

INDEPENDENT AUDIT REPORT

**SYDNEY METRO WEST – STAGE 1 CSSI 10038 &
STAGE 2 CSSI 19238057**

OCTOBER 2025

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EXECUTIVE SUMMARY

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project.

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction and Tunnel Boring Machine (TBM) excavation work between Westmead and The Bays. The Stage 1 Approval has been modified on six occasions.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works includes all major civil construction work including station excavation (Pyrmont Station and Hunter Street Station (Sydney CBD)) and TBM tunnelling between The Bays and Sydney CBD. The Stage 2 Approval has been modified on three occasions.

Phasing Reports have been prepared for both stages. A summary of the Phases subject to this Independent Audit (Audit) are outlined below.

- Phase B - Central Tunnelling Package (CTP): CTP involves major civil construction works between the Bays and Sydney Olympic Park, including station excavation for new metro stations at Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays. CTP has been delivered by Acciona Ferrovial Joint Venture (AFJV).
- Phase F – Western Tunnelling Package (WTP): WTP involves major civil construction works between Westmead and Sydney Olympic Park, including tunnelling and station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park; and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF. WTP is being delivered by Gamuda Australia and Laing O'Rourke Consortium (GLC).
- Phase G2 – Eastern Tunnelling Package (ETP): ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street. ETP is being delivered by John Holland, CPB Contractors, Ghella Joint Venture (JCG).

Conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 require Independent Audits to be conducted and carried out in accordance with the *Independent Audit Post Approval Requirements* (IAPAR) and as per the timing and scope set out in an approved Audit Program. The IAPAR sets out the standard scope, methodology and reporting requirements for the Independent Audit, and an Audit Program (establishing a revised scope and timing) was prepared

by WolfPeak.¹ The Audit Program was most recently approved by the Department on 8 August 2025.

This Audit Report presents the findings from the August 2025 audit, the fourth audit conducted under the approved Audit Program on both Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057).

Works on the Project are now well advanced. In summary:

- Phase B (CTP) construction was completed and AFJV are now providing transitional handover services (care and maintenance) for Sydney Metro at all CTP sites, with these services being managed from their Sydney Olympic Park site compound.
- Phase F (WTP) tunnelling has progressed beyond Parramatta, the Rosehill spur tunnels well advanced, Clyde Dive 70% completed, Unwin Street works completed and handed over, the Parramatta box well advanced and the Westmead station box completed.
- Phase G (ETP) tunnel excavation works have progressed to Pyrmont. Hunter Street East stage 1 shaft excavation is underway and Hunter Street West site establishment works continue. At Pyrmont East and West, the shaft, nozzle, bench and adit excavations continued.

The overall outcome of the Audit was very positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, AFJV, GLC and JCG (together, the auditees). The auditees were cooperative and responsive to the Auditors requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements. Table 1 presents the summary of findings.

Table 1: Summary of findings

Phase	Summary
Phase B (CTP)	<p>There were 18 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • Fourteen (14) conditions were considered to be compliant. • Four (4) conditions were considered not triggered. • No non-compliances were identified during the audit. • There were no findings open at the time of completing the February 2025 Independent Audit that needed to be verified as closed as part of this current audit.
Phase F (WTP)	<p>There were 52 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • Forty-four (44) conditions were considered to be compliant. • Eight (8) conditions were considered not triggered. • No non-compliances were identified during the audit.

¹ Independent Audit Program – Sydney Metro West | SSI 10038 & SSI 19238057, WolfPeak, 08 July 2024, approved 8 August 2025.

Phase	Summary
	<ul style="list-style-type: none"> All findings that were open at the time of completing the February 2025 Independent Audit are considered to be closed.
Phase G (ETP)	<p>There were 58 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> Forty-seven (47) conditions were considered to be compliant. Ten (10) conditions were considered not triggered. One (1) non-compliance was identified in the audit, relating to spoil haulage heavy vehicles not adhering to approved haul routes. One (1) observation was identified in the audit, relating to real-time tracking of spoil haulage heavy vehicles. All findings that were open at the time of completing the February 2025 Independent Audit are considered to be closed.

The audit found:

- A very high degree of compliance has been achieved across all Phases. 128 x requirements were assessed, with one non-compliance identified as part of the audit. The auditees self-reported nine non-compliances, five of which related to findings made during the previous audit.
- The non-compliance relating to spoil haulage vehicle adherence to nominated haul routes set out in the ETP CTMPs (finding 19238057_Aug25_2 in Table 17) is a departure from CSSI 19238057 condition D77 and should be managed as such. The Auditor is of the view that whilst implementation of the relevant requirement has not occurred 100% of the time, JCG have advanced monitoring in place to identify and respond to potential contraventions of Project requirements. Where the contraventions have occurred they appear to be associated with human behaviour, rather than systemic failure, and JCG have taken appropriate action to address each event.
- There were no reportable environmental incidents recorded by the auditees during the audit period.
- As part of consultation on the audit scope, the NSW Environment Protection Authority identified interest in complaints management and contamination on Stage 1 (CSSI 10038):
 - Complaints were assessed across both Stage 1 and Stage 2. Complaints continue to fall from previous audit periods, with 169 complaints recorded between March and August 2024, 136 complaints recorded between September 2024 and February 2025, and 125 complaints recorded this audit period (between March and August 2025). Noise and vibration associated with out of hours works make up the vast majority of complaints with other complaints relating to a variety of issues such as property damage, worker behaviour, traffic and access etc. The Auditor is satisfied that for the random sample of complaints investigated, the complaints register and the Consultation Manager data aligns. Additionally, the auditor sighted evidence to demonstrate that the stated actions to address the sample complaints had in fact been implemented.
 - CTP completed its remediation obligations prior to the current audit period. At the February 2025 audit, it was found that the Detailed Site Investigation Report

(DSIs), Remedial Action Plans (RAPs), Validation Reports, Site Audit Reports (SARs) and Site Audit Statements (SASs) were prepared and submitted to the relevant stakeholders in accordance with D75 and D76.

- For WTP contaminated sites, all DSIs were prepared prior to the current audit period. RAPs, where required, have all been reviewed and endorsed by the Contaminated Sites Auditor and remediation works have been completed or are ongoing depending on the location, with no Validation Reports have been completed / endorsed, no SARs finalised and no Section A SASs issued as yet.
- The Auditor observes there to be a very high degree of implementation of the Community Communications Strategies on all three packages. CTP received seven pieces of positive feedback from community stakeholders in this regard. It was also evident that both WTP and ETP apply significant effort in ensuring that metrics from the Strategies are achieved. These are reported to Sydney Metro on a routine basis.
- Both WTP and ETP have applied significant attention and rigour to protecting heritage items that could be impacted by the works, with WTP's salvage works at Parramatta and ETPs protection of the Skinner's Family Hotel progressing well.
- As noted in the August 2024 audit, the requirements around high noise impacts and respite under Stage 2 conditions D38 and D39 are complex. That ETP (JCG) have managed to complete the consultation, identify preferred respite periods and effectively communicate this to plant operators and site supervisors so that compliance is achieved is a positive outcome. They have continued to apply these requirement successfully during the current audit period.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC and JCG, for their high level of organisation, cooperation, and assistance during the Independent Audit.

1. INTRODUCTION

1.1 The Project

1.1.1 Overview

Sydney Metro is responsible for delivery of the Sydney Metro West Project, which involves construction and operation of a new 24-kilometre metro line that would connect Greater Parramatta with the Sydney CBD via stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock, The Bays, Pyrmont and Hunter Street (Sydney CBD). The planning approvals and environmental impact assessment for Sydney Metro West have been staged in recognition of the size of the Project. This includes the Sydney Metro West Concept and the following stages (as depicted in Figure 1, below):

- Stage 1 – All major civil construction works including station excavation and tunnelling between Westmead and The Bays
- Stage 2 – All major civil construction works including station excavation and tunnelling between The Bays to Sydney CBD
- Stage 3 – Tunnel fit-out, station building and operation of the line between Westmead to Sydney CBD.

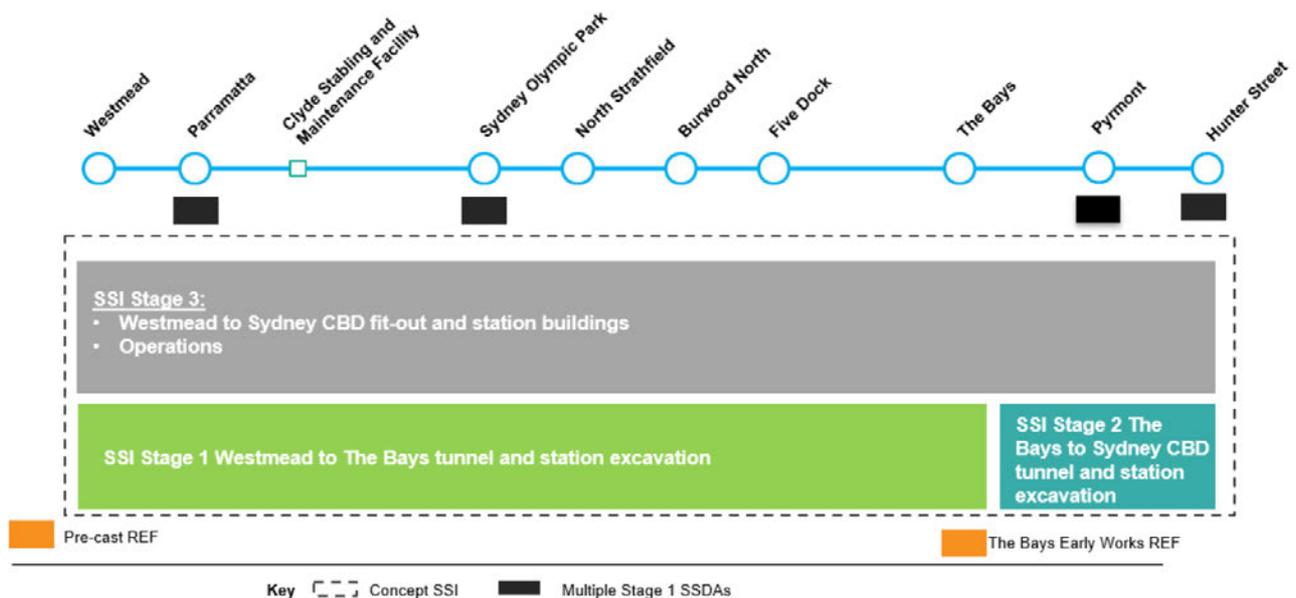


Figure 1: Sydney Metro West Planning Approval Strategy (source: Sydney Metro West Phasing Report, Version 1.7)

Approval for the Concept and Stage 1 works was granted in Critical State Significant Infrastructure (CSSI) 10038 by the Minister for Planning and Public Spaces on 11 March 2021, subject to a number of conditions. The Stage 1 works involve major civil construction work between Westmead and The Bays, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Tunnel excavation including tunnel support activities
- Station excavation for new metro stations at Westmead, Parramatta, Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays
- Shaft excavation for services facility at Rosehill (within the Clyde Maintenance and Stabling Facility (MSF) construction site)
- Civil work for the stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek; and
- Excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

Approval for Stage 2 works was granted in CSSI 19238057 by the Minister for Planning and Public Spaces on 24 August 2022, subject to a number of conditions. The Stage 2 works includes all major civil construction work including station excavation (Pymont Station and Hunter Street Station (Sydney CBD)) and tunnelling between The Bays and Sydney CBD, including:

- Enabling works such as demolition, utility supply to construction sites, utility adjustments and modifications to the existing transport network
- Mined crossover cavern construction
- 4.2 km of TBM tunnel excavation, 650m of mined tunnels and 7 cross passage excavation, from The Bays to Sydney CBD
- Excavation for two new underground metro stations at Pymont and Hunter Street
- Construction of a turnback, crossover tunnels and caverns at the eastern end of the tunnel section.

Approval for Stage 3 works was granted in CSSI 22765520 by the Minister of Planning on 25 January 2023. Stage 3 works, comprising tunnel fit-out, station building and fit-out, and operation of the line between Westmead and Sydney CBD have not commenced and are not within the scope of this Independent Audit.

An overview of Stages 1 and 2 are shown in Figures 2 and 3.



Figure 2: Stage 1 route and construction sites (source: Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report, Sydney Metro, 2020)



Figure 3: Stage 2 route and construction sites (source: Sydney Metro West Submissions Report, Major civil construction work between The Bays and Sydney CBD, Sydney Metro, April 2022)

1.1.2 Changes to the Projects

The following subsections outline modifications to the Stage 1 and Stage 2 Approvals, as well as the Consistency Assessments / Environmental Reviews determined by Sydney Metro during the audit period. All the Modifications and Consistency Assessments were considered as part of the audit where relevant to the audit scope.²

1.1.2.1 Stage 1 (CSSI 10038)

Modifications

The Stage 1 Approval has been modified on six occasions.

- Modification 1: On 28 July 2021 the Department approved an administrative modification to Conditions A11, C10 and D25 to improve clarity of intent and timing requirements.
- Modification 2: On 3 June 2022 the Department approved a modification to facilitate the relocation and extension of the Rosehill dive structure and realignment of Kay and Unwin streets at the Clyde MSF.
- Modification 3: On 4 July 2022 the Department approved a modification to amend the wording to conditions C-B10, D13, D17, D18, D37, D63, D66, D10 and to remove D11.
- Modification 4: On 23 December 2022 the Department approved an amendment to conditions D26 (naming of heritage item) and D122 (the need for updated groundwater report to consider future stages of project).
- Modification 5: On 20 September 2023 the Department approved a modification to allow for additional clearing of mangrove communities at the Clyde MSF for site and water management infrastructure and to require additional biodiversity offset credits retirements.
- Modification 6: On 6 November 2024 the Department approved an administrative modification to various Stage 1 conditions relating to ancillary facilities, Environmental Representative (ER) functions, reporting on respite consultation, detailed site investigations and to remove reference to operation stage (operation is regulated in Stage 3).

Consistency Assessments / Environmental Reviews

One environmental review was determined by Sydney Metro on Stage 1 during the audit period.

Tree Removal within the Australian Turf Club to support the Clyde retaining wall construction

GLC proposed to remove of approximately 24 trees that reside outside the Approved Project boundary at Clyde Dive, but within ATC land to support the construction of a retaining wall and associated infrastructure to allow for the bulk earthworks of the Approved Project. GLC noted that the retaining wall, associated infrastructure and bulk earthworks are being undertaken within GLC land. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 23 May 2025, Sydney Metro determined that the adjustment is consistent with the Stage 1 Project Approval.

² Refer Section 1.4 and 2.2.1 of this Report.

1.1.2.2 Stage 2 (CSSI 19238057)

Modifications

The Stage 2 Approval has been modified on three occasions.

- Modification 1: On 19 April 2023, the Department approved an application to amend condition D23 to permit tunnelling to be undertaken by non-TBM methods 24 hours per day and seven days per week.
- Modification 2: On 9 October 2023 the Department approved a modification to manage archaeological heritage excavation methodologies in Stage 2 construction sites, previously established under Sydney Metro Stage 1.
- Modification 3: On 6 November 2024 the Department approved an administrative modification to various Stage 2 conditions relating to ancillary facilities, ER functions, reporting on respite consultation, detailed site investigations and to remove reference to operation stage (operation is regulated in Stage 3).

Consistency Assessments / Environmental Reviews

Two consistency assessments were determined by Sydney Metro on Stage 2 during the audit period.

Strengthening works to Skinners Family Hotel

JCG proposed to install structural reinforcements to the Former Skinners Hotel (SHR Item no. 00584). Subsequent to the completion of the initial structural reinforcements (subject to earlier consistency assessment as reported in earlier audit reports), survey data was obtained that showed the northern wall of Skinners Hotel was not vertical and the associated structural assessment undertaken concluded that additional structural reinforcement of the building would be required. An assessment was carried out that included justification, potential impacts (including Heritage Impact Assessment)^{3,4}, benefits and proposed controls. On 30 July 2025 Sydney Metro determined that the adjustment is consistent with the Stage 2 Project Approval.

Pymont TBM segment and consumable deliveries

JCG proposed to deliver TBM segments and consumables to the Pymont cavern by the aboveground road network to the shaft (where they will then be delivered via the tunnel to the TBMs), as opposed to being delivered through the tunnels from The Bays as originally proposed. This is to account for the TBMs arriving at Pymont before the excavation of the cavern is complete, thus creating an opportunity to traverse the TBMs through the cavern to continue tunnelling toward Hunter Street, whilst the invert section of the cavern can be excavated and lined. An assessment was carried out that included justification, potential impacts, benefits and proposed controls. On 4 August 2025 Sydney Metro determined that the adjustment is consistent with the Stage 2 Project Approval.

³ Proposed additional temporary stabilisation/strengthening works, TKD Architects, 11 July 2025

⁴ Hunter Street West – Skinners Hotel, 296 George Street, Review and assessment of temporary bracing methodology, AMBS, 29 July 2025

1.1.3 Phasing

Phasing Reports⁵ have been prepared for both Stages 1 and 2 of Sydney Metro West. Construction phases and indicative dates, as outlined in the Phasing Reports are presented in Table 2.

Table 2: Construction phases

Approval	Phase ³	Construction commencement date	Completion date
Stage 1 (CSSI 10038)	Phase A – Power Enabling Works	July 2021	March 2023 ¹
	Phase B1 – Central Tunnelling Early Works	January 2022	July 2025 ¹
	Phase B2 – Central Tunnelling Main Works	November 2022	July 2025 ¹
	Phase C1 – Parramatta, Westmead and Clyde Demolition Works	December 2021	21 April 2023 ¹
	Phase C2 – Parramatta and Clyde Archaeological Works	March 2022	21 December 2022 ¹
	Phase D – Greater Sydney Road Works	This Phase is removed	
	Phase E – Existing Rail Corridor Enabling Works	Low Impact Works Commence October 2021	12 May 2023 ¹
	Phase F – Western Tunnelling Package	July 2022	October 2026 ²
	Phase H – Westmead Utilities Installation and Relocation Works	December 2023	October 2024 ²
Stage 2 (CSSI 19238057)	Phase G1 – Preliminary Works	March 2023	May 2023 ¹
	Phase G2 – Eastern Tunnelling Works	March 2023	October 2026 ²

Notes:

- 1: Actual completion date (where provided by Sydney Metro)
- 2: Anticipated completion date
- 3: Shaded phases indicate that the Phase is not included in the scope of this audit.

⁵ Sydney Metro West Stage 1 – Phasing Report, Sydney Metro, Revision 2.0, August 2025 prepared in accordance with conditions A10/A11; and Sydney Metro West Stage 2 – Phasing Report, Sydney Metro, Revision 2.0, February 2023 prepared in accordance with conditions A14/A15.

A summary of the Phases subject to this audit are outlined below.⁶

Phase B - Central Tunnelling Package (CTP):

CTP involves major civil construction works between the Bays and Sydney Olympic Park, including station excavation for new metro stations at Sydney Olympic Park, North Strathfield, Burwood North, Five Dock and The Bays. TBMs are being used to excavate twin tunnels, with road headers excavating cross passages between the two tunnels at intervals of about 240 metres. Submission of the CEMP, Sub-plans and monitoring programs for Phase B was staged in two sub Phases (B1 and B2). This approach was based on the preparation of initial documentation to address all work during the civils construction phase. This includes activities such as local traffic modifications, multiple concurrent utility relocations, demolition and site establishment. The documentation was then updated to include tunnelling activities in Phase B2.

Phase F – Western Tunnelling Package (WTP):

WTP involves major civil construction works between Westmead and Sydney Olympic Park, including station excavation for new metro stations at Westmead, Parramatta, and Sydney Olympic Park; and civil works for the establishment of, and connection to, new services facilities at Rosehill within the Clyde MSF.

Civil work will be carried out for a stabling and maintenance facility at Clyde including earthworks and structures for crossings of A'Becketts Creek and Duck Creek, including excavation of a tunnel dive structure and associated tunnels at Rosehill to support a connection between the Clyde stabling and maintenance facility and the mainline metro tunnels.

Tunnelling work involves nine kilometres of TBM excavated twin tunnels between Sydney Olympic Park and Westmead. Cross passages and stub tunnels for future extensions are also to be constructed using hammers and road headers.

Phase G2 – Eastern Tunnelling Package (ETP):

ETP involves major civil construction works between Hunter Street and The Bays and comprises demolition of buildings, shaft excavation, 2.5km TBM excavated twin tunnels plus mined cross caverns and cross passages, and a turnback extension tunnel east of Hunter Street.

Tunnel nozzles will be enlarged and an existing temporary connection adit at Bligh Street, linking Hunter Street Station to Martin Place Station, will be turned into a permanent pedestrian connection linking the stations, cross passages and a turnback extension tunnel east of Hunter Street.

1.1.4 Works conducted during the audit period

The Project is now well advanced, with Phase B (CTP) construction having been completed early in the audit period, Phase F (WTP) tunnelling progressed beyond Parramatta and Phase G (ETP) tunnel excavation works progressed to Pyrmont. Further detail on construction conducted during the audit period (as stated by the auditees and / or outlined in the ER Monthly Reports) is summarised in Table 3.

Table 3: Works completed during the audit period.

⁶ Refer to Project Phasing Reports for full details.

Phase	Works completed
Phase B (CTP)	<p>The Bays: Substantial Completion of the surface sites and the station box was achieved on 11 March 2025. Since that date, AFJV has been undertaking Transitional Handover Services.</p> <p>Five Dock: Final surface demobilisation works, cavern lining works and tunnel service installation works continued until 1 May 2025 (cavern) and 12 May 2025 (shafts/surface) when Substantial Completion was achieved. Since that date, AFJV has been undertaking Transitional Handover Services.</p> <p>Burwood North: Final surface demobilisation, tunnel services works and completion works continued until 21 March 2025 when Substantial Completion of the surface sites, the station box and cavern was achieved. Since that date, AFJV has been undertaking Transitional Handover Services.</p> <p>North Strathfield: Final completion works continued until 15 April 2025 when Substantial Completion of the surface sites and the station box was achieved. Since that date, AFJV has been undertaking Transitional Handover Services.</p> <p>Sydney Olympic Park: Final completion works continued until 28 March 2025 when Substantial Completion of the surface sites and the station box was achieved. Since that date, AFJV has been undertaking Transitional Handover Services. This site is used for ongoing logistics and access to support Transitional Handover Services.</p> <p>Tunnels: All excavation for running tunnels and cross passages was completed in the previous audit period. Final cross passage waterproofing works and tunnel defect close out continued during the current audit period. The section of running tunnels from The Bays to Five Dock achieved Substantial Completion on 22 May 2025. The section of running tunnels from Five Dock to Sydney Olympic Park achieved Substantial Completion on 8 July 2025 (note that these works were managed from the surface sites at Olympic Park and Burwood North respectively). Since these respective dates, AFJV has been undertaking Transitional Handover Services- see note below.</p> <p>Transitional Handover Services: AFJV has been directed by Sydney Metro to undertake Transitional Handover Services of all sites. This is largely a 'care and maintenance' phase, with no construction occurring. This is expected to be ongoing until Q1 2026.</p>
Phase F (WTP)	<p>SOP: Works and demobilisation completed.</p> <p>Rosehill: Departure Spur Tunnel precast lining completed. Arrival Spur tunnel excavation completed and lining starting in early July 2025. Cross passage at Spur tunnel lining works ongoing, with the internal barrel already completed. Departure Junction Cavern excavation and invert slab works completed. Arrival Junction Cavern excavation and invert slab completed, and the arch lining in STJ1 profile also completed. Clyde Dive portal excavation completed, and FRP works ongoing. Demolition of the acoustic shed at the Clyde shaft ongoing. Rosehill excavation and permanent walers and struts completed. Only base slab and ring beam works remaining. Spur tunnel cross passage lining works ongoing. Line Erection Machine (LEM) works forecasted to be completed by end September 2025.</p> <p>Clyde Dive: ~70% works completed (this includes cross passage and invert slab works). Tunnelling works including cross passages and invert slab are fully completed for the Eastern Drive (from Rosehill to SOP). Just defects closure to be closed out for handover of Portion 1. Approximately 2.1km out of 3.5km of TBM works completed in the Western Drive from Rosehill to Westmead. Cross passages nearing completion (including lining) from Rosehill to Clyde Junction. TBM1 and TBM 2 breakthrough at Parramatta achieved. Cross passage lining from Rosehill to Clyde Junction completed.</p> <p>Clyde MSF: Unwin Street works completed and handed over. A'Beckett Creek Water Conveyor Structure fully completed. Duck Creek Water Conveyor Structure essentially completed. Flood relief culvert bridge nearly completed, approach slabs remaining. Flood storage basin works ongoing in stage 1. Utilities corridor completed and all utilities decommissioned. Ground improvement works completed. All retaining walls completed including precast barriers installation. Drainage works</p>

Phase	Works completed
	<p>nearly completed. Earthworks nearly completed, with some areas already trimmed and sealed. Fencing ongoing and nearly completed.</p> <p>Parramatta: Parramatta Eastern half of box fully excavated and ready for TBM transit. Nozzles fully excavated and invert slabs completed and ready for TBM transit. Parramatta western half box excavated up to RL -8.6 and all struts installed, except for the last 50m of the box that remains at RL-5. Archaeological investigations ongoing with 89% completed. 240 Church Street wall nearing completion.</p> <p>Westmead: Station box completed. Westmead Crossover cavern excavation and arch lining completed. Stub tunnels and Nozzle (including headwalls) completed. Station Cavern excavation and invert slab completed. Plenum slab works and headwall construction commenced.</p>
Phase G2 (ETP)	<p>Hunter Street East: Demolition of acoustic shed and site office/amenities compound. Construction of new site amenities in Richard Johnson Square. Completion of steel metro deck. Commencement of Stage 1 Shaft Excavation including niche excavation on Hunter Street. Relocation of Water Treatment Plant. Commencement of waterproof membrane installation and concrete lining in the Hunter Street cavern and turnback tunnels.</p> <p>Hunter Street West: Ongoing site establishment works including establishment site access/egress ramp. Excavation, rockbolting and shotcreting. Completion of capping beam on George Street boundary. Ongoing protection works on Skinners Hotel.</p> <p>Pymont East and West: Ongoing shaft excavation at Pymont East and West. Completion of nozzle excavation works at Pymont East. Completion of Top Heading excavation in the Pymont Caverns and ongoing bench excavation. Commencement of Adit excavation at Pymont West. TBM breakthrough and re-launch works.</p> <p>The Bays: Ongoing TBM support.</p>

1.2 The audit team

In accordance with condition A40 of CSSI 10038, condition A42 of CSSI 19238057, and Section 3.1 of the Department’s 2020 document *Independent Audit Post Approval Requirements (IAPAR)*, Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary. Table 4 presents the audit team for this Audit.

Table 4: Audit Team

Name	Company	Participation during this audit	Certification
[REDACTED]	WolfPeak	Lead Auditor	[REDACTED]
[REDACTED]	WolfPeak	Auditor	[REDACTED]

Approval of the audit team was provided by the Department on 30 July 2025. The approval is presented in Appendix B. Declarations from the Auditors are presented in Appendix F.

1.3 The audit objectives

The objective of this audit is to satisfy conditions A39/A39.1 of CSSI 10038 and condition A41 of CSSI 19238057 which require Independent Audits to be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (IAPAR) and as per the timing and scope set out in an approved Audit Program. It is the fourth audit under the Program.

The IAPAR sets out the standard scope, methodology and reporting requirements for the Independent Audit, and an Audit Program (establishing a revised scope and timing) was prepared by WolfPeak.⁷ The Audit Program was most recently approved by the Department on 8 August 2025.

1.4 Audit scope

The specific scope for this Audit was developed through a risk-based methodology and in consultation with the Department and Sydney Metro. The audit scope accords with that defined by Section 5 (Table 2) and Section 7 of the approved Audit Program, summarized as follows:

- An assessment of the auditees, activities and requirements identified as being subject to audit in the Scoping Statement, including:
 - An assessment of compliance with:
 - All conditions identified as being subject to audit within the Scoping Statement
 - All post approval and compliance documents applicable to the conditions identified as being subject to audit within the Scoping Statement
 - A review of the environmental performance of the activities and auditees identified as subject to audit in the Scoping Statement, including but not necessarily limited to, an assessment of:
 - Actual impacts compared to predicted impacts documented in the environmental impact assessment⁸

⁷ Independent Audit Program – Sydney Metro West | SSI 10038 & SSI 19238057, WolfPeak, 08 July 2024, approved 8 August 2025.

⁸ The EIS and RtS included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the Conditions and REMMs (and associated management plans), to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Audit. Any such comparison will be high level and qualitative only. With respect to conditions or requirements relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Audit relies on confirmation from the Independent Verifier / Certifier / Certifying or other Authority that this is the case. The Audit does not extend to a verification of the works against the design or BCA requirements themselves, nor does it examine the steps the Independent Verifier / Certifier / Certifying or other Authority has taken to verify that the design is compliant.

- The physical extent of the activities subject to audit in comparison with the relevant parts of the approved boundary⁹
 - The performance of the auditee/s and activities subject to audit having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
 - Feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee (if there is one for the Project), on the environmental performance of the auditee/s and activities subject to audit during the audit period.
- o A high-level assessment of the adequacy of the Environmental Management Plans and Sub-plans relevant to the activities and auditees identified as subject to audit in the Scoping Statement.
- A review of:
 - o ALL (i.e.: Project wide) incidents, non-compliances and complaints that occurred or were made during the audit period (i.e.: from the preceding audit to the site inspection date), and a list of ALL consistency assessments determined in the audit period; and
 - o The status of implementation of ALL previous Independent Audit findings, recommendations and actions (if any) that were open at the time of finalising the preceding Audit Report.
 - Any other matters considered relevant by the auditor or the Department, considering relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

A Scoping Statement outlining the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works) was submitted to the Department on 30 June 2025. The key audit details from the Scoping Statement (incorporating the Department's feedback, received 1 August 2025) are presented in Table 5.

Table 5: Key audit details as per agreed Scoping Statement

Item	Detail
Audit period	<u>SSI 10038:</u> From 1 March to 31 August 2025 inclusive for all requirements audited. <u>SSI 19238057:</u> From 1 March to 31 August 2025 inclusive for all requirements audited.
The Auditees	Sydney Metro (across all Projects and Stages/Phases)

⁹ Physical assessment of boundaries would be completed by observation only and based on the EIS / RtS and any subsequent modifications and consistency assessments. Measurement, survey or geospatial comparison would not be included.

Item	Detail
	<p>Stage 1: Phase B – Central Tunnelling – Acciona Ferrovia Joint Venture (AFJV)</p> <p>Stage 1: Phase F – Western Tunnelling – Gamuda Australia and Laing O'Rourke Consortium (GLC)</p> <p>Stage 2: Phase G2 – Eastern Tunnelling – John Holland, CPB Contractors, Ghella Joint Venture (JCG)</p>
Sites inspected	<p>Stage 1: Phase B – Central Tunnelling</p> <ul style="list-style-type: none"> • Five Dock (both sites) <p>Stage 1: Phase F – Western Tunnelling</p> <ul style="list-style-type: none"> • Clyde MSF (both sites) and Parramatta <p>Stage 2: Phase G2 - Eastern Tunnelling</p> <ul style="list-style-type: none"> • Pyrmont (both sites) and Hunter Street (both sites)
Primary focus	<p>Stage 1: Phase B – Central Tunnelling</p> <p>Compliance with the 18 x conditions of Approval identified in Appendix A, and the post-approval documents that apply to those conditions. In summary these relate to:</p> <ul style="list-style-type: none"> • Reporting of incidents and non-compliances • Community engagement and complaints management • Post construction condition surveys, repair of damage and completion of permanent works. <p>Stage 1: Phase F – Western Tunnelling</p> <p>Compliance with the 52¹⁰ x conditions of Approval identified in Appendix A, and the post-approval documents that apply to those conditions. In summary these relate to:</p> <ul style="list-style-type: none"> • Reporting of incidents and non-compliances • Community engagement and complaints management • Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan • Post construction condition surveys, repair of damage and completion of permanent works • Remediation of contaminated land • Heavy vehicle movements, damage to roads and safety of pedestrians and cyclists • Waste import and disposal.

¹⁰ D14, D15, D98 were added following initial scope preparation for completeness and are included in the total.

Item	Detail
	<p>Stage 2: Phase G2 - Eastern Tunnelling</p> <p>Compliance with the 58 x conditions of Approval identified in Appendix A, and the post-approval documents that apply to those conditions. In summary these relate to:</p> <ul style="list-style-type: none"> • Reporting of incidents and non-compliances • Community engagement and complaints management • Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan • Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program • Property damage • Heavy vehicle movements, damage to roads and safety of pedestrians and cyclists.
Key Milestones	<p>June 2025:</p> <ul style="list-style-type: none"> • Submission of request for auditor approval to the Department. • Submission of request for input into Independent Audit scope to the Department (accompanied by Scoping Statement). <p>August 2025:</p> <ul style="list-style-type: none"> • Independent Audit commenced. <p>Note: The audit is to be confined to six audit days in total in accordance with Section 7.2.1 and 7.2.3 of the approved Independent Audit Program.</p> <p>October 2025:</p> <ul style="list-style-type: none"> • Submission of Independent Audit Report to the Department. <p>Note: Submission of the Independent Audit report is to be no later than 2 months from the date of the Independent Audit site inspection, unless otherwise approved by the Department.¹¹</p>

¹¹ On 7 March 2025, the Auditor raised a request for the Planning Secretary's agreement to extend the due date of this Audit Report, and the Proponents response, by an additional two weeks (making the due date 8 May 2025). The Department approved the request on 25 March 2025. Copies of the extension request and approval are presented in Appendix G.

2. AUDIT METHODOLOGY

2.1 Audit process

The Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – *Guidelines for Auditing Management Systems* and the methodology set out in the Department’s IAPAR.

2.2 Audit process detail

2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the auditee
- Confirm the audit team
- Confirm the audit purpose, scope, criteria and program
- Consult with the Department on the audit scope.

WolfPeak consulted with the Department on 30 June 2025 to obtain its input into the scope of the Audit in accordance with Section 3.2 of the IAPAR. On 1 August 2025 the Department responded, identifying review of several key areas and requesting consultation with the relevant Councils and the NSW Environment Protection Authority (EPA). Consultation with the Councils and EPA occurred on 4 August 2025.

A summary of the consultation with stakeholders is presented in Table 6.

Table 6: Key issues and areas of focus raised during consultation

Stakeholder	Issue and Focus	How Addressed
Department of Planning, Housing and Infrastructure	Consultation be undertaken with all Councils (City of Sydney, Inner West, Canada Bay, Burwood, Strathfield, Parramatta, Cumberland) and the EPA.	Consultation was completed. Refer to this table, Section 3.5 and Appendix D.
	The Department agreed to the scope proposed.	Scope as agreed, delivered. Refer Sections 1.4, 3 and Appendix A.
NSW Environment Protection Authority	<p>The EPA requested that the following conditions be considered during the audit:</p> <ul style="list-style-type: none"> • Condition B4 of CSSI 10038 - Complaints management system/register • Conditions D71-D78 of 10038 – Contaminated sites 	These conditions / requirements have been assessed. Refer Section 3.5 and Appendix A.

Stakeholder	Issue and Focus	How Addressed
Cumberland City Council.	Council noted issues regarding road condition from earlier audit periods and that these issues had been addressed. The Council requests that regular inspections and maintenance continue to occur throughout the remainder of the project to ensure road conditions are maintained and that any pavement or safety issues are addressed proactively and in a timely manner.	Refer Section 3.5.
City of Sydney	No response	-
Inner West Council	No response	-
City of Canada Bay Council	No comments on the proposed scope.	-
Burwood Council	No comments on the proposed scope.	-
City of Parramatta	No response	-
Strathfield Council.	No response	-

2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan and delivery program, and prepared work documents (audit checklists) and distributed to the auditees in preparation for the Audit.

2.2.3 Personnel involvement

A number of people from the organisations subject to audit were involved in the Audit. Table 7 presents the involvement of key personnel representing the auditees.

Table 7: Key personnel involved

Org.	Stage / Package	Position Title	Name	Involvement
Sydney Metro	All	Senior Manager Environment	██████████	WTP and ETP opening meetings, WTP inspection, interviews and document reviews, CTP, WTP and ETP closing meetings
Sydney Metro	CTP	Manager, Environment	██████████	CTP opening meeting, site inspection, interviews and document reviews
Sydney Metro	CTP	Environment Coordinator	██████████	CTP opening meeting, site inspection, interviews and

Org.	Stage / Package	Position Title	Name	Involvement
				document reviews, CTP closing meeting
AFJV	CTP	Operational Services Director	[REDACTED]	CTP opening meeting, site inspection, interviews and document reviews, closing meeting
AFJV	CTP	Community and Interface Director	[REDACTED]	CTP interviews and document reviews
Sydney Metro	WTP	Environment Coordinator	[REDACTED]	WTP opening meeting, site inspection, interviews and document reviews, closing meeting
Sydney Metro	WTP	Environment Specialist Culture Heritage	[REDACTED]	WTP interview and document review
GLC	WTP	Environment and Sustainability Manager	[REDACTED]	WTP opening meeting, interviews and document reviews, closing meeting
GLC	WTP	Senior Approvals Advisor	[REDACTED]	WTP opening meeting, inspections, interviews and document reviews, closing meeting
GLC	WTP	Senior Environment Advisor	[REDACTED]	WTP opening meeting, inspections, interviews and document reviews
GLC	WTP	Senior Environment Advisor	[REDACTED]	WTP closing meeting
GLC	WTP	Stakeholder and Community Engagement Manager	[REDACTED]	WTP interview and document review
GLC	WTP	Stakeholder and Community Engagement Advisor	[REDACTED]	WTP interview and document review
GLC	WTP	Logistics Manager	[REDACTED]	WTP interview and document review
GLC	WTP	Logistics Advisor	[REDACTED]	WTP interview and document review
GML	WTP	Excavation Director	[REDACTED]	WTP inspection and interview

Org.	Stage / Package	Position Title	Name	Involvement
Sydney Metro	ETP	Manager Environment	[REDACTED]	ETP opening meeting, site inspections, interviews and document reviews, closing meeting
Sydney Metro	ETP	Environment Officer	[REDACTED]	ETP opening meeting, site inspections, interviews and document reviews, closing meeting
Sydney Metro	All	Archaeological Heritage Advisor	[REDACTED]	ETP opening meeting, ETP and WTP interview and document review, ETP closing meeting
Sydney Metro	ETP	Built Heritage Advisor	[REDACTED]	ETP opening meeting, interview and document review
Sydney Metro	ETP	Communications Manager	[REDACTED]	ETP opening meeting, interview and document review, closing meeting
Sydney Metro	ETP	Manager Traffic & Transport Advisory	[REDACTED]	ETP interview and document review
JCG	ETP	Environment, Sustainability & Stakeholder Director	[REDACTED]	ETP opening meeting, interviews and document reviews, closing meeting
JCG	ETP	Environmental Manager	[REDACTED]	ETP opening meeting, site inspection, interviews and document reviews, closing meeting
JCG	ETP	Environment Advisor	[REDACTED]	ETP site inspection (Hunter Street), interview and document review
JCG	ETP	Place Manager (Pyrmont)	[REDACTED]	ETP interview and document review
JCG	ETP	Construction Integration Manager	[REDACTED]	ETP interview and document review
JCG	ETP	Interface Manager	[REDACTED]	ETP interview and document review
JCG	ETP	Instrumentation and Monitoring Manager	[REDACTED]	ETP interview and document review
JCG	ETP	Senior Properties Advisor	[REDACTED]	ETP interview and document review

Org.	Stage / Package	Position Title	Name	Involvement
JCG	ETP	Community & Stakeholder Manager	[REDACTED]	ETP interview and document review
JCG	ETP	Place Manager (Hunter Street)	[REDACTED]	ETP interview and document review
JCG	ETP	Traffic Advisor	[REDACTED]	ETP interview and document review
JCG	ETP	Traffic Manager	[REDACTED]	ETP interview and document review

2.2.4 Meetings

Opening and closing meetings were held with the Auditor and Project personnel remotely (on-line). During the opening meeting, the objectives and scope of the Audit, the resources required and methodology to be applied were discussed. At the closing meeting, preliminary audit findings were presented, preliminary recommendations (as appropriate) were made, and any post-audit actions were confirmed. Table 8 presents the dates for each of the opening and closing meetings.

