit are reported truthfully, accurately and completely;
iii. I have exercised due diligence and professional judgement in conducting the audit;
iv. I have acted professionally, objectively and in an unbiased manner;
v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

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b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Auditor:	[redacted]
Signature:	[redacted]
Qualification:	Bachelor of Industrial Engineering, Colombia 2004 Master Engineering Management, UTS, Sydney 2007 Exemplar Global Lead Environmental Auditor (No. C-360012)
Company:	WolfPeak Group Pty Ltd

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Sydney Metro West Stage 1 (Central Tunnelling Project (CTP)) SSI 10038

One (1) WolfPeak staff member was engaged during late 2021 and early 2022. This person did not form part of the audit team. This was declared to Sydney Metro during tender and to the Department prior to the commencement of the first Independent Audit. WolfPeak's involvement in this package was completed in March 2022 and we do not expect any further involvement.

Sydney Metro West Stage 1 (Western Tunnelling Project (WTP)) SSI 10038

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- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- None of the WolfPeak employees who have or are working for the contractor/s are on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.
- The WolfPeak employees who have or are working for the contractor/s have signed non-disclosures with the contractor/s.
- The following controls are in place to manage the potential for unintended sharing of information:

- The WolfPeak employees who have worked for the contractor/s have worked in the contractor systems and drives. They have not undertaken work on the Project within WolfPeak systems and drives. The only records retained on the WolfPeak drives are the engagement contract / agreement and information required for invoicing (timesheets). To note, the WolfPeak ISC Independent Sustainability Professional is an independent role and therefore continues to work on WolfPeak systems.
- The WolfPeak audit team does not have access to the contractor/s systems and drives unless this is arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
- The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been locked so only the WolfPeak audit team has access.

- The WolfPeak employees who have worked for the contractor/s have not been the owners of any of the documents being produced. WolfPeak assisted with drafting and preparation for the contractor managers / advisors to finalise and implement.
- The WolfPeak employees who have worked on site for the contractor/s were not responsible for site works. WolfPeak provided feedback to the contractor managers / advisors to consider.