Table 8: Opening and closing meetings

Phase	Opening meeting	Closing meeting
Phase B (CTP)	25/08/2025	26/09/2025
Phase F (WTP)	13/08/2025	23/09/2025
Phase G (ETP)	13/08/2025	26/09/2025

Attendance records for the opening and closing meetings are presented in Appendix C.

2.2.5 Site inspection

The on-site inspection of activities was conducted between 21 August 2025 and 28 August 2025. The following sites were inspected:

- Phase B (CTP) – Five Dock (both sites)
- Phase F (WTP) – Clyde MSF (both sites) and Parramatta
- Phase G (ETP) – Pyrmont (both sites) and Hunter Street (both sites).

The Auditor inspected the entirety of each site where it was safe to do so. Photos are presented in Appendix E.

2.2.6 Document review and interviews

The Audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement, and interviews with key Project personnel.

The Auditor conducted desktop document reviews of publicly available information and of information requested from and provided by the auditees, both prior to and after the audit inspections and interviews.

Interviews and face-to-face document review sessions were conducted with the auditees as per Table 9. The personnel involved are listed in Section 2.2.3.

Table 9: Interview and document review days

Date	Phase audited
Thursday, 21/08/2025	Phase G (ETP)
Friday, 22/08/2025	Phase G (ETP)
Monday, 25/08/2025	Phase B (CTP)
Wednesday, 27/08/2025	Phase F (WTP)
Thursday, 28/08/2025	Phase F (WTP)

The Auditor raised specific requests for information, in order to obtain evidence that was not available during the audit interviews and document reviews. These requests were issued to the auditees on 6 August 2025 and 1 September 2025. Responses were provided by the auditees on 14 August 2025 and 2 February and 11 September 2025 respectively.

2.2.7 Generating audit findings

Audit findings were based on verifiable evidence. The evidence included:

- Relevant records, documents and reports
- Interviews of relevant site personnel
- Photographs
- Figures and plans; and
- Site inspections of relevant locations, activities and processes.

2.2.8 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 10, below:

Table 10: Compliance descriptors from Table 2 of the IAPAR

Status	Description
Compliant	The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

Observations and notes were also made to provide context, identify opportunities for improvement or highlight positive initiatives.

2.2.9 Evaluation of post audit approval documentation

The Auditor assessed whether post approval documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

2.2.10 Completing the audit

The Audit Report was distributed to the auditees to check factual matters and for input into actions in response to findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented. The Auditor's findings have been determined independent of the auditees, the Department and any other parties, based on the evidence assessed during the audit.

3. AUDIT FINDINGS

3.1 Approvals and documents audited, and evidence sighted

The documents audited comprised all the conditions from CSSI 10038 and CSSI 19238057 that were subject to audit as per the agreed Scoping Statement. The full set of documents and evidence sighted against each requirement is detailed within Appendix A.

3.2 Non-compliances, Observations and Actions

3.2.1 Stage 1 (CSSI 10038)

3.2.1.1 Summary

This Section presents findings from the Audit for Stage 1. The summary of conditions assessed, and compliance status is presented in Table 11.

Table 11: Summary of conditions assessed and compliance status from this Independent Audit (August 2025)

Phase	Summary ¹²
Phase B (CTP)	<p>There were 18 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • Fourteen (14) conditions were considered to be compliant. • Four (4) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above: <ul style="list-style-type: none"> ◦ The auditees self-reported two non-compliances during the audit period, one of which related to a finding from the previous audit. Refer to Table 12 for details. ◦ The Auditor has identified a positive observation regarding community engagement. Refer to Section 3.9. • There were no findings open at the time of completing the February 2025 Independent Audit that needed to be verified as closed as part of this current audit.

¹² Numbers may not add to total number of conditions assessed in all instances, as some self-reported non-compliances were for conditions that were out of the scope of the audit.

Phase	Summary ¹²
Phase F (WTP)	<p>There were 52 conditions within the audit scope that were assessed:</p> <ul style="list-style-type: none"> • Forty-four (44) conditions were considered to be compliant. • Eight (8) conditions were considered not triggered. • No non-compliances were identified during the audit. • In addition to the above: <ul style="list-style-type: none"> ◦ The auditees self-reported three non-compliances during the audit period, one of which were related to findings from the previous audit. Refer to Table 12 for details. ◦ The Auditor has identified a positive observation regarding community engagement. Refer to Section 3.9. • All findings that were open at the time of completing the February 2025 Independent Audit are considered to be closed.

3.2.1.2 Non-compliances, observations and recommendations

The non-compliances that were identified and reported by the auditees during the audit period (in accordance with conditions A45/A46 of CSSI 10038) are presented in Table 12.

The non-compliances and observations (along with associated recommended or completed actions) identified from this audit are presented in Table 13. Detailed findings against each requirement, along with details on the auditee’s responses on draft findings (where received), are presented in Appendix A.

The status of previously open findings (at the time of completion of the previous audit on Stage 1) is presented in Table 14.

Table 12: Non-compliances that were reported by the auditees during the audit period

Phase	Condition	Requirement	Non-compliance
Phase F (WTP)	A31	<p>The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as:</p> <p>a) the Complaints Register (to be provided on a weekly basis or as requested); and</p> <p>b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).</p>	<p>SMW16 Consistency Assessment: George Street Shops remediation, October 2024 was not provided to the ER prior to the commencement of the subject work.</p> <p>This non-compliance was reported to the Department on 01/07/25 in accordance with A45/A46.</p>
Phase B (CTP)	C23	<p>The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.</p> <p>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</p>	<p>Note that this non-compliance was identified in the previous audit and reported during the current audit period.</p> <p>The CTP Groundwater Monitoring Program requires each 6-monthly Monitoring Report to be provided to the relevant authorities (including SOPA, EPA (if requested) DPIE Water and NRAR) within 40 business days of the monitoring period ending. The CTP Bi-Annual Construction Monitoring Report 6 (July – December 24) was provided to The Water Group, NRAR and SOPA after the identified due date.</p> <p>This non-compliance was reported to the Department on 05/05/25 in accordance with A45/A46.</p>
Phase B (CTP)	D51	<p><i>Out-of-Hours Works – Community Consultation and Respite</i></p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <p>a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work;</p> <p>b) a description of the potential work, location and duration of the out-of-hours work;</p> <p>c) the noise characteristics and likely noise levels of the work; and</p> <p>d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers).</p> <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA before the out of hours work commences and to the EPA and the Planning Secretary on request.</p> <p>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</p>	<p>Modification 6 of SSI 10038 added the requirement to submit the outcomes of community consultation to the ER and AA prior to the OOHW commencing. This altered the previous, retrospective, reporting arrangement that was in place for both CTP and WTP up until the modification 6 approval in November 2024 and the previous arrangement had not been updated in line with the modification.</p> <p>Both CTP and WTP have since updated the reporting to the ER and AA to satisfy this requirement.</p> <p>This non-compliance was reported to the Department on 03/07/25 and 04/07/25 in accordance with A45/A46.</p>
Phase F (WTP)			
Phase F (WTP)	D86	<p>Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.</p>	<p>Note that this non-compliance was identified in the previous audit and reported during the current audit period.</p> <p>According to emails between GLC and Sydney Metro there is evidence of heavy vehicles not following the approved routes based on GLC's HVLR for Westmead.</p> <p>This non-compliance was reported to the Department on 05/05/25 in accordance with A45/A46.</p>

Table 13: Stage 1 (CSSI 10038) findings from the Independent Audit (August 2025)

Item	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ¹³	By whom	Status ¹⁴
<i>No new non-compliances or observations identified</i>								

¹³ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A45/A46.

¹⁴ Status of finding and action according to the Auditor at the time of finalizing the Report.

Table 14: Status of findings that were open at the time of finalising the previous Stage 1 (CSSI 10038) Independent Audit (February 2025)¹⁵

Item ¹⁶	Relevant phase	Condition	Type	Requirement	Finding	Recommended or completed action ¹⁷	By whom	Status ¹⁸
10038_Feb25_3	Phase F (WTP)	D89	Observation	<i>If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.</i>	<p>On 10/02/25 Cumberland City Council (CCC) requested that audit focus on restoration of roads (among other items) and noted that 'the contractor has not maintained the local roads to a satisfactory level on a proactive basis. Council has advised Metro West on several occasions after receiving complaints from residents and Council staff noticing significant damage to local roads due to construction vehicles.'</p> <p>The Auditor notes that there is no interface agreement between GLC and CCC, and engagement between the two is managed by Sydney Metro. According to notifications by GLC via the CCC portal and emails between GLC, Sydney Metro and CCC, there appears to be debate as to the extent of damage caused by WTP on Hassall Street. According to GLC the road condition around Westmead were in a poor condition prior to construction (as identified in the pre-construction conditions surveys) and have been notified by GLC to CCC on a proactive basis through the CCC community engagement portal.</p> <p>After investigations and discussion on responsibility between the parties, GLC undertook repairs on 23/11/24 on the portion of Hassall Street that is subject to construction vehicle movements (areas outside of the approved haul routes were not repaired). These repairs were limited to fixing potholes and the like. A re-sheet was not conducted by GLC on the basis that construction is ongoing. Repairs are completed by GLC contractors of which the auditees are of the view are suitably experienced to conduct such work. The repairs were communicated back to CCC in November 2024 via email and (according to the evidence provided) CCC did not raise concerns with (or state acceptance of) the repairs at that time.</p> <p>As noted at the top, CCC since advised the Auditor as part of consultation on the scope of this audit that they were not entirely satisfied with the road maintenance.</p>	It is recommended that a more formal process be established between the parties to manage road surveillance, maintenance and repairs so that more certainty can be provided on damage to the road as a result of Project works, and the desired restoration works.	Sydney Metro and GLC	<p>Closed</p> <p>GLC undertook repairs on the road and Council acknowledged completion in November 2024.</p> <p>Evidence sighted during the August 2025 audit indicates that GLC undertake regular surveillance on road condition at the Westmead site (and other sites), and have notified Cumberland Council where damage has been identified.</p> <p>Council have not raised concerns over road damage having occurred during the audit period.</p>

¹⁵ Independent Audit Report, Sydney Metro West Stage 1 CSSI 10038 & Stage 2 CSSI 19238057, WolfPeak, 27 April 2025

¹⁶ Item numbers are not necessarily sequential.

¹⁷ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A45/A46.

¹⁸ Status of finding and action according to the Auditor at the time of finalizing the Report.

3.2.2 Stage 2 (CSSI 19238057)

3.2.2.1 Summary

This Section presents findings from the audit for Stage 2. The summary of conditions assessed and compliance status is presented in Table 15.

Table 15: Summary of conditions assessed and compliance status from this Independent Audit (August 2025)

Phase	Summary ¹⁹
Phase G (ETP)	<p>There were 58 conditions within the audit scope that were assessed.</p> <ul style="list-style-type: none"> • Forty-seven (47) conditions were considered to be compliant. • Ten (10) conditions were considered not triggered. • One (1) non-compliance was identified in the audit, relating to spoil haulage heavy vehicles not adhering to approved haul routes. • In addition to the above: <ul style="list-style-type: none"> ◦ The auditees self-reported four (4) non-compliances, three of which related to findings from the previous audit. Refer to Table 16 for details. ◦ One observation was identified regarding interruptions to real-time tracking of spoil haulage vehicles. ◦ The Auditor has identified positive observations regarding community engagement and management of noise from rock breaking activities. Refer to Section 3.9. • All findings that were open at the time of completing the February 2025 Independent Audit are considered to be closed.

3.2.2.2 Non-compliances, observations and recommendations

The non-compliances that were identified and reported by the auditees during the audit period (in accordance with conditions A45/A46 of CSSI 19238057) are presented in Table 16. The non-compliances and observations (along with associated recommended or completed actions) identified from this audit are presented in Table 17. Detailed findings against each requirement, along with details on the auditee's responses on draft findings (where received), are presented in Appendix A. The status of previously open findings (at the time of completion of the previous audit on Stage 2) is presented in Table 18.

¹⁹ Numbers may not add to total number of conditions assessed in all instances, as some self-reported non-compliances were for conditions that were out of the scope of the audit.

Table 16: Non-compliances that were identified and reported by the auditees during the audit period

Phase	Condition	Requirement	Non-compliance
Phase G (ETP)	C10 ²⁰	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).	ETP identified that a spoil handling activity had occurred at The Bays, which was not described in The Bays Ancillary Facility Assessment Car Parking, Storage and Truck Marshalling and referred to in the ER Approval letter for the CEMP (Rev 7) dated 28 May 2025. This non-compliance was reported to the Department in accordance with A45/A46 on 24/07/25.
Phase G (ETP)	C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER, AA (where relevant) and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.	Note that this non-compliance was identified in the previous audit and reported during the current audit period. The Biannual Water Monitoring Report for April to Sep 24 was submitted to DPE Water Group and NRAR after the required timeframe specified in the Monitoring Program within the Soil and Water CEMP Sub-plan. This non-compliance was reported to the Department in accordance with A45/A46 (PA-180)
Phase G (ETP)	D37	Out-of-Hours Works – Community Consultation and Respite In order to undertake out-of-hours work outside the work hours specified under Condition D21 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with: a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; b) a description of the potential work, location and duration of the out-of-hours work; c) the noise characteristics and likely noise levels of the work; and d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D26 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA, before the out of hours work commences, and to the EPA and the Planning Secretary on request..	Note that this non-compliance was identified in the previous audit and reported during the current audit period. The outcomes of community consultation had not been provided to the ER and AA prior to the OOHW commencing. ETP has since updated the reporting to the ER and AA to satisfy this requirement. This non-compliance was reported to the Department in accordance with A45/A46 (PA-193).
Phase G (ETP)	D77	Construction Parking and Access Management All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to: a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs	Note that this non-compliance was identified in the previous audit and reported during the current audit period. It was noted at the previous audit that some spoil haulage vehicles did not adhere to the nominated haulage routes identified in the CTMPs. This non-compliance was reported to the Department in accordance with A45/A46 (PA-192)

²⁰ Note that the consolidated Approval has an error, which results in conditions C2 – C23 as being incorrectly identified as being C3 – C24. This is reflected in the non-compliance notification against C10 also.

Table 17: Stage 2 (CSSI 19238057) findings from the Independent Audit (August 2025)

Item	Condition	Type	Requirement	Finding	Recommended or completed action ²¹	By Whom	Status ²²
19238057_Aug25_1	D71	Observation	<i>The locations of all heavy vehicles used for spoil haulage for the CSSI must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.</i>	<p>JCG have established and implemented a robust vehicle tracking system (Virtual Superintendent (VS)). This system requires use of an app that is installed on mobile phones in each of the heavy vehicle drivers used for spoil haulage on the Project. The app provides real-time GPS tracking of the heavy vehicle. As part of the loading process, JCG's loader (also fitted with VS) must select the active truck in the system prior to loading. In the event the truck doesn't have VS active, the loader is unable to select the truck and loading will not commence. The GPS is then monitored from loading site to spoil destination in real-time and the system tracks speed, fatigue, time/duration and route analysis. As part of the tipping process, the nominated tip site destination is predetermined in VS by the JCG logistics team. For the haulier to complete the journey, they must enter the tip site geofence (a GPS boundary around the tip site). Should the driver fail to enter the tip site geofence, the system will not record the load as being completed and the haulier will not receive payment for the load. As part of the system, JCG have built in autonomous monitoring to identify when a GPS signal is lost, allowing identification and further investigation as required.</p> <p>According to the evidence provided JCG identified 49 x instances during the audit period whereby GPS inactivity was recorded (out of 32,140 trips recorded in total). JCG interrogated these events and all but 6 x events were a result of legitimate circumstances (e.g.: fatigue breaks, end-of-shift logoff oversight, phone coverage, or that the trucks were on site during the inactivity or were not being used for spoil haulage at the time of the inactivity). The remaining 6 x instances, occurring during 6 – 10 March 2025 and attributed to driver error or similar, were responded to by JCG immediately and resulted in drivers reactivating the GPS prior to collecting the next spoil load. There do not appear to be repeat occurrences and to date JCG has not identified any material loaded at a JCG site which has not been tipped at the nominated destination. For the reasons set out above, the Auditor considers that JCG have complied with D71.</p>	JCG identified, interrogated and responded to each instance of GPS inactivity recorded during the audit period.	JCG	Closed
19238057_Aug25_2	D77	Non-compliance	<p><i>All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:</i></p> <p>a) <i>minimise parking on public roads;</i></p> <p>b) <i>minimise idling and queueing on state and regional roads;</i></p> <p>c) <i>not carry out marshalling of construction vehicles near sensitive land user(s);</i></p> <p>d) <i>not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and</i></p> <p>e) <i>ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs</i></p>	<p>Note that this finding is consistent with that identified in the previous audit report, but covers the current audit period. JCG have a system to manage heavy vehicles on the Project, however the system failed to ensure spoil haulage vehicles adhered to the nominated haulage routes identified in the CTMPs on 73 x occasions during the audit period. JCG provided a range of information regarding this requirement and the following is of note:</p> <ul style="list-style-type: none"> JCG has an advanced and robust system for managing heavy vehicles, and is of the view that it is implementing all reasonable and feasible control measures to ensure adherence is achieved (including training, real-time monitoring, weekly analysis of route breaches, disciplinary action in accordance with the Driver Code of Conduct, and reporting via the CPAS Monitoring Report). Evidence was provided supporting this and the Auditor does not dispute this position. The 73 x off-route events represents 0.23% of total movements for the 6 month period (32,140 trips recorded in total). None of the off-route events resulted in heavy vehicles travelling past sensitive areas such as schools. There were no complaints regarding off-route heavy vehicle movements recorded in the complaints register, nor were there any associated incidents. 	<p>As noted, JCG have implemented a range of controls to seek to prevent off-route events occurring. These should continue.</p> <p>The off-route events are reported in Table 5 of the CPAS Monitoring Report, and this information is provided to the Department. The Auditor is not aware of the Department raising issues with the reported off-route events on previous occasions.</p> <p>As a result of this matter being captured in the previous audit report, Sydney Metro submitted a request under A11 to report on non-compliances with this condition at the time of submission of the CPAS Monitoring Report (essentially lining up the A47 and D77 reporting times), thus avoiding the obligation to report such events continuously throughout the year. At the time of writing the Department had not yet approved (or rejected) the request.</p>	Sydney Metro and JCG	Open

²¹ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A46/A47.

²² Status of finding and action according to the Auditor at the time of finalising the Report.

Item	Condition	Type	Requirement	Finding	Recommended or completed action ²¹	By Whom	Status ²²

Table 18: Status of findings that were open at the time of finalising the previous Stage 1 (CSSI 19238057) Independent Audit (February 2025)²³

Item	Condition	Type	Requirement	Finding	Recommended or completed action ²⁴	By Whom	Status ²⁵
19238057_Feb25_4	D37	Non-compliance	<p><i>Out-of-Hours Works – Community Consultation and Respite</i></p> <p><i>In order to undertake out-of-hours work outside the work hours specified under Condition D21 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</i></p> <p><i>a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work;</i></p> <p><i>b) a description of the potential work, location and duration of the out-of-hours work;</i></p> <p><i>c) the noise characteristics and likely noise levels of the work; and</i></p> <p><i>d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D26 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers).</i></p> <p><i>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA, before the out of hours work commences, and to the EPA and the Planning Secretary on request..</i></p>	<p>The D37 Outcomes of Community Consultation Report – October to December 2024 (Q4), has not been provided to the ER and AA as at 27/02/25. Therefore the outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work have not been provided to the ER and AA, prior to the OOHW commencing.</p>	<p>Submit the D37 Outcomes of Community Consultation Report – October to December 2024 (Q4) to the ER and AA at next opportunity.</p> <p>Establish a process whereby submission to the ER and AA occurs prior to the triggering works commencing.</p>	<p>Sydney Metro and JCG</p>	<p>Closed</p> <p>ETP have updated the reporting process so that the ER and AA are advised on the outcomes of community consultation during their weekly meetings, and prior to the subject OOHW commencing.</p>

²³ Independent Audit Report, Sydney Metro West Stage 1 CSSI 10038 & Stage 2 CSSI 19238057, WolfPeak, 27 April 2025

²⁴ The recommended or completed actions do not preclude the requirement to notify the Department of any actual non-compliance within 7 days of becoming aware of them in accordance with A46/A47.

²⁵ Status of finding and action according to the Auditor at the time of finalizing the Report.

3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

As part the Audit, the Auditor reviewed the following post approval documents. The list is not exhaustive. The evidence sighted and considered is presented in full in Appendix A.

- Sydney Metro Overarching Community Communications Strategy (OCCS), 5 September 2025
- Sydney Metro Complaints Management System, Sydney Metro, 5 September 2025
- CTP Community Communication Strategy, AFJV, July 2024
- CTP Small Business Owners Engagement Plan, AFJV, May 2024
- CTP Bi-Annual Construction Monitoring Report – 7 January 2025 - June 2025
- WTP Community Communications Strategy, GLC, 7 April 2025
- WTP Rosehill and Clyde Communications Sub-plan, 28 February 2025
- WTP Parramatta Communications Sub-plan, 28 February 2025
- WTP Tunnelling Communications Sub-plan, 16 June 2025
- WTP Westmead Communications Sub-plan, 16 June 2025
- WTP Heritage Management Plan, GLC, 22 May 2025
- WTP Noise and Vibration Monitoring Program, Rev I, 25 August 2025
- WTP Construction Monitoring Report No. 5, July 2024 – January 2025
- WTP Construction Noise and Vibration Monitoring Report No.6, Jan – July 2025 (DRAFT)
- WTP Spoil Management Plan, GLC, 23 April 2024
- WTP Waste Management Plan, GLC, 12 May 2025
- WTP Project Wide CTMP, GLC, 24 May 2022
- WTP Clyde and Rosehill Operations CTMP, GLC, 29 January 2025
- WTP Parramatta Operations CTMP, GLC, 27 September 2023
- WTP Westmead Operations, GLC, 22 March 2023
- ETP Community Communications Strategy, JCG, 11 June 2025
- ETP Noise and Vibration Management Sub-plan (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18 April 2024
- ETP Heritage Management Sub-plan, JCG, 14 May 2025
- ETP Overarching CTMP, JCG, 10 March 2023

- ETP Construction Parking and Access Strategy Stage 1 for Hunter and Pyrmont, 11 April 2025
- ETP Construction Parking and Access Strategy Stage 2, The Bays, 27 May 2025
- ETP CPAS Monitoring Report for The Bay, Pyrmont & Hunter Street, Sep 24 – Mar 25.

The Auditor assessed whether the above documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The Auditor also assessed the adequacy of post approval documents (on the basis of whether):

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

The development of the CEMPs, Sub-plans and Monitoring Programs, Community Communication Strategies and the Construction Traffic Management Plans were all completed prior to the current audit period. They have undergone consultation, review and endorsement by the necessary parties (Sydney Metro, the ER, the AA, third party agencies (e.g.: Heritage NSW, Transport Customer Journey planning, relevant councils) and, where relevant, the Department). Evidence sighted demonstrating that consultation, endorsement and approval has been adequately completed is presented in the previous Audit Reports. Where updates occurred during the current audit period, the updates appear to be consistent with the terms of the approvals. The Auditor has not identified any material deficiencies with the documents and is of the view that their implementation would not result in a non-compliance.

Of note for this current audit period, is the high degree of implementation of the noise and vibration management plans & monitoring programs, and heritage management plans on both ETP and WTP. CTP construction was completed early in the current audit period and close out reporting was completed in accordance with the relevant conditions.

It is noted that the Auditor team are not qualified or experienced community engagement specialists. Within the confines of the audit and based on the evidence provided by the auditees, the Auditors are of the view that the documents appear to be of a sufficient quality. The evidence sighted indicates a very high degree of implementation and makes a positive observation in this regard. Refer to Section 3.9 for details.

It is noted that the Auditors are not qualified or experienced traffic engineers/consultants. Within the confines of the audit and based on the evidence provided by the auditees, the Auditor is of the view that the documents appear to be of a sufficient quality and satisfy the relevant conditions and have largely been implemented. The Auditor draws attention to ETP and the observation against condition D71 and non-compliance against condition D77. The Auditor is of the view that whilst implementation of the relevant requirements has not occurred 100% of the time, JCG have advanced monitoring in place to identify and respond to contravention of Project requirements. Where the contraventions have occurred they appear to be associated with human behaviour, rather than systemic failure, and JCG have responded to each event appropriately.

3.4 Summary of notices from agencies

A range of correspondence between the auditees and the Department occurred during the audit period, including informal correspondence (emails, portal responses and the like) and letters of agreement / acceptance / approval in response to submissions made. This section does not present these types of correspondence.

Formal notices issued by the Department and EPA during the audit period are summarised below.

- Phase B (CTP), 6 June 2025: The EPA issued a Clean-up Notice to AFJV for the discharge of high pH and high NTU waters from the water treatment plant at The Bays.²⁶ The notice requires AFJV to reduce sediments at White Bay Berth 2 to a depth of 11.7m. The works are to be completed prior to 30 September 2025 and reported to the EPA by 31 October 2025.
- Phase B (CTP), 9 July 2025: The Department issued a notice of breach for a non-compliance with D82 due to CTP utilising Robert Street, Rozelle to access The Bays metro station construction site during demobilisation activities. This was self-reported by CTP in February 2025²⁷ and the Department elected not to take any further action.

3.5 Other matters considered relevant by the Auditor or the Department

Matters considered relevant by the Auditor, the Department, EPA and Councils are discussed below.

NSW EPA

The NSW EPA requested that the following conditions be considered during the audit:

- Condition B4 of CSSI 10038 - Complaints management system/register
- Conditions D71-D78 of 10038 – Contaminated sites.

Complaints management

The Auditor review the complaints management system (Consultation Manager) and the complaints register for the audit period. According to the complaints register sighted, 46 complaints were recorded for Stage 1 during the audit period. 18 x were not related to the Project. 12 x relate to CTP, 16 x relate to WTP. Noise and vibration associated with out of hours works make up the vast majority of complaints with other complaints relating to a variety of issues such as property damage, worker behaviour, traffic and access etc. A random sample of complaints were cross referenced between the register and the corresponding Consultation Manager records. In each of the samples, the Auditor is satisfied that the register and the Consultation Manager data aligns. Additionally, the auditor requested and sighted evidence to demonstrate that the stated actions to address the sample complaints had in fact been implemented.

²⁶ This matter was identified in the February 2024 Independent Audit Report (WolfPeak, 26 April 2025) and AFJV has been engaging with EPA since that time on the matter.

²⁷ Refer to the February 2025 Independent Audit Report (WolfPeak, 27 April 2025).

As noted in the last audit, the Auditor reviewed the register that is provided to the Department (not that which is reported to the EPA under the relevant Environment Protection Licence (EPL) as This audit does not extend to an assessment against requirements from the EPLs. Therefore the Auditor cannot comment on the accuracy or fullness of the complaints register issued to the EPA.

Remediation and contaminated sites

CTP completed its obligations prior to the current audit period. At the February 2025 audit, it was found that the Detailed Site Investigation Report (DSIs), Remedial Action Plans (RAPs), Validation Reports, Site Audit Reports (SARs) and Site Audit Statements (SASs) were prepared and submitted to the relevant stakeholders in accordance with D75 and D76.

For WTP all DSIs were prepared prior to the current audit period.²⁸ RAPs, where required, have all been reviewed and endorsed by the Contaminated Sites Auditor. Remediation works have been completed or are ongoing depending on the location. The Auditor notes that Clyde presents significant complexity around zones subject to remediation and portion handovers from GLC to Sydney Metro. To date no Validation Reports have been completed / endorsed under D74, no SARs finalised and no Section A SASs issued. Notwithstanding this the auditees from both GLC and Sydney Metro were confident that D75 (issuing of Section A SASs with accompanying SARs prior to operations) will be fulfilled.

Cumberland City Council

Cumberland City Council (relevant to WTP only) was interested in the ongoing inspection of road condition and that any pavement or safety issues are addressed proactively and in a timely manner.

Road condition surveys were completed prior to the current audit period, and prior to heavy vehicles using the local road network. These must be reported to the Department as part of requests to the Planning Secretary for approval to use local roads under Condition D86. According to GLC these surveys form the basis for determining the ongoing condition of local roads and are a key tool in determining if heavy vehicle movements from the WTP are responsible for damages to local roads (in addition to complaints, inquires etc.). In addition to this, GLC also undertakes visual surveys of the local road network using video surveillance, and use this to supplement the existing dilapidation surveys to identify impacts to local roads caused by the WTP. These surveys are typically undertaken monthly and evidence of such was presented to the Auditor during the audit. Evidence was also sighted demonstrating that GLC had notified Cumberland City Council of pavement damage in April 2025.

GLC further noted that damage to roads immediately at the exit points from site. I.e. George Street Parramatta are minor and have been rectified almost immediately as part of routine site maintenance and thus are not recorded as council/public complaints in relation to road damage.

3.6 Complaints

A complaints register is being maintained for the Project using the software, Consultation Manager. The complaints register is issued to the ER and the Department on a regular basis. The complaints register for the audit period was provided to the Auditor.

²⁸ Refer to the February 2024 Independent Audit Report (WolfPeak, 26 April 2024).

125 complaints were received in the audit period. The breakdown is presented in Figures 4 – 7. The complaints register identifies the actions taken in response to each complaint, and the timing by which the complaint is considered closed. It is the Auditors view that Sydney Metro and its contractors have adequately responded to the complaints.

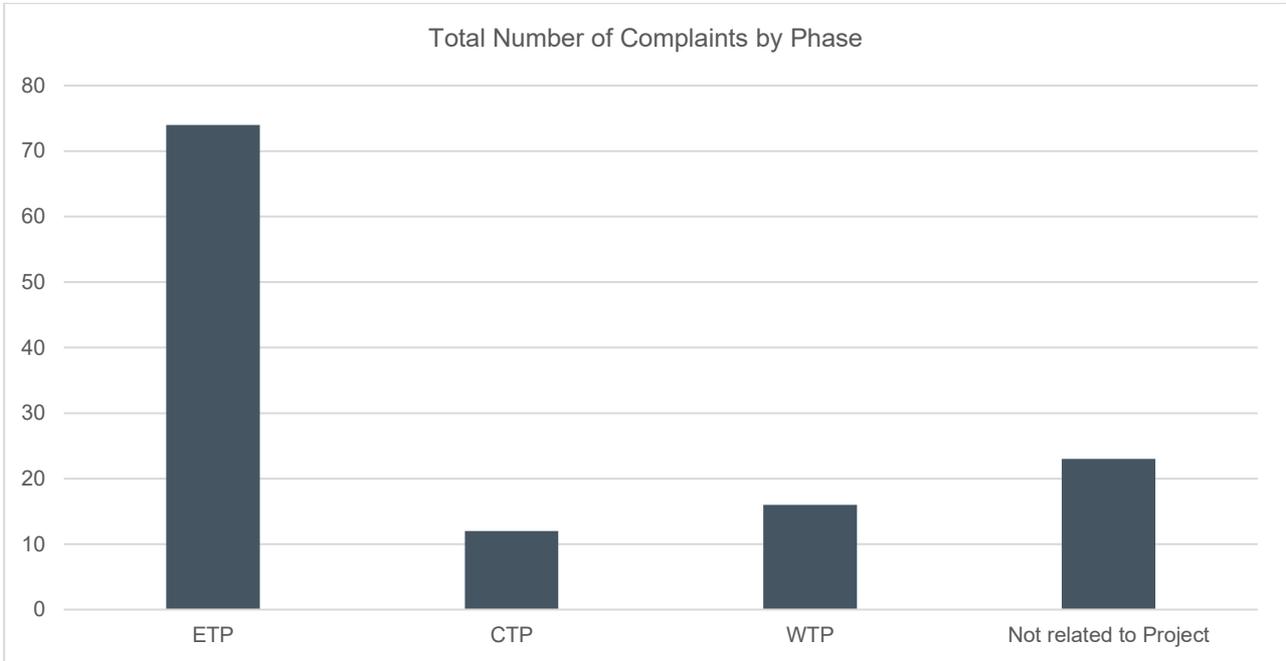


Figure 4: Complaints by Phase

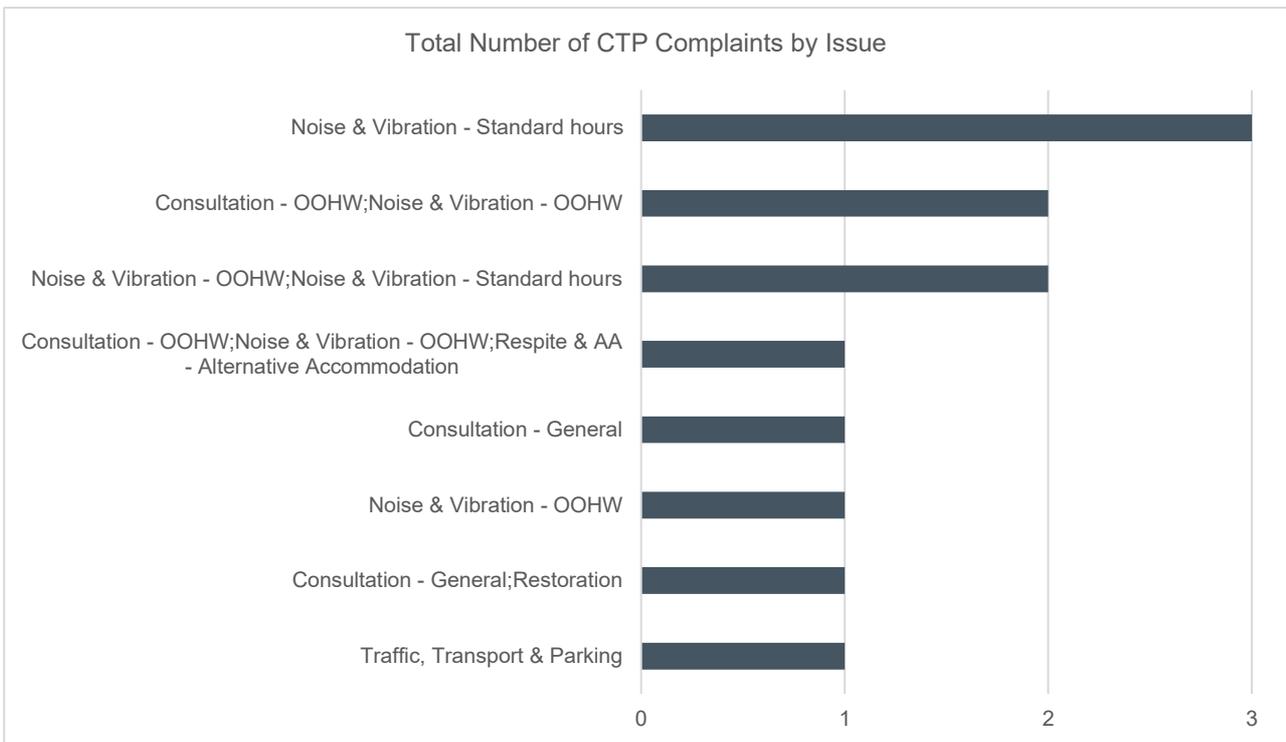


Figure 5: Phase B (CTP) Complaints by Issue

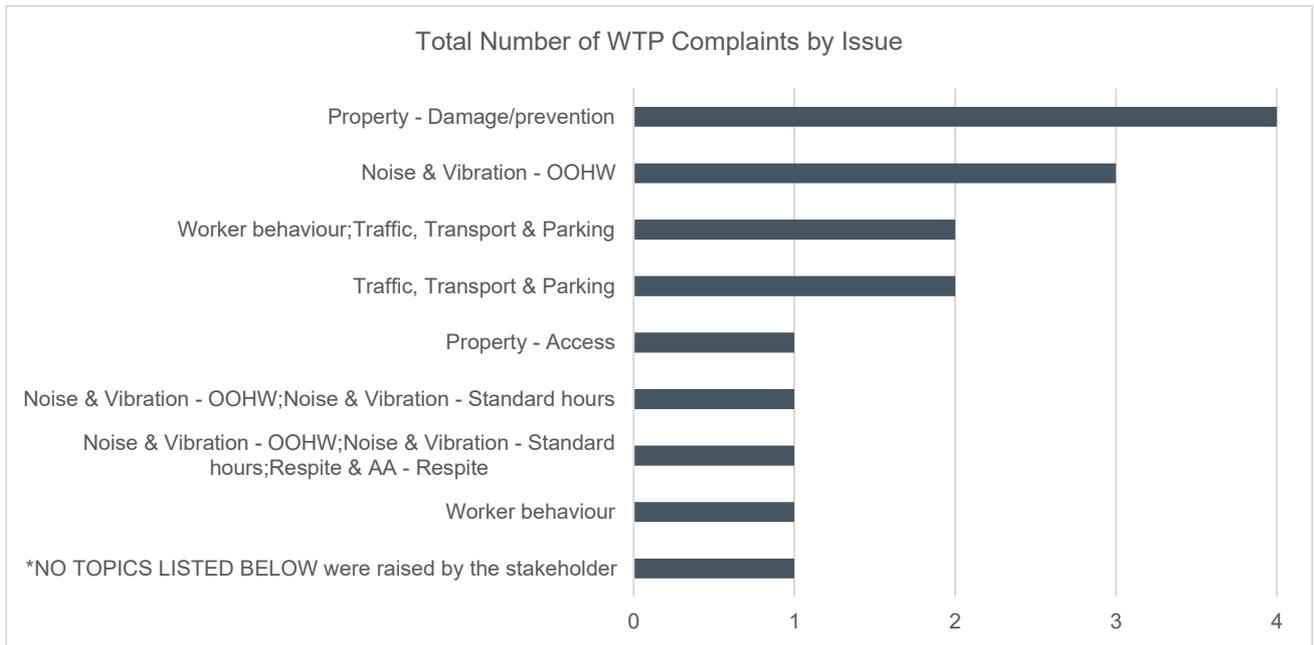


Figure 6: Phase F (WTP) Complaints by Issue

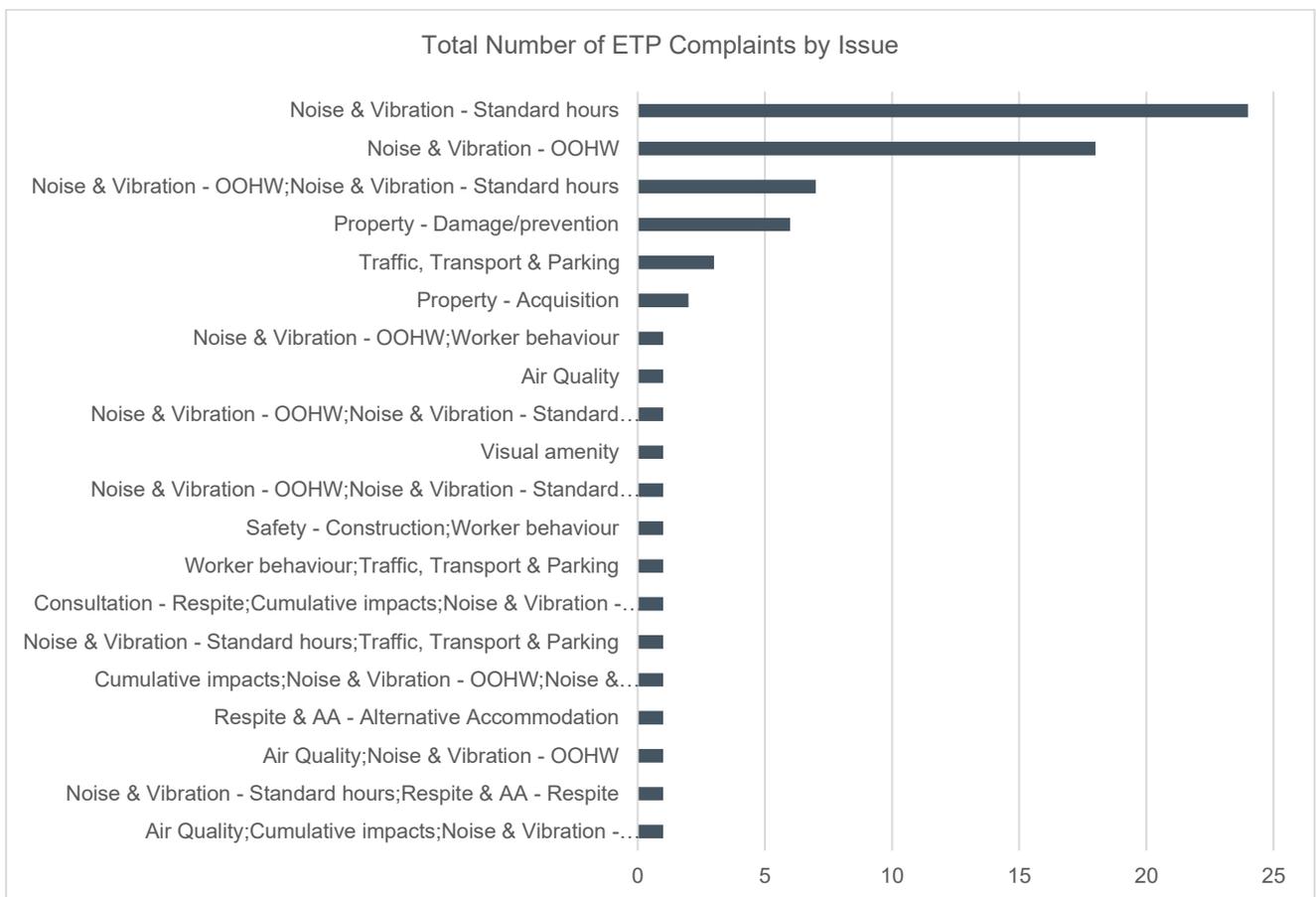


Figure 7: Phase G (ETP) Complaints by Issue

3.7 Incidents

The Project wide incident register and associated incident reports were sighted by the Auditor. The incident register is capable of capturing the date, time, location, team responsible, significance rating and actions taken to rectify any incidents. The system is such that actions not completed by the target due date are escalated until closed.

According to the incident register and reports there were no notifiable incidents (as defined by terms in the Stage 1 and Stage 2 approvals) recorded during the audit period.

3.8 Actual versus predicted impacts

Predicted outcomes associated with the construction of the Project are described in the following Environmental Assessment Documents (EAD):

- Stage 1 (CSSI 10038)
 - Chapters 10 – 28 of the Concept and Stage 1 (major civil construction between Westmead and The Bays) – Environmental Impact Statement, 15 April 2020
 - Sections 6.10 – 6.26 of the Sydney Metro West Westmead to The Bays and Sydney CBD Submissions Report Concept and Stage 1, 2020
 - Section 5 of the Clyde Stabling and Maintenance Facility Modification Report, November 2021 (Mod 2)
 - Section 3 of Modification Report Clyde Stabling and Maintenance Facility – Additional Mangrove Impact – Modification 5, July 2023
- Stage 2 (CSSI 19238057)
 - Chapters 5 – 22 of the Sydney Metro West Major civil construction work between The Bays and Sydney CBD – Environmental Impact Statement, 25 October 2021
 - Sections 2.2 – 2.7 and 5.6 – 5.16 of the Sydney Metro West Submissions Report – Major civil construction work between The Bays and Sydney CBD, April 2022
 - Section 5 of Major civil construction between The Bays and Sydney CBD Modification Request (Mod 1), Sydney Metro, 1 February 2023.
- The consistency assessments / environmental reviews listed in Section 1.1.2 of this Report.

The EAD for Stage 1 and 2 included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the conditions and REMMs, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit. Any such comparison is qualitative only.

Assessment of actual vs predicted impacts considered:

- The extent to which the Project has been altered to that assessed in the EAD and approved, including whether Project boundaries have changed

- The works conducted during the audit period
- The degree of compliance with the Approval and the REMMS, relevant to the audit period and the works carried out
- The degree of adequacy and implementation of the approved post approval documents
- The number, nature and severity of incidents recorded during the audit period
- The number, nature and severity of complaints recorded during the audit period.

The Auditor observes that the Project has been altered via nine modifications in all (refer Section 1.1.2 of this Report). CSSI 10038 modifications 1, 3, 4 and 6 and CSSI 19238057 modifications 2 and 3 were administrative in nature and did not alter the predicted impacts from the initial Approvals. For the remainder of the modifications the revised impacts were not considered / predicted during the initial application, Sydney Metro (and the Department, through its approval of the modifications) determined that the changes would not result in a material change to impacts on the environment beyond those originally assessed.

The three consistency assessments / environmental reviews determined during the audit period have all been assessed by Sydney Metro as being consistent with the respective Approvals, and the associated environmental assessments.

The works undertaken during the audit period (described in Section 1.1.4) appear to be consistent with the construction works described in the relevant EAD. CTP construction has been completed in the audit period and AFJV are now providing care and maintenance services on this package. The construction and care and maintenance phases do not appear to have introduced impacts not contemplated in the EAD. Both WTP and ETP are well advanced and thus the impacts are relatively 'stable'. Again, the impacts appear to be consistent with those from the EAD. The ER (in monitoring compliance with the Approvals) has not identified any material departures between the works undertaken during the audit period, and those identified in the aforementioned documents. The ER has not raised any material concerns or non-compliances in the ER Monthly Reports.

As set out in Section 3.2 and Appendix A, the degree of compliance with the Approval is very high, and does not suggest that works / impacts have had any material departure from the impacts above or beyond those contemplated in the EAD. The degree of implementation of the post approval documents also appears to be of a very high standard, with no material departures identified.

The auditees have not identified any incidents as defined by the Approvals during the audit period that is required to be reported to the Department under CSSI 10038 conditions A43/A44 of CSSI 19238057 conditions A45/A46.

Complaints for Project are inevitable given the scale and complexity of the works. Of note during the audit period is the continued fall in the number of complaints from the previous audit periods. Complaints relating noise and vibration, hours of work, traffic and access and property damage persist, however they are considered to be associated with impacts consistent with those described in the EAD.

3.9 Key strengths and environmental performance

Overall the environmental performance of the Project during the audit period is considered by the Auditor to be high. The following matters are of note:

- A very high degree of compliance has been achieved across all Phases. 128 x requirements were assessed, with one non-compliance identified as part of the audit. The auditees self-reported nine non-compliances, five of which related to findings made during the previous audit.
- The non-compliance relating to spoil haulage vehicle adherence to nominated haul routes set out in the ETP CTMPs (finding 19238057_Aug25_2 in Table 17) is a departure from CSSI 19238057 condition D77 and should be managed as such. The Auditor is of the view that whilst implementation of the relevant requirement has not occurred 100% of the time, JCG have advanced monitoring in place to identify and respond to potential contraventions of Project requirements. Where the contraventions have occurred they appear to be associated with human behaviour, rather than systemic failure, and JCG have taken appropriate action to address each event.
- There were no reportable environmental incidents recorded by the auditees during the audit period.
- Complaints continue to fall from previous audit periods, with 169 complaints recorded between March and August 2024, 136 complaints recorded between September 2024 and February 2025, and 125 complaints recorded this audit period (between March and August 2025). It is the Auditor's view that this reflects both the winding down of construction on CTP and the stabilisation / deepening of the construction program on WTP and ETP.
- The Auditor observes there to be a very high degree of implementation of the Community Communications Strategies on all three packages. CTP received seven pieces of positive feedback from community stakeholders in this regard. It was also evident that both WTP and ETP apply significant effort in ensuring that metrics from the Strategies are achieved. These are reported to Sydney Metro on a routine basis.
- Both WTP and ETP have applied significant attention and rigour to protecting heritage items that could be impacted by the works, with WTP's salvage works at Parramatta and ETPs protection of the Skinner's Family Hotel progressing well.
- As noted in the August 2024 audit, the requirements around high noise impacts and respite under Stage 2 conditions D38 and D39 are complex. That JCG have managed to complete the consultation, identify preferred respite periods and effectively communicate this to plant operators and site supervisors so that compliance is achieved is a positive outcome. They have continued to apply these requirement successfully during the current audit period.

4. CONCLUSIONS

This Audit Report presents the findings from the August 2025 audit conducted Sydney Metro West Stage 1 (CSSI 10038) and Stage 2 (CSSI 19238057).

The overall outcome of the Audit was very positive. As with previous audits, compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro, AFJV, GLC and JCG (together, the auditees). The auditees were cooperative and responsive to the Auditor's requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements.

128 x requirements were assessed, with one non-compliance identified as part of the audit (relating to spoil haulage vehicle adherence to nominated haul routes on ETP). The auditees self-reported nine non-compliances, five of which related to findings made during the previous audit.

The Auditor has found that the CEMP, Sub-plans and monitoring programs, and community and traffic related post approval documents to be of a very high quality and have been implemented during the audit period.

The Auditor observes that complaints continue to fall from earlier audit periods, and the evidence sighted appears to indicate that complaints are being properly recorded, responded to and associated corrective actions completed.

According to the incident register and reports there were no incidents recorded during the audit period that caused, or had the potential to cause, material harm to the environment.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro, AFJV, GLC and JCG, for their high level of organisation, cooperation, and assistance during the Independent Audit.

LIMITATIONS

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The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the conditions, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

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APPENDIX A – CONDITIONS OF APPROVAL



Appendix A1 - Stage 1 (CSSI 10038)

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
SCHEDULE 2: CONDITIONS OF APPROVAL FOR CONCEPT PROPOSAL						
PART C-A: ADMINISTRATIVE CONDITIONS						
General						
C-A1	Approval is granted to the 'Concept' as described in Schedule 1 and in Chapter 6 and in Chapter 7 of the Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020, as amended by the following: a) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020; and b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A2	The Proponent must carry out the CSSI Concept in accordance with the conditions of this approval and the documents listed in Condition C-A1 of this schedule unless otherwise specified in, or required under, the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A3	In the event of an inconsistency between: a) the conditions of this approval and any document listed in Condition C-A1 of this schedule inclusive, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition C-A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-A4	Except to the extent described in any document listed in Condition C-A1 of this schedule, any over station development, including any future uses, does not form part of this CSSI and will be subject to the relevant assessment pathway prescribed by the EP&A Act.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
PART C-B: KEY ISSUE CONDITIONS						
Place and Design						
C-B1	Place and Design To ensure that a high-quality urban design response is achieved, the CSSI must have regard to, and be generally consistent with, the place and design principles for each location outlined in the documents listed in Condition C-A1 of this schedule, unless expressly specified in the conditions of this approval.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C-B2	<p>Clyde Stabling and Maintenance Facility Site</p> <p>For the relevant future stage application, the following must be considered at the Clyde Maintenance and Stabling Facility site:</p> <ul style="list-style-type: none"> a) publicly-accessible active transport corridors immediately around the site adjoining James Ruse Drive that connects to existing and future links and open spaces; b) public spaces for recreational use on residual land to offset the loss of the private recreational land, or any alternate and commensurate opportunity that achieves the objective and provides value for money, developed in consultation with City of Parramatta Council; c) renaturalisation of parts of Duck Creek and A'Becketts Creek and rehabilitation of the riparian corridor; and d) integration with strategic planning for the precinct. 	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B3	<p>Parramatta Metro Station Site</p> <p>The delivery of the section of the future Parramatta Civic Link located on the Parramatta metro station construction site must be facilitated to enable completion before operation of the CSSI.</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Aboriginal and Non-Aboriginal Heritage						
C-B4	The relevant future stage application relating to the design of stations must include a Heritage Interpretation Strategy, prepared in consultation with Heritage NSW, which outlines how key Aboriginal and non-Aboriginal heritage values and stories of Heritage items will be interpreted in the project design, including station and precinct urban design. The Heritage Interpretation Strategy must include procedures for how to include results of archaeological findings (historical and Aboriginal archaeological results) when they become available.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B5	The Heritage Interpretation Strategy must be prepared in accordance with the NSW Heritage Manual, the NSW Heritage Office's Interpreting Heritage Places and Items: Guidelines (August 2005), and the NSW Heritage Council's Heritage Interpretation Policy.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C-B6	<p>The Heritage Interpretation Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a) a discussion of key interpretive themes, stories and messages proposed to interpret the history and significance of archaeological excavation, the affected Heritage items and sections of heritage conservation areas (if applicable); b) options for the re-purposing of archaeological finds (results and artefacts), heritage features or listed items salvaged or protected during construction stages of the CSSI, and how they will be integrated into the final project design; c) Aboriginal cultural and heritage values of the project area including the results of any archaeological investigations undertaken (or any interim results of any archaeological investigations that have commenced but have yet to be completed) and key socio-cultural values identified in the Aboriginal Cultural Heritage Assessment Report referred to in Condition C-A1 of this schedule, and those of any future stages of the CSSI; d) details of the audience, potential devices to be employed in interpretation, possible locations for interpretation and how this will be incorporated into design; e) engagement with the Relevant Council(s) and regard for any relevant council heritage interpretation guidelines; and f) with respect to the Parramatta construction site and (a) above, any discussion must include how the heritage interpretation of the CSSI relates to the heritage interpretations of other projects in Parramatta, including State Significant Development projects and other SSI projects. 	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Sustainability						
C-B7	The CSSI must achieve a minimum Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of 75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool).	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Biodiversity and Trees						
C-B8	As many mature trees as practicable must be retained. In addition, within ten (10) years of the date of this approval or a net increase in the number of mature trees provided at a ratio of 2:1 must be provided by no later than the commencement of operation of the CSSI or as otherwise agreed by the Planning Secretary (whichever is earlier) there must be a net increase in the number of mature trees provided at a ratio of 2:1. Mod 6, 06/11/24	Not subject to audit at this time.	Not subject to audit at this time.			
C-B9	The CSSI must result in an increase in tree canopy coverage.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
C-B10	<p>Parts of Duck Creek and A'Becketts Creek that remain open channels at the Clyde Stabling and Maintenance Facility site must be rehabilitated and / or renaturalised before operation of the CSSI commences.</p> <p>In areas that are within the tidal limits of Duck Creek and A'Becketts Creek, only species that are representative of PCT 920 are to be used in the revegetation. Elsewhere, revegetation must use species that are representative of the most appropriate plant community type in each location, depending on levels of inundation, salinity levels, and elevation as determined by an ecologist.</p> <p>Note: The most appropriate PCT may include the following: 1234, 1136, 781, 1808, 849, and 1800 [MOD-3 – 4 July 2022]</p>	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
Climate Change						

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C-B11	The CSSI must be designed to withstand known impacts associated with climate change to year 2100.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			
SCHEDULE 3: CONDITIONS OF APPROVAL FOR STAGE 1						
PART A: ADMINISTRATIVE CONDITIONS						
General						
A1	<p>The Proponent must carry out Stage 1 of the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <ul style="list-style-type: none"> a) Sydney Metro West – Westmead to The Bays and Sydney CBD Environmental Impact Statement dated 15 April 2020; b) Sydney Metro West – Westmead to The Bays and Sydney CBD Submissions Report dated 20 November 2020; c) Sydney Metro West – Westmead to The Bays and Sydney CBD Amendment Report dated 20 November 2020.; d) Sydney Metro West – Westmead to The Bays and Sydney CBD Modification Request Letter dated 21 June 2021; [MOD-1, 28 Jul 2021] e) Sydney Metro West – Clyde stabling and maintenance facility Modification Report dated November 2021; [MOD-2, 3 Jun 2022] f) Sydney Metro West – Concept and Stage 1 – Modification 2 Clyde stabling and maintenance facility (SSI-10038-Mod-2): Response to submissions dated 21 March 2022; [MOD-2, 3 Jun 2022] g) Sydney Metro West – Concept and Stage 1 – Modification 3 Administrative Mod dated May 2022; [MOD-3, 4 Jul 2022] h) Sydney Metro West -Concept and Stage 1 – Modification 4 Administrative Mod dated 11 November 2022; and [MOD-4, 22 Dec 2022] i) Modification Report – Clyde stabling and maintenance facility – Additional Mangrove Impact – Modification dated July 2023 [MOD-5, 20 Sep 2023] j) <u>Sydney Metro West – Concept and Stage 1 (major civil construction between Westmead and The Bays), SSI-10038, Request for Modification for Conditions C-B8, A21, D111 and D117 dated 20 June 2024.</u> [MOD06, 6 Nov 2024] 	Not subject to audit at this time.	Not subject to audit at this time.			
A2	Stage 1 of the CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 of this schedule unless otherwise specified in, or required under, this approval.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A3	<p>In the event of an inconsistency between:</p> <ul style="list-style-type: none"> a) the conditions of this approval and any document listed in Condition A1 of this schedule, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1 of this schedule, the most recent document will prevail to the extent of the inconsistency. <p>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Not subject to audit at this time.	Not subject to audit at this time.			
A5	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> a) the environmental performance of Stage 1 of the CSSI; b) any document or correspondence in relation to Stage 1 of the CSSI; c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of Stage 1 of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval. 	Not subject to audit at this time.	Not subject to audit at this time.			
A6	<p>Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:</p> <ul style="list-style-type: none"> a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. 	Not subject to audit at this time.	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Not included. Refer Stage 1 Independent Audit Program.	Not included. Refer Stage 1 Independent Audit Program.			

Legend

Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval.	Not subject to audit at this time.	Not subject to audit at this time.			
A9	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A43 of this schedule	Not subject to audit at this time.	Not subject to audit at this time.			
Phasing						
A10	Stage 1 of the CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction of the first of the proposed phases of construction	Not subject to audit at this time.	Not subject to audit at this time.			
A11	<p>The Phasing Report must:</p> <p>set out how construction of the whole of Stage 1 of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish;</p> <ul style="list-style-type: none"> a) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of Stage 1 of the CSSI; b) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and c) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of Stage 1 of the CSSI. <p>With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.</p> <p>[MOD-1, 28 Jul 2021] [MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
A12	Stage 1 of the CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Not subject to audit at this time.	Not subject to audit at this time.			
A13	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Not subject to audit at this time.	Not subject to audit at this time.			
A14	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A15	<p>With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of Stage 1 of the CSSI.</p> <p>Notes:</p> <ol style="list-style-type: none"> 1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program 	Not subject to audit at this time.	Not subject to audit at this time.			
Ancillary Facilities						
A16	<p>Ancillary Facilities</p> <p>Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 of this schedule can only be established and used in each case if:</p> <ol style="list-style-type: none"> a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; <u>unless otherwise approved by the Planning Secretary</u>; and c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. <p>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A21 of this schedule.</p>	Not subject to audit at this time	Not subject to audit at this time			
Site Establishment Work						

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A17	<p>Site Establishment Management Plan</p> <p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A21 of this schedule, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include:</p> <ul style="list-style-type: none"> a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: <ul style="list-style-type: none"> i. meet the performance outcomes stated in the documents listed in Condition A1 of this schedule, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and a) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. 	Not subject to audit at this time.	Not subject to audit at this time.			
A18	With the exception of a Site Establishment Management Plan relating to the Silverwater ancillary facility referred to in Condition A19 below and any other Site Establishment Management Plan expressly nominated by the Planning Secretary to be endorsed by the ER, all Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Not subject to audit at this time.	Not subject to audit at this time.			
A19	A Site Establishment Management Plan relating to the Silverwater ancillary facility and any other Site Establishment Management Plan expressly nominated by the Planning Secretary must be submitted to the ER for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the ER.	Not subject to audit at this time.	Not subject to audit at this time.			
A20	<p>Use of Ancillary Facilities</p> <p>The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1 of this schedule, relevant CEMP Sub-plans required by Condition C5 of this schedule and relevant Construction Monitoring Programs required by Condition C14 of this schedule have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). Note: This condition does not apply to Condition A21 of this schedule or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A21	<p>Minor Ancillary Facilities</p> <p>Lunch sheds, office sheds, portable toilet facilities, and the like, can be Minor Ancillary Facilities may be established and used where they have been assessed in the documents listed in Condition A1 of this schedule or satisfy the following criteria:</p> <ul style="list-style-type: none"> a) are located within or adjacent to the Construction Boundary; and b) have been assessed by the proponent with the adoption of mitigation measures as appropriate; and c) in the opinion of the ER to have: <ul style="list-style-type: none"> i. minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and ii. minimal environmental impact with respect to waste management and flooding, and iii. no impacts on biodiversity, soil and water, and Heritage Items beyond those already approved under other conditions of this approval. 	Not subject to audit at this time	Not subject to audit at this time			
A22	<p>Boundary Screening</p> <p>Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
A23	<p>Boundary screening required under Condition A22 of this schedule must minimise visual impacts on adjacent sensitive land user(s).</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Independent Appointments						
A24	<p>All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
A25	<p>The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must:</p> <ul style="list-style-type: none"> a) facilitate and assist the Planning Secretary in any such audit; and b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit. 	Not subject to audit at this time.	Not subject to audit at this time.			
A26	<p>Upon completion of an audit under Conditions A25 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval.</p> <p>Note: Conditions A25 and A26 of this schedule apply to all Independent Appointments including the ER, AA and Independent Auditor</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Environment Representative						
A27	<p>Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A28	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1 of this schedule, and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.	Not subject to audit at this time.	Not subject to audit at this time.			
A29	The Proponent may engage more than one ER for Stage 1 of the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of Stage 1 of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

<p>A30</p>	<p>For the duration of the work or as agreed with the Planning Secretary, the approved ER must be enabled to:</p> <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of Stage 1 of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A10, A17, A19, C1, C5 and C14 of this schedule and any other documents that are identified by the Planning Secretary, to ensure be satisfied that they are consistent with requirements in or under this approval and if so: <ul style="list-style-type: none"> i. endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary; f) regularly monitor the implementation of the documents listed in Conditions A10, A17, A19, C1, C5 and C14 of this schedule to ensure be satisfied that implementation is being carried out in accordance with the document and the conditions of this approval; g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A39 of this schedule; h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department; i) consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A21 of this schedule and where satisfied endorse; and j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval; k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary; and l) assess the impacts of activities as required by the Low Impact Work definition. <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>	<p>Not subject to audit at this time.</p>	<p>Not subject to audit at this time.</p>		
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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A31	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A30 of this schedule (including preparation of the ER monthly report), as well as: <ul style="list-style-type: none"> a) the Complaints Register (to be provided on a weekly basis or as requested); and b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work). 	Not subject to audit at this time.	Not subject to audit at this time.			
Acoustics Advisor						
A32	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A35 of this schedule) and for no less than six (6) months following completion of construction of Stage 1 of the CSSI.	Not subject to audit at this time.	Not subject to audit at this time.			
A33	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.			
A34	The Proponent must cooperate with the AA by: <ul style="list-style-type: none"> a) providing access to noise and vibration monitoring activities as they take place; b) providing access to the Complaints Register if requested; c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted. 	Not subject to audit at this time.	Not subject to audit at this time.			
A35	The Proponent may nominate additional suitably qualified and experienced persons to assist the lead AA for the Planning Secretary's approval.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A36	<p>The approved AA must:</p> <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the performance of Stage 1 of the CSSI in relation to noise and vibration; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A43 of this schedule; h) in conjunction with the ER (where required), the AA must: <ul style="list-style-type: none"> i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8 of this schedule), help plan, attend or undertake audits of noise and vibration management of Stage 1 of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of Stage 1 of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 of this schedule to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, i) if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), j) if requested by the ER, review the noise impacts of minor ancillary facilities, and k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for Stage 1 of the CSSI, or as otherwise agreed by the Planning Secretary. 	Not subject to audit at this time.	Not subject to audit at this time.			
Notification of Commencement						
A37	The Department must be notified in writing of the date of commencement of construction before the commencement of construction	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A38	If construction of Stage 1 of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.			
Independent Environmental Audit						
A39	Independent Audits of Stage 1 of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).	Not subject to audit at this time.	Not subject to audit at this time.			
A39.1	Notwithstanding Condition A39, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction. [MOD-1, 28 Jul 2021]	Not subject to audit at this time.	Not subject to audit at this time.			
A40	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit	Not subject to audit at this time.	Not subject to audit at this time.			
A41	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Not subject to audit at this time.	Not subject to audit at this time.			
A42	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Not subject to audit at this time.	Not subject to audit at this time.			
Incident and Non-compliance Notification and Reporting						
A43	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident.	To be audited in August 2025	To be audited in August 2025	Incident register and incident records current to 31/08/25	There were no incidents as defined by the Approval recorded in the incident records. No events required notification to the Department.	NT
A44	Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	To be audited in August 2025	To be audited in August 2025	Incident register and incident records current to 31/08/25	There were no incidents as defined by the Approval recorded in the incident records. No events required notification to the Department.	NT
A45	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	To be audited in August 2025	To be audited in August 2025	CTP non-compliance report for C23 (identified at previous audit), 30/04/25 and DPPI submission 05/05/25 CTP non-compliance report for D51 (failure to provide D51 consultation report to ER and AA prior to works commencing), 02/07/25 and DPPI submission 03/07/25 WTP non-compliance report for D86 (identified at the previous audit), 06/05/25 and DPPI submission 05/05/25 WTP non-compliance report for A31(b) (failure to provide the ER with a consistency assessment prior to the works commencing), 01/07/25 and DPPI submission 01/07/25 WTP non-compliance report for D51 (failure to provide D51 consultation report to ER and AA prior to works commencing), 11/07/25 and DPPI submission 04/07/25	Five non-compliances were identified and reported by the auditees during the audit period, two of which were related to non-compliances identified at the previous audit. These notifications addressed the requirements from this condition.	C

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
A46	<p>A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.</p> <p>Note: A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.</p>	To be audited in August 2025	To be audited in August 2025	<p>CTP non-compliance report for C23 (identified at previous audit), 30/04/25 and DPPI submission 05/05/25</p> <p>CTP non-compliance report for D51 (failure to provide D51 consultation report to ER and AA prior to works commencing), 02/07/25 and DPPI submission 03/07/25</p> <p>WTP non-compliance report for D86 (identified at the previous audit), 06/05/25 and DPPI submission 05/05/25</p> <p>WTP non-compliance report for A31(b) (failure to provide the ER with a consistency assessment prior to the works commencing), 01/07/25 and DPPI submission 01/07/25</p> <p>WTP non-compliance report for D51 (failure to provide D51 consultation report to ER and AA prior to works commencing), 11/07/25 and DPPI submission 04/07/25</p>	Five non-compliances were identified and reported by the auditees during the audit period, two of which were related to non-compliances identified at the previous audit. These notifications addressed the requirements from this condition.	C
Identification of Workforce						
A47	All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away.	Not subject to audit at this time.	Not subject to audit at this time.			
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 of this schedule must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11 of this schedule.	Not subject to audit at this time.	Not subject to audit at this time.			
PART B: COMMUNITY INFORMATION AND REPORTING						
Community Information, Consultation and Involvement						

Legend	
	Condition / requirement within this audit scope and subject to assessment.

B1	<p>Community Communication</p> <p>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 of this schedule must be implemented for the duration of the work.</p>	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p> <p>DPHI Post Approval portal lodgement, 13/08/24 (submission of updated OCCS)</p> <p>Inspection and interview with auditees 27-28/08/25</p> <p>https://caportal.com.au/tfnsw/sydneywest/map</p> <p>Sydney Metro Connect Application (no date)</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 31/07/24</p> <p>https://www.sydneymetro.info/west/project-overview</p> <p>https://www.sydneymetro.info/station/westmead-metro-station</p> <p>https://www.sydneymetro.info/station/parramatta-metro-station</p> <p>https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility</p> <p>https://www.sydneymetro.info/station/Silverwater-laydown-site</p> <p>https://www.sydneymetro.info/station/sydney-olympic-park-metro-station</p> <p>https://www.sydneymetro.info/station/north-strathfield-metro-station</p> <p>https://www.sydneymetro.info/station/burwood-north-station</p> <p>https://www.sydneymetro.info/station/five-dock-station</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au</p> <p>https://www.facebook.com/SydneyMetro/</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>CTP Burwood North Open Day, 09/03/25</p> <p>Small Business Owners Engagement Plan, AFJV, May 2024</p> <p>Community Communication Strategy, AFJV, July 2024</p> <p>CTP ConMan data for 7/110 Great North Road 01/03/25 – 31/08/25.</p> <p>WTP Community Communications Plan, GLC, 07/04/25</p> <p>WTP Small Business Owners Engagement Plan, GLC, 16/06/25</p> <p>Rosehill and Clyde Communications Sub-plan, 28/02/25 (including communications review)</p> <p>Parramatta Communications Sub-plan, 28/02/25 (including communications review)</p> <p>Tunnelling Communications Sub-plan, 16/06/25 (including communications review)</p>	<p>Sydney Metro are the primary managers of all communications across the Project. The contractors consult with agencies other than the Department, support consultation with the Department, provide information for community consultation, relay complaints and participate in community engagement forums as advised by Sydney Metro.</p> <p>The OCCS resides on the website and the main contract works fall out via their stand-alone Community Communications Strategies. The OCCS was updated on 31/07/24. Each of the contractor Strategies are also available on their websites.</p> <p>Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period.</p> <p>The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project.</p> <p>The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder. This includes general construction updates and targeted engagement for specific activities (such as TBM movement underneath properties).</p> <p>The contractor Communications Management Plans have been prepared in accordance with the OCCS. Specific commitments on the contractor are the written notifications for each site and the OOHW / work updates. All the material is sent through Sydney Metro.</p> <p>The focus for CTP over the audit period has been communication on demobilization. A site open day was held on the 09/03/25 at Burwood north. ~700 people attended the site. A transport blogger attended and their video of the day was sighted and is publicly available. Council reps were also in attendance. The Auditor is of the view that CTP have implemented their CCS as relevant for the completion of construction. Of note is a stakeholder that had been escalated to mediation (and resolved) in an earlier audit period – evidence sighted indicates that engagement with this stakeholder has been limited to notifications of work and consultation on respite etc. consistent with the terms of the Approval, CCS and NVMP.</p> <p>Positive Observation: CTP had 7 positive pieces of feedback for the audit period around how the project has communicated works and progress.</p> <p>Positive observation: GLC report to Sydney Metro monthly on the performance against the deliverables and metrics from the Community Communications Plans. The Reports</p>	C
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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
				<p>Westmead Communications Sub-plan, 16/06/25 (including communications review)</p> <p>WTP Monthly Report to Sydney Metro (Communications Extract), March – July 2025 (includes reporting on the key deliverables and metrics under the Community Communications Plan.</p> <p>D&D project update distribution map (online)</p> <p>ConMan extract for OOHW update 18/08/25 and preview email (includes stats on issue, received, opened, bounce back).</p> <p>EventBrite WTP open day records for Clyde, 12/04/25 and Parramatta 09/08/25</p> <p>Monthly Media Meeting records 01/03/25 – 28/08/25</p> <p>WTP Westmead Respite Offer tracker, current to 27/08/25 (identifies the status on each respite and AA offer during the audit period) and letters of offer February – July 2025</p> <p>WTP Consultation manager extract, 30/05/24 – 08/06/24 (AA offer to receiver near Clyde Dive on compassionate grounds)</p> <p>WTP Complaint No. 6298 and follow up emails 30/07/25, plus associated 4 Oak Street damage claim assessment, August 2025 (includes pre- and post-photos, Tunnelling DNVIS vibration predictions and Survey data 20/08/25)</p> <p>WTP Complaint number 6175 and emails 09/04/25 and 28/08/25 confirming action in response to the complaint.</p> <p>WTP Complaint No. 6190 including ConMan email records 16-22/04/25 and survey and vibration monitoring data</p>	<p>sighted do not identify any non-compliances and instead demonstrate a very high degree of implementation.</p> <p>GLC have completed a 6 monthly review in response to feedback received and have updated the SBEP and Comms Strategies accordingly. The site specific comms plans identify specific feedback that may result in some lessons learnt (see section 4.1). The changes to communications during the audit period stem from construction program related matters as opposed to specific needs or feedback from community.</p> <p>The Parramatta sub-plan was reviewed and established a 6-monthly business survey, given the relatively static nature of works over the audit period.</p> <p>For WTP there were open days held at Parramatta and Clyde. EventBrite allows for key information to be issued to interested parties (what it is, when, where etc.). Parramatta open day had 700 people in attendance.</p> <p>Project notifications and updates are occurring in line with the Comms Strategies and as required by the NVMP/EPL.</p> <p>Engagement with Councils and the Parramatta Light Rail project continues through interface meetings to enable issue resolution and coordination (outside of the community engagement framework).</p> <p>Complaint 6298 relating to property damage was such that the team responded quickly but the stakeholder did not report back for a month. Since that time GLC have shown that the claim is being investigated.</p> <p>Complaint 6175 relating to driver behaviour included statement from GLC that the driver had been removed from the Project. Emails between GLC and driver appear to confirm that the driver was not ever on the Project (but the rego was).</p> <p>Complaint 6190 relating to property damage was appropriately investigated and responded to. According to GLC, the survey and vibration monitoring data did not support the claim of property damage due to tunnelling and the claim was rejected.</p> <p>The Auditor is of the view that WTP have implemented the CCS for their works.</p>	
Complaints Management System						
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of Stage 1 of the CSSI.	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p> <p>DPHI Post Approval portal lodgement, 13/08/24 (submission of updated OCCS)</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 31/07/24</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Complaints register current to 31/08/25</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. CTP and WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>The data required under the OCCS and B4 has been captured.</p> <p>Refer to the evidence sighted in B1 in relation to complaints management. The Auditor is of the view that complaints are being managed as described in the CMS.</p>	C

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
B3	<p>The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:</p> <ul style="list-style-type: none"> a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI; b) a postal address to which written complaints and enquires may be sent; c) an email address to which electronic complaints and enquiries may be transmitted; and d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level. 	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Sydney Metro Complaints Management System, 31/07/24</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>https://www.sydneymetro.info/station/westmead-metro-station</p> <p>https://www.sydneymetro.info/station/parramatta-metro-station</p> <p>https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility</p> <p>https://www.sydneymetro.info/station/Silverwater-laydown-site</p> <p>https://www.sydneymetro.info/station/sydney-olympic-park-metro-station</p> <p>https://www.sydneymetro.info/station/north-strathfield-metro-station</p> <p>https://www.sydneymetro.info/station/burwood-north-station</p> <p>https://www.sydneymetro.info/station/five-dock-station</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>Complaints register current to 31/08/25</p>	<p>Project signage is on each compound fence line sighted during the audit, identifying the contact details as required by this condition.</p> <p>The Project works notifications includes contact details as required by this condition. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies.</p> <p>The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The complainants are not advised of the existence of the complaints mediator and the being an option for them to use. That being said, the website includes a statement about how complaints are managed and the availability of mediation if required.</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ul style="list-style-type: none"> a) number of complaints received; b) date and time of the complaint; c) number of people in the household affected in relation to a complaint, if relevant; d) method by which the complaint was made; e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; f) issue of the complaint; g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and h) if no action was taken, the reason(s) why no action was taken. 	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro Complaints Management System, 31/07/24</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Complaints register current to 31/08/25</p> <p>DPHI post approval portal lodgements 01/09/24 – 28/02/25 (submission of complaints register to Department).</p> <p>CTP ConMan data for [REDACTED] 01/03/25 – 31/08/25.</p> <p>WTP Complaint No. 6298 and follow up emails 30/07/25, plus associated 4 Oak Street damage claim assessment, August 2025 (includes pre- and post-photos, Tunnelling DNVIS vibration predictions and Survey data 20/08/25)</p> <p>WTP Complaint number 6175 and emails 09/04/25 and 28/08/25 confirming action in response to the complaint.</p> <p>WTP Complaint No. 6190 including ConMan email records 16-22/04/25 and survey and vibration monitoring data</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. CTP and WTP are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>According to the complaints register sighted, 46 complaints were recorded for Stage 1 during the audit period. 18 x were not related to the Project. 12 x relate to CTP, 16 x relate to WTP. Noise and vibration associated with out of hours works make up the vast majority of complaints with other complaints relating to a variety of issues such as property damage, worker behaviour, traffic and access etc.</p> <p>The Complaints Management System and register define whether a complaint is:</p> <ul style="list-style-type: none"> • 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or • 'avoidable' that is a complaint 'about issues outside planning approval, or a commitment that has been given to the community or stakeholders'. <p>Complaint 6298 relating to property damage was such that the team responded quickly but the stakeholder did not report back for a month. Since that time GLC have shown that the claim is being investigated.</p> <p>Complaint 6175 relating to driver behaviour included statement from GLC that the driver had been removed from the Project. Emails between GLC and driver appear to confirm that the driver was not ever on the Project (but the rego was).</p> <p>Complaint 6190 relating to property damage was appropriately investigated and responded to. According to GLC, the survey and vibration monitoring data did not support the claim of property damage due to tunnelling and the claim was rejected.</p> <p>Consultation with [REDACTED] during the audit period was limited to that required by DNVIS / NVMP and the CCS only. No other contact has been made during the audit period, which is consistent with the recommendations from the mediation completed in prior audit periods.</p> <p>The Auditor is off the view that both CTP and WTP have implemented the CMS for their works.</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ul style="list-style-type: none"> a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; c) the supply of personal information by the complainant is voluntary; and d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement. 	To be audited in August 2025	To be audited in August 2025	<p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email auto reply for community email (example only)</p> <p>Community Information line (1800 612 173)</p>	<p>The collection statement is available on the Sydney Metro website.</p> <p>The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act, and directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition.</p>	C
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.	To be audited in August 2025	To be audited in August 2025	<p>Complaints register current to 31/08/25</p> <p>DPHI post approval portal lodgements 01/03/25 - 31/08/25 (submission of complaints register to Department).</p>	<p>Sydney Metro West complaints register is issued to the Department on a weekly basis; sighted records current to August 2024.</p> <p>Refer B5 regarding notification about use of personal information.</p>	C
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services –</p> <p>Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021</p>	<p>At the August 2023 Independent Audit, the auditor sighted a letter from Sydney Metro was observed which accepted a mediation proposal dated 30/09/21 to provide Mediation Services for Sydney Metro by Steve Lancken Conflict Management Pty Limited t/as Negocio Resolutions. The letter stated that neither Negocio Resolutions nor its contractors had provided any other services to or at the request of Sydney Metro West Project and that Negocio Resolutions does not provide any services to any contractor or subcontractor for the Sydney West Project.</p> <p>According to the auditees, no complaints were escalated to mediation during the audit period.</p>	C
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	To be audited in August 2025	To be audited in August 2025	<p>Sydney Metro West – Statement of Independence dated March 21, 2022 Sydney Metro – Mediation Services –</p> <p>Standing Offer Deed – Contract No. SMC-21-0232 - 14 December 2021</p>	<p>The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute.</p>	C
B9	<p>Community Complaints Mediation will:</p> <ul style="list-style-type: none"> a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints 	To be audited in August 2025	To be audited in August 2025	<p>Construction Complaints Management System, Sydney Metro, 05/09/25</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Complaints register current to 31/08/25</p> <p>CTP ConMan data for [REDACTED] 01/03/25 – 31/08/25.</p>	<p>At the August 2023 Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022.</p> <p>No other cases have been referred to the Complaints Mediator.</p>	NT

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 of this schedule has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	To be audited in August 2025	To be audited in August 2025	<p>Construction Complaints Management System, Sydney Metro, 05/09/25</p> <p>Consultation Manager (online module, showing stakeholder list and evidence of distribution of content, and distribution statistics, plus all complaints management)</p> <p>Complaints register current to 31/08/25</p> <p>CTP ConMan data for [REDACTED] 01/03/25 – 31/08/25.</p>	<p>At the August 2023 Independent Audit the auditor sighted a Complaint Escalation Report for a residential property in the proximity of the Five Dock site (dated 21 October 2022), which had been reviewed by the ER. The ER agreed with the decision of Sydney Metro to refer the complainant to the Community Complaints Mediator in accordance with the OCCS. The mediation was completed in November 2022. Contact with the complainant during the current audit period was limited to notifications and offering for respite consistent with the DNVIS and NVMP.</p> <p>No other cases have been referred to the Complaints Mediator.</p>	NT
Provision of Electronic Information						
B11	<p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of Stage 1 of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <ol style="list-style-type: none"> information on the current implementation status of Stage 1 of the CSSI; a copy of the documents listed in Condition A1 of this schedule, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; a copy of each statutory approval, licence or permit required and obtained in relation to Stage 1 of the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and a copy of the audit reports required under this approval. Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation. All information required in this condition is to be provided on the website or webpage, and easy to navigate. 	To be audited in August 2025	To be audited in August 2025	<p>https://www.sydneymetro.info/documents</p> <p>https://www.sydneymetro.info/west/project-overview</p> <p>https://www.sydneymetro.info/station/westmead-metro-station</p> <p>https://www.sydneymetro.info/station/parramatta-metro-station</p> <p>https://www.sydneymetro.info/station/clyde-stabling-and-maintenance-facility</p> <p>https://www.sydneymetro.info/station/Silverwater-laydown-site</p> <p>https://www.sydneymetro.info/station/sydney-olympic-park-metro-station</p> <p>https://www.sydneymetro.info/station/north-strathfield-metro-station</p> <p>https://www.sydneymetro.info/station/burwood-north-station</p> <p>https://www.sydneymetro.info/station/five-dock-station</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.linkedin.com/company/sydney-metro/?originalSubdomain=au</p> <p>https://www.facebook.com/SydneyMetro/</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites).</p> <p>https://www.accion.com.au/sydney-metro-west-ctp/?adin=02021864894</p> <p>https://qamuda.com.au/sydney-metro-west-western-tunnelling-package-wtp/</p> <p>GLC Contractor website upload list, current to Q3 2025</p>	<p>The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors.</p> <p>The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise.</p> <p>Refer to the March 2024 audit for details on the process of uploading information to each of the project websites.</p> <p>The Auditor notes that construction on the two phases commenced prior to the current audit period and are well advanced. Therefore, the extent to which documents must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation (as per B11e)) is limited relative to previous audit periods. These documents generally pertain to updates to existing approved documents, or project notifications / updates, new or addendum DNVISs / CTMPs / MAF applications / CPAS and the like. For GLC they have a tracking sheet to manage the uploads on their website and the tracking sheet appears to indicate that upload occurred prior to the relevant works. CTP works are complete and therefore this requirement is static for their works.</p> <p>As far as the Auditor can ascertain from the review of the Sydney Metro and Contractor websites, the documents were uploaded prior to the relevant works having commenced.</p>	C
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT						
Construction Environmental Management Plan						

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status																		
		Phase B (CTP)	Phase F (WTP)																					
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 of this schedule to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 of this schedule will be implemented and achieved during construction	Not subject to audit at this time.	Not subject to audit at this time.																					
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.																					
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 of this schedule.	Not subject to audit at this time.	Not subject to audit at this time.																					
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.																					
C5	<p>Of the CEMP Sub-plans required under Condition C1 of this schedule, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6 of this schedule. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:</p> <table border="1"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>SOPA (in respect of Sydney Olympic Park), Place of the Bays and Relevant Council(s)</td> </tr> <tr> <td>b)</td> <td>Flora and fauna</td> <td>DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)</td> </tr> <tr> <td>c)</td> <td>Soil and water</td> <td>DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) Water (if Sydney Water's assets are affected)</td> </tr> <tr> <td>d)</td> <td>Heritage (Non-Aboriginal and Aboriginal)</td> <td>Heritage NSW, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>e)</td> <td>Spoil</td> <td>Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)</td> </tr> </tbody> </table> <p>[MOD-2, 3 Jun 2022]</p>		Required CEMP Sub-plan	Relevant government agencies to be consulted	a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place of the Bays and Relevant Council(s)	b)	Flora and fauna	DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)	c)	Soil and water	DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) Water (if Sydney Water's assets are affected)	d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) respect of The Bays) and Relevant Council(s)	e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)	Not subject to audit at this time.	Not subject to audit at this time.			
	Required CEMP Sub-plan	Relevant government agencies to be consulted																						
a)	Noise and vibration	SOPA (in respect of Sydney Olympic Park), Place of the Bays and Relevant Council(s)																						
b)	Flora and fauna	DPE BCD, DPI Fisheries, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s)																						
c)	Soil and water	DPE BCD, Relevant Council(s), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) Water (if Sydney Water's assets are affected)																						
d)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) respect of The Bays) and Relevant Council(s)																						
e)	Spoil	Relevant Council(s) and SOPA (in respect of Sydney Olympic Park)																						

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C6	<p>The CEMP Sub-plans must state how:</p> <ul style="list-style-type: none"> a) the environmental performance outcomes identified in the documents listed in Condition A1 of this schedule will be achieved; b) the mitigation measures identified in the documents listed in Condition A1 of this schedule will be implemented; c) the relevant conditions of this approval will be complied with; and d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles. 	Not subject to audit at this time.	Not subject to audit at this time.			
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.			
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1 of this schedule. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.			
C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

<p>C10</p>	<p>Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of Stage 1 of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).</p> <p>MOD-1</p>	<p>Not subject to audit at this time.</p>	<p>Implementation of Heritage CEMP Sub-plan To be audited in August 2025</p>	<p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>WTP UFP Report 07/08/25, Unexpected find of brick works at MSF on Fleet Street</p> <p>Email GLC to Sydney Metro, 22/07/25 (notification of uncovering of bullet at Parramatta on 18/07/25)</p> <p>Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building)</p> <p>Emails GLC and Umwelt 24-29/07/25 (adjustment of fencing and monitoring of the RTA)</p> <p>GLC toolbox talk for RTA façade, July 2025 and permit to enter heritage no-go-zone 29/07/25</p> <p>RTA façade vibration monitoring data July 2025</p> <p>Parramatta Heritage Interpretation Plan (DRAFT)</p> <p>Parramatta Convict Drain Archival Report, GML, July 23</p> <p>Conservation Report, ICS, April 2024 (conservation report for Drain Timbers)</p> <p>Email chain Sydney Metro and ICS Conservation, 06/03/25 – 07/04/25 (evidence of inspections of Drain Timbers)</p> <p>Heritage No-Go Zones Parramatta, Rev01 (Plans and project photo series showing protection of heritage items)</p> <p>Heritage no-go permits to enter George Street shops (March, April, June July 2025).</p> <p>Interim Post remediation George Street shops 26/08/25</p> <p>Mould Remediation Progress Report Pre-Hygienist Sign off – 20/08/2025</p> <p>Environmental Review Assessment from SM, 22/12/2023 (they were happy with this, which included and Environmental Control Plan (attachment))</p> <p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) showing the works and measures, confirmation</p> <p>Email GLC, Umwelt and SLR, and Briefing Note Umwelt, 24/10/24 and Memo SLR 19/09/24 (heritage and vibration assessment of working within safe working distance)</p> <p>Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building)</p> <p>Emails GLC and Umwelt 24-29/07/25 (adjustment of fencing and monitoring of the RTA)</p> <p>GLC toolbox talk for RTA façade, July 2025 and permit to enter heritage no-go-zone 29/07/25</p> <p>RTA façade vibration monitoring data July - August 2025</p> <p>Roxy Theatre Vibration Monitoring Results: 01/02/25 – 33/08/25 (SiteHive download))</p> <p>Velocity Inspection checklists Mar – Aug 2025 (online).</p> <p>Heritage no-go zone permit to enter, 16/04/25 (George Street and Kia Ora building scanning),</p> <p>Clyde Dive ECM, August 2025 (showing protection of Rosehill Bridge whilst being held on site)</p> <p>Umwelt RAP consultation register, current to August 2025 (register of consultation completed between Umwelt heritage and RAPs during mangrove removal works which occurred in January 2025)</p>	<p>Evidence indicated that the WTP Heritage CEMP Sub-plan had been implemented during the audit period. Training had been delivered, inspections and monitoring completed (with no results exceeding the applicable criteria that were attributable to construction). Physical controls appear to have been maintained and advice from specialists has been obtained. Heritage investigations and salvage, and consultation continues on site. Refer to conditions D13 – D33 for further details.</p>	<p>C</p>
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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
				<p>GML RAP consultation register, July 25 (register of consultation completed between GML heritage and RAPs during ARDEM works)</p> <p>Sydney Metro RAP Newsletter August 2025 and distribution list 18/05/25</p> <p>Inspection and interview with auditees 27-28/08/25</p> <p>Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p> <p>WTP UFP Report 07/08/25, Unexpected find of brick works at MSF on Fleet Street</p> <p>Email GLC to Sydney Metro, 22/07/25 (notification of uncovering of bullet at Parramatta on 18/07/25)</p>		
C11	<p>In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) site specific mitigation measures to manage impacts (including proposed techniques, timing, frequency and responsibility of implementing); b) measures to minimise disturbance to habitat associated with Myotis macropus / Southern Myotis, including demolition inspections by a suitably qualified ecologist of any vegetation to be cleared and any buildings or structures identified as potential roosting habitat for microbats that are to be demolished or refurbished; c) measures to minimise and mitigate disturbance to mangrove forests at the Clyde Maintenance and Stabling construction site to the extent necessary; and d) details for undertaking and mitigating vegetation clearance through improved environmental outcomes. 	Not subject to audit at this time.	Not subject to audit at this time.			
C12	<p>In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported. 	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C13	<p>In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to:</p> <ul style="list-style-type: none"> a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of the ancillary structures proposed to be demolished or significantly impacted that are within the curtilage of White Bay Power Station and other items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 of this schedule and which will be impacted by the CSSI; d) in association with Condition D61 of this schedule, set out the final site inspections to be conducted within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: <ul style="list-style-type: none"> i. the Roxy Theatre (SHR I00711); ii. White Bay Power Station (SHR I01015); iii. the former State Abattoirs (State Environmental Planning Policy (State Significant Precincts) 2005 Item 141); and iv. the RTA Depot facade fronting Unwin Street (Parramatta Local Environmental Plan 2011 I576); and e) set out means of rectification of any damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation. 	Not subject to audit at this time.	Not subject to audit at this time.			
Construction Monitoring Programs						

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status	
		Phase B (CTP)	Phase F (WTP)				
C14	The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of Stage 1 of the CSSI against the performance predicted in the documents listed in Condition A1 of this schedule or in the CEMP:	Not subject to audit at this time.	Not subject to audit at this time.				
	a) Noise and vibration						EPA, SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)
	b) Blasting						SOPA (in respect of Sydney Olympic Park), Place Management NSW (in respect of The Bays) and Relevant Council(s)
	c) Surface water quality						DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)
d) Groundwater	DPE Water and SOPA (in respect of Sydney Olympic Park)						
	Note: The Blasting Construction Monitoring Program is only required to be prepared if blasting is proposed to be conducted during construction. [MOD-2, 3 Jun 2022]						
C15	Each Construction Monitoring Program must provide: <ul style="list-style-type: none"> a) details of baseline data available including the period of baseline monitoring; b) details of baseline data to be obtained and when; c) details of all monitoring of the project to be undertaken; d) the parameters of the project to be monitored; e) the frequency of monitoring to be undertaken; f) the location of monitoring; g) the reporting of monitoring results and analysis results against relevant criteria; h) details of the methods that will be used to analyse the monitoring data; i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; j) a consideration of SMART principles; and k) any consultation to be undertaken in relation to the monitoring programs; and l) any specific requirements as required by Conditions C16 to C17 of this schedule. 	Not subject to audit at this time.	Not subject to audit at this time.				

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C16	<p>The Noise and Vibration Construction Monitoring Program and Blasting Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) noise and vibration monitoring determined in consultation with the AA to confirm the best achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. 	Not subject to audit at this time.	Not subject to audit at this time.			
C17	<p>Groundwater Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets. 	Not subject to audit at this time.	Not subject to audit at this time.			
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval.	Not subject to audit at this time.	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1 of this schedule. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not subject to audit at this time.	Not subject to audit at this time.			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Not subject to audit at this time.	Implementation of Noise and Vibration CEMP Monitoring Program To be audited in August 2025	<p>CTP Bi-Annual Construction Monitoring Report – 7 January 2025 - June 2025</p> <p>DPHI post approval portal lodgement, 21/08/25 (BACMR Jan-June 2025)</p> <p>Email AFJV to DCCEEW Water, 21/08/25 (BACMR Jan-June 2025 to NRAR)</p> <p>Email AFJV to SOPA, 21/08/25 (BACMR Jan-June 2025 to SOPA)</p> <p>Email AFJV to DPIE Water, 21/08/25 and 23/08/25 (BACMR Jan-June 2025 to Water)</p> <p>AFJV EPL monitoring reports March – July 2025 (online)</p> <p>AFJV Surface Water Monitoring results, June 2025 Rev00</p> <p>Letter AA to AFJV, 21/02/25 (AA Bi-Annual Construction Monitoring Report – 5) and DPHI acknowledgement 27/09/24</p> <p>CTP Bi-Annual Construction Monitoring Report – 6 July – December 24</p> <p>Email AFJV to DPIE Water, 17/03/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6 July – December 24)</p> <p>Email AFJV to NRAR, 14/03/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>DPHI post approval portal lodgement, 24/02/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>Email AFJV to SOPA, 02/04/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>https://www.accion.com.au/projects/sydney-metro-west</p> <p>Letter DPHI to AFJV 04/03/25 (no comment letter on BACMR No. 6)</p> <p>WTP Noise and Vibration Monitoring Program, Rev 1, 25/08/25</p> <p>WTP SiteHive Monitoring Portal (for hexanodes and attended monitoring) current to 27/08/25</p> <p>RTA façade vibration monitoring data July - August 2025</p> <p>Roxy Theatre Vibration Monitoring Results: 01/02/25 – 33/08/25 (SiteHive download))</p> <p>Velocity Inspection checklists Mar – Aug 2025 (online).</p> <p>WTP Construction Noise and Vibration Monitoring Report No.6, Jan – July 2025 (DRAFT still under review by AA)</p> <p>WTP Construction Monitoring Report No. 5, July 2024 – January 2025</p> <p>https://gamuda.com.au/wp-content/uploads/2025/04/251104_WTP_Construction-Monitoring-Report-1.pdf</p> <p>Email GLC to EPA, 10/04/25 (submission of Construction Monitoring Report No. 5, July 2024 – January 2025 to EPA)</p> <p>DPHI post approval portal lodgement, 10/04/25 (submission of WTP Construction Monitoring Report No. 5, July 2024 – January 2025)</p> <p>AA Endorsement of WTP Construction Monitoring Report No. 5, July 2024 – January 2025</p>	<p>The construction monitoring reports and interim monitoring records demonstrate that the noise and vibration monitoring programs have been implemented by both CTP and WTP during the audit period.</p> <p>CTP has now completed construction and therefore no further monitoring is proposed.</p> <p>For WTP, monitoring appears to be occurring according to the approved Noise and Vibration Monitoring Program. The documents identify each element from the Program and where/how in the Monitoring Report the requirement is addressed. The Report for Jan – July 2025 is currently under review by the AA and it concludes:</p> <p><i>Noise and vibration monitoring of the WTP Project during the reporting period of 20th of January 2025 to 19th of July 2025 was undertaken in accordance with the NVMP and NVMoP. Attended monitoring was conducted in line with the Project EPL, NVMP and NVMoP.</i></p> <p><i>Generally, where attended noise monitoring events resulted in the LAeq above modelled noise levels, it was due to heightened ambient noise levels of the surrounding environment.</i></p> <p><i>Vibration exceedances were due to extraneous variables such as local displacement or human interaction, or other factors unrelated to construction works themselves as determined by GLC's investigations into construction works following each exceedance.</i></p>	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
C23	<p>The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.</p> <p>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</p>	To be audited in August 2025	Implementation of Noise and Vibration CEMP Monitoring Program to be audited in August 2025	<p>CTP Bi-Annual Construction Monitoring Report – 7 January 2025 - June 2025</p> <p>DPHI post approval portal lodgement, 21/08/25 (BACMR Jan-June 2025)</p> <p>Email AFJV to DCCEE Water, 21/08/25 (BACMR Jan-June 2025 to NRAR)</p> <p>Email AFJV to SOPA, 21/08/25 (BACMR Jan-June 2025 to SOPA)</p> <p>Email AFJV to DPIE Water, 21/08/25 and 23/08/25 (BACMR Jan-June 2025 to Water)</p> <p>AFJV EPL monitoring reports March – July 2025 (online)</p> <p>AFJV Surface Water Monitoring results, June 2025 Rev00</p> <p>Letter AA to AFJV, 21/02/25 (AA Bi-Annual Construction Monitoring Report – 5) and DPHI acknowledgement 27/09/24</p> <p>CTP Bi-Annual Construction Monitoring Report – 6 July – December 24</p> <p>Email AFJV to DPIE Water, 17/03/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6 July – December 24)</p> <p>Email AFJV to NRAR, 14/03/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>DPHI post approval portal lodgement, 24/02/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>Email AFJV to SOPA, 02/04/25 (submission of CTP Bi-Annual Construction Monitoring Report – 6)</p> <p>https://www.accion.com.au/projects/sydney-metro-west</p> <p>Letter DPHI to AFJV 04/03/25 (no comment letter on BACMR No. 6)</p> <p>WTP SiteHive Monitoring Portal (for hexanodes and attended monitoring) current to 27/08/25</p> <p>WTP Noise and Vibration Monitoring Program, Rev 1, 25/08/25</p> <p>WTP Construction Noise and Vibration Monitoring Report No.6, Jan – July 2025 (DRAFT still under review by AA)</p> <p>WTP Construction Monitoring Report No. 5, July 2024 – January 2025</p> <p>https://qamuda.com.au/wp-content/uploads/2025/04/251104_WTP_Construction-Monitoring-Report-1.pdf</p> <p>Email GLC to EPA, 10/04/25 (submission of Construction Monitoring Report No. 5, July 2024 – January 2025 to EPA)</p> <p>DPHI post approval portal lodgement, 10/04/25 (submission of WTP Construction Monitoring Report No. 5, July 2024 – January 2025)</p> <p>AA Endorsement of WTP Construction Monitoring Report No. 5, July 2024 – January 2025</p>	<p>For CTP, the Jan – June 2025 BACMR was finalised and issue. Construction was completed prior to the end of the monitoring period (The Bays and Burwood were handed over in March, Five Dock handed over in May, NST handed over in March and SOP handed over in April). The Monitoring Report represents the final monitoring report for CTP.</p> <p>BACMR No 6 was submitted at the end of the previous audit period. The Department had no comment on that report.</p> <p>For WTP, monitoring appears to be occurring according to the approved Monitoring Program. The documents identify each element from the Program and where/how in the Monitoring Report the requirement is addressed. The Monitoring Report for July 2024 – January 2025 was submitted to the relevant stakeholders within the timeframe specified by the Monitoring programs.</p> <p>The Report for Jan – July 2025 is still being finalised.</p>	C
PART D: KEY ISSUE CONDITIONS						
Air Quality						

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status												
		Phase B (CTP)	Phase F (WTP)															
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Not subject to audit at this time.	Not subject to audit at this time.															
Biodiversity and Trees																		
D2	Biodiversity Credits The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat.	Not subject to audit at this time.	Not subject to audit at this time.															
D3	Impacts to plant community types must not exceed those identified in the documents listed in Condition A1 of this schedule, unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under Condition D4 below, if required, must be provided	Not subject to audit at this time.	Not subject to audit at this time.															
D4	<p>Before any vegetation clearing or tree removal that must be offset, under the BC Act, the relevant credits specified in Table 3 below must be purchased and retired. The retirement of credits must be carried out in accordance with the offset rules of the BC Act.</p> <p>Table 3: Biodiversity Credits to be Retired</p> <table border="1"> <thead> <tr> <th>Credit Type</th> <th>Number of Credits</th> </tr> </thead> <tbody> <tr> <td colspan="2">Ecosystem Credits</td> </tr> <tr> <td>Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor</td> <td>11</td> </tr> <tr> <td colspan="2">Species Credits for Threatened Species</td> </tr> <tr> <td>Myotis macropus / Southern Myotis (Fauna)</td> <td>11</td> </tr> <tr> <td>Acacia pubescens / Downy Wattle (Flora)</td> <td>1</td> </tr> </tbody> </table> <p>[MOD-2, 3 Jun 2022] [MOD-5, 20 Sep 2023]</p>	Credit Type	Number of Credits	Ecosystem Credits		Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	11	Species Credits for Threatened Species		Myotis macropus / Southern Myotis (Fauna)	11	Acacia pubescens / Downy Wattle (Flora)	1	Not subject to audit at this time.	Not subject to audit at this time.			
Credit Type	Number of Credits																	
Ecosystem Credits																		
Mangrove Forests in estuaries of the Sydney Basin Bioregion and South East Corner Bioregion (Plant Community Type 920) - Poor	11																	
Species Credits for Threatened Species																		
Myotis macropus / Southern Myotis (Fauna)	11																	
Acacia pubescens / Downy Wattle (Flora)	1																	
D5	The requirement to retire credits in Condition D4 above may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the class and number of species credits, as calculated by the Biodiversity Offsets Payment Calculator.	Not subject to audit at this time.	Not subject to audit at this time.															
D6	The Proponent must submit evidence of the retirement of credits required by Condition D4 above to the Planning Secretary for information within one (1) month of receiving evidence of the retirement of credits and / or a certificate confirming payment under Condition D5 above before any vegetation clearing or tree removal that must be offset under the BC Act. [MOD-5, 20 Sep 2023]	Not subject to audit at this time.	Not subject to audit at this time.															

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D6A	<p>Impact to Key Fish Habitat (KFH) as defined in Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update) must be avoided where possible. KFH must be offset at a ratio of 2:1 in accordance with the documents listed in Condition A1.</p> <p>On-ground offsetting within Duck or A'Becketts creek catchments must be prioritised. Where there are insufficient on-ground offset opportunities within the Parramatta River catchment, a compensatory payment for the residual offset, at the rate outlined in the documents listed in Condition A1 of this schedule, must be made to the DPI Fish Conservation Trust Fund by the time specified in the Key Fish Habitat Offset Strategy required in Condition D6B. A receipt confirming payment to the DPI Fish Conservation Trust Fund must be submitted to the Planning Secretary within one (1) month of making the payment.</p> <p>[MOD-5, 20 Sep 2023]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D6B	<p>A Key Fish Habitat Offset Strategy (the strategy) must be prepared in consultation with DPI Fisheries and published in accordance with Condition B11 before the commencement of operation of the Concept of the CSSI. The strategy must:</p> <ul style="list-style-type: none"> a) consider relevant policies and guidelines, including but not limited to, the NSW Biodiversity Offsets Policy for Major Projects and Policy and guidelines for fish habitat conservation and management. Update 2013 (DPI, 2013); b) preference on-ground offsetting within Duck or A'Becketts creek catchments where practicable. Where sufficient offsets cannot be provided in those locations, alternative locations within the Parramatta River catchment may be considered; c) consider, in order of priority: d) expanding existing mangrove or saltmarsh patches, and e) improving condition of existing mangrove or saltmarsh patches by removing exotic or non-endemic species to allow for natural regeneration of mangrove and saltmarsh species and / or replacing these with mangrove or saltmarsh species; f) identify outcomes to be achieved, including the form and timing for them to be achieved and the likely split between on-ground and other offsets; g) include a program for completion of rehabilitation work identified; and h) include a maintenance and monitoring program which establishes clear actions, timing, success targets and actions to be undertaken when success is not achieved. <p>Note: When considering (c) (i), the Proponent should investigate opportunities for reducing the gradient of steep banks that currently do not support marine vegetation and creating new levels that would be appropriate for mangrove or saltmarsh habitat.</p> <p>[MOD-5, 20 Sep 2023]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D7	<p>Microbat Management</p> <p>Before the removal or clearing of any vegetation, or the demolition of structures identified as potential roosting sites for microbats at the Clyde Stabling and Maintenance Facility site commences, pre-clearing / demolition inspections for the threatened species must be undertaken. The inspections, and any subsequent relocation of fauna and associated management / offset measures, must be undertaken under the guidance of a suitably qualified and experienced ecologist. Survey and relocation methodologies and management / offset measures must be included in the Flora and fauna CEMP Sub-plan required under Condition C5 of this schedule or the relevant Site Establishment Management Plan required by Condition A17 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D8	<p>In the event roosting sites have been identified under Condition D7 above, bat boxes must be provided or suitable habitat built within the Clyde Stabling and Maintenance Facility site.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
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		Phase B (CTP)	Phase F (WTP)			
D9	<p>Tree Removal</p> <p>As many mature trees and as much urban canopy as practicable must be retained during construction. Canopy trimming should be considered where practicable prior to any mature tree removal.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Flooding						
D10	<p>Unless otherwise agreed by the Planning Secretary, Stage 1 of the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</p> <ul style="list-style-type: none"> a) a maximum increase in inundation time of one hour in a one (1) per cent Annual Exceedance Probability (AEP) flood event; b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded in a one (1) per cent AEP flood event; c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded in a one (1) per cent AEP flood event; and d) no inundation of floor levels which are currently not inundated in a one (1) per cent AEP flood event. <p>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design of Stage 1 of the CSSI. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, DPE BCD, NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s).</p> <p>[MOD-2, 3 Jun 2022]</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <ul style="list-style-type: none"> a) consult with property owners for properties adversely flood affected as a result of Stage 1 of the CSSI and mitigate where necessary; and b) consult with the NSW State Emergency Service (SES), SOPA (in respect of Sydney Olympic Park) and Relevant Council(s) regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D11	<p>Deleted</p> <p>[MOD-3, 4 Jul 2022]</p>					
D12	<p>Flood information including flood reports, models and geographic information system outputs must be provided to the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of Stage 1 of the CSSI. The Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction.</p> <p>Information requested by the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the Relevant Council(s), SOPA (in respect of Sydney Olympic Park), DPE BCD and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the Relevant Council(s), DPE EES, SES and SOPA (in respect of Sydney Olympic Park) no later than one (1) month following the completion of construction.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

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		Phase B (CTP)	Phase F (WTP)			
Heritage						
D13	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in Condition A1 of this schedule. Unexpected heritage finds identified by Stage 1 of the CSSI must be managed in accordance with the Unexpected Finds Protocol outlined in Conditions D31 to D33 of this schedule. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP UFP Report 07/08/25, Unexpected find of brick works at MSF on Fleet Street</p> <p>Email GLC to Sydney Metro, 22/07/25 (notification of uncovering of bullet at Parramatta on 18/07/25)</p>	<p>One unexpected find was identified on Fleet Street at MSF on 07/08/25. The find related to brick work (cistern). The heritage consultant (Umwelt) was called and they investigated the find and the details of how the find was managed. The find was uncovered, but excavation had already reached depth. The unexpected finds report shows that the area was investigated. The Report is with Metro for review prior to finalization. The area has been backfilled with no damage reported.</p> <p>Another find of bullets at Parramatta occurred on 18/07/25 (notified to Sydney Metro on 21/07/25 by phone, but written notification on 22/07/25). This was not determined to be an unexpected find as the ARDEM considers the potential to find additional items during investigations at the Site.</p>	C
D14	<p>Before installing protective site boundary hoarding or equipment used for vibration and noise monitoring at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. The installation must also consider and avoid impacts to potential historical archaeology and seek advice from the Excavation Director approved under Condition D27 below.</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building)</p> <p>Emails GLC and Umwelt 24-29/07/25 (adjustment of fencing and monitoring of the RTA)</p> <p>GLC toolbox talk for RTA façade, July 2025 and permit to enter heritage no-go-zone 29/07/25</p> <p>RTA façade vibration monitoring data July 2025</p>	<p>According to WTP there have been no changes to protective hoarding or vibration monitoring at Parramatta. Hoarding, monitoring and signage remains unchanged from the earlier audit periods. Refer to previous audit for details on this. The auditor sighted the hoarding and monitoring and is satisfied that they remain consistent with previous periods.</p> <p>Fencing and vibration monitoring of the RTA building at Clyde was relocated during the audit period to allow for the removal of the adjacent slab and to adjust the ATF. A significant amount of discussion was conducted with the heritage consultant, particularly around the use of vehicles on the RTA hard stand and the potential for knocking the structure. Umwelt provided specific advice including toolboxing, identification and protection of the RTA structure pillar that is in close proximity to the works and the vibration monitoring. The toolbox appears to capture each of the controls recommended by Umwelt and is signed off by the attendees. The vibration monitoring data indicates that there were three peaks above the criteria, but these were instant peaks and not representative of construction. Investigations determined these to be related to disruption of the geophone (i.e.: a knock).</p> <p>No works have occurred on items of archaeological significance that are not already covered under the ARDEM.</p>	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D15	Before commencement of any excavation at the Parramatta metro station construction site, a detailed investigation must be undertaken to precisely locate the Parramatta Convict Drain. All options available to retain the Parramatta Convict Drain in situ must be considered. If retention of any part of the Parramatta Convict Drain located in situ is not feasible, the Proponent must satisfactorily demonstrate to the Planning Secretary why its removal is appropriate. If it is not feasible to retain the Parramatta Convict Drain in situ, archival recording must be undertaken on the affected section of the item in accordance with Heritage Council of NSW guidelines.	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Request for Approval to Remove Heritage Convict Town Drain, Approved 24 May 2023</p> <p>Letter DPPI to Sydney Metro, 24/05/23 (approval to remove heritage convict drain)</p> <p>Letter DPPI to Sydney Metro 08/09/22 (approval to remove timber capping)</p> <p>Letter DPPI to Sydney Metro, 25/10/22 (removal of concrete structures)</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Parramatta Heritage Interpretation Plan (DRAFT)</p> <p>Parramatta Convict Drain Archival Report, GML, July 23</p> <p>Conservation Report, ICS, April 2024 (conservation report for Drain Timbers)</p> <p>Email chain Sydney Metro and ICS Conservation, 06/03/25 – 07/04/25 (evidence of inspections of Drain Timbers)</p>	<p>For WTP, the Department provided approval on 24/05/23 to remove the drain on the basis that salvage, archival recording and inclusion in the interpretation strategy was completed. The archiving has been completed and the draft Interpretation Plan shows the use of the drain in heritage interpretation.</p> <p>The Drain Timbers have been placed in storage for conservation at Yennora storage facility. The Conservation Report is prepared and completed in April 24 and the recommendations include inspection. Archaeological Works under Phase 1 at Parramatta were largely completed prior to the audit period.</p> <p>The Parramatta Convict Drain has not been impacted and it remains in situ and any works with the potential to impact on the Drain were completed prior to the current audit period. Phase 2 Archaeological Works were underway but did not impact the convict drain thus far.</p> <p>The Conservation Report for the Convict Drain Timbers recommends 8 x items including ensuring storage is of a certain standard, status, humidity and temp and that inspections occur biannually. Evidence sighted indicates that the recommendations from the Conservation Report are being implemented.</p>	C
D16	During construction, the Proponent must implement protective measures to prevent adverse impacts on the heritage significance of the Victorian Regency terraced shops at 41-45 George Street, Parramatta and Kia Ora Georgian House at 64 Macquarie Street, Parramatta. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D27 below	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Heritage No-Go Zones Parramatta, Rev01 (Plans and project photo series showing protection of heritage items)</p> <p>Heritage no-go permits to enter George Street shops (March, April, June July 2025).</p> <p>Interim Post remediation George Street shops 26/08/25</p> <p>Mould Remediation Progress Report Pre-Hygienist Sign off – 20/08/2025</p> <p>Environmental Review Assessment from SM, 22/12/2023 (they were happy with this, which included and Environmental Control Plan (attachment))</p> <p>Correspondence 14/12/2023 re. working adjacent to Roxy Theatre (removal of the hoarding) showing the works and measures, confirmation</p> <p>WTP Construction Monitoring Report, Aug 24 – Jan 25, 14/03/25 (submission not due within the audit period).</p> <p>SiteHive module (online) vibration data</p> <p>Velocity Inspection checklists / register (online), including check records that heritage controls are in place).</p>	<p>For WTP no change from that reported in previous audit periods, consistent with the Heritage No-Go Zone maps and figures. Sighted physical controls around the Regency Shops and Kia Ora and the George Street shops. The controls were unchanged for the current audit period, and the auditees have not identified any instances of physical works having been undertaken in the heritage no-go zones, excluding George Street shops, which required remedial works to be conducted. For these works WTP had no-go permits in place and the remedial works reports demonstrating that the works had been undertaken.</p> <p>The GLC inspection template includes the need to check that heritage no-go zones are delineated and protected. A breach of a no-go zone would be considered a non-compliance and GLC have not identified any breaches of no go zones in the audit period. Monitoring results do not identify any unacceptable impacts arising from construction. Archaeological investigations are ongoing under the supervision of the Excavation Director.</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D17	<p>The Roxy Theatre, White Bay Power Station, the former State Abattoirs and the former RTA Depot facade fronting Unwin Street must not be destroyed, modified or otherwise adversely affected, except as identified in the documents listed in Condition A1 of this schedule.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020)</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Email GLC, Umwelt and SLR, and Briefing Note Umwelt, 24/10/24 and Memo SLR 19/09/24 (heritage and vibration assessment of working within safe working distance)</p> <p>Email Umwelt to GLC, and 18/11/25 and 21/01/25 (heritage advice on shifting of temporary fencing and relocating vibration monitor on the RTA building)</p> <p>Emails GLC and Umwelt 24-29/07/25 (adjustment of fencing and monitoring of the RTA)</p> <p>GLC toolbox talk for RTA façade, July 2025 and permit to enter heritage no-go-zone 29/07/25</p> <p>RTA façade vibration monitoring data July - August 2025</p> <p>Roxy Theatre Vibration Monitoring Results: 01/02/25 – 33/08/25 (SiteHive download))</p> <p>Velocity Inspection checklists Mar – Aug 2025 (online).</p> <p>Heritage no-go zone permit to enter, 16/04/25 (George Street and Kia Ora building scanning),</p>	<p>According to WTP there have been no changes to protective hoarding or vibration monitoring at Parramatta. Hoarding, monitoring and signage remains unchanged from the earlier audit periods. The monitoring indicates that any exceedances of the relevant cosmetic or structural damage criteria were related to battery changes and local disturbance of the geophone as opposed to construction impacts.</p> <p>In the audit period, GLC identified the need to work within the safe working distance of the RTA building. The acoustic and heritage specialists prepared memo's to guide the works. The memo's identified actions relating to plant selection, training, monitoring. These were implemented and the results sighted indicated that vibration was below the cosmetic damage criteria in all cases.</p> <p>Fencing and vibration monitoring of the RTA building at Clyde was relocated during the audit period to allow for clearing of trees and for the future construction of the retention basin.</p> <p>The GLC inspection template includes the need to check that heritage no-go zones are delineated and protected. A breach of a no-go zone would be considered a non-compliance and GLC have not identified any breaches of no go zones by construction personnel in the audit period. Only one action related to heritage which was in response to the break in at George Street shops in 21/07/25 and the need to reinstate fencing.</p>	C
D18	<p>Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1 of this schedule, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and where significance is retained or the potential for re-use, reinstatement or re-sale has been identified. The salvage from any State listed items must be undertaken in consultation with Heritage NSW.</p> <p>[MOD-3, 4 Jul 2022]</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Clyde Dive ECM, August 2025 (showing protection of Rosehill Bridge whilst being held on site)</p>	<p>There have been no items of local significance destroyed or removed during the audit period.</p> <p>Refer to the first audit (April 2024) condition D18.1 and D18.2 regarding the Rosehill Bridge. The auditees are not aware of any update to the status of this and the bridge remains stored at the Clyde site. The bridge is identified on the Clyde ECM and there is fencing around it to ensure it is not impacted whilst being held.</p>	C
D18.1	<p>The Proponent must investigate opportunities to relocate the Rosehill Railway Station Footbridge to an alternate location in the City of Parramatta LGA in consultation with City of Parramatta Council before the dismantled footbridge can be removed from the Clyde Stabling and Maintenance Facility Site. The Railway Footbridge Heritage Conservation Strategy 2016 (GAO Heritage Group, 2016) and any other relevant guideline or plan must be considered when assessing alternate locations. The Rosehill Railway Station Footbridge must be stored in accordance with relevant Heritage NSW guidelines and, where a suitable location is found, must be reinstated no later than 12 months following the completion of construction, unless otherwise agreed with the Planning Secretary.</p> <p>If an alternate location cannot be agreed to between the Proponent and Relevant Council, evidence of consultation, including consideration of alternative sites, must be submitted to the Planning Secretary for information before the dismantled Rosehill Railway Station Footbridge is removed from the Clyde Stabling and Maintenance Facility Site.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D18.2	<p>Where an alternative location for the Rosehill Railway Station Footbridge is agreed to, a Heritage Asset Action Plan, including an updated statement of significance, in accordance with Statement of Best Practice for Heritage Asset Action Plans (Heritage Council of NSW 2021), must be prepared to reflect its new setting within 12 months of relocation and at no cost to council. The Proponent is responsible for maintenance of the Rosehill Railway Station Footbridge until ownership is transferred to Council.</p> <p>Note: This condition does not prevent the Proponent from providing funding or similar to Council for the preparation of the required documents and does not prevent Council from preparing them.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

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		Phase B (CTP)	Phase F (WTP)			
D19	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Not subject to audit at this time	To be audited in August 2025	Inspection and interview with auditees 27-28/08/25	For WTP the auditees are not aware of any unexpected Aboriginal heritage finds during the audit period. Aboriginal items are being uncovered at Parramatta as part of the ARDEM, and these are not being harmed, modified or impacted.	NT
D20	The Registered Aboriginal Parties (RAPs) must be kept informed about Stage 1 of the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of Stage 1 of the CSSI.	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Umwelt RAP consultation register, current to August 2025 (register of consultation completed between Umwelt heritage and RAPs during mangrove removal works which occurred in January 2025)</p> <p>GML RAP consultation register, July 25 (register of consultation completed between GML heritage and RAPs during ARDEM works)</p> <p>Sydney Metro RAP Newsletter August 2025 and distribution list 18/05/25</p>	<p>There is an area claim at the mangroves at MSF East of which the RAPs need to review the surface of land. Mangroves were removed prior to the audit period, and RAPs were engaged as part of this exercise. The evidence sighted confirms that the RAPs attended the site in accordance with REMM AH5 and the engagement concluded that there were no heritage finds associated with the works.</p> <p>RAP consultation also occurs during excavation aboriginal items / natural strata at Parramatta in accordance with the ARDEM. A field work roster is maintained to allow for RAP coverage of Aboriginal heritage works. The last round of archaeological excavations in the register sighted was in July 2025. According to the excavation director and the GML consultation register the RAPs have attended on each occasion. If someone is not available, then there is a list of others that can attend and are arranged as needed.</p> <p>In addition to the above the RAPs receive Aboriginal specific newsletters and project updates to ensure they are kept informed of relevant activities. Given the Project is well past the point of material impact to Aboriginal heritage and thus the newsletters have been reduced to 6 months. According to Sydney Metro there have been no objections to the revised frequency.</p>	C

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D21	Aboriginal archaeological test excavation must be undertaken at those areas identified in Table 25 of the revised Aboriginal Cultural Heritage Assessment Report (ACHAR) prepared by Artefact Heritage and dated November 2020.	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p>	<p>As noted in the August 2023 audit by the Auditor, Section 1.2 of the Heritage Management Plan was observed to include Aboriginal Archaeological Test Excavation Methodology prepared by Sydney Metro, which is outlined in the AHR (GML, 2021). The Aboriginal Archaeological Salvage Excavation Methodology will be revised by Sydney Metro following analysis of the test excavation results. Section 7.5.1 of the plan states, "The archaeological investigations will be undertaken in accordance with the AHR 2021, which outlines the Aboriginal test excavation methodology and provides a standard Salvage methodology which will be updated following the results of the testing phase. The full methodology can be found in Section 5.2 of the AHR 2021."</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM.</p> <p>A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.</p> <p>Clearances are issued progressively, with clearance certificates and maps sighted. The certificates and maps align with the areas sighted during the inspection.</p>	C
D22	An Aboriginal Archaeological Test Excavation Methodology(s) must be prepared and appropriately integrated with the revised Archaeological Research Design and Excavation Methodology. The Aboriginal Archaeological Salvage Excavation Methodology(s) must be prepared after analysis of the test excavation results.	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p>	<p>As noted by the Auditor in the August 2023 audit, Section 1.8 of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology was observed to include a compliance checklist and a summary of the Aboriginal archaeological potential is provided in Section 3. Research questions for Aboriginal archaeology are included in Section 5. The integrated approach to archaeological excavation methods for both historical and Aboriginal archaeological investigations are also included in Section 6.</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM.</p> <p>A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.</p> <p>Clearances are issued progressively, with clearance certificates and maps sighted. The certificates and maps align with the areas sighted during the inspection.</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

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		Phase B (CTP)	Phase F (WTP)			
D23	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p>	<p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM.</p> <p>A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.</p> <p>Clearances are issued progressively, with clearance certificates and maps sighted. The certificates and maps align with the areas sighted during the inspection.</p>	C
D24	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 of this schedule and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p>	<p>As noted by the Auditor in the August 2023 audit, a process has been defined in the ARDEM (03/11/21) and ACHAR (submitted with the EIS in 2020). Assessment of the Parramatta Station Construction Site - Archaeological Research Design & Excavation Methodology observed that the report has been prepared to guide archaeological investigations and management for the Parramatta station construction site. It includes a detailed site history outlining the development of each allotment, comparative analysis, a revised historical archaeological potential and significance assessment, and a site-specific archaeological research design and methodology.</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM.</p> <p>A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.</p> <p>Earthworks were well advanced for the audit period with SOPA, Westmead, Clyde MSF and Dive, and Silverwater being well established and ground disturbance being well past the point of risk of encountering unexpected Aboriginal Heritage. According to the auditees, there have been no unexpected Aboriginal Heritage finds during the audit period.</p>	NT

Legend	
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		Phase B (CTP)	Phase F (WTP)			
D25	<p>Excavation and Archaeology</p> <p>Before the commencement of any excavation at Parramatta and The Bays metro station construction sites, a revised Archaeological Research Design and Excavation Methodology(s) must be prepared in accordance with Heritage Council of NSW guidelines and with reference to the detailed design of Stage 1 construction of the CSSI to guide archaeological excavation. The revised Archaeological Research Design and Excavation Methodology(s) must be prepared by the Excavation Director (approved under Condition D27 below) and must include:</p> <ul style="list-style-type: none"> a) site specific research for the Parramatta and The Bays metro station construction sites which is conducted by a professional historian to clearly articulate the historical development of the allotments to assist with the reassessment of potential and significance; b) comparative analysis from archaeological investigations in Parramatta (including theses, publications and grey literature reports); c) preparation of research questions based on the additional site-specific research required by this condition, and relevant research agendas from previously excavated early historical occupation in Parramatta including recovered artefact assemblages; and d) a reconsideration of archaeological methods to manage the sites based on this additional assessment. <p>The revised Archaeological Research Design and Excavation Methodology(s) must apply to both Parramatta and The Bays metro station construction sites and be prepared in consultation with Heritage NSW and Place Management NSW (in respect of The Bays) and submitted to the Planning Secretary for approval.</p> <p>The revised Archaeological Research Design and Excavation Methodology(s) must be implemented throughout the archaeological excavation programs.</p> <p>Note: Nothing in these conditions prevents the Archaeological Research Design and Excavation Methodology to be separate procedures.</p> <p style="text-align: right;">[MOD-1, 28 Jul 2021]</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>Parramatta Station Construction Site -Archaeological Research Design & Excavation Methodology, Issued 3 November 2021</p> <p>Parramatta Station Archaeological Excavation Phase 1 Report (DRAFT), GML, 19/12/23</p> <p>Email, GML to GLC, 23/02/24 (email notice of archaeological clearance of Parramatta station north east crane pad)</p> <p>Clearance Certificates Parramatta #10, #11A, #12, #13 and GML working map (showing areas with clearance certificates issued and areas still pending).</p> <p>Archaeological Clearance Areas Map, GML, 05/11/24 (including clearance of areas #7, #8, #9, #10, #11).</p> <p>Archaeological Clearance Certificates March – August 2025 (#14 - #15) and GML working map current to August 2025</p>	<p>Processes have been established to protect, investigate and report on potential Aboriginal Heritage finds within the Heritage Management Plan and ARDEM.</p> <p>As noted by the Auditor in the August 2023 audit, Section 5.2.1.3 of the Heritage Management Plan states that, "the ARDEM 2021 subsequently prepared provided a more detailed assessment of non-Aboriginal archaeological potential and identified areas ranging from low to high potential to contain historical archaeological remains. Figure 4 shows the mapping of archaeological potential for the construction site, including the approximate location of the Convict Drain which will not be impacted by the Project. Archaeological investigations, including testing and salvage excavations is required prior to the commencement of construction impacts on the site. This has commenced as part of the Early Works package by Sydney Metro and will be continued as part of the Project. The location of the Convict Drain has been confirmed as part of the Early Works archaeological investigations."</p> <p>Section 1.8 Compliance checklist states, "The revised ARD for the Parramatta Station construction site has been prepared prior to excavation at the site. This report has been prepared by experienced historical archaeologists and Excavation Directors approved under Condition D27—Abi Cryerhall and Sophie Jennings.</p> <p>- Section 7.5.1.1 Excavation Director states "All Aboriginal archaeological investigations will be undertaken in accordance with the methodology contained in the AHR 2021, which was prepared by the nominated Aboriginal archaeological Excavation Director. The nominated Aboriginal archaeological Excavation Director for the Project is Dr Tim Owen."</p> <p>- Attachment 7 ARDEM 2021 states "GML Heritage Pty Ltd, Sydney Metro West Parramatta Station Construction Site Archaeological Research Design and Excavation Methodology, report prepared for Sydney Metro November 2021."</p> <p>Phase 1 archaeological test excavations were completed in August 2023 and then Phase 2 excavations commenced in February 2024 and the works are continuing. An interview was completed with the GML Excavation Director and a site inspection of the ARDEM Phase 2 work area was conducted. GML have not identified any works or finds that depart from the ACHAR or ARDEM.</p> <p>A draft Archaeological Excavation Report has been prepared presenting the findings from the first round (Phase 1) excavations. The Report will remain in draft until such time as all excavations are complete.</p> <p>A final Report, incorporating all archaeological reports for the Project is being commissioned by Sydney Metro. GML (the Heritage Advisors) have commenced this work, but it is still in process.</p> <p>Clearances are issued progressively, with clearance certificates and maps sighted. The certificates and maps align with the areas sighted during the inspection.</p>	C

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D26	The revised Archaeological Research Design and Excavation Methodology(s) must include provision for early physical investigation of areas of impact identified as likely to contain State significant archaeology or subterranean Heritage items in the research design to inform excavation in these areas. This must include the Parramatta and The Bays metro station sites, including Parramatta Convict Drain, Parramatta Sand Body, White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel. [MOD-4, 22 Dec 2022]	Not subject to audit at this time.	Not subject to audit at this time.			
D27	Before commencement of archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Design and Excavation Methodology(s) required under Condition D25 of this schedule. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for Stage 1 of the CSSI to exercise the functions required under the conditions of this approval.	Not subject to audit at this time.	Not subject to audit at this time.			
D28	Following completion of archaeological excavation programs, a Final Excavation Report and an Aboriginal Cultural Heritage Excavation Report must be prepared that includes further detailed and site-specific historical research undertaken to enhance the final reporting, and results of archaeological excavations. The report must include details of any significant artefacts recovered (salvaged), where they are located and details of their ongoing conservation. The Final Excavation Report must document significant results and artefacts which may be re-used in future stages of the CSSI. The Final Excavation Report must be prepared in accordance with guidelines and standards required by Heritage Council of NSW.	Not subject to audit at this time.	Not subject to audit at this time.			
D29	The Final Excavation Report and Aboriginal Cultural Heritage Excavation Report must be submitted to the Planning Secretary, Heritage NSW and the Relevant Council for information no later than 24 months after the completion of the archaeological excavation.	Not subject to audit at this time.	Not subject to audit at this time.			
D30	In the event the CSSI salvages state significant historical archaeology associated with early convict occupation at the Parramatta metro station construction site for which retention and future conservation is not possible: a) the key findings of the archaeological investigations must be documented which explain their significance within the context of Parramatta and NSW no later than two (2) years after the completion of the archaeological excavations; and b) provide for the curation, display and public access of artefacts, site records and final reports. Note: In reference to (b) above, this may involve partnerships with museums, local heritage centres and/or universities	Not subject to audit at this time.	Not subject to audit at this time.			
D31	Unexpected Finds An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.	Not subject to audit at this time	Not subject to audit at this time			
D32	The Unexpected Heritage Finds and Human Remains Procedure must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.	Not subject to audit at this time	Not subject to audit at this time			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D33	<p>The Unexpected Heritage Finds and Human Remains Procedure, as submitted to the Planning Secretary, must be implemented for the duration of construction.</p> <p>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.</p>	Not subject to audit at this time	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Heritage Management Plan, GLC, 22/05/25</p> <p>WTP UFP Report 07/08/25, Unexpected find of brick works at MSF on Fleet Street</p> <p>Email GLC to Sydney Metro, 22/07/25 (notification of uncovering of bullet at Parramatta on 18/07/25)</p>	<p>The unexpected finds procedure was prepared well prior to the current audit period and is in Attachment 2 of the approved WTP Heritage Management Plan. The procedure addresses the requirements from this condition.</p> <p>One unexpected find was identified on Fleet Street at MSF on 07/08/25. The find related to brick work (cistern). The heritage consultant (Umwelt) was called and they investigated the find and the details of how the find was managed. The find was uncovered, but excavation had already reached depth. The unexpected finds report shows that the area was investigated. The Report is with Metro for review prior to finalization. The area has been backfilled with no damage reported.</p> <p>Another find of bullets at Parramatta occurred on 18/07/25 (notified to Sydney Metro on 21/07/25 by phone, but written notification on 22/07/25). This was not determined to be an unexpected find as the ARDEM considers the potential to find additional items during investigations at the Site.</p> <p>According to the auditees, there have been no unexpected Aboriginal heritage finds during the audit period.</p>	C
Noise and Vibration						
D34	<p>Land Use Survey</p> <p>A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D35	<p>Construction Hours</p> <p>Work must only be undertaken during the following hours:</p> <ul style="list-style-type: none"> a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays. 	Not subject to audit at this time.	Not subject to audit at this time.			
D36	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <ul style="list-style-type: none"> a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

D37	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D35 and D36 of this schedule work may be undertaken outside the hours specified in the following circumstances:</p> <p>a) Safety and Emergencies, including:</p> <ul style="list-style-type: none"> i. for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or ii. where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. iii. On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work. <p>b) Low noise impact work, including:</p> <ul style="list-style-type: none"> i. construction that causes Laeq(15 minute) noise levels: <ul style="list-style-type: none"> 1. no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and 2. no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and 3. construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or ii. construction that causes: <ul style="list-style-type: none"> 4. continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or 5. intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). <p>c) By Approval, including:</p> <ul style="list-style-type: none"> i. where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or ii. works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D38 of this schedule; or iii. negotiated agreements with directly affected residents and sensitive land user(s). <p>d) By Prescribed Activity, including:</p> <ul style="list-style-type: none"> i. tunnelling (excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or ii. concrete batching at the Clyde construction site is permitted 24 hours a day, seven days a week; or iii. delivery of material that is required to be delivered outside of standard construction hours in Condition D35 of this schedule to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site 	Not subject to audit at this time.	Not subject to audit at this time.			
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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
	<p>using any roads / streets other than directly from Parramatta Road; or</p> <p>iv. haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Five Dock and Westmead construction sites and to / from Burwood North construction site using any roads / streets other than directly from Parramatta Road; or</p> <p>v. work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances identified in (b) above, unless otherwise agreed by the Planning Secretary.</p> <p>Note: Tunnelling does not include station box excavation [MOD-3, 4 Jul 2022]</p>					
D38	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D35 and D36 of this schedule. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER, AA and EPA. The Protocol must provide:</p> <p>a) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <ul style="list-style-type: none"> i. the ER and AA review all proposed out-of-hours activities and confirm their risk levels; ii. low risk activities can be approved by the ER in consultation with the AA; and iii. high risk activities that are approved by the Planning Secretary; iv. a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; <p>b) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D50 of this schedule. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events;</p> <p>c) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and</p> <p>d) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>e) This condition does not apply if the requirements of Condition D37(b) of this schedule are met.</p> <p>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D35 and D36 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D39	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <ul style="list-style-type: none"> a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); c) Australian Standard AS 2187.2 – 2006 "Explosives – Storage and Use – Use of Explosives" (for human exposure); d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and (e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration-effects of vibration on structures (for structural damage for structurally unsound heritage items). <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D40	<p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <ul style="list-style-type: none"> a) evening (6:00 pm to 10:00 pm) — internal Laeq(15 minute): 40 dB(A); and b) night (10:00 pm to 7:00 am) — internal Laeq(15 minute): 35 dB(A). <p>The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D38 of this schedule.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D41	<p>Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D42	<p>Construction Noise and Vibration Mitigation and Management</p> <p>Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to:</p> <ul style="list-style-type: none"> a) use of regularly serviced low sound power equipment; b) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and c) use of alternative construction and demolition techniques. 	Not subject to audit at this time.	Not subject to audit at this time.			
D43	<p>Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D39 and D40 of this schedule at any residence outside construction hours identified in Condition D35 of this schedule, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy(ies) of the DNVIS.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D44	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users.	Not subject to audit at this time.	Not subject to audit at this time.			
D45	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Not subject to audit at this time.	Not subject to audit at this time.			
D46	Construction Vibration Mitigation – Heritage Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.	Not subject to audit at this time.	Not subject to audit at this time.			
D47	The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.	Not subject to audit at this time.	Not subject to audit at this time.			
D48	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1 of this schedule, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Not subject to audit at this time.	Not subject to audit at this time.			
D49	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Not subject to audit at this time.	Not subject to audit at this time.			
D50	Utility Coordination and Respite All work undertaken for the delivery of Stage 1 of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must: <ul style="list-style-type: none"> a) reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D51 of this schedule; or b) consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and c) provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D51	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D35 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ul style="list-style-type: none"> a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; b) a description of the potential work, location and duration of the out-of-hours work; c) the noise characteristics and likely noise levels of the work; and d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D39 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA before the out of hours work commences and to the EPA and the Planning Secretary on request.</p> <p>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D52	<p>Traffic Noise Mitigation at Westmead</p> <p>Sensitive land uses located along local roads used to divert traffic from the closure of Alexandra Avenue in Westmead that will be affected by additional road traffic noise from the diverted traffic in excess of the criteria identified in the NSW Road Noise Policy (the RNP criteria) during construction of Stage 1 of the CSSI (the Affected Properties) are eligible to receive at-property noise mitigation treatments.</p> <p>Owners of Affected Properties must be advised of the range of noise mitigation options that can be installed at or in their property and given a choice as to which of these they agree to have installed. A copy of all noise mitigation guidelines and procedures that will be used to determine at-property treatment at each Affected Property must be provided to the property owner.</p> <p>At property mitigation measures and packages must be determined based on the measured exceedance levels above the RNP criteria. Road traffic noise levels must be measured before and after the altered traffic flow detour.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D53	<p>Blasting</p> <p>Blasting associated with Stage 1 of the CSSI must only be undertaken during the following hours:</p> <ul style="list-style-type: none"> a) 9:00am to 5:00pm, Monday to Friday, inclusive; b) 9:00am to 1:00pm on Saturday; and c) at no time on Sunday or public holidays; or d) as authorised through an EPL. <p>This condition does not apply in the event of a direction from the NSW Police Force or other relevant authority for safety or emergency reasons to avoid loss of life, property loss and / or to prevent environmental harm.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D54	<p>Blasting Management Strategy</p> <p>A Blast Management Strategy must be prepared and must include:</p> <ul style="list-style-type: none"> a) sequencing and review of trial blasting to inform blasting; b) regularity of blasting; c) intensity of blasting; d) periods of relief, and e) blasting program. 	Not subject to audit at this time.	Not subject to audit at this time.			
D55	The Blast Management Strategy must be endorsed by a suitably qualified and experienced person.	Not subject to audit at this time.	Not subject to audit at this time.			
D56	The Blast Management Strategy must be prepared in accordance with relevant guidelines in order to ensure that all blasting and associated activities are carried out so as not to generate unacceptable noise and vibration impacts or pose a significant risk to sensitive land user(s).	Not subject to audit at this time.	Not subject to audit at this time.			
D57	The Blast Management Strategy must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of blasting. The Blast Management Strategy as submitted to the Planning Secretary, must be implemented for all blasting activities.	Not subject to audit at this time.	Not subject to audit at this time.			
Socio-Economic, Land Use and Property						
D58	Stage 1 of the CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Not subject to audit at this time.	Not subject to audit at this time.			
D59	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Not subject to audit at this time.	Not subject to audit at this time.			
D60	<p>Condition Survey</p> <p>A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

D61	<p>Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D60 of this schedule must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D60 of this schedule. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.</p>	To be audited in August 2025	To be audited in August 2025	<p>CTP Property condition survey register (pre-con, Rev 38 and post-con rev 22) (REG-000004)</p> <p>Interview with auditees 25/08/25</p> <p>PowerBI dashboard of Post-construction Condition Survey Report current to 25/08/25</p> <p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Post Construction Condition Survey Report Tracker PowerBI current to 28/08/25 (including property, date of completion, date of finish of relevant works, date of issue of report and teambinder reference) and sample of reports for both Tyrells and Childs</p> <p>GLC WTP 2D GIS dilapidation layer</p> <p>Post Construction Survey Report 1-15 Shirley Street Rosehill</p> <p>GLC MSA with Childs Property Condition Surveys, 27/04/22 (contract which stipulates completion of pre and post-construction conditions surveys) and sample report 18-19/03/25</p> <p>GLC MSA with Tyrells Property Condition Surveys, 27/04/22 (contract which stipulates completion of pre and post-construction conditions surveys) and sample report 26/02/25</p>	<p>The CTP pre-construction condition survey register and post-construction condition survey registers identify the dates by which the condition surveys were completed and reports issued (where access was made available). The information sighted indicates that all properties that received pre-construction condition survey are eligible for a post-construction survey and this is being tracked. With respect to timing, as soon as CTP exits a portion (defined by cross passages) engagement to conduct a post-construction survey commences. The Auditor observes that ability to achieve the 3 month timeframe is dictated by both the contractor and the landowner.</p> <p>Land Surveys conducted both the pre- and post- condition surveys. According to the register all the reports were issued to the property owner within 3 months of the inspection where access is achieved.</p> <p>739 x reports were issued. 80 had insufficient access and 32 declined. AFJV provided 3 offers to all non-responding properties, unless the owner declined it after the 1st or 2nd offer. All 3 of these offers include nominated dates by which the owner should respond including the 3rd and final letter.</p> <p>Post-construction condition surveys are completed by Childs and Tyrells both of which are required to provide suitably qualified and experience persons. Where they complete pre-construction condition survey, the contract is for them to also complete post-construction condition surveys. Each report includes details demonstrating that the person that completed the survey is suitably qualified and experience.</p> <p>The WTP post construction condition surveys are tracked using Power BI. The system allows GLC to identify all properties along the alignment, the process and status of completing the pre-construction surveys, the timing of when post-construction surveys are required (based on completion of tunnelling and cross passage portions) and the process and status of completing the post-construction surveys. The timing is driven by completion of the cross passage construction on each portion. The day the cross passage is complete all properties in the portion are issued with letters of offer for a post-construction condition survey report. There has been a significant lag in these being required due to the pause of TBM tunnelling.</p> <p>According to WTP there have not been any owners declining post-construction condition surveys. According to the post-construction condition survey report tracker and associated GIS all properties eligible to have a post-construction conditions survey has received once prior to the schedule due date. At the previous audit it was noted by GLC that 38 x post-construction condition survey reports had been issued and none have identified damage as a result of project works. According to GLC, none of the landowners have disputed the information presented in the post-construction condition survey report. For the current audit period, GLC reported that</p> <p>one post-construction survey report was issued</p> <p>no surveys were completed due to the TBM being paused for a period of approximately three months between March and July for both TBM1 and TBM2 at the approximate location of 20 Charles Street and the continuation of works between the last property where a PCS was undertaken (1-15 Shirley St) and where the TBM currently resides.</p>	C
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Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D62	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D60 of this schedule unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	To be audited in August 2025	To be audited in August 2025	<p>Complaints register current to 31/08/25</p> <p>CTP 'Property Damage Claim Register – 2025 August</p> <p>CTP Property Damage Claim Investigation Report, 103 Lancelot Street.</p> <p>Memorandum of Understanding for Restoration Works, AFJV and CoCBC, 18/12/24 and Addendums 1 and 2</p> <p>WTP Post Construction Condition Survey Report Tracker PoweBI current to 28/08/25 (including property, date of completion, date of finish of relevant works, date of issue of report and team binder reference) and sample of reports for both Tyrells and Childs</p> <p>WTP Complaint No. 6298 and follow up emails 30/07/25, plus associated 4 Oak Street damage claim assessment, August 2025 (includes pre- and post-photos, Tunnelling DNVIS vibration predictions and Survey data 20/08/25)</p> <p>WTP Complaint No. 6190 including ConMan email records 16-22/04/25 and survey and vibration monitoring data</p>	<p>CTP tracks property damage claims via the register. The register captures claim type, date notified, description of damage, relevant site, claim notes (detail) and status (open/closed). 20 x claims have been recorded in total. 2 x claims remain under investigation with the remainder being rectified or rejected. **property damage claims are not recorded on the complaints register, but are recorded in consultation manager for the claim to managed and sighted as needed by Metro.</p> <p>AFJV has entered into an agreement with Council, whereby AFJV have made footpaths and roads safe and accessible, but have paid Council to complete final restoration. Council provided written agreement of the handover state of the affected areas, as well as acceptance of the payment.</p> <p>For WTP, to date 39 x post-construction condition survey reports have been issued and none have identified damage as a result of project works. According to GLC, none of the landowners have disputed the information presented in the post-construction condition survey report.</p> <p>According to the complaints register there are 4 x complaints relating to property damage. 2 x of these relate to road condition and damage to vehicles (of which GLC rejected), 2 x related to damage to a dwelling, as follows:</p> <p>Complaint 6298 relating to property damage was such that the team responded quickly but the stakeholder did not report back for a month. Since that time GLC have shown that the claim is being investigated.</p> <p>Complaint 6190 relating to property damage was appropriately investigated and responded to. According to GLC, the survey and vibration monitoring data did not support the claim of property damage due to tunnelling and the claim was rejected.</p>	C
D63	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D60 of this schedule and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.	Not subject to audit at this time.			
D64	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D60 and D61 of this schedule, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Not subject to audit at this time.	Not subject to audit at this time.			
D65	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D66	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D63 of this schedule. The results of the monitoring must be made available to the Planning Secretary upon request. [MOD-3, 4 Jul 2022]	Not subject to audit at this time.	Not subject to audit at this time.			
D67	Community Benefit Plan(s) A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to: a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. Nothing in this condition prevents the preparation of individual Community Benefit Plans for each station precinct.	Not subject to audit at this time.	Not subject to audit at this time.			
D68	The Community Benefit Plan(s) must be submitted to the Planning Secretary for information before construction. The Community Benefit Plan(s) must be implemented for the duration of construction.	Not subject to audit at this time.	Not subject to audit at this time.			
D69	Potential impacts on the operation of festivals or events at Parramatta, Sydney Olympic Park or Five Dock must be limited as reasonably practicable.	Not subject to audit at this time.	Not subject to audit at this time.			
D70	Small Business Owners Engagement Plans Small Business Owners Engagement Plan(s) must be implemented in accordance with the Overarching Community Communication Strategy to minimise impact on small businesses adjacent to major construction sites during construction of Stage 1 of the CSSI. These plans must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.	Not subject to audit at this time.	Not subject to audit at this time.			
Soils and Contamination						
D71	Contaminated Sites Unless otherwise agreed by the Planning Secretary, b Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in Condition A1 of this schedule, Detailed Site Investigations (for contamination) must be conducted to determine the full nature and extent of the contamination. The Detailed Site Investigation Report(s) and the subsequent report(s), must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of Contaminated Land Management Act 1997 (NSW). The Detailed Site Investigation for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA. Note: Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites.	Not subject to audit at this time.	To be audited in August 2025	Inspection and interview with auditees 27-28/08/25 Letter Sydney Metro to DPHI, 18/12/24 (request to not complete DSI in area of Mod-high risk) Letter Sydney Metro to DPHI, addendum to request to delays DSI for Clyde and Westmead DSI and DPHI approval, 13/05/25 Letter Sydney Metro to DPHI 19/12/24 (Clyde MSF HV works request to not complete DSI) and DPHI approval 17/01/25 Letter Sydney Metro to DPHI 17/03/25 (Clyde MSF HV works update request to not complete DSI) and DPHI approval 07/04/25 Clyde MSF HV work liquid waste disposal dockets, February 2025 Letter Sydney Metro to DPHI 20/03/25 (Clyde MSF Sydney Water main connections request to not complete DSI) and DPHI approval 07/04/25	No DSIs have been developed for the audit period. A request was raised to not undertake a DSI for utility (HV and Sydney Water works) works in Clyde despite them being located in an area of mod-high contam risk. The Department agreed to provide dispensation for each of these works. In 2022 a request was made to delay the need for a DSI for Westmead local area works. In 2025, GLC reviewed this and determined that the works were not to be conducted in areas of moderate or above contam risk and therefore D71 (need for a DSI) is not triggered. A clarification was sent to the Department and the Department accepted this approach in May 2025.	NT

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D72	<p>Should remediation be required to make land suitable for the final intended land use, a Remedial Action Plan must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CenvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Remedial Action Plan must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented. The Remedial Action Plan for Sydney Olympic Park metro construction site must be prepared in consultation with SOPA.</p> <p>Note: Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.</p>	Not subject to audit at this time.	To be audited in August 2025	<p>Westmead Petrol Station UPSS RAP, 19/12/22</p> <p>Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C)</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>Clyde Zone 5 - RAP, Epic, 22/02/24 and Section B SAS, Geosyntec 06/09/24</p> <p>Clyde Zone 6 – RAP, Epic, 14/02/24 (and Section B SAS, Geosyntec 23/08/24)</p> <p>WTP Contam Land Requirements register, current to 27/08/25 (presents all Interim Audit Advice and Fortnightly Meeting Minutes (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)</p>	<p>RAPs are not required for Parramatta or SOP.</p> <p>RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6.</p> <p>The UPSS RAP was reviewed, endorsed and works completed prior to the current audit period, with the review of the validation report pending.</p> <p>The sitewide offsite disposal and Clyde Zone 1 and Clyde Zone 5 RAPs have been approved by the Contaminated Sites Auditor via issue of the Section B Site Audit Statements.</p>	C
D73	<p>Before commencing remediation, a Section B Site Audit Statement(s) must be prepared by an NSW EPA-accredited Site Auditor that certifies that the Remedial Action Plan(s) is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor.</p> <p>Note: Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.</p>	Not subject to audit at this time.	To be audited in August 2025	<p>Westmead Petrol Station UPSS RAP, 19/12/22</p> <p>Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C)</p> <p>Sitewide offsite disposal RAP, Epic, 05/11/22 and Section B SAS, Geosyntec, 25/11/22</p> <p>Clyde Zone 1 – RAP, Epic, 15/03/23 and Section B SAS, Geosyntec 19/05/23</p> <p>Clyde Zone 5 - RAP, Epic, 22/02/24 and Section B SAS, Geosyntec 06/09/24</p> <p>Clyde Zone 6 – RAP, Epic, 14/02/24 (and Section B SAS, Geosyntec 23/08/24)</p> <p>WTP Contam Land Requirements register, current to 27/08/25 (presents all Interim Audit Advice and Fortnightly Meeting Minutes (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)</p>	<p>RAPs are not required for Parramatta or SOP.</p> <p>RAPs have been prepared for sitewide offsite disposal, Westmead UPSS removal, Clyde Zone 1, Clyde Zone 5 and Clyde Zone 6.</p> <p>The UPSS RAP was reviewed, endorsed and works completed prior to the current audit period, with the review of the validation report pending.</p> <p>The sitewide offsite disposal and Clyde Zone 1 and Clyde Zones 5 and 6 RAPs have been approved by the Contaminated Sites Auditor via issue of the Section B Site Audit Statements.</p>	C
D74	<p>Validation Report(s) must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW).</p> <p>Note: Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.</p>	Not subject to audit at this time.	To be audited in August 2025	<p>Westmead Petrol Station UPSS RAP, 19/12/22</p> <p>Westmead Station - UPSS Validation Report, Revision B (13/01/23) and revised on the 19/1/2024 (Rev. C)</p> <p>Portion 8 Interim Validation Report, 04/04/25, Epic Environmental and Interim Audit Advice IA18, Geosyntec, 09/04/25 (endorsement of Portion 8 Interim Validation Report).</p> <p>MSF West Interim Validation Report, 22/08/25, Epic Environmental and Email GLC to Geosyntec, 22/08/25 (notification that MSF West Validation Report is ready for review)</p> <p>MSF East Interim Validation Report, 19/08/25, Epic Environmental and Email GLC to Geosyntec, 20/08/25 (notification that MSF East Validation Report is ready for review)</p> <p>WTP Contam Land Requirements register, current to 27/08/25 (presents all Interim Audit Advice and Fortnightly Meeting Minutes (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)</p>	<p>Interim reports are being prepared but are under review. At this time there have been no validation reports completed / endorsed under D74 during the audit period.</p>	NT

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D75	A Section A1 or Section A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI. Note: Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not subject to audit at this time.	To be audited in August 2025	Inspection and interview with auditees 27-28/08/25 WTP Contam Land Requirements register, current to 27/08/25 (presents all Interim Audit Advice and Fortnightly Meeting Minutes (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)	Remediation works are ongoing or have yet to commence. No Section A Site Audit Statements or Site Audit Reports have been prepared thus far.	C
D76	A copy of Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s) and Site Audit Statement(s) must be submitted to the Planning Secretary, SOPA (in respect of Sydney Olympic Park) and the Relevant Council(s) for information.	Not subject to audit at this time.	To be audited in August 2025	Inspection and interview with auditees 27-28/08/25 WTP Contam Land Requirements register, current to 27/08/25 (presents all Interim Audit Advice and Fortnightly Meeting Minutes (tracking of progress on DSI, RAPs., Validation Reports, SAS/SARs)	WTP will undertake this task once, upon completion of all remediation works on site and issue of the Site Audit Statements and Site Audit Reports and prior to operations as per D75. Remediation works are ongoing.	C
D77	An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	Not subject to audit at this time.	To be audited in August 2025	Soil and Water Management Plan, GLC, 22/05/24 Acid Sulphate Soil Work Method Statement, Revision C (Issued on 17 May 2023) Inspection and interview with auditees 27-28/08/25 WTP Unexpected Finds Notification, 30/01/25 (find of ACM at Parramatta, and clearance certificates CL44 – CL49) WTP Unexpected Finds Notification, 18/02/25 (find of hydrocarbon at MSF, later stated by Epic on 19/02/25 as not being an unexpected find (not hydrocarbon, as verified by Waste Classification Reports 26/02/25) WTP Unexpected Finds Notification, 25/02/25 (find of ACM at Parramatta, and clearance certificates CL40 and CL42) WTP Unexpected Finds Notification, 30/05/25 (find of asphalt at MSF, with confirmation no contam and no further investigation required) WTP Unexpected Finds Notification, 20/06/25 (find of potential coal tar at MSF, with associated investigation classing it as GSW and recommended actions and clearance)	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were two unexpected finds for the audit period, covering asphalt and potential coal tar finds at MSF. Both were notified to Metro, considered to not be of significance and were given associated clearance by the contamination consultants. According to the auditees none have resulted in a change to the RAPs. Three unexpected finds were open at the time of writing the previous audit report. These were subsequently cleared or verified as not being contaminated material.	C
D78	The Unexpected Contaminated Land and Asbestos Finds Procedure must be implemented throughout construction.	Not subject to audit at this time..	To be audited in August 2025	Soil and Water Management Plan, GLC, 22/05/24 Acid Sulphate Soil Work Method Statement, Revision C (Issued on 17 May 2023) Inspection and interview with auditees 27-28/08/25 WTP Unexpected Finds Notification, 30/01/25 (find of ACM at Parramatta, and clearance certificates CL44 – CL49) WTP Unexpected Finds Notification, 18/02/25 (find of hydrocarbon at MSF, later stated by Epic on 19/02/25 as not being an unexpected find (not hydrocarbon, as verified by Waste Classification Reports 26/02/25) WTP Unexpected Finds Notification, 25/02/25 (find of ACM at Parramatta, and clearance certificates CL40 and CL42) WTP Unexpected Finds Notification, 30/05/25 (find of asphalt at MSF, with confirmation no contam and no further investigation required) WTP Unexpected Finds Notification, 20/06/25 (find of potential coal tar at MSF, with associated investigation classing it as GSW and recommended actions and clearance)	The Soil and Water Management Plan (SWMP) has been prepared and is being implemented for the Phase F works, with an Unexpected Contaminated Land and Asbestos Finds Procedure as Attachment 4. The Procedure has been subject to review by the ER (as part of review and endorsement of the SWMP) and deemed to be adequate. The Auditor agrees. According to the auditees, there were two unexpected finds for the audit period, covering asphalt and potential coal tar finds at MSF. Both were notified to Metro, considered to not be of significance and were given associated clearance by the contamination consultants. According to the auditees none have resulted in a change to the RAPs. Three unexpected finds were open at the time of writing the previous audit report. These were subsequently cleared or verified as not being contaminated material.	C
Sustainability						

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D79	<p>A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater and groundwater during Stage 1 of the CSSI. The Water Reuse Strategy must include, but not be limited to:</p> <ul style="list-style-type: none"> a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). <p>The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p> <p>Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail.</p> <p>A copy of the Water Reuse Strategy must be made publicly available.</p> <p>Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Traffic and Transport						
D80	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Not subject to audit at this time.	Not subject to audit at this time.			
D81	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.	Not subject to audit at this time.	To be audited in August 2025	<p>Complaints register current to 31/08/25</p> <p>WTP Post Construction Condition Survey Report Tracker PowerBI current to 28/08/25 (including property, date of completion, date of finish of relevant works, date of issue of report and teambinder reference) and sample of reports for both Tyrells and Childs</p> <p>GLC WTP 2D GIS dilapidation layer</p> <p>WTP Complaint No. 6298 and follow up emails 30/07/25, plus associated 4 Oak Street damage claim assessment, August 2025 (includes pre- and post-photos, Tunnelling DNVIS vibration predictions and Survey data 20/08/25)</p> <p>WTP Complaint No. 6190 including ConMan email records 16-22/04/25 and survey and vibration monitoring data</p>	<p>For WTP, to date 39 x post-construction condition survey reports have been issued and none have identified damage as a result of project works. According to GLC, none of the landowners have disputed the information presented in the post-construction condition survey report.</p> <p>According to the complaints register there are 4 x complaints relating to property damage. 2 x of these relate to road condition and damage to vehicles (of which GLC rejected), 2 x related to damage to a dwelling, as follows:</p> <p>Complaint 6298 relating to property damage was such that the team responded quickly but the stakeholder did not report back for a month. Since that time GLC have shown that the claim is being investigated.</p> <p>Complaint 6190 relating to property damage was appropriately investigated and responded to. According to GLC, the survey and vibration monitoring data did not support the claim of property damage due to tunnelling and the claim was rejected.</p> <p>There were 2 x complaints recorded regarding property access during the audit period. One related to the use of a survey prism on a property (whereby a tenant was not aware of a property owner having survey completed). The other was not related to GLCs works.</p>	C
D82	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency or in association with the delivery of the Rozelle power supply from the Rozelle sub-transmission substation to The Bays metro station construction site.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D83	The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Not subject to audit at this time.	To be audited in August 2025	<p>Teletrac Navman online GPS system (live)</p> <p>Navman Daily Compliance tracker (current to 27/08/25)</p> <p>Email GLC to Attcall, 10/08/25</p> <p>Navman Activity Reports x 21 (June - August 2025), Non-conformance notifications to drivers x 29 (July – August 2025)</p> <p>Navman investigation tracker spreadsheet (entitled 'response to RF118, no date)</p>	<p>WTP use Teletrac Navman for real-time monitoring of HVs used for spoil haulage. The system allows for GLC to identify instances whereby tracking is not occurring and instances whereby vehicles depart from the haul routes specified in the CTMPs. To assess compliance with this condition the Auditor requested the following information:</p> <ul style="list-style-type: none"> the total number of spoil truck movements completed between 01/03/25 and 31/08/25 the number of instances whereby GPS tracking has not occurred for the same period the associated investigations and disciplinary actions of each. <p>GLC responded with the following information with supporting evidence.</p> <p>GLC was not able to provide the full 6 month data set due to volume of data. For the period of June – August inclusive there were 4061 spoil vehicle movements and 322 trucks experienced issues with tracking, as identified during the daily vehicle checks (which was displayed during the Audit Interviews). The spreadsheet sighted has classified every instance where Teletrac Navman was not tracking.</p> <p>In all instances where there are issues with the Teletrac Navman system, the delivery partners have supplied their own GPS tracking to supplement our own. GLC then verifies these tracking reports to confirm their vehicles have live tracking in accordance with MCoA D83.</p> <p>According to GLC:</p> <ul style="list-style-type: none"> All events of tracking glitches were investigated and determined to be False alarms or, Were due technological faults, but which were trouble shooted via the provision of live tracking data from the delivery partners own tracking systems. <p>On the basis of the above, GLC appear to have fulfilled D83.</p>	C
D84	The primary egress routes for spoil haulage trucks at Sydney Olympic Park metro station construction site must be determined in consultation with SOPA.	Not subject to audit at this time.	Not subject to audit at this time.			
D85	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D86	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 of this schedule must be approved by the Planning Secretary and be included in the CTMPs.	Not subject to audit at this time.	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Project Wide Construction Traffic Management Plan (CTMP), GLC, 24/05/22</p> <p>WTP Clyde and Rosehill Site Estab CTMP, GLC, 06/05/22 and Operations CTMP, GLC, 29/01/25</p> <p>WTP Rosehill James Ruse Drive Barrier Installation CTMP, GLC, 10/09/24</p> <p>WTP Unwin Street and Kay Street 56hr Closure CTMP, GLC, 05/03/24</p> <p>WTP Unwin Street Northern Diversion CTMP, GLC, 13/12/24</p> <p>WTP Unwin Street Southern Tie In CTMP, GLC, 19/12/24</p> <p>WTP Parramatta Site Estab CTMP, GLC, 12/08/22 and Operations CTMP, GLC, 27/09/23</p> <p>WTP SOP CTMP, GLC, 30/05/24</p> <p>WTP Westmead Site Estab CTMP, GLC, 15/09/22, and Operations, GLC, 22/03/23</p> <p>Sydney Olympic Park HVLR, AFJV, 22/12/21 and DPHI approval 14/01/22</p> <p>Email GLC to all trucking companies, 11/07/25 (issue of approved haul routes to all trucking companies) and associated distribution list (~100 emails)</p> <p>Email GLC to elite group 08/07/25 (issue of access routes to heavy vehicle drivers)</p> <p>Email GLC to GLC engineers, 30/07/25 (issue of access routes to engineers)</p> <p>Email GLC to Infrabuild, 30/07/25 (issue of access routes to heavy vehicle drivers)</p> <p>Data scope (delivery booking system, online, includes gate, route etc.).</p>	<p>WTP communicate haul routes to all drivers prior to the arriving on site. All vehicle bookings are managed using the data scope system, an online tool that allows one to book a vehicle for pick up/drop off. The system includes the approved haulage routes and access gates.</p> <p>Traffic controllers are issued with the VMPs (which align with the routes in the CTMP) and complete surveillance during their shift. When interviewed the GLC traffic controllers on shift advised that they were not aware of any heavy vehicles arriving to site from the wrong direction during the audit period, and if it did, it would be turned away to then access the site from the correct route. As with WTP understand that route departures are a non-compliance and some non-compliances were reported during previous audit periods.</p> <p>No new local roads have been proposed / requested during the audit period.</p> <p>The auditees are not aware of any instances of trucks using unapproved local roads – refer to D90 regarding adherence to haul routes.</p>	C
D87	<p>All requests to the Planning Secretary for approval to use local roads under Condition D86 above must include the following:</p> <ul style="list-style-type: none"> a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D88	Road Dilapidation Before any local road is used by a Heavy Vehicle for the purposes of construction of Stage 1 of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of Stage 1 of the CSSI.	Not subject to audit at this time.	Not subject to audit at this time.			
D89	If damage to roads occurs as a result of the construction of Stage 1 of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion): (a) compensate the Relevant Road Authority for the damage so caused; or (b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.	Not subject to audit at this time.	To be audited in August 2025	<p>Inspection and interview with auditees 27-28/08/25</p> <p>Email chain Cumberland Council, Sydney Metro and GLC Hassall Street pavement damage, November 24 (includes area of concern, area subject to construction traffic, repairs conducted, and responded to Council).</p> <p>Online portal lodgement (x2) GLC on Cumberland Council website, 16/08/24 (notification to Cumberland Council of road condition of Hassall Street and Hawkesbury Road)</p> <p>Email chain GLC and Sydney Metro, 01/11/24 – 27/11/24 (Westmead - Hassall St Pavement Damage - GLC Response 05/11/24 - Closed out 23/11/24: correspondence between GLC and Sydney Metro regarding the scope of repairs to Hassall Street)</p> <p>Hawkesbury Road repair request, 09/04/25 and Council acknowledgment, 09/04/25 and CCC confirmation of repair 13/04/25</p> <p>Email CCC to WolfPeak 15/08/25 (audit consultation)</p> <p>Traffic team video inspection records March – August 2025</p> <p>WTP response to RFINo. 2, 12/09/25</p> <p>ER Monthly Reports March – August 2025</p>	<p>It was noted at the previous audit that Cumberland City Council (CCC) was concerned about road damage near the Westmead site. These were previously resolved, but CCC requested that 'regular inspections and maintenance continue to occur throughout the remainder of the project to ensure road conditions are maintained and that any pavement or safety issues are addressed proactively and in a timely manner'</p> <p>According to GLC road condition surveys were completed prior to the operation of heavy vehicles on the local road network (not included in this audit scope / period). These surveys form the basis for determining the ongoing condition of local roads and are a key tool in determining if Heavy vehicle movements from the WTP are responsible for damages to local roads (in addition to complaints, inquires etc.). In addition to this, GLC also undertakes visual surveys of the local road network using video surveillance, and use this to supplement the existing dilapidation surveys to identify impacts to local roads caused by the WTP. These surveys are typically undertaken monthly. Examples were presented to the Auditor on 11/09/25.</p> <p>GLC further noted that damage to roads immediately at the exit points from site. I.e. George Street Parramatta are minor and have been rectified almost immediately as part of routine site maintenance and thus are not recorded as council/public complaints in relation to road damage.</p> <p>The project is in construction and thus surveillance and repairs are ongoing.</p>	C

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		Phase B (CTP)	Phase F (WTP)			
D90	<p>Construction Parking and Access Management</p> <p>Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to:</p> <ul style="list-style-type: none"> a) minimise parking on public roads; b) minimise idling and queuing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs 	Not subject to audit at this time.	To be audited in August 2025	<p>CPAS Monitoring Report, February to July 2025</p> <p>Weekly Meeting Minutes (GLC senior management and each discipline), 18/08/25, 01/04/25, 26/08/25</p> <p>Clyde Dive Prestart 03/03/25 (communicating changes to site setup to site teams, including approved parking)</p> <p>Spoil Haulage Booking Sheet August 2025</p> <p>Data scope (delivery booking system, online, includes gate, route etc.).</p> <p>Inspection and interview with auditees 27-28/08/25</p> <p>WTP Project Wide Construction Traffic Management Plan (CTMP), GLC, 24/05/22</p> <p>WTP Clyde and Rosehill Site Estab CTMP, GLC, 06/05/22 and Operations CTMP, GLC, 29/01/25</p> <p>WTP Rosehill James Ruse Drive Barrier Installation CTMP, GLC, 10/09/24</p> <p>WTP Unwin Street and Kay Street 56hr Closure CTMP, GLC, 05/03/24</p> <p>WTP Unwin Street Northern Diversion CTMP, GLC, 13/12/24</p> <p>WTP Unwin Street Southern Tie In CTMP, GLC, 19/12/24</p> <p>WTP Parramatta Site Estab CTMP, GLC, 12/08/22 and Operations CTMP, GLC, 27/09/23</p> <p>WTP SOP CTMP, GLC, 30/05/24</p> <p>WTP Westmead Site Estab CTMP, GLC, 15/09/22, and Operations, GLC, 22/03/23</p> <p>Sydney Olympic Park HVLR, AFJV, 22/12/21 and DPHI approval 14/01/22</p> <p>Email GLC to all trucking companies, 11/07/25 (issue of approved haul routes to all trucking companies) and associated distribution list (~100 emails)</p> <p>Email GLC to elite group 08/07/25 (issue of access routes to heavy vehicle drivers)</p> <p>Email GLC to GLC engineers, 30/07/25 (issue of access routes to engineers)</p> <p>Email GLC to Infrabuild, 30/07/25 (issue of access routes to heavy vehicle drivers)</p> <p>Teletrac Navman online GPS system (live)</p> <p>Navman Daily Compliance tracker (current to 27/08/25)</p> <p>Email GLC to Attcall, 10/08/25</p> <p>Navman Activity Reports x 21 (June - August 2025), Non-conformance notifications to drivers x 29 (July - August 2025)</p> <p>Navman investigation tracker spreadsheet (entitled 'response to RF118, no date)</p>	<p>Refer to D86 with respect to the use of local roads.</p> <p>Meetings and toolboxes are held regularly and for Westmead and Clyde Dive (where parking is an issue) the minimization of parking is communicated to the workforce.</p> <p>GLC's use of the truck booking system (shown via the spoil haulage booking sheet and Data scope booking system) is such that truck time slots are booked and therefore prevents over booking. This means that trucks are not forced to queue or marshal on the public road network.</p> <p>WTP use Teletrac Navman for real-time monitoring of HVs used for spoil haulage. The system allows for GLC to identify instances whereby tracking is not occurring and instances whereby vehicles depart from the haul routes specified in the CTMPs.</p> <p>According to the auditees there have been no instances recorded whereby spoil haulage vehicles have failed to adhere to the approved haul routes.</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D91	<p>A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:</p> <ul style="list-style-type: none"> a) achieving the requirements of Condition D90 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders signalize existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; g) where residential parking schemes already exist, off-road parking facilities must be provided for the project workforce; h) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; i) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; j) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and k) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals. 	Not subject to audit at this time.	Not subject to audit at this time.			
D92	The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month before the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.	Not subject to audit at this time.	Not subject to audit at this time.			
D93	During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Not subject to audit at this time.	Not subject to audit at this time.			
D94	<p>Road Safety</p> <p>A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
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		Phase B (CTP)	Phase F (WTP)			
D95	Supplementary analysis and modelling as required by TINSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.	Not subject to audit at this time.	Not subject to audit at this time.			
D96	<p>The permanent road works at Clyde / Rosehill must be designed, constructed and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency and, accessibility of the networks, and avoid deterioration in peak period levels of service in relation to permanent and operational changes. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken:</p> <ul style="list-style-type: none"> a) in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group; b) in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements; c) to minimise and manage local area traffic impacts; d) to ensure access is maintained to property and infrastructure; and e) to meet relevant design, engineering and safety guidelines, including Austroads, Australian Standards, and TINSW requirements. <p>Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D96.1	<p>The permanent realignment of Unwin Street and Kay Street must be designed with the objective of not precluding a potential future connection between the M4 Motorway and the Camellia Rosehill Precinct, unless otherwise agreed by the Planning Secretary. The Proponent must provide the Department, in a timely manner, detailed design, engineering and other related documentation to inform its master planning of the precinct.</p> <p>The current road reserve of Unwin Street between Kay Street and the TINSW Granville Depot driveway (including the A'beckets Creek bridge) must be retained, unless otherwise agreed by the Planning Secretary.</p> <p>Note: At the time of approval, the relevant team at the Department is the Metro Central team, within the Planning & Land Use Strategy Division</p> <p>Note: The intent of this condition is to retain a section of the current road reserve of Unwin Street for future use as a connection between the M4 Motorway and Unwin Street. The M4 connection referenced in this condition does not form part of this Approval and this condition does not require the Proponent to deliver said connection.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D97	Permanent road works, including vehicular access, signalized intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.	Not subject to audit at this time.	To be audited in August 2025	<p>WTP TCG and TTLG meeting presentation, 30/01/25 (TCG presentation to the traffic control group regarding Unwin Street division)</p> <p>Pre-opening Road Safety Audit, 04/02/25 and GLC close out responses 07/02/25, along with email submission from GLC to Sydney Metro 12/02/25</p> <p>Teambinder coro from Sydney Metro to Transport, 13/02/25 and Council 13/02/25</p>	For WTP, Unwin Street division is the only permanent roadworks completed in the audit period. The design was presented and agreed to by the TCG and TTLG. A pre-opening road safety audit was conducted. 10 x findings were identified and on the 07/02/25 these were closed. The findings and actions were issued to the road authority prior to opening.	C

Legend	
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		Phase B (CTP)	Phase F (WTP)			
D98	<p>Pedestrian and Cyclist Access</p> <p>Safe pedestrian and cyclist access must be maintained around construction sites during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided and signposted before the restriction or removal of the impacted access.</p>	Not subject to audit at this time.	To be audited in August 2025	<p>WTP Project Wide Construction Traffic Management Plan (CTMP), GLC, 24/05/22</p> <p>WTP Clyde and Rosehill Site Estab CTMP, GLC, 06/05/22 and Operations CTMP, GLC, 29/01/25</p> <p>WTP Rosehill James Ruse Drive Barrier Installation CTMP, GLC, 10/09/24</p> <p>WTP Unwin Street and Kay Street 56hr Closure CTMP, GLC, 05/03/24</p> <p>WTP Unwin Street Northern Diversion CTMP, GLC, 13/12/24</p> <p>WTP Unwin Street Southern Tie In CTMP, GLC, 19/12/24</p> <p>WTP Parramatta Site Estab CTMP, GLC, 12/08/22 and Operations CTMP, GLC, 27/09/23</p> <p>WTP SOP CTMP, GLC, 30/05/24</p> <p>WTP Westmead Site Estab CTMP, GLC, 15/09/22, and Operations, GLC, 22/03/23</p> <p>Sydney Olympic Park HVLR, AFJV, 22/12/21 and DPHI approval 14/01/22</p> <p>Lack Traffic Control Inspection report and site based risk assessments for set up of TGS x 6 (plus the 2-hourly inspection records)</p> <p>GLC Weekly Traffic control set inspections x 18 (01/03/25 – 27/08/25)</p>	<p>The measures are set out in the CTMPs and associated TGSs. Any arrangements for pedestrian / cyclist detours must be reviewed by the relevant road authority as part of the development of the CTMPs. This has occurred. Refer D85.</p> <p>For WTP the sites are largely contained (by hoarding and / or site sheds). The exceptions are for on street work zones, and the Clyde site. TGSs are in place for on street work zones and Clyde is relatively isolated from pedestrians and cyclists.</p> <p>Implementation of the CTMPs by GLC and its subcontractors is evidenced via drive throughs with associated videos and weekly supervisor inspection reports and inspections. The evidence provided demonstrate that deficiencies or opportunities for improvement in set ups under TGSs set ups are inspected by the traffic controllers at the start of every shift and at every 2 hour interval. Any actions identified are addressed and closed. actioned and closed.</p> <p>GLC also complete audits and inspections weekly on all their sites. The weekly traffic control inspections must be conducted by a suitably qualified and experienced person and identify key checks against signage, access and safety. Any deficiencies are tried to be rectified during the inspection as they can be. If needs be the actions are emailed to the traffic controllers for rectification.</p>	C
D98.1	<p>Temporary pedestrian access across the project must be provided as near as practicable to the existing Rosehill Railway Station Footbridge. The access must provide a reasonably direct route between the intersection of James Ruse Drive and Prospect Street and Gate 3 of Rosehill Gardens Racecourse. The access must be safe and open to all users (including the general public).</p> <p>The temporary pedestrian access must be designed in consultation with Australian Turf Club, the relevant landowner and/or Relevant Road Authority, and be implemented before removal of the Rosehill Railway Station Footbridge.</p> <p>Note: Any temporary pedestrian access in the vicinity of the former Rosehill Station which is intended to be made permanent must be designed in consultation with Australian Turf Club and must consider relevant masterplans and strategic planning documents.</p> <p>[MOD-2, 3 Jun 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D99	<p>Spoil Movement</p> <p>Opportunities to maximise spoil material removal by non-road methods must be investigated and implemented where reasonably practicable to minimise movements by road.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D100	<p>Emergency Vehicle Access</p> <p>The Proponent must maintain emergency vehicle access, in consultation with TfNSW, emergency services and NSW Health, to Westmead Hospital at all times throughout Stage 1 of the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D91 above.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Utilities Management						

Legend
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Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D101	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Not subject to audit at this time.	Not subject to audit at this time.			
D102	<p>Utility Coordination Manager</p> <p>A Utility Coordination Manager must be appointed for the duration of work associated with Stage 1 of the CSSI. The role of the Utility Coordination Manager must include, but not be limited to:</p> <ul style="list-style-type: none"> a) the management and coordination of all utility work associated with the delivery of Stage 1 of the CSSI, to ensure respite is provided to the community; b) providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and c) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required. 	Not subject to audit at this time.	Not subject to audit at this time.			
Urban Design and Visual Amenity						
D103	<p>Ancillary Facilities</p> <p>Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D104	Nothing in this approval permits advertising on any element of Stage 1 of the CSSI.	Not subject to audit at this time.	Not subject to audit at this time.			
D105	<p>Temporary Activations</p> <p>The Proponent must undertake temporary placemaking initiatives for the benefit of the community, such as commercial "pop up" spaces, information booths, art installations, around the perimeter or in the vicinity of construction sites at Parramatta and Five Dock with the objective of temporarily enhancing visual amenity, providing gathering places in the local area and creating temporary active frontages to construction sites during Stage 1 of the CSSI.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D106	<p>Five Dock Metro Station Eastern Construction Site</p> <p>The acoustic shed at the Five Dock metro station eastern construction site must be designed and constructed in a manner that minimises visual amenity, solar access and overshadowing impacts to the residential apartments at 110 Great North Road, Five Dock facing the acoustic shed. The potential visual amenity, solar access and overshadowing impacts of the acoustic shed on the affected residential apartments must be assessed in a Visual Amenity, Solar Access and Overshadowing Report prepared by the Proponent.</p>	Not subject to audit at this time.	Not subject to audit at this time.			

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		Phase B (CTP)	Phase F (WTP)			
D107	<p>The Visual Amenity, Solar Access and Overshadowing Report must include:</p> <ul style="list-style-type: none"> a) visual amenity impact assessments from the relevant residential apartments to the acoustic shed at the Five Dock metro station eastern construction site; b) solar access assessments of the relevant residential apartments, with consideration for the relevant development controls in the City of Canada Bay Development Control Plan (Version 4, 21 October 2020) and the Apartment Design Guide; and c) a consultation plan to detail how potential impacts and mitigation measures will be discussed and negotiated with potentially affected property owners. <p>The Visual Amenity, Solar Access and Overshadowing Report must be provided to the Planning Secretary for approval within (1) month prior to the installation of the acoustic shed at the Five Dock metro station eastern construction site.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D108	Where the acoustic shed causes a moderate (or greater) adverse visual amenity impact and / or unreasonable overshadowing and solar access impacts to any of the subject residential apartments, the Proponent must consult with the relevant affected property owners and occupiers to identify appropriate mitigation measures and an agreed implementation program. A copy of agreed implementation programs must be provided to the Planning Secretary for information.	Not subject to audit at this time.	Not subject to audit at this time.			
D109	<p>Lighting and Security</p> <p>Stage 1 of the CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of Stage 1 of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D110	<p>Visual Amenity</p> <p>Stage 1 of the CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines in respect of The Bays metro station construction site and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
Waste						
D111	<p>Waste generated during construction and operation must be dealt with in accordance with the following priorities:</p> <ul style="list-style-type: none"> a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of. 	Not subject to audit at this time.	Not subject to audit at this time.			

Legend	
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D112	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for Stage 1 of the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Not subject to audit at this time.	To be audited in August 2025	<p>WTP Spoil Management Plan, GLC, 23/04/24</p> <p>WTP Waste Management Plan, GLC, 12/05/25</p> <p>WHT Waste Tracker to WTP, 14/07/25</p> <p>S143 certificate 16/07/25 for 36kT of tunnel spoil</p> <p>WHT tunnel spoil test report, Ade, 03/07/25 (statement of compliance with the WHT RRO)</p> <p>WHT tunnel spoil test report, Ade, 04/07/25 (statement of compliance with the WHT RRO)</p> <p>Letter EPA to WHT, 14/02/24 (WHT RRO letter valid to 15/02/26)</p> <p>WHT RRO and RRE, February 2024</p> <p>ECORR DGB20 against the recovered aggregate RRO, 24/01/25</p> <p>Letter Concrete Recyclers to GLC, 25/08/25 and Concrete recyclers DGB20 against the recovered aggregate RRO, 24/01/25</p> <p>Email chain, GLC and ECORR 22-25/08/25 (email chain showing request to import DGB20 and booking of the import).</p>	<p>Imported material during the audit period comprises DGB20 and tunnel spoil from WHT.</p> <p>The GLC manages all the trucks used for material import and export. GLC does not allow for third party trucks to deliver materials. Engineers book material import with the spoil team who then obtain the relevant test reports for the DGB20 and / or tunnel spoil as relevant. With the tunnel spoil, a section 143 certificate needs to be filled out due to specific requirements on the project and related to the RRO. For DGB20, no section 143 certificate is required as there are no limits. The spoil team then books the vehicle</p>	C
D113	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Not subject to audit at this time.	To be audited in August 2025	<p>WTP Spoil Management Plan, GLC, 23/04/24</p> <p>WTP Waste Management Plan, GLC, 12/05/25</p> <p>GLC Waste and RRO Spoil disposal presentation Waste classification including examples for excavated fill from Parramatta (Waste classification report 15494-ER-1-303)</p> <p>GLC Spoil Tracker, current to 27/08/25</p> <p>GLC Spoil Tracker, current to 27/08/25</p> <p>WTP Tunnel Spoil RRO and RRE, April 2024</p> <p>Menangle Wastewater Pump Station REF and CEMP, Sydney Water Menangle Park, s143 certificate, 30/06/25</p> <p>Menangle Park Spoil Disposal Checklist, 04/08/25</p> <p>Email GLC to Mulgoa excavations (haulage), 08/08/25</p> <p>Bingo Easter Creek EPL 13426 and their GSW ACM acceptance letter 19/03/25</p> <p>Clyde Sone 6 Waste classification report, 30/10/24</p> <p>Bingo s143 certificate, 13/09/23</p> <p>Spoil haulage booking sheet, 26/08/25 and Teletrac Navman record for GOA444</p> <p>Delta demolition Monthly Waste Reports for Jul and August 2025</p> <p>Grasshopper Waste Reports, March – August 2025</p>	<p>As noted by the Auditor in the August 2023 audit, Section 6.6.3 of the Waste Management Plan states "Facilities used for the receiving of waste will be appropriately licensed to accept the classified waste type. The Environmental Manager will review the licence of the receiving facility before any waste is transported. Refer to Attachment 2 for potential facilities and locations"</p> <p>GLC run two processes, one for waste and one for tunnel spoil. For each they maintain a tracker that identifies the date of haulage, material type (and classification report), volume, destination and for licenced sites the docket.</p> <p>Tunnel spoil is permitted to be used for earthworks, engineered fill and roadworks.</p> <p>Records were sighted along the entire waste disposal process for MET GSW (NP), Menangle Park Wastewater Pump station beneficial reuse of tunnel spoil and BINGO GSW (ACM).</p> <p>If a receiving facility identifies a truck that is not on the designated run sheet (identified by the rego and issued to both GLC site teams and the receiving facilities) then it gets turned back. GLC are aware of one such event occurring since 01/03/25. This was due to one truck being booked for two locations on one day. The incorrect receiving facility alerted the team of the prior to it tipping off. This was also captured by the Teletrac Navman system.</p> <p>GLC use licensed waste transporters for demolition and general waste (skip bins).</p> <p>The records sighted indicate that GLC implement a system whereby waste is appropriately classified and disposed of.</p> <p>Note: The Auditor has conducted a high level review of the material export register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted a forensic audit, any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</p>	C

Legend
Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D114	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Not subject to audit at this time.	To be audited in August 2025	<p>WTP Spoil Management Plan, GLC, 23/04/24</p> <p>WTP Waste Management Plan, GLC, 12/05/25</p> <p>GLC Waste and RRO Spoil disposal presentation Waste classification including examples for excavated fill from Parramatta (Waste classification report 15494-ER-1-303)</p> <p>GLC Spoil Tracker, current to 27/08/25</p> <p>GLC Spoil Tracker, current to 27/08/25</p> <p>WTP Tunnel Spoil RRO and RRE, April 2024</p> <p>Menangle Wastewater Pump Station REF and CEMP, Sydney Water</p> <p>Menangle Park, s143 certificate, 30/06/25</p> <p>Menangle Park Spoil Disposal Checklist, 04/08/25</p> <p>Email GLC to Mulgoa excavations (haulage), 08/08/25</p> <p>Bingo Easter Creek EPL 13426 and their GSW ACM acceptance letter 19/03/25</p> <p>Clyde Sone 6 Waste classification report, 30/10/24</p> <p>Bingo s143 certificate, 13/09/23</p> <p>Spoil haulage booking sheet, 26/08/25 and Teletrac Navman record for GOA444</p> <p>Delta demolition Monthly Waste Reports for Jul and August 2025</p> <p>Grasshopper Waste Reports, March – August 2025</p>	<p>For WTP the waste streams generated during the audit period comprise tunnel spoil, building and demo waste and office waste, contaminated fill and liquid waste (the Rosehill Water Treatment Plant was offline between 26/02/24 and 29/03/24).</p> <p>Wastes have either been classified (as noted via the routine tunnel spoil assessments and waste classification reports) or are pre-classified under the Waste Classification Guidelines. The data indicates that waste has been appropriately classified.</p> <p>Refer to D113 regarding disposal.</p> <p>Note: The Auditor has conducted a high level review of the material export register/s and sample material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</p>	C
Water						
D115	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Not subject to audit at this time.	Not subject to audit at this time.			
D116	<p>Stormwater</p> <p>Before undertaking any works and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with LandCom's Managing Urban Stormwater series (The Blue Book).</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D117	<p>Water Quality</p> <p>Stage 1 of the CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.</p>	Not subject to audit at this time..	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement	Condition subject to audit as per approved Scoping Statement		Evidence collected	Audit findings and recommendations	Compliance status
		Phase B (CTP)	Phase F (WTP)			
D118	<p>Unless an EPL is in force in respect to Stage 1 of the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed:</p> <ul style="list-style-type: none"> a) the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level. <p>Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D119	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not subject to audit at this time.	Not subject to audit at this time.			
D120	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not subject to audit at this time.	Not subject to audit at this time.			
D121	<p>Groundwater</p> <p>Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction.</p>	Not subject to audit at this time.	Not subject to audit at this time.			
D122	<p>The Proponent must submit a revised Groundwater Modelling Report in association with Stage 1 of the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include:</p> <ul style="list-style-type: none"> a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sites; and f) a schematic of the conceptual hydrogeological model. <p>[MOD-4, 22 Dec 2022]</p>	Not subject to audit at this time.	Not subject to audit at this time.			

Legend
 Condition / requirement within this audit scope and subject to assessment.



Appendix A2 - Stage 2 (CSSI 19238057)

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
SCHEDULE 2: CONDITIONS OF APPROVAL							
PART A: ADMINISTRATIVE CONDITIONS							
General							
A1	<p>The Proponent must carry out the CSSI in accordance with the conditions of this approval and generally in accordance with the:</p> <ul style="list-style-type: none"> a) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Environmental Impact Statement dated 25 October 2021; b) Sydney Metro West – Major civil construction between The Bays and Sydney CBD Submissions Report dated April 2022; c) Sydney Metro West – Archaeological Research Design and Excavation Methodology – Pyrmont Station dated April 2022; d) Sydney Metro West – Aboriginal and Historical Archaeological Research Design– Hunter Street Station (Sydney CBD) dated May 2022; e) Sydney Metro West – Major civil construction between The Bays and Sydney CBD – Modification Request (Mod 1) dated 1 February 2023; [MOD 1, 19 Apr 2023] f) Sydney Metro West – Stage 2 – Modification 1: Response to Submissions (correspondence) undated; and [MOD 1, 19 Apr 2023] g) Correspondence dated 15 August 2023 requesting modification to Conditions A9, D12, D15, D16 and D17 of SSI 19238057 [MOD 2, 9 Oct 2023] 	Full Compliance	Full Compliance	Not subject to audit at this time.			
A2	The CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 unless otherwise specified in, or required under, this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A3	<p>In the event of an inconsistency between:</p> <ul style="list-style-type: none"> a) the conditions of this approval and any document listed in Condition A1, the conditions of this approval will prevail to the extent of the inconsistency; and b) any document listed in Condition A1, the most recent document will prevail to the extent of the inconsistency. <p><i>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document</i></p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A4	In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A5	<p>The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:</p> <ul style="list-style-type: none"> a) the environmental performance of the CSSI; b) any document or correspondence in relation to the CSSI; 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<ul style="list-style-type: none"> c) any notification given to the Planning Secretary under the conditions of this approval; d) any audit of the CSSI; e) the conditions of this approval and compliance with the conditions of this approval (including anything required to be done under this approval); f) the carrying out of any additional monitoring or mitigation measures; and g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the conditions of this approval. 						
A6	<p>Where the conditions of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:</p> <ul style="list-style-type: none"> a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests; d) outline of the issues raised by the identified party(s) and how they have been addressed; and e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. <p>Note: *Consultation with the community and businesses will be undertaken in accordance with the Overarching Community Communication Strategy required under Condition B1.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A7	This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A8	References in the conditions of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval, unless otherwise approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A9	<p>Notwithstanding the requirement of any condition of this approval, the Proponent may adopt an existing Project Process endorsed by the ER or approved by the Planning Secretary to satisfy a condition of this approval.</p> <p>The Proponent must notify the Planning Secretary of the adoption of a Project Process and state which condition under the aforementioned approvals the Project Process is adopted from.</p> <p>If a Project Process prepared to meet the requirements of CSSI Approvals SSI 10038 or SSI 7400 is required to be updated to satisfy a corresponding condition of this approval, the Project Process must be submitted at least one month before construction to which the Project Process relates:</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
Condition / requirement within this audit scope and subject to assessment.	

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>(a) for endorsement by the ER or AA, where the relevant condition for which the project process is updated requires it to be endorsed by the ER or AA; or</p> <p>(b) for the Planning Secretary's approval where the requirements of the relevant condition for which the project is updated requires it to be approved by the Planning Secretary.</p> <p>The ER or AA endorsement or Planning Secretary's approval (where required) must be obtained before construction or investigations relating to the Project Process can commence.</p> <p><i>Note: For the purposes of this condition, the term 'Project Process' is taken to mean any strategy, plan, procedure, panel, system, protocol, archaeological research design and program required to be completed to satisfy a condition of this approval or for SSI 10038 or SSI 7400.</i></p> <p>[MOD 2, 9 Oct 2023]</p>						
A10	<p>Notwithstanding Condition A9, where the following have been approved by the Planning Secretary for the purpose of SSI 10038 and SSI 7400, further approval is not required for the CSSI where the same individual/company/document is nominated:</p> <ul style="list-style-type: none"> a) Acoustics Advisor; b) Excavation Director c) Community Complaints Mediator; d) Community Communication Strategy; e) Out-of-Hours Work Protocol; or f) Independent Property Impact Assessment Panel <p>The Proponent must notify the Planning Secretary of any such appointment of an individual/company or application of a document consistent with the requirements of the corresponding condition in SSI 10038 and SSI 7400.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Timing and Approvals							
A11	Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary. This condition does not apply to the written notification required in respect of an incident under Condition A45 .	Full Compliance	Full Compliance	Not subject to audit at this time.			
A12	Any document or similar that must be prepared under the conditions of this approval, may be prepared, and where applicable submitted, for multiple phases of the CSSI, if agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A13	Without limitation, all strategies, plans, programs, reviews, audits, report recommendations, protocols and the like required by the terms of this approval must be implemented by the Proponent and in accordance with all requirements issued by the Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Phasing							
A14	The CSSI may be constructed in phases. Where phased construction is proposed, a Phasing Report must be prepared and submitted to the Planning Secretary for information. The Phasing Report must be submitted to the Planning Secretary for information no later than one (1) month before the	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	commencement of construction of the first of the proposed phases of construction.						
A15	<p>The Phasing Report must:</p> <ul style="list-style-type: none"> a) set out how construction of the whole of the CSSI will be phased, including details of work and other activities to be carried out in each phase and the general timing of when construction of each phase will commence and finish; b) specify the relevant conditions that apply to each phase and how compliance with conditions will be achieved across and between each of the phases of the CSSI; c) set out mechanisms for managing any cumulative impacts arising from the proposed phasing; and d) for the purposes of informing Conditions C2, C7 and C18, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each phase of the CSSI. <p>With respect to (d) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Principles and Guidelines and must be endorsed by the ER.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
A16	The CSSI must be phased in accordance with the Phasing Report, as submitted to the Planning Secretary for information.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A17	Where phasing is proposed, the conditions of this approval that apply or are relevant to the work or activities to be carried out in a specific phase must be complied with at the relevant time for that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A18	Where changes are proposed to the phasing of construction, a revised Phasing Report must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the phasing of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A19	<p>With the approval of the Planning Secretary, the Proponent may submit any strategies, plans or programs required by this approval on a progressive basis within each phase of the CSSI.</p> <p>Notes:</p> <ol style="list-style-type: none"> 1. While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and 2. If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the phase, and the trigger for updating the strategy, plan or program. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Ancillary Facilities							
A20	Ancillary facilities that are not identified by description and location in the documents listed in Condition A1 can only be established and used in each case if:	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	a) they are located within or immediately adjacent to the Construction Boundary; and b) they are not located next to sensitive land user(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location unless otherwise approved by the Planning Secretary ; and c) they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the conditions of this approval; and d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the conditions of this approval, including in relation to environmental, social and economic impacts. <i>Note: This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A23.</i>						
Site Establishment Work							
Site Establishment Management Plan							
A21	Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the ER to have minimal environmental impact and those established under Condition A23, and those considered in an approved CEMP), the Proponent must prepare a Site Establishment Management Plan which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. The Site Establishment Management Plan must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The Site Establishment Management Plan must include: <ul style="list-style-type: none"> a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site); b) figures illustrating the proposed operational site layout and the location of the closest sensitive land user(s); c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work; d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to: <ul style="list-style-type: none"> i. meet the performance outcomes stated in the documents listed in Condition A1, and ii. manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and e) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required. Nothing in this condition prevents the Proponent from preparing individual Site Establishment Management Plans for each ancillary facility. Site Establishment Management Plans must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities.	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Use of Ancillary Facilities							
A22	The use of an ancillary facility for construction must not commence until the CEMP required by Condition C1, relevant CEMP Sub-plans required by Condition C5 and relevant Construction Monitoring Programs required by Condition C14 have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable). <i>Note: This condition does not apply to Condition A23 or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</i>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Minor Ancillary Facilities							
A23	Lunch sheds, office sheds, portable toilet facilities, and the like, can Minor Ancillary Facilities may be established and used where they have been assessed in the documents listed in Condition A1 or satisfy the following criteria: <ul style="list-style-type: none"> a) are located within or adjacent to the Construction Boundary; and b) have been assessed by proponent with the adoption of mitigation measures as appropriate; and c) in the opinion of the ER to have: <ul style="list-style-type: none"> i. minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and minimal and environmental impact with respect to waste management and flooding, and ii. minimal and environmental impact with respect to waste management and flooding, and no impacts on biodiversity, soil and water, and/or Heritage items beyond those already approved under other conditions of this approval. iii. no impacts on biodiversity, soil and water, and/or Heritage items beyond those already approved under other conditions of this approval. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Boundary Screenings							
A24	Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land user(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A25	Boundary screening required under Condition A24 must minimise visual impacts on adjacent sensitive land user(s).	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Appointments							
A26	All Independent Appointments required by the conditions of this approval must hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A27	The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must: <ul style="list-style-type: none"> a) facilitate and assist the Planning Secretary in any such audit; and b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit 	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A28	Upon completion of an audit under Condition A27 above, the Planning Secretary may withdraw its approval of an Independent Appointment should they consider the Independent Appointment has not exercised their functions in accordance with this approval. <i>Note: Conditions A27 and A28 apply to all Independent Appointments including the ER, AA and Independent Auditor.</i>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Environment Representative							
A29	Work must not commence until an Environmental Representative (ER) has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A30	The proposed ER must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in Condition A1, is independent from the design and construction personnel for the CSSI and those involved in the delivery of it and engaged for the duration of work and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A31	The Proponent may engage more than one ER for the CSSI, in which case the functions to be exercised by an ER under the conditions of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of the CSSI. The ER must meet the requirements of the Department's Environmental Representative Protocol (DPE, 2018). The appointment of the ER must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020).	Full Compliance	Full Compliance	Not subject to audit at this time.			
A32	For the duration of the work or as agreed with the Planning Secretary, the approved ER must be enabled to : <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of the CSSI; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval; c) consider and recommend to the Proponent improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; d) review documents identified in Conditions A14, A21, C1, C5 and C14 and any other documents that are identified by the Planning Secretary, to ensure be satisfied that they are consistent with requirements in or under this approval and if so: <ul style="list-style-type: none"> i. must make a written statement to this effect before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or ii. must make a written statement to this effect before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department); iii. provide a written statement to the Planning Secretary advising the documents have been endorsed. e) for documents that are required to be submitted to the Planning Secretary / Department for information under (d)(ii) above, the documents must be submitted as soon as practicable to the 	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<p>Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary;</p> <p>f) regularly monitor the implementation of the documents listed in Conditions A14, A21, C1, C5 and C14 to ensure be satisfied that implementation is being carried out in accordance with the document and the conditions of this approval;</p> <p>g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A41;</p> <p>h) as may be requested by the Planning Secretary, assist in the resolution of community complaints received directly by the Department;</p> <p>i) consider or assess the impacts of minor ancillary facilities comprising lunch sheds, office sheds and portable toilet facilities as required by Condition A23 of this schedule and where satisfied endorse; and</p> <p>j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive receivers, and are consistent with the conditions of this approval and the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the conditions of this approval;</p> <p>k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the CSSI, or as otherwise agreed by the Planning Secretary; and</p> <p>l) assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p>						
A33	<p>The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A32 (including preparation of the ER monthly report), as well as:</p> <p>a) the Complaints Register (to be provided on a weekly basis or as requested); and</p> <p>b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
Acoustics Advisor							
A34	Work must not commence until an AA has been nominated by the Proponent and approved by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
A35	A suitably qualified and experienced Acoustics Advisor(s) (AA) in noise and vibration management, who is independent of the design and construction personnel, must be nominated by the Proponent and engaged for the duration of work (as required by Condition A34) and for no less than six (6) months following completion of construction of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A36	The Proponent may nominate additional suitably qualified and experienced persons, for the Planning Secretary's approval, to assist the lead AA.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A37	<p>The approved AA must:</p> <ul style="list-style-type: none"> a) receive and respond to communication from the Planning Secretary in relation to the performance of the CSSI in relation to noise and vibration; b) consider and inform the Planning Secretary on matters specified in the conditions of this approval relating to noise and vibration; c) consider and recommend, to the Proponent, improvements that may be made to avoid or minimise adverse noise and vibration impacts; d) review all proposed night-time works (with the exception of low risk activities) to determine if sleep disturbance would occur and recommend measures to avoid sleep disturbance or appropriate additional alternative mitigation measures; e) review all noise and vibration documents required to be prepared under the conditions of this approval and, should they be consistent with the conditions of this approval, endorse them before submission to the Planning Secretary (if required to be submitted to the Planning Secretary) or before implementation (if not required to be submitted to the Planning Secretary); f) regularly monitor the implementation of all noise and vibration documents required to be prepared under the conditions of this approval to ensure implementation is in accordance with what is stated in the document and the conditions of this approval; g) review the Proponent's notification of incidents in accordance with Condition A45; h) in conjunction with the ER (where required), the AA must: <ul style="list-style-type: none"> i. as may be requested by the Planning Secretary or Community Complaints Mediator (required by Condition B8), help plan, attend or undertake audits of noise and vibration management of the CSSI including briefings, and site visits, ii. in the event that conflict arises between the Proponent and the community in relation to the noise and vibration performance of the CSSI, follow the procedure in the Overarching Community Communication Strategy referenced in Condition B1 to attempt to resolve the conflict, and if it cannot be resolved, notify the Planning Secretary, iii. if requested by the ER, consider relevant minor amendments made to the Site Establishment Management Plan, CEMP, relevant sub-plans and noise and vibration monitoring programs that require updating or are of an administrative nature, and are consistent with the conditions of this approval and the management plans and monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, endorse the amendment, (this does not include any modifications to the conditions of this approval), iv. if requested by the ER, review the noise impacts of minor ancillary facilities, and v. prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, a Monthly Noise 	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
	and Vibration Report detailing the AA's actions and decisions on matters for which the AA was responsible in the preceding month. The Monthly Noise and Vibration Report must be submitted within seven (7) days following the end of each month for the duration of the AA's engagement for the CSSI, or as otherwise agreed by the Planning Secretary.						
A38	The Proponent must cooperate with the AA by: <ul style="list-style-type: none"> a) providing access to noise and vibration monitoring activities as they take place; b) providing access to the Complaints Register if requested; c) providing for review of noise and vibration documents required to be prepared under the conditions of this approval; and d) considering any recommendations to improve practices and demonstrating, to the satisfaction of the AA, why any recommendation is not adopted. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Notification of Commencement							
A39	The Department must be notified in writing of the date of commencement of construction before the commencement of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A40	If construction of the CSSI is to be phased, the Department must be notified in writing before the commencement of each phase, of the date of the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Independent Environmental Audit							
A41	Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020). Notwithstanding the above, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary before commencement of the first audit and implemented throughout construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A42	Proposed independent auditors must be approved by the Planning Secretary before the commencement of an Independent Audit.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A43	The Planning Secretary may require the initial and subsequent Independent Audits to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A44	Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
Incident and Non-Compliance Notification and Reporting							
A45	The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call. The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident. Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	Full Compliance	Full Compliance	To be audited in August 2025	Incident records current to 31/08/25	The auditees have not identified any notifiable incidents during the audit period. The incident register was sighted and the auditor is satisfied that no notifiable incidents were recorded during the audit period.	NT
A46	The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the conditions of this approval.	Full Compliance	Full Compliance	To be audited in August 2025	Non-compliance report 01/05/25 (non-compliance with D77 as reported in the previous audit report) and DPHI post approval portal lodgement PA-192 Non-compliance report 24/07/25 (non-compliance with C10 due to spoil load out not being identified as an activity in The Bays Ancillary Facility Assessment Car Parking, Storage and Truck Marshalling and referred to in the ER Approval letter for the CEMP (Rev 7) dated 28 May 2025) and DPHI post approval portal lodgement 24/07/25 Non-compliance report 02/05/25 (non-compliance with D37 as reported in the previous audit report) and DPHI post approval portal lodgement PA-193) Non-compliance report 13/03/25 (non-compliance with C23 as reported in the previous audit report) and DPHI post approval portal lodgement PA-180)	4 x non-compliances were reported during the audit period, 3 x of which related to findings from the previous audit (and thus are not discussed further) and 1 x which related to a non-compliance identified during the current audit period: Non-compliance report 24/07/25 (non-compliance with C10 due to spoil load out not being identified as an activity in The Bays Ancillary Facility Assessment Car Parking, Storage and Truck Marshalling and referred to in the ER Approval letter for the CEMP (Rev 7) dated 28 May 2025). This was reported in accordance with A46/A47.	C
A47	A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance. Note: A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.	Full Compliance	Full Compliance	To be audited in August 2025	Non-compliance report 01/05/25 (non-compliance with D77 as reported in the previous audit report) and DPHI post approval portal lodgement PA-192 Non-compliance report 24/07/25 (non-compliance with C10 due to spoil load out not being identified as an activity in The Bays Ancillary Facility Assessment Car Parking, Storage and Truck Marshalling and referred to in the ER Approval letter for the CEMP (Rev 7) dated 28 May 2025) and DPHI post approval portal lodgement 24/07/25 Non-compliance report 02/05/25 (non-compliance with D37 as reported in the previous audit report) and DPHI post approval portal lodgement PA-193) Non-compliance report 13/03/25 (non-compliance with C23 as reported in the previous audit report) and DPHI post approval portal lodgement PA-180)	4 x non-compliances were reported during the audit period, 3 x of which related to findings from the previous audit (and thus are not discussed further) and 1 x which related to a non-compliance identified during the current audit period: Non-compliance report 24/07/25 (non-compliance with C10 due to spoil load out not being identified as an activity in The Bays Ancillary Facility Assessment Car Parking, Storage and Truck Marshalling and referred to in the ER Approval letter for the CEMP (Rev 7) dated 28 May 2025). This was reported in accordance with A46/A47.	C
Identification of Project							
A48	The CSSI name, application number, telephone number, postal address and email address required under Condition B3 must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under Condition B11.	Full Compliance	Full Compliance	Not subject to audit at this time.			
A49	The locations of all heavy vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available to the Planning Secretary and the EPA upon request for a period of no less than one year following the completion of construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
PART B: COMMUNITY INFORMATION AND REPORTING							
Community Information, Consultation and Involvement							
B1	<p>Community Notification</p> <p>The Overarching Community Communication Strategy as provided in the documents listed in Condition A1 must be implemented for the duration of the work.</p> <p>Should the Overarching Community Communication Strategy be updated, a copy must be provided to the Planning Secretary for information.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p> <p>DPHI Post Approval portal lodgement, 13/08/24 (submission of updated OCCS)</p> <p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website)</p> <p>Consultation Manager (CM) online database</p> <p>Complaints register current to 31/08/25</p> <p>Hunter Street 6 monthly newsletters (issued 500m around site, includes 6 – monthly look ahead).</p> <p>Hunter Street standard monthly notification, August 2025 including the look ahead.</p> <p>Pymont Newsletter June 2025, activities occurring and Pymont Open Day from 06/04/25, and look ahead.</p> <p>Notification of temporary of Union Street July 2025 (issued 200m radius). Note the auditor sighted this set up on the way to work.</p> <p>Hunter Street Heritage Day, 18/05/25 (~370 people in attendance)</p> <p>The Bays Monthly Update, August 2025, including look ahead (issued 200m radius)</p> <p>Letterbox drop distribution GPS map of letterbox drop (tracking of person/s doing the letterbox drop as verification all).</p> <p>Hunter Street and Pymont Newsletters, December 24 (includes 6-month look ahead, details of heritage and hours of work etc).</p> <p>Dodgy Sounds engagement records, 12-13/08/25 (organising meeting to discuss noise predictions from works planned for mid-late September).</p> <p>Monthly Vibration Report, issued to targeted stakeholders in Pymont (monthly), March – July 2025.</p> <p>https://www.sydneymetro.info/tunnelling and https://www.sydneymetro.info/sydney-metro/journey-sydney-metro-west-tunnel-boring-machines (TBM tracker)</p> <p>Pymont Open day, Pymont East 16/08/25 (~248 people attended).</p> <p>Pymont Bridge Pop-up 15/08/25</p> <p>Hunter Street Open day, 18/05/25 and event survey data.</p>	<p>Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. The OCCS underwent a review and was updated on 31/07/24. The document was resubmitted to on 13/08/24.</p> <p>Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period.</p> <p>The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project.</p> <p>The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder</p> <p>There is also a subordinate Community Communications Strategy that has been prepared by JCG. This document has been prepared in a manner consistent with that specified by Section 2 of the OCCS.</p> <p>The CCS is regularly monitored and reviewed to meet the requirements listed in OCCS Table 6. Evidence of implementation of the OCCS was sighted as follows:</p> <ul style="list-style-type: none"> - Community Day records - Comms with specific stakeholders are tailored depending on needs (Merivale via text, several stakeholders at Pymont as door knock, direct emails with Studios adjacent Pymont - Distribution of works notifications - Project updates - Specific reports issued to key stakeholders - Signage <p>Consultation Manager was reviewed against the complaints register. The auditor notes that the register is a summary extract from information captured in the Consultation Manager database.</p> <p>Reviewed complaint 6330 and the CM record reflected the complaints register. The information was consistent. This complaint was responded to and closed on 15/08/25.</p> <p>Review complaint 6160. The complaint regarding property damage at the Radisson associated with the removal of hoarding. JCG did not have any pre-condition evidence and thus paid for the repairs out of good will. The information was consistent. No further issues.</p> <p>Review complaint 6126. The complaint regarding driver behaviour resulted in the stand down of the driver. Evidence sighted</p>	C

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		Phase G1	Phase G2				
					<p>Consultation Manager records and associated evidence for complaints 6160, 6126, 6087.</p> <p>Email 14/07/25 (stakeholder to JCG): Request for resident at Hunter street for hammering to pause during a family event. JCG paused hammering to accommodate and received positive feedback.</p> <p>Email 15/08/25 JCG and Merivale 2 week lookahead for hammering that could impact on their ballroom. (issued weekly).</p> <p>The newsletters that go out have a subscribe option, which helps ensure that people subscribe with current details.</p> <p>JCG Community Benefits Plan Initiative Impact Register, current to 27/06/25 (tracker of community benefit initiatives, showing work completed / in progress)</p> <p>JCG Project Progress Reports (Community and Community benefits Sections), march to August 2025</p> <p>ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly), 12/08/25 (includes community feedback)</p>	<p>demonstrates that the haulage company also raised and incident form and the driver was stood down between 24/03/25 and 02/04/25.</p> <p>Review complaint 6087: complaint about noise from the workshop at Pymont East, which is permissible 24/7 under condition D23(b). Metro responded and completed a tool box talk with the team. The evidence sighted demonstrates that the follow up tool box was completed on 12 and 13/03/25. The action aligned with the complaints register action stated.</p> <p>According to Metro the survey data from the open days has not resulted in the need to alter Metro's engagement approach. Feedback has been positive and by and large, people request more open days.</p> <p>Email 14/07/25 (stakeholder to JCG): Request for resident at Hunter street for hammering to pause during a family event. JCG paused hammering to accommodate and received positive feedback.</p> <p>The JCG Project Progress Reports (Community and Community benefits Sections) that is reported to Sydney Metro each month, provides updates on key metrics / deliverables under the comms strategy. The reports indicate that milestones are being achieved for the audit period.</p> <p>Positive observation: The evidence sighted indicates that the Community Communications Strategy has been implemented to a very high standard. Communications are clear, distributed on time and to the relevant stakeholders. Outreach events are progressing and are receiving strong attendance and positive feedback.</p>	
Complaints Management System							
B2	A Complaints Management System must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of the CSSI.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Complaints Management System, Sydney Metro, 05/09/25</p> <p>Interview with auditees 21-22/08/25</p> <p>Consultation Manager (CM) online database</p> <p>Complaints register current to 31/08/25</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. ETP is also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>The data required under the OCCS and B4 has been captured.</p> <p>Every complaint is logged into Consultation Manager platform (shared between contractor and Metro). It is shared daily to allow immediate reporting with third parties, as necessary. The complaint is distilled by comms team reflective of the purpose and audience of the complaints register. Majority of complaints are received through emails.</p>	C
B3	<p>The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:</p> <p>a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI;</p> <p>b) a postal address to which written complaints and enquires may be sent;</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Community Information line (1800 612 173)</p> <p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p>	<p>The Project works notifications includes contact details as required by this condition. Works updates are directly dropped to community.</p> <p>Complaint mediation system is described in the OCCS and each of the Community Communications Strategies.</p>	C

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		Phase G1	Phase G2				
	<p>c) an email address to which electronic complaints and enquiries may be transmitted; and</p> <p>d) a mediation system for complaints unable to be resolved.</p> <p>This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.</p>				<p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website)</p> <p>Consultation Manager (CM) online database</p> <p>Complaints register current to 31/08/25</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/how-to-make-a-complaint</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>https://www.sydneymetro.info/documents</p> <p>https://caportal.com.au/tfnsw/sydneywest</p> <p>https://www.sydneymetro.info/website-accessibility</p>	<p>The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The auditees are not aware of any complaints requiring escalation during the audit period.</p> <p>Signage on the Project construction sites includes the 1800 number and a QR code for community engagement.</p>	
B4	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <ol style="list-style-type: none"> number of complaints received; date and time of the complaint; number of people in the household affected in relation to a complaint, if relevant; method by which the complaint was made; any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect; issue of the complaint; means by which the complaint was addressed and whether resolution was reached, with or without mediation; and if no action was taken, the reason(s) why no action was taken. 	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Complaints Management System, Sydney Metro, 05/09/25</p> <p>Complaints Privacy Collection Notice</p> <p>Construction Complaints Management System, 20/10/2023 Issue 3</p> <p>Consultation Manager (CM) online database</p> <p>Complaints register current to 31/08/25</p>	<p>Sydney Metro operates an overarching complaints register via the Consultation Manager platform. ETP is also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.</p> <p>The Complaints Management System and register define whether a complaint is:</p> <ul style="list-style-type: none"> 'unavoidable', that is a complaint 'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals.' or 'avoidable' that is a complaint 'about issues outside planning approval, or a commitment that has been given to the community or stakeholders.' <p>According to the Complaints Management System, complaints classed as unavoidable must be supported by a justification. 79 x complaints were recorded during the audit period (essentially steady with the previous audit period), five of which were not related to the project.</p> <p>~74% relate to noise during standard or OOHW with the remainder made up of a mix of issues relating to traffic/access/parking, air quality, property acquisition, worker behaviour.</p> <p>12 x were considered avoidable, 60 x unavoidable, and (at the time of writing) two still under investigation.</p>	C
B5	<p>Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:</p> <ol style="list-style-type: none"> the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties; by providing personal information, the complainant authorises the Proponent to provide that information to government agencies; the supply of personal information by the complainant is voluntary; and the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement). <p>The Collection Statement must be included on the Proponent or development website to make prospective complainants aware of their rights under the</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/complaints-privacy-collection-notice</p> <p>Email auto reply for community email (example only)</p> <p>Community Information line (1800 612 173)</p> <p>Complaints register current to 31/08/25</p>	<p>The collection statement is available on the Sydney Metro website. The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act and directs the complainant to the Collection Notice on the website for further information.</p> <p>The collection notice provides the relevant details in accordance with this condition.</p> <p>An automatic email response is sent to the complainant and when the complaint is made by phone, this is directly addressed on the call.</p>	C

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement.						
B6	The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request. <i>Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties.</i>	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25 Complaints register current to 31/08/25 DPHI Post approval portal lodgement records, 1/03/24 – 31/08/25 (submission of complaints register to DPHI)	Sydney Metro West (for both Stage 1 & 2) Complaints Register is issued to the DPHI on a weekly basis. Refer B5 regarding notification about use of personal information.	C
B7	A Community Complaints Mediator that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the Overarching Community Communication Strategy.	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25 SM appointment letter for ER, AA, CCM and IPIAP dated 5/12/22 DPE approval letter for Appointment of Experts (ER, AA, IPIAP and CCM) 21/12/22 Complaints register current to 31/08/25	Appointment letter for ER, AA and CCM and IPIAP dated 5/12/2022. Letter was submitted to DPE on the 28/11/2022 and approved on the 21/12/2022. Nominated CCM: Steve Lancken from Negocio Resolutions. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	C
B8	The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.	Full Compliance	Full Compliance	To be audited in August 2025	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website) Interview with auditees 21-22/08/25 Complaints register current to 31/08/25	The Mediator Standards Board website (https://msb.org.au/mediators) confirms that Mr. Stephen Lancken is accredited under the NMAS (ref. 130-3710) by the Resolution Institute. A very high-level description of the CCMs role is included in the OCCS and CCS. The auditees are not aware of any complaints having been referred to the Mediator by the ER.	C
B9	Community Complaints Mediation will: a) review any unresolved disputes, referred by the ER in accordance with the Overarching Community Communication Strategy; b) make recommendations to the Proponent to satisfactorily address complaints, resolve disputes or mitigate against the occurrence of future complaints or disputes.	Full Compliance	Full Compliance	To be audited in August 2025	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website) Interview with auditees 21-22/08/25 Complaints register current to 31/08/25	The auditees are not aware of any complaints having been referred to the Mediator by the ER.	NT
B10	Community Complaints Mediation will not be enacted before the Complaints Management System required by Condition B2 has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.	Full Compliance	Full Compliance	To be audited in August 2025	Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25 Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website) Interview with auditees 21-22/08/25 Complaints register current to 31/08/25	The auditees are not aware of any complaints having been referred to the Mediator by the ER.	NT
Provision of Electronic Information							
B11	A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all phases of construction of the CSSI. Up-to-date information (excluding	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25	The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractor.	C

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	<p>confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <ul style="list-style-type: none"> a) information on the current implementation status of the CSSI; b) a copy of the documents listed in Condition A1, and any documentation relating to any modifications made to the CSSI or the conditions of this approval; c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its conditions), and copies of any approval granted by the Minister to a modification of the conditions of this approval, or links to the referenced documents where available; d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI, or where the issuing agency maintains a website of approvals, licences or permits, a link to that website; e) a current copy of each document required under the conditions of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and f) a copy of the audit reports required under this approval. <p>Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation.</p> <p>All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p> <p><i>Note: The intention of this condition is to increase transparency and for information/documents required as part of the approval to be provided proactively and publicly in an easily accessible manner. Where information is excepted by this condition, it is intended that these documents are provided in their redacted form.</i></p>				<p>Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites).</p> <p>https://www.sydneymetro.info/west/project-overview</p> <p>https://www.sydneymetro.info/get-touch</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.sydneymetro.info/station/pyrmont-station</p> <p>https://www.sydneymetro.info/station/hunter-street-station</p> <p>https://www.sydneymetro.info/west/environment-planning</p> <p>https://icgqv.com.au/documents/</p> <p>https://icgqv.com.au/contact-us/</p> <p>https://www.sydneymetro.info/documents</p> <p>https://caportal.com.au/tfnsw/sydnemetrowest</p> <p>https://www.sydneymetro.info/media/document/39296</p>	<p>The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise.</p> <p>Refer to the March 2024 audit for details on the process of uploading information to each of the project websites.</p> <p>The Auditor notes that construction commenced prior to the current audit period. Therefore the extent to which documents must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation (as per B11e)) is limited relative to previous audit periods. These documents generally pertain to updates to existing approved documents, or project notifications / updates, new or addendum DNVISs / CTMPs / MAF applications and the like. As far as the Auditor can ascertain from the review of the Sydney Metro and Contractor websites, the documents were uploaded prior to the relevant works having commenced.</p> <p>According to JCG there are 7 x DNVISs in effect for the audit period and there are 23 x DNVISs (including addendums) on the JCG website (i.e.: 16 x documents are either no longer in effect due to the relevant works having been completed, or are addendums to existing documents).</p>	
PART C: CONSTRUCTION ENVIRONMENTAL MANAGEMENT							
Construction Environmental Management Plan							
C1	Construction Environmental Management Plans (CEMPs) and CEMP Sub-plans must be prepared in accordance with the Construction Environmental Management Framework (CEMF) included in the documents listed in Condition A1 to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 will be implemented and achieved during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C2	With the exception of any CEMPs expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMPs must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C3	The CEMP(s) not requiring the Planning Secretary's approval must be submitted to the ER for endorsement no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase. That CEMP must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2																						
C4	Any CEMP to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.																					
C5	<p>Of the CEMP Sub-plans required under Condition C1, the following CEMP Sub-plans must be prepared in consultation with the relevant government agencies identified for each CEMP Sub-plan. Details of issues raised by a government agency during consultation must be included in the relevant CEMP Sub-plan, including copies of all correspondence from those government agencies as required by Condition A6. Where a government agency (ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why:</p> <table border="1"> <thead> <tr> <th></th> <th>Required CEMP Sub-plan</th> <th>Relevant government agencies to be consulted for each CEMP Sub-plan</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>b)</td> <td>Flora and fauna</td> <td>DPE Environment and Heritage Group, DPI Fisheries, and Relevant Council(s)</td> </tr> <tr> <td>c)</td> <td>Heritage (Non-Aboriginal and Aboriginal)</td> <td>Heritage NSW, Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>d)</td> <td>Spoil</td> <td>Relevant Council(s)</td> </tr> <tr> <td>e)</td> <td>Soil and water</td> <td>DPE Water and Relevant Council(s)</td> </tr> </tbody> </table>		Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan	a)	Noise and vibration	Place Management NSW (in respect of The Bays) and Relevant Council(s)	b)	Flora and fauna	DPE Environment and Heritage Group, DPI Fisheries, and Relevant Council(s)	c)	Heritage (Non-Aboriginal and Aboriginal)	Heritage NSW, Place Management NSW (in respect of The Bays) and Relevant Council(s)	d)	Spoil	Relevant Council(s)	e)	Soil and water	DPE Water and Relevant Council(s)	Full Compliance, except for (b), (c) and (d).	Full Compliance	Not subject to audit at this time.			
	Required CEMP Sub-plan	Relevant government agencies to be consulted for each CEMP Sub-plan																							
a)	Noise and vibration	Place Management NSW (in respect of The Bays) and Relevant Council(s)																							
b)	Flora and fauna	DPE Environment and Heritage Group, DPI Fisheries, and Relevant Council(s)																							
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d)	Spoil	Relevant Council(s)																							
e)	Soil and water	DPE Water and Relevant Council(s)																							
C6	<p>The CEMP Sub-plans must state how:</p> <ul style="list-style-type: none"> a) the environmental performance outcomes identified in the documents listed in Condition A1 will be achieved; b) the mitigation measures identified in the documents listed in Condition A1 will be implemented; c) the relevant conditions of this approval will be complied with; and d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles. 	Full Compliance	Full Compliance	Not subject to audit at this time.																					
C7	With the exception of any CEMP Sub-plans expressly nominated by the Planning Secretary to be endorsed by the ER, all CEMP Sub-plans must be submitted to the Planning Secretary for approval.	Full Compliance	Full Compliance	Not subject to audit at this time.																					
C8	The CEMP Sub-plans not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in Condition A1. Any of these CEMP Sub-plans must be submitted to the ER with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.																					

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C9	Any of the CEMP Sub-plans to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is phased no later than one (1) month before the commencement of that phase.	Full Compliance	Full Compliance	Not subject to audit at this time.			
C10	Construction must not commence until the CEMP and all CEMP Sub-plans have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable), unless otherwise agreed by the Planning Secretary. The CEMP and CEMP Sub-plans, as approved by the Planning Secretary or endorsed by the ER (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction. Where construction of the CSSI is phased, construction of a phase must not commence until the CEMP and CEMP Sub-plans for that phase have been approved by the Planning Secretary or endorsed by the ER upon nomination by the Planning Secretary (whichever is applicable).	Full Compliance	Full Compliance	Implementation of Noise and Vibration CEMP Sub-plan and Heritage CEMP Sub-plan to be audited in August 2025.	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p>	<p>The Plans were endorsed prior to the current audit period. Refer to earlier audit reports for details</p> <p>Evidence indicated that the Sub-plans are for being implemented. Training, inspections, and monitoring is being implemented. A system or file directory for the recording, actioning, escalation and close out of actions (inspections, monitoring, deficiency management, incident / non-compliance management). Deficiencies in controls / incident / non-compliances are being identified and actioned. Induction records, toolbox talks and pre-starts and Activity Method Statement or work methodologies include the relevant requirements and have been communicated to the Project teams.</p> <p>Physical controls appear to have been implemented consistent with the documents.</p> <p>Consultation and engagement with subject matter experts has occurred and their advice appears to have been followed.</p> <p>The ER and AA have made minor recommendations to ensure the Plans are effectively implemented, predominantly around noise and vibration, rather than heritage, but these do not appear to indicate any contravention with the documents. Neither the ER nor AA have identified non-compliances in this respect.</p>	C

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					<p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>JCG Haulage truck Plant acceptance checklist template</p> <p>Plant onboarding and maintenance records for Concrete Truck (AG111) and Multi Service Vehicle (MSV15)</p> <p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>JCG Enviro Inspection records and Actions register, Soteria, current to 21/08/25</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Complaints register current to 31/08/25</p> <p>ER Monthly Reports, March – August 2025</p> <p>AA Monthly Reports, March – August 2025</p> <p>ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly), 12/08/25 (includes community feedback)</p> <p>Heritage management Sub-plan, JCG, 14/05/25</p> <p>Unexpected finds report 11/09/24 (well and curblin of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) and final site wide clearance 02/06/25</p> <p>Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).</p> <p>Weekly Instrumentation and Monitoring Reports, JCG.</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p> <p>Design Memo, PSM to JCG, 10/03/25 (heritage protection methodology)</p> <p>Consistency Assessment, Strengthening works to Skinners Family Hotel, 30/07/25 (including historical heritage impact assessment, AMBS, 29/07/25)</p> <p>Minor Ancillary facility, Hunter Street east, Rev C, ER approved 23/10/24 (prior to current audit period, but implemented during the audit period).</p> <p>SiteHive vibration monitoring results for Skinners Hotel, current to 21/08/25</p> <p>Kronos online alert records, Mar – Aug 25 for Skinners Hotel.</p>		

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		Phase G1	Phase G2				
					Detailed Noise and Vibration Impact Statement (DNVIS): Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025).		
C11	In addition to the relevant requirements of the CEMF, the Flora and fauna CEMP Sub-plan must address procedures to avoid, minimise, mitigate and manage impacts on native fauna prior to clearing of vegetation and demolition of potential microbat roost structures. The Flora and fauna CEMP Sub-plan must include, but not be limited to: <ul style="list-style-type: none"> a) preclearance surveys for vegetation and potential microbat roost structures that require demolition; b) Unexpected Microbat Find Procedure that: <ul style="list-style-type: none"> i. includes protocols in the event microbats are identified; ii. notification to the NSW Environment and Heritage Group in the event microbats and/or roosting sites are identified; and iii. details for undertaking and mitigating vegetation clearance. 	Not Applicable	Full Compliance	Not subject to audit at this time.			
C12	In addition to the relevant requirements of the CEMF, the Heritage CEMP Sub-plan must include, but not be limited to: <ul style="list-style-type: none"> a) be prepared in consultation with a suitably qualified and experienced heritage expert; b) identify exclusion zones, archival recording requirements, baseline and periodic monitoring protocols (including before and during construction); c) identify and assess the heritage significance of items identified as retaining 'potential heritage significance' in the documents listed in Condition A1 and which will be impacted by the CSSI; d) in association with Condition D44, set out the final site inspections to be conducted for the Post-Construction Condition Report within three (3) months of completion of construction for the following heritage sites unless otherwise agreed by the Planning Secretary: <ul style="list-style-type: none"> i. Former Skinners Family Hotel (SHR 00584); ii. NSW Club House Building (SHR 00145); iii. Former Bank – Delfin House (SLEP I1903); and iv. Richard Johnson Square (SLEP I1673). e) set out means of rectification of damage by the CSSI to Heritage items (d)(i) to (d)(iv) above within six (6) months of the completion of construction at the construction site identified in the relevant Heritage CEMP Sub-plan. This rectification work must be in consultation with a suitably qualified and experienced heritage consultant to ensure the use of appropriate materials, appropriate conservation practices and in accordance with existing heritage management documents (for example, conservation management plans or strategies) to protect and conserve the heritage significance of the items. <p>The Heritage CEMP Sub-plan must include Aboriginal cultural heritage management and mitigation measures (that may include conservation, archaeological salvage excavation and community collection) based on the Aboriginal Cultural Heritage Excavation Report and continuing Aboriginal community consultation.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
C13	In addition to the relevant requirements of the CEMF, the Soil and Water CEMP Sub-plan must include, but not be limited to: <ul style="list-style-type: none"> a) details of construction activities and their locations which have the potential to expose areas known to contain, or potentially contain, contaminated soils and / or materials; 	Full Compliance	Full Compliance with respect to the White Bay Power Station and	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status												
		Phase G1	Phase G2																
	<p>b) measures for the handling, treatment and management of hazardous and contaminated soils and materials including measures to manage and / or minimise worker and public health and safety with regards to exposure to contamination; and</p> <p>c) a description of how the effectiveness of the actions and measures for managing contamination impacts would be monitored during the proposed works, clearly indicating how often this monitoring would be undertaken, the locations where monitoring would take place, and how the results of the monitoring would be recorded and reported.</p> <p>The contamination component of the Soil and Water CEMP Sub-plan must be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme.</p>		former State Abattoirs																
Construction Monitoring Programs																			
C14	<p>The following Construction Monitoring Programs must be prepared in consultation with the relevant government agencies identified for each to compare actual performance of construction of the CCSI against the performance predicted in the documents listed in Condition A1 or in the CEMP:</p> <table border="1"> <thead> <tr> <th></th> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for each Construction Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>a)</td> <td>Noise and vibration</td> <td>EPA, Place Management NSW (in respect of The Bays) and Relevant Council(s)</td> </tr> <tr> <td>b)</td> <td>Surface water quality</td> <td>DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)</td> </tr> <tr> <td>c)</td> <td>Groundwater</td> <td>DPE Water</td> </tr> </tbody> </table>		Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program	a)	Noise and vibration	EPA, Place Management NSW (in respect of The Bays) and Relevant Council(s)	b)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)	c)	Groundwater	DPE Water	Not Applicable	Full Compliance	Not subject to audit at this time.			
	Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program																	
a)	Noise and vibration	EPA, Place Management NSW (in respect of The Bays) and Relevant Council(s)																	
b)	Surface water quality	DPE Water, Relevant Council(s) and Sydney Water (if any Sydney Water assets are impacted)																	
c)	Groundwater	DPE Water																	
C15	<p>Each Construction Monitoring Program must provide:</p> <ul style="list-style-type: none"> a) details of baseline data available including the period of baseline monitoring; b) details of baseline data to be obtained and when; c) details of all monitoring of the project to be undertaken; d) the parameters of the project to be monitored; e) the frequency of monitoring to be undertaken; f) the location of monitoring; g) the reporting of monitoring results and analysis results against relevant criteria; h) details of the methods that will be used to analyse the monitoring data; i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts; j) a consideration of SMART principles; and k) any consultation to be undertaken in relation to the monitoring programs; and l) any specific requirements as required by Conditions C16 and C17. 	Not Applicable	Full Compliance	Not subject to audit at this time.															

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		Phase G1	Phase G2				
C16	<p>The Noise and Vibration Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) noise and vibration monitoring determined in consultation with the AA to confirm the best-achievable construction noise and vibration levels with consideration of all reasonable and feasible mitigation and management measures that will be implemented; b) for the purposes of (a), noise monitoring must be undertaken during the day, evening and night-time periods and within the first month of work as well as throughout the construction period and cover the range of activities being undertaken at the sites; and c) a process to undertake real time noise and vibration monitoring. The results of the monitoring must be readily available to the construction team, the Proponent, ER and AA. The Planning Secretary and EPA must be provided with access to the results on request. d) noise monitoring methods must be developed and carried out to meet the requirements of the EPA's Approved Methods for the Measurement and Analysis of Environmental Noise (EPA, 2022). 	Not Applicable	Full Compliance	Implementation of Noise and Vibration CEMP Sub-plan to be audited in August 2025.	Refer to evidence sighted in C22 and C23	Refer to finding in C22 and C23	C
C17	<p>Groundwater Construction Monitoring Program must include:</p> <ul style="list-style-type: none"> a) groundwater monitoring networks at each construction excavation site; b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality; c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources of the estuary or river and that of the stations or shafts; d) results from existing monitoring bores; e) monitoring and gauging of groundwater inflow to the excavations, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site; f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users; g) daily measurement of the amount of water discharged from the water treatment plants; h) water quality testing of the water discharged from treatment plants; i) management and mitigation measures and criteria; j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source; and k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and l) methods for providing the data collected to Sydney Water where discharges are directed to their assets. 	Not Applicable	Full Compliance	Not subject to audit at this time.			
C18	With the exception of any Construction Monitoring Programs expressly nominated by the Planning Secretary to be endorsed by the ER, all Construction Monitoring Programs must be submitted to the Planning Secretary for approval	Not Applicable	Full Compliance	Not subject to audit at this time.			
C19	The Construction Monitoring Programs not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the	Not Applicable	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
	commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.						
C20	Any of the Construction Monitoring Programs which require Planning Secretary approval must be endorsed by the ER and then submitted to the Planning Secretary for approval at least one (1) month before the commencement of construction or where construction is phased no later than one (1) month before the commencement of that phase.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C21	Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the ER has endorsed (whichever is applicable), all of the required Construction Monitoring Programs and all relevant baseline data for the specific construction activity has been collected.	Not Applicable	Full Compliance	Not subject to audit at this time.			
C22	The Construction Monitoring Programs, as approved by the Planning Secretary or the ER has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater.	Not Applicable	Full Compliance	Implementation of Noise and Vibration Monitoring Program and Groundwater Monitoring Program to be audited in August 2025.	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>AA Monthly Reports, March – August 2025</p> <p>Noise and Vibration Annual Monitoring Report 1 March 2024 to 31 March 2025, 07/05/25</p> <p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>SiteHive vibration monitoring results for Skinners Hotel, current to 21/08/25</p>	<p>The Noise and Vibration Monitoring Report was reviewed by the AA. It concluded that overall, mitigation measures outlined in the applicable DNVIS's and implemented during this reporting period and that overall background noise levels, especially during OOHW, were predominant compared to actual construction noise levels. Specific mitigation measures for OOHW are determined and implemented as required. Of note is the show cause and official caution for the Pymont scrubber noise event (covered in the previous audit report). Other than this event, JCG are of the view that the monitoring program and Sub-plan have been implemented.</p> <p>Data is being recorded in online modules (SiteHive, Soteria).</p> <p>Realtime attended and unattended noise and vibration monitoring is occurring at each site where real-time monitoring is required under the monitoring programs.</p> <p>Verification monitoring is being conducted at the commencement of each new OOHW activity and in response to complaints.</p> <p>Exceedances have been recorded and responded to (where related to Project activities, or have been deemed not associated to Project works).</p> <p>The AA reviews noise and vibration monitoring information and has not identified a non-compliance with this condition.</p>	C
C23	The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER, AA (where relevant) and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program. <i>Notes:</i> 1. Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan. 2. Data must be provided in an acceptable format for relevant regulatory agencies. 3. With regards to monitoring data to be provided to DPE Water, the format of the dataset must be both in a tabulated and electronic quality-controlled data (.csv, Excel) ready to use format.	Not Applicable	Full Compliance	Implementation of Noise and Vibration Monitoring Program and Groundwater Monitoring Program to be audited in August 2025.	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>DPHI post approval lodgment, 28/05/25 (noise vibration monitoring report)</p> <p>Noise and Vibration Annual Monitoring Report 1 March 2024 to 31 March 2025, 07/05/25</p> <p>https://icgiv.com.au/wordpress/wp-content/uploads/2025/06/Annual-NV-Monitoring-Report-24-25_Rev2_redacted.pdf</p> <p>AA Monthly Reports, May 2025</p>	<p>The noise and vibration monitoring report was prepared, reviewed by the AA, and submitted to the AA, ER and Department in accordance with the Noise and Vibration Monitoring Program.</p>	C

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		Phase G1	Phase G2				
PART D: KEY ISSUE CONDITIONS							
Air Quality							
D1	All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Flooding							
D2	<p>Unless otherwise agreed by the Planning Secretary, the CSSI must be designed and constructed to not worsen flooding characteristics within and in the vicinity of the CSSI. Not worsen existing flooding characteristics means the following:</p> <ul style="list-style-type: none"> a) a maximum increase in inundation time of one hour during any flood event up to and including a one (1) per cent Annual Exceedance Probability (AEP) flood event; b) a maximum increase of 10 mm in inundation at properties where floor levels are currently exceeded during any flood event up to and including a one (1) per cent AEP flood event; c) a maximum increase of 50 mm in inundation of land at properties where floor levels would not be exceeded during any flood event up to and including a one (1) per cent AEP flood event; and d) no inundation of floor levels which are currently not inundated during any flood event up to and including a one (1) per cent AEP flood event. <p>Measures identified in the documents listed in Condition A1 of this schedule to not worsen flooding characteristics or measures that achieve the same outcome must be incorporated into the detailed design. The incorporation of these measures must be reviewed and endorsed by a suitably qualified and experienced person in consultation with directly affected landowners, DPE Water, DPI Fisheries, Environment and Heritage Group, NSW State Emergency Service (SES) and relevant Councils.</p> <p>Where flooding characteristics exceed the levels identified in (a), (b), (c), (d) above, the Proponent must undertake the following:</p> <ul style="list-style-type: none"> a) consult with property owners for properties adversely flood affected as a result of the CSSI and mitigate where necessary; and b) consult with the NSW State Emergency Service (SES) and relevant Councils regarding the management of any residual flood risk beyond the 1 per cent AEP flood event and up to the probable maximum flood. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
D3	<p>Flood information including flood reports, project flood models, data and geographic information system outputs, must be provided to the relevant Council, Environment and Heritage Group and the SES. The Council, Environment and Heritage Group and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction.</p> <p>Information requested by the relevant Council, Environment and Heritage Group or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the relevant Council, Environment and Heritage Group and the SES.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Heritage							
D4	<p>Non-Aboriginal Heritage</p> <p>The Proponent must not destroy, modify or otherwise affect any Heritage item not identified in documents referred to in Condition A1. Unexpected heritage finds identified by the CSSI must be managed in accordance with the Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022) outlined in the documents list under Condition A1. Consideration of avoidance and redesign to protect state significant unexpected finds must be addressed where this condition applies.</p> <p>Note: Affect in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Heritage management Sub-plan, JCG, 14/05/25</p> <p>Unexpected finds report 11/09/24 (well and curblin of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) and final site wide clearance 02/06/25</p> <p>Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).</p>	<p>According to the auditees, there was one unexpected heritage find during the previous audit period. A well and curblin of stone and bricks De Mestre Place. This was later determined to not be an unexpected find as it was identified in the ARD. AMBS was on site at the time of the find. The well was isolated and assessed and a report raised in accordance with the procedure. The ARD was implemented and proper investigation proceeded by AMBS Excavation Director. Several artefacts were retrieved, but all the brick work and well was recorded then able to be discarded. A clearance certificate has been issued and works were able to proceed under D4 and D15 with the findings included in the Final Archaeological Report under D17.</p> <p>No unexpected finds have been recorded during the current audit period.</p> <p>Refer to D5-D18 regarding impacts and management to known heritage items.</p>	C
D5	Before installing acoustic treatment at any heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained to guide installation to minimise impact to the heritage significance of the item or fabric.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>Interview with auditees 21-22/08/25</p>	No property treatment has been required on the project during the audit period.	NT
D6	Before commencement of excavation at the Hunter Street metro station construction site, investigations must be carried out to confirm and record the location, depth, integrity, extent and condition of the Tank Stream (SHR item no. 00636) and Bennelong Stormwater Channel No. 29A (Sydney Water s170 item no. 4570854). Survey investigations must be supervised by a suitably qualified heritage consultant in consultation with Sydney Water. The results of the investigations must be incorporated in the relevant final Heritage Report.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>Interview with auditees 21-22/08/25</p> <p>Tank Stream Cross Section, RPS, 03/12/21</p> <p>Tank Stream Sections, CRM, Dec 2021</p> <p>Dilapidation Inspection Reports, Bennelong Stormwater and Tanks Stream, RPS/SAS TTIJV, December 2021 and September 2021</p> <p>Preliminary Advice: Sydney Metro West Construction Sites Hunter Street: Archaeology, CRM, 20/12/21 (prelim assessment on Tank Stream and Bennelong Stormwater)</p> <p>Design Memorandum from PSM (Designer Consultant), 21/7/2023 re. Sydney Metro West ETP – Sydney Water Tank Stream Asset – Specialist Engineering</p> <p>Letter from SW to JCG with approval for building over/adjacent to Sydney Water Asset (Tank Stream), 11/8/23</p> <p>Weekly Instrumentation and Monitoring Reports, JCG.</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p> <p>Design Memo, PSM to JCG, 10/03/25 (heritage protection methodology)</p>	<p>The Auditor understands that this condition is referring to activities at Hunter Street West.</p> <p>Excavation at Hunter Street East is not yet proximal to the Tank Stream and Bennelong Stormwater Channel. Notwithstanding these investigations were carried out. A dilapidation survey was conducted on the Bennelong Stormwater. The dilapidation survey was prepared in conjunction with the written advice from a heritage specialist. Sydney Metro and JCG advise that the final Heritage Report will be prepared prior to completion of construction.</p> <p>A Design Memorandum from PSM (Design Consultant) indicates that given the predicted negligible consequence category, long term structural integrity of the assets is unlikely to be compromised, subject to further analysis. Sydney Water comments are included in Appendix A of the Memorandum.</p> <p>Sydney Water has issued an approval for building over/adjacent to Sydney Water Asset on the 11/8/2023, therefore the previous observation has been resolved.</p> <p>On 10/03/25 PSM provided a protection measures methodology in accordance with NAH3.</p> <p>Excavation proceeded beyond the Tank Stream during the previous audit period and the auditees advise that the ground conditions were better than expected. JCG are not aware of any impacts having occurred during the audit period. The concrete casing at Hunter Street West remains in place.</p>	C
D7	During construction, the Proponent must implement protective measures to prevent adverse impacts to the heritage significance of the former Skinners Family Hotel. Before installing such measures, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by</p>	Refer to earlier audit reports for historical information regarding protective measures assessed, approved and implemented on the Skinners Family Hotel. As noted in those reports, investigations conducted by JCG and Sydney Metro determined that significant	C

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		Phase G1	Phase G2				
	the heritage significance of the item. Protection measures must also consider and avoid potential impacts to significant historical archaeology and seek the advice from the Excavation Director approved under Condition D16 below.				<p>built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology)</p> <p>Environmental Review, Skinners basement temporary electrical works, determined 22/05/24, (including AMBS email 09/05/24 (their technical review of the environmental review)</p> <p>Consistency Assessment, Skinners hotel roof modification, determined 31/05/24 (including AMBS letter to JCG 30/05/24)</p> <p>Environmental Review, Skinners Hotel investigations, determined 09/08/24 (and Letter AMBS to JCG 07/08/24)</p> <p>Memo, AMBS to JCG, Hunter Street West – Skinners Hotel, 296 Goerge Street, Protective Measures for demolition of surrounding structures, 27/09/24</p> <p>Letter TKD to JCG, Protective measures for Skinners Hotel Statement of Heritage Impact Addenda, 20/09/24</p> <p>Consistency Assessment, Structural works to Skinners Family Hotel, 21/10/24</p> <p>Skinners Family Hotel structural protection presentation from Sydney Metro to Heritage NSW, 05/12/24</p> <p>Meeting Minutes, 05/12/24 (Heritage NSW and JCG and Sydney Metro)</p> <p>Consistency Assessment, Strengthening works to Skinners Family Hotel, 30/07/25 (including historical heritage impact assessment, AMBS, 29/07/25)</p> <p>Interview with auditees 21-22/08/25</p> <p>Inspection 21/08/25</p> <p>Kronos online database and property reports (various, including Skinners Hotel and 127 Pyrmont Street).</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p>	<p>monitoring and structural support was required as a result of deficiencies in the building's structure and wind loading as a result of demolition of the surrounding buildings. These investigations and methodologies for monitoring and protection have been developed and implemented in consultation with the Excavation Director</p> <p>During the current audit period, an additional CA was prepared in consideration of additional wind load risk and angled wall. The CA was prepared in consultation with the Excavation Director.</p> <p>Protection was sighted on the day of the inspection and according to the JCG I&M team there have been 2 x departures recorded by the tilt meters on the north western wall. Investigations were conducted involving validation of the data by survey and it was determined that there was no unacceptable tilt and that both were a result of temperature fluctuations on the tiltmeter instrument.</p> <p>Vibration monitoring is being conducted at both ground floor and levels 1 and 3. Data was sighted. There were seven exceedances of the 25mm.s criteria, but each was investigated and determined to be localised disruption as opposed to vibration through the building.</p>	
D8	<p>The Former Skinners Family Hotel, Tank Stream, Bennelong Stormwater Channel No. 29A, NSW Club house Building, Delfin House, Richard Johnson Square, Railway Cutting (Pyrmont), and St James Railway Station must not be destroyed, modified or otherwise affected, except as identified in the documents listed in Condition A1.</p> <p><i>Note: Affected in this condition means any impact above "little to no impact" as defined in the Material Threshold Policy (Heritage NSW, 2020).</i></p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Refer D6 and D7 regarding the Tank Stream and Skinners Hotel.</p> <p>Minor Ancillary facility, Hunter Street east, Rev C, ER approved 23/10/24 (prior to current audit period, but implemented during the audit period).</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>Interview with auditees 21-22/08/25</p>	<p>Refer D6 and D7 regarding the Tank Stream and Skinners Hotel.</p> <p>A MAF has been established at Richard Johnson Square. Protective measures have been built in to ensure the monument and steps are not adversely impacted.</p> <p>The auditees advise that the other heritage buildings are outside the safe working distances have not experienced any damage or impact.</p>	C
D9	Where Heritage items, or items assessed to be of local heritage significance in the documents listed in Condition A1, are proposed to be fully or partially destroyed, heritage salvage must occur in consultation with a suitably qualified heritage specialist. The Proponent must develop a significant fabric and moveable heritage salvage register. The register must identify significant items to be salvaged. Salvage must occur for items that are assessed as having heritage significance and the potential for re-use or reinstatement has been identified. The salvage from any State-listed items must be undertaken in consultation with Heritage NSW.	Not Applicable	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>AMBS Assessment, dated 5/7/2023, Ref. No. 23111 M12 – one item of potential salvage on Gilbert's distillery.</p> <p>Salvage methodology by TTW, 11/8/2023 for Gilbert's Distillery (including investigation)</p> <p>Salvage Report for Gilby's Motif Rev. B, 21/9/23</p>	<p>Items identified for salvage are set out in Section 8.3 of the HMP. This is currently confined to the Gilbert's Distillery but may be expanded subject to what is identified during further archaeological investigations.</p> <p>Works impacting on the identified items was completed in September 2023. Works carried out in accordance with the Salvage methodology. A final report was prepared on the 21/09/2023 by TTW.</p>	C

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					Heritage Salvage Register, current to 28/08/24 – two items have been registered (29/5/23 plaques Pymont West and 31/8/23 Gilbey's logo at Pymont West)	Salvage item was received in September 2023 and was stored at the Yennora facility. Heritage Salvage Register, current to 29/2/2024 has now two items registered (29/5/23 plaques Pymont West and 31/8/23 Gilbey's logo at Pymont West). No change since early 2024.	
D10	Aboriginal Heritage All reasonable steps must be taken not to harm, modify or otherwise impact Aboriginal objects except as authorised by this approval.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D11	The Registered Aboriginal Parties (RAPs) must be kept informed about the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D12	Excavation must not commence in areas where archaeological excavation is required until the archaeological works outlined in the Archaeological Research Design/s referred to in Condition A1 have been completed or where work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 has been completed. [MOD 2, 9 Oct 2023]	Full Compliance	Full Compliance	Not subject to audit at this time.			
D13	At the completion of Aboriginal cultural heritage test and salvage excavations, an Aboriginal Cultural Heritage Excavation Report(s), prepared by a suitably qualified expert, must be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010. The Aboriginal Cultural Heritage Excavation Report(s) must document the results of the archaeological test excavations and any subsequent salvage excavations. The RAPs must be given a minimum of 28 days to consider the report and provide comments before the report is finalised. The final report must be provided to Heritage NSW within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).	Full Compliance	Full Compliance	To be audited in August 2025	Site inspection 21/08/25 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearance Certificate, Pymont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pymont West) Unexpected finds report 11/09/24 (well and curblin of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) and final site wide clearance 02/06/25 Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Archaeological excavation was completed at Pymont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street was required prior to archaeological excavations being able to commence. These works commenced in Q3 2024 and excavations were completed in 02/06/25. Preparation of the report has commenced but is not yet due.	C
D14	Where previously unidentified Aboriginal objects are discovered, all work must immediately stop in the vicinity of the affected area and a suitably qualified and experienced Aboriginal heritage expert must be contacted to provide specialist heritage advice, before construction recommences. The measures to consider and manage this process must be specified in the Heritage CEMP Sub-plan required by Condition C5 and, where relevant, include registration in the Aboriginal Heritage Information Management System (AHIMS).	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25 Site inspection 21/08/25 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearance Certificate, Pymont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pymont West) Unexpected finds report 11/09/24 (well and curblin of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24)	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Archaeological excavation was completed at Pymont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street was required prior to archaeological excavations being able to commence. These works commenced in Q3 2024 and excavations were completed in 02/06/25. Excavations at Hunter Street West are continuing. The auditees are not aware of any Aboriginal objects being identified during the audit period.	NT

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		Phase G1	Phase G2				
					Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).		
D15	Excavation and Archeology Archaeological mitigation measures recommended in the Archaeological Research Design/s referred to in Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 must be carried out in accordance with Heritage NSW guidelines, and where appropriate, supervised by a suitably qualified Excavation Director approved under Condition D16. The Archaeological Research Design/s must be implemented throughout the entire archaeological excavation programs. [MOD 2, 9 Oct 2023]	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in August 2025	Letter Sydney Metro to DPE, 15/02/23 (nomination of excavation directors) Letter DPE to Metro, 01/03/23 (approval of excavation directors) AMBS Pymont Station West Construction Site Preliminary Testing Report, 25/10/23. WMS from AMBS The Bays to Sydney CBD, 10/7/23 re. excavation at the Bays WMS from ABMS, The Bays to Sydney CBD, 26/7/23 re. conduit connection works WMS from AMBS, The Bays Flood Gate installation, 27/05/24 Email AMBS to JCG 25/07/24 (AMBS report on the monitoring of excavation at the Bays) Archaeological Clearance Certificate, Pymont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pymont West) Unexpected finds report 11/09/24 (well and curblin of stone and brick at Hunter Street West) and AMBS Clearance Certificates x 2 18/11/25 and 07/02/25* (*note cert sighted incorrectly states 07/02/24) Memo, Hunter Street East Clearance Certificate, AMBS, 14/10/24 (whilst no unexpected find, Hunter Street East has been cleared entirely).	Lian Ramage and Mike Hincks have been nominated as the Excavation Directors on the Project. On 01/03/2023 the Department approved the appointment. Ground disturbance in archaeological sensitive areas was completed on the 16/10/2023. Test excavations were undertaken on the 16/10/2023 by Excavation Director. For the Bays, there have been a series of WMS excavation methodologies provided by the consultant AMBS (about 8 of them). ABMS are on site to monitor that the WMS are carried out as per the methodology. No material issues have been identified by AMBS. The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Archaeological excavation was completed at Pymont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to commence. Clearance has been issued for Hunter Street East. Excavations at Hunter Street West are continuing. The auditees are not aware of any Aboriginal objects being identified during the audit period.	C
D16	Before ground disturbance in areas subject to archaeological excavation, the Proponent must nominate a suitably qualified Excavation Director, who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary, in consultation with Heritage NSW. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the approved Archaeological Research Designs under Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9. Aboriginal archaeological excavations must be conducted by a suitably qualified person in accordance with the requirements of the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010). More than one Excavation Director may be engaged for the CSSI to exercise the functions required under the conditions of this approval. [MOD 2, 9 Oct 2023]	Full Compliance, only in relation to the Bays Metro Station Construction Site and the White Bay Power Station (inlet) Canal and Beattie Street Stormwater Channel.	Full Compliance	To be audited in August 2025	Letter Sydney Metro to DPHI, 15/02/23 Letter DPHI to Metro, 01/03/23 Letter Heritage NSW to Sydney Metro, 06/08/24 (Heritage NSW approval of Ronan McEleney and Tyler Beebe) Letter DPHI to Metro, 17/09/24 (approval of Ronan McEleney as ED)	Lian Ramage, Mike Hincks, Ronan McEleney and Tyler Beebe have been nominated as the Excavation Directors on the Project. On 01/03/23 the Department approved the appointment of Lian Ramage, Mike Hincks. Ronan McEleney was utilized to monitor non-Aboriginal test pit work at Hunter Street West on 05/08/24 in an area that is subject to Archaeological excavation. According to the auditees Ronan was working under one of the approved Excavation Directors (Lian Ramage). Ronan was then approved by Heritage NSW until 06/08/24 and was not approved by the Department until 17/09/24. No change since September 2024.	C
D17	Following completion of all work described in the documents listed in Condition A1 or for work outlined in an approved Archaeological Research Design as adopted in accordance with Condition A9 in relation to heritage items, a Heritage Report including the details of any archival recording, further historical research either undertaken or to be carried out and archaeological excavations (with artefact analysis and identification of a final repository for finds), must be prepared in accordance with any guidelines and standards required by the Heritage Council of NSW and Heritage NSW.	Not Applicable	Full Compliance	To be audited in August 2025	Site inspection 21/08/25 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearance Certificate, Pymont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pymont West)	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pymont. Archaeological excavation was completed at Pymont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to occur. As noted in	NT

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	[MOD 2, 9 Oct 2023]					D16 some investigations have commenced and these works are ongoing.	
D18	The Heritage Report must be submitted to the Planning Secretary, the Heritage Council of NSW and Heritage NSW for information no later than 24 months after the completion of the work referred to in Condition D17.	Not Applicable	Full Compliance	To be audited in August 2025	Site inspection 21/08/25 Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24 Archaeological Clearance Certificate, Pyrmont East AMBS, 30/11/23 Letter AMBS to JCG, 17/10/23 (Archaeological Clearance for Pyrmont West)	The ARDs (included in the HMP) identify archaeological excavation at Hunter Street and Pyrmont. Archaeological excavation was completed at Pyrmont confirming that works could proceed without further investigation (and unexpected finds procedure to implemented if needed). Demolition of the overlying structures at Hunter Street is required prior to archaeological excavations being able to occur. As noted in D16 some investigations have commenced and these works are ongoing.	NT
D19	Unexpected Finds The Sydney Metro Unexpected Heritage Finds Procedure (Sydney Metro 2022) and the Exhumation Management Procedure (Sydney Metro 2022), as submitted to the Planning Secretary, must be implemented for the duration of construction. <i>Note: Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW).</i>	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25	According to the auditees there have been no aboriginal unexpected finds during the audit period.	NT
Noise and Vibration							
D20	Land Use Survey A detailed land use survey must be undertaken to confirm sensitive receivers (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the Noise and Vibration CEMP Subplan required under Condition C5.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D21	Construction Hours Work must only be undertaken during the following hours: a) 7:00am to 6:00pm Mondays to Fridays, inclusive; b) 8:00am to 6:00pm Saturdays; and c) at no time on Sundays or public holidays.	Full Compliance	Full Compliance	To be audited in August 2025	Construction Environmental Management Plan (CEMP), JCG, 23/03/23 Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24 Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS) Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring. Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).	Construction hours are captured in Project documentation from the CEMP, down to work activity documents. The requirements have been communicated to the workforce. The cross-passage toolbox talk identified the approved working hours for each cross passage based on the predicted noise levels and impacts to receivers, and are subject to validation monitoring. Monitoring was conducted as per the Tunnelling DNVIS (commencement of cross passage activities and / or close to the NML). The results did not trigger the need to adjust hours of work. The Hunter Street West toolbox talk breaks down what excavator configurations can be adopted in order to ensure the required respite hours and approved standard hours can be achieved. The attended noise monitoring records sighted demonstrate that the respite hours in place, need to be maintained based on the impact, but there are portions of both Hunter Street West and East whereby the impacts are low enough where the respite periods are shortening as per D39.	C

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		Phase G1	Phase G2				
					<p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Complaints register current to 31/08/25</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Attended noise monitoring sheets 309 George Street 13/02/25 (out of audit period)</p>	<p>The GMR9 training covers all approved hours across the project, along with a range of other environmental requirements.</p> <p>As with previous audits, of particular note are the D39 respite posters installed at project sites and (according to JCG) fitted inside the demolition plant to ensure work hours adhere to the D39 hours. This is an excellent way to communicate the complex work hours permitted under D39. The Auditor notes that the hours specified in project documentation aligns with the D39 negotiated hours.</p> <p>OOHW tracker allows for the management and oversight of OOHW to ensure approval justification, assessment and approvals are completed / obtained. JCG now use an online SharePoint system with a traffic light system showing whether approval is granted. The records show that some OOHW applications raised by the engineers have been rejected by the enviro team.</p> <p>The complaints register and OOHW register indicates that any complaints associated with works outside of the hours of D21, were conducted in accordance with the OOHW protocol and the EPL and notified prior.</p> <p>The site hive monitoring data collected in adjacent buildings allows for the assessment against D38/D39. The data shows that there have been short periods of high noise activities (particularly involving rock breaking at Hunter Street) exceeding the internal noise criteria of 55 and 60dB(A), but these are not at risk of exceeding the respite periods in D39.</p> <p>Attended measurement is also conducted for OOHW (where determined to be required under the permit, based on risk level and whether a new activity is occurring).</p>	

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D22	<p>Highly Noise Intensive Work</p> <p>Except as permitted by an EPL, highly noise intensive work that results in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <ul style="list-style-type: none"> a) between the hours of 8:00 am to 6:00 pm Monday to Friday; b) between the hours of 8:00 am to 1:00 pm Saturday; and c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour. <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Complaints register current to 31/08/25</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>JCG Enviro Inspection records and Actions register, Soteria, current to 21/08/25</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p>	<p>Construction hours (including high noise impact hours) are captured in Project documentation from the CEMP, DNVIS, down to work activity documents.</p> <p>Training has been provided to the workforce on the permissible work hours and the need of respite and permit requirements around conducting works outside of approved hours.</p> <p>The primary high noise activities for the audit period are related to excavation at Hunter Street sites.</p> <p>Works are covered under the EPL, and the requirements are consistent with the Project Approval. Refer to D38/D39. These conditions have been utilised by the project.</p>	C

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D23	<p>Variation to Work Hours</p> <p>Notwithstanding Conditions D21 and D22 work may be undertaken outside the hours specified in the following circumstances:</p> <p>a) Safety and Emergencies, including:</p> <ol style="list-style-type: none"> for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm. <p>On becoming aware of the need for emergency work in accordance with (a)(ii) above, the AA, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work.</p> <p>b) Low noise impact work, including:</p> <ol style="list-style-type: none"> construction that causes LAeq(15 minute) noise levels: <ul style="list-style-type: none"> no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and construction that causes LAFmax(15 minute) noise levels no more than 15 dB(A) above the rating background level at any residence; or construction that causes: <ul style="list-style-type: none"> continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). <p>c) By Approval, including:</p> <ol style="list-style-type: none"> where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition D24 of this schedule; or negotiated agreements with directly affected residents and sensitive land user(s). <p>d) By Prescribed Activity, including:</p> <ol style="list-style-type: none"> tunnelling (and associated activities of rockbolting, shotcreting and mucking out, but excluding cut and cover tunnelling and surface works) are permitted 24 hours a day, seven days a week; or delivery of material that is required to be delivered outside of standard construction hours in Condition D21 to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Pymont construction site which could result in a sleep disturbance event for receivers in the proximity of Pymont Street, Edward Street, Union Street, Paternoster Row and Pymont Bridge Road; or haulage of spoil except between the hours of 10:00 pm and 7:00 am to / from the Pymont construction site; or work within an acoustic shed where there is no exceedance of noise levels under low noise impact work circumstances 	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>EPL 21784</p> <p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue</p> <p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>Complaints register current to 31/08/25</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Soteria Environmental Monitoring Portal (online) including attended noise monitoring results 01/09/24 – 21/02/25</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pymont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). 	<p>EPL in place, which allows them to work under various OOHW arrangements separate to the SSI.</p> <p>OOHW Tracker in place showing the work description, location, plant/equipment, days/months where it will be carried out. The tracker has been upgraded to provide the process online with traffic light approvals shown.</p> <p>Noise monitoring is conducted for all new activities. Results are largely showing that project noise is below that predicted with the exceedances being attributed to extraneous noise sources. The monitoring results are being progressively reviewed by the AA.</p> <p>Complaints received for noise indicated that those complaints associated with works outside of the hours of D21 were conducted in accordance with the OOHW protocol and the EPL and notified prior. Refer to D29 regarding use of the scrubbers.</p>	C

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	<p>identified in (b) above, unless otherwise agreed by the Planning Secretary.</p> <p><i>Note: Tunnelling does not include station box excavation and the requirements of Condition D26 apply.</i></p> <p>[MOD 1, 19 Apr 2023]</p>				<ul style="list-style-type: none"> - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>JCG Enviro Inspection records and Actions register, Soteria, current to 21/08/25</p>		
D24	<p>Out-of-hours Work Protocol – Work Not Subject to an EPL</p> <p>An Out-of-hours Work Protocol must be prepared before the approval of out-of-hours-work under Condition D23(c)(ii). The Protocol must identify a process for the consideration, management and approval of work which are outside the hours defined in Conditions D21 and D22. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER and the AA. The Protocol must provide:</p> <ol style="list-style-type: none"> identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: <ol style="list-style-type: none"> the ER and AA review all proposed out-of-hours activities and confirm their risk levels; low risk activities can be approved by the ER in consultation with the AA; and high risk activities that are approved by the Planning Secretary; a process for the consideration of out-of-hours work against the relevant NML and vibration criteria; a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition D36. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events; procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works. <p>This condition does not apply if the requirements of Condition D23(b) are met.</p> <p><i>Note: Out-of-hours work is any work that occurs outside the construction hours identified in Condition D21 and D22 of this schedule.</i></p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D25	<p>Construction Noise Management Levels and Vibration Criteria</p> <p>All reasonable and feasible mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p>	<p>Controls are identified in the NVMP and DNVISs as relevant.</p> <p>Concrete pours for Pyrmont cavern lining will commence shortly. Some of those pours will continue past the standard hours. The DNVIS EPL variation identifies at-source mitigation measures for the</p>	C

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	<p>a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009);</p> <p>b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure);</p> <p>c) Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure);</p> <p>d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and</p> <p>e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage for structurally unsound heritage items).</p> <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p><i>Note: The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i></p>				<ul style="list-style-type: none"> - Pymont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pymont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>JCG Haulage truck Plant acceptance checklist template</p> <p>Plant onboarding and maintenance records for Concrete Truck (AGI111) and Multi Service Vehicle (MSV15)</p>			<p>concrete pumps which will be pumped from Union street. The pumps are modelled as the dominant noise source. Acoustic tents are planned to be installed around the pumps and predicted noise has been reduced as a result (thus reducing the need for AA for nearby stakeholders). The layout in the DNVIS also shows the general arrangement to ensure public safety on Union street during the works.</p> <p>At Hunter Street plant size has been reduced where possible, but largely JCG are relying on the D39 hours as this will ensure construction timeframes are minimised as best as possible.</p> <p>The bench at Pymont was excavated via road header instead of hammering, thus reducing noise and vibration impacts.</p> <p>On the day of the audit site inspection a street sweeper was observed at Pymont that had a tonal reversing alarm fitted. JCG confirmed that the sweeper was not related to the Project and that non-tonal beacons are checked as part of all plant onboarding.</p> <p>During the audit period, JCG, the ER and AA conducted inspections and (in the case of JCG and the AA) monitoring of project noise levels. Whilst opportunities for improvement were identified to reduce noise and vibration impacts over the audit period, these appear to have been minor and none of the identified parties have identified a contravention with this condition.</p> <p>Review of plant and equipment and respite arrangements as per D39. For Hunter Street, key stakeholders e.g. all the Hotels were informed, and respite periods negotiate.</p> <p>The hoarding is in place at Hunter Street west, hoarding and the acoustic shed at Hunter Street East.</p> <p>Hoarding on Pymont West. Hoarding has been maintained on Pymont East and the acoustic shed was essentially complete at the time of the audit site inspection.</p> <p>OOHW permits include specific mitigation measures. Noise blankets are being deployed during OOHW were identified as required in the OOHW permit.</p> <p>Noise and vibration monitoring is being conducted at the locations and on the works as set out in the Monitoring Program. Instances whereby the predicted works exceed the applicable criteria, measures have been implemented as per the NVMP / DNVIS.</p> <p>Refer D29 regarding OOHW at Pymont and the resulting impact on receivers.</p>	

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		Phase G1	Phase G2				
					<p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>JCG Enviro Inspection records and Actions register, Soteria, current to 21/08/25</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Complaints register current to 31/08/25</p> <p>ER Monthly Reports, March – August 2025</p> <p>AA Monthly Reports, March – August 2025</p>		
D26	<p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <ul style="list-style-type: none"> a) evening (6:00 pm to 10:00 pm) — internal LAeq(15 minute): 40 dB(A); and b) night (10:00 pm to 7:00 am) — internal LAeq(15 minute): 35 dB(A). <p>The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition D24.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue</p> <p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). 	<p>Ground borne noise is a risk from tunnelling at Hunter Street, Pyrmont East and cross passage excavation.</p> <p>Ground borne noise monitoring undertaken in accessible properties to support the DNVIS predictions. The results have been deemed acceptable by JCG.</p> <p>One genuine ground-borne noise complaint was received during the audit period and this appears to have been adequately responded to.</p>	C

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		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). <p>Pymont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025).</p>		
D27	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Site Hive online module (dust monitoring results, noise and vibration monitoring results)</p> <p>Soteria online data set (attended noise monitoring results)</p> <p>Dodgy Sounds engagement records, 12-13/08/25 (organising meeting to discuss noise predictions from works planned for mid-late September).</p> <p>Monthly Vibration Report, issued to targeted stakeholders in Pymont (monthly), March – July 2025.</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pymont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pymont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). 	The only sensitive land uses affected during the audit period are the acoustic studios in Pymont.	C
D28	Construction Noise and Vibration Mitigation and Management Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise levels are minimised around sensitive land user(s). Practices must include, but are not limited to: a) use of regularly serviced low sound power equipment;	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p>	Refer D25. Lower noise construction methods have been identified in project documentation such as the NVMP, DNVIS, Activity Method	C

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	<p>b) temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting; and</p> <p>c) use of alternative construction and demolition techniques.</p>				<ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP The Bays Cross Passage Excavation, 07/03/25 (includes specific requirements around noise control and hours, soil and water, and spoil / waste, heavy vehicle haulage routes, heritage etc) - ETP TBM breakthrough, 18/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Toolbox Talks, 09/04/25 Cross Passage Works (includes training on permissible work hours) and associated verification monitoring data (ground borne noise levels) at 120 Saunders Avenue</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>GMR9 Training 10/07/25 (enviro management, including management of noise and OOHW)</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>JCG Haulage truck Plant acceptance checklist template</p> <p>Plant onboarding and maintenance records for Concrete Truck (AGI111) and Multi Service Vehicle (MSV15)</p>			<p>Statement and have been communicated to the workforce through training, inductions, toolbox talks and the like.</p> <p>Hoarding and acoustic shed is in place and functioning at Hunter Street East as well as Consultation with sensitive receivers.</p> <p>The activity method statements for include the relevant noise controls (where appropriate). The controls, as described in the documentation were sighted during the audit inspection and have been recorded by JCG and the AA during their inspections conducted during audit period.</p>	

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					<p>Groundborne Noise and Vibration Monitoring Report, Tunnelling, 12/06/25 (monitoring report in accordance with the tunnelling DNVIS)</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>JCG Enviro Inspection records and Actions register, Soteria, current to 21/08/25</p> <p>Site Hive monitoring module (online) (including real-time continuous noise and vibration and attended verification noise monitoring).</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25)</p> <p>Complaints register current to 31/08/25</p> <p>ER Monthly Reports, March – August 2025</p> <p>AA Monthly Reports, March – August 2025</p> <p>-</p>		
D29	Detailed Noise and Vibration Impact Statements (DNVIS) must be prepared for work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in Conditions D25 and D26 at any residence outside construction hours identified in Condition D21, or where receivers will be highly noise affected. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the AA and ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy (ies) of the DNVIS.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). 	<p>Seven DNVISs were endorsed during the audit period, with other having been approved and implemented prior.</p> <p>The DNVISs have been prepared in accordance with this condition and have been issued to the ER and AA prior to implementation/commencement of the relevant works.</p>	C
D30	DNVIS must be prepared for each construction site before construction noise and vibration impacts commence and include specific mitigation measures identified through consultation with affected sensitive land users and updated as required if site conditions or activities change.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). 	<p>The construction site DNVISs were prepared in accordance with this condition. Consultation has been included in the DNVISs prior to endorsement. The Auditor notes that consultation for specific activities may not have occurred on the basis that overall the impact and eligibility for additional mitigation remains consistent with previously endorsed DNVISS. This has been accepted by the AA.</p>	C

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		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pymont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). 		
D31	Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers are to be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Email JCG to [REDACTED] 24/03/25, 28/04/25, 23/05/25 and 28/07/25 (notification of elevated vibration predicted in DNVIS, and schedule of exceedances)</p> <p>Email JCG to Merivale 23/06/25 (notification of elevated vibration predicted in DNVIS commencing from 30/06/25, and schedule of exceedances)</p> <p>Pre-construction condition survey reports, [REDACTED]</p> <p>Hunter Street minimal safe working distance vibration monitoring report, 17/04/24</p> <p>Email 15/08/25 JCG and Merivale 2 week lookahead for hammering that could impact on their ballroom. (issued weekly).</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pymont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pymont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). 	Properties identified as at risk of exceeding the screening criteria for cosmetic damage are identified in the NVMP and Appendix F of the Pymont and Hunter Street DNVIS. Evidence of notification to potentially affected receivers [REDACTED]. Notification included identification of the potential elevated levels and a schedule of exceedances on a monthly basis. The notification was provided 7 days prior of the first scheduled event. Neither receiver raised concerns about this particular issue.	C

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		Phase G1	Phase G2				
					<ul style="list-style-type: none"> - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). 		
D32	<p>Construction Vibration Mitigation – Heritage</p> <p>Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to identify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar 25 - Aug 25).</p> <p>Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology)</p> <p>JCG Minimum working distance attended vibration monitoring 17/04/24 (still valid)</p> <p>SiteHive vibration monitoring results for Skinners Hotel, current to 21/08/25</p> <p>Kronos online alert records, Mar – Aug 25 for Skinners Hotel .</p> <p>Weekly Instrumentation and Monitoring Reports, JCG.</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). 	<p>The relevant DNVIS (Hunter Street) notes that Skinner’s Hotel is within the safe working distances. Skinner’s Hotel is planned to receive vibration monitoring during works with the potential to cause vibration impacts. The demolition work methodology identifies the protective measures to be employed during demolition of the buildings adjacent the Skinners Family Hotel. The methodology was reviewed by the heritage specialist and deemed to be adequate for the proposed works. Further, two CAs have been completed on the Skinners Hotel and these, with support of heritage reports, identified the need for bracing to manage wind loading (not vibration).</p> <p>Monitoring has been conducted including attended vibration monitoring to establish safe working distances for hydraulic hammering. Monitoring is also being conducted on a continuous basis. According to the records sighted, there have been seven exceedances of the 25mm/s criteria and scores of exceedances of the 7.5mm/s criteria. Further assessment as per BS and JCG have determined (using the BS) that none of the exceedances were genuine (i.e.: they were transient in nature and not likely to result in impact to the structure).</p> <p>According to the I&M data, there have been two alerts of movement between survey prisms, but these have been validated with no unacceptable crack or tilt results.</p> <p>No other heritage items have been predicted to be impacted as a result of the works over the audit period.</p>	C
D33	<p>The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items.</p> <p><i>Note: The installation of noise and vibration equipment must not impact on the heritage value of the Heritage items.</i></p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>Interview with auditees 21-22/08/25</p> <p>Site inspection 21/08/25</p> <p>AMBS Heritage Memo dated 11/8/23 Ref. No. 23111 M16 re. survey monitoring targets for sensitive structures – Area 3B</p> <p>Kronos online database and property reports (various, including Skinners Hotel and 127 Pyrmont Street).</p> <p>Kronos online alert records, Mar – Aug 25 for Skinners Hotel .</p>	<p>Consultation with the heritage specialist has occurred and they accepted the attached methodology and location The geophone is not attached via any intrusion to Skinners Hotel.</p> <p>A tilt meter was installed and this was reviewed and accepted by the heritage advisor prior. The recommendation as that where possible the tilt meter be installed on non-original fabric. JCG endeavoured to do so, but in some cases the original fabric was required to be impacted. JCG are not aware of the heritage advisors taking issue with the installation when attending site.</p>	C

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		Phase G1	Phase G2				
					<p>Weekly Instrumentation and Monitoring Reports, JCG.</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p> <p>Email AMBS to JCG, 20/11/24 (heritage review of the installation of tilt meters at Skinners Hotel)</p> <p>Consistency Assessment, Strengthening works to Skinners Family Hotel, 30/07/25 (including historical heritage impact assessment, AMBS, 29/07/25)</p>		
D34	Before conducting at-property treatment at any Heritage item identified in the documents listed in Condition A1, the advice of a suitably qualified and experienced built heritage expert must be obtained and implemented to ensure any such work does not have an adverse impact on the heritage significance of the item.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>Detailed Noise and Vibration Impact Statement (DNVIS):</p> <ul style="list-style-type: none"> - Pyrmont TBM Support and Union St Concrete Pours – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006750 (11/08/2025), DPHI PA-213 (12/08/2025). - Hunter Street Station – Skinners Hotel Strengthening – Endorsed 31/07/2025, Transmittal SMWSTETP-SMD-TX-006751 (11/08/2025), DPHI PA-214 (12/08/2025). - Hunter Street East Mined Tunnelling – Endorsed 25/06/2025, Doc No SMWSTETP-JCG-SCB-SN100-EN-RPT-095100, ER notified via email, DPHI PA-215 (12/08/2025). - Pyrmont Station and Tunnelling – Alternative Excavation Methodology – Endorsed 12/06/2025, Transmittal SMWSTETP-JCG-TX-004466, DPHI PA-206 (23/06/2025). - Tunnelling – Endorsed 15/05/2025, Transmittal SMWSTETP-JCG-TX-004362 (20/05/2025), DPHI PA-204 (25/06/2025). - Pyrmont Station – Nozzle Excavation – Endorsed 10/04/2025, Transmittal SMWSTETP-JCG-TX-004237 (11/04/2025), DPHI PA-186 (28/04/2025). - Hunter Street Station Shed Demolition – Endorsed 03/04/2025, Transmittal SMWSTETP-JCG-TX-004195, DPHI PA-187 (28/04/2025). 	The auditees are not aware of any heritage properties requiring at-property treatment with respect to noise. Refer D7 regarding ongoing investigations and support on Skinners Hotel.	NT
D35	If a Heritage item is found to be structurally unsound (following inspection) a more conservative cosmetic damage criterion of 2.5 mm/s peak component particle velocity (from DIN 4150) must be applied.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Noise and Vibration Management Sub-plan (NVMP) (including Noise and Vibration Monitoring Program), JCG/Renzo Tonin, 18/04/24</p> <p>Heritage Management Sub-plan (HMP), JCG/AMBS, 14/03/24</p> <p>20230630_SMW Stg2_IA1_Request for Information_Rev1.0_SM Response</p> <p>TTW Structural Condition Report, 15-17 Hunter Street, 5/10/2023</p> <p>TTW Structural Condition Report, 16 O'Connell Street, 01/02/24</p> <p>TTW Structural Condition Report, 296 George Street, 09/05/23</p>	Refer D32 and D33. Consultation with the heritage specialist is ongoing, sighted structural condition reports from TTW for 15-17 Hunter Street, 16 O'Connell Street and 296 George Street. The structural reports do not identify any unsound buildings. Refer D7 regarding ongoing investigations and support on Skinners Hotel.	C

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		Phase G1	Phase G2				
					<p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar 25 - Aug 25).</p> <p>Structural Demolition Methodology, Hunter Street West, Mann Group, 09/08/24 and email TTW to JCG, 13/08/24 (acceptance of demolition by built heritage expert) and letter AMBS to JCG 28/06/24 (Excavation Director acceptance of demolition methodology)</p> <p>JCG Minimum working distance attended vibration monitoring 17/04/24 (still valid)</p> <p>SiteHive vibration monitoring results for Skinners Hotel, current to 21/08/25</p> <p>Kronos online alert records, Mar – Aug 25 for Skinners Hotel. .</p> <p>Weekly Instrumentation and Monitoring Reports, JCG.</p> <p>Instrumentation and Monitoring Procedure, JCG, Rev1.0</p> <p>Two CAs have been completed on the Skinners Hotel and these, with support of heritage reports, identified the need for bracing to manage wind loading (not vibration).</p>		
D36	<p>Utility Coordination and Respite</p> <p>All work undertaken for the delivery of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <ol style="list-style-type: none"> reschedule any work to provide respite to impacted noise sensitive receivers so that the respite is achieved in accordance with Condition D37; or consider the provision of alternative respite or mitigation to impacted noise sensitive receivers; and provide documentary evidence to the AA in support of any decision made by the Proponent in relation to respite or mitigation. <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of the CSSI.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>EPL 21784</p> <p>Evidence sighted under D21 – D24.</p> <p>OOHW Tracker (online, SharePoint), current to 21/08/25 and OOHW permits (various)</p> <p>ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly), 12/08/25 (includes community feedback)</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.sydneymetro.info/station/pyrmont-station</p> <p>https://www.sydneymetro.info/station/hunter-street-station</p>	<p>EPL 21784 sets requirements around the maximum number of nights affecting the same noise receiver that can be worked for certain activities each week and month.</p> <p>Refer to D21 – D24 regarding compliance with hours of work.</p> <p>The auditees are not aware of any third-party works being conducted proximal to Hunter Street and Pyrmont.</p> <p>At the Bays there is interaction with WHT, but this is limited and coordination has been included in the communication for OOHW. Sighted OOHW Tracker.</p>	C
D37	<p>Out-of-Hours Works – Community Consultation and Respite</p> <p>In order to undertake out-of-hours work outside the work hours specified under Condition D21 of this schedule, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <ol style="list-style-type: none"> a progressive schedule for periods no less than three (3) months, of likely out-of-hours work; a description of the potential work, location and duration of the out-of-hours work; the noise characteristics and likely noise levels of the work; and likely mitigation and management measures which aim to achieve the relevant NMLs under Condition D26 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers). <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the ER and AA, before the out of hours work commences, and to the EPA and the Planning Secretary on request.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly), 12/08/25 (includes community feedback)</p> <p>https://www.sydneymetro.info/station/bays-station</p> <p>https://www.sydneymetro.info/station/pyrmont-station</p> <p>https://www.sydneymetro.info/station/hunter-street-station</p>	<p>Consultation is completed weekly and monthly via the project updates issued by email and letterbox dropped. The updates include the information required under D37 a) – d).</p> <p>The team meets with the ER and AA weekly. The scheduled of likely OOHW are captured in the meeting minutes, the outcomes of community consultation and the identified respite periods are captured in an attachment to the minutes.</p> <p>The Auditor is not aware of the EPA or Department requesting the D37 reports.</p>	C

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	<i>Note: Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</i>						
D38	<p>Rock breaking and Respite</p> <p>The Proponent must identify all receivers at Pymont and Hunter Street Sydney CBD likely to experience internal noise levels greater than Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty, if rock breaking or any other highly noise intensive activity likely to result in regenerated (ground-borne) noise or a perceptible level of vibration is planned (including works associated with utility adjustments), between 7am and 8pm.</p> <p><i>Note: This condition does not override requirements for work hours as outlined in Conditions D21, D22 and D23 above.</i></p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>D39 Consultation Report, Hunter Street Demolition, JCG, 16/11/23</p> <p>Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite)</p> <p>D39 Consultation Report, Hunter Street Excavation, JCG, September 2024</p> <p>D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25</p> <p>D39 Consultation Report, Pymont East and West shaft excavation, 13/02/24</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25) and associated AA comments sheet.</p> <p>Complaints register current to 28/02/25</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP Pymont West Shaft Excavation JCG, Rev 00 (includes specific requirements around air quality, unexpected finds, noise, hours, soil and water, and spoil / waste, heavy vehicle haulage routes) - ETP Hunter Street East Site establishment Excavation, JCG, Rev 05 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) 	<p>The EPL aligns with conditions D38/D39. A D39 Consultation Report was prepared for both Hunter Street demolition and Pymont shaft excavation, to establish respite periods in accordance with D38/D39.</p> <p>High noise impact activities are being carried out at Hunter Street between 730am and 430pm weekdays and 8am and 430pm Saturdays. Respite periods have been established and followed (as evidenced via training material and monitoring data).</p> <p>High noise impact activities are being carried out at Pymont between 800am and 330pm. Respite periods have been established and followed (as evidenced via training material and monitoring data).</p> <p>In May 24 (prior to the current audit period) the EPA queried JCG as to whether respite was being achieved at Hunter Street off the back of feedback from Ivy. JCG provided monitoring data, and the EPA did not raise any further concerns.</p> <p>There has been no change for the current audit period. The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed.</p>	C
D39	<p>The Proponent must consult with all receivers identified in accordance with Condition D38 with the objective of determining appropriate hours of respite so that construction noise (including ground-borne noise), does not exceed internal noise levels of:</p> <ol style="list-style-type: none"> Leq(15 minute) 60 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 50 percent of the time; and Leq(15 minute) 55 dB(A) inclusive of a 5 dB penalty if rock breaking or any other highly noise intensive activity likely to result in ground-borne noise or a perceptible level of vibration is planned between 7am – 8pm for more than 25 percent of the time, <p>unless an agreement is reached with those receivers. This condition does not apply to noise associated with the cutting surface of a TBM as it passes under receivers.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>D39 Consultation Report, Hunter Street Demolition, JCG, 16/11/23</p> <p>Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite)</p> <p>D39 Consultation Report, Hunter Street Excavation, JCG, September 2024</p> <p>D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25</p> <p>D39 Consultation Report, Pymont East and West shaft excavation, 13/02/24</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure</p>	<p>The EPL aligns with conditions D38/D39. A D39 Consultation Report was prepared for both Hunter Street demolition and excavation and Pymont shaft excavation, to establish respite periods in accordance with D38/D39.</p> <p>High noise impact activities are being carried out at Hunter Street for demo between 730am and 430pm weekdays and 8am and 430pm Saturdays. For excavation high noise impact activities will be carried out 8am and 10am, 1030am to 1230pm and 230pm to 5pm Mon to Fri, and 9am to 1230pm and 1pm to 4 pm Sat and Sun. Respite periods have been established and followed (as evidenced via training material and monitoring data).</p> <p>High noise impact activities are being carried out at Pymont between 800am and 330pm. Respite periods have been established prior to the current audit period and remain the same as originally established.</p>	C

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	<i>Note: This condition requires that noise levels be less than Leq(15 minute) 60 dB(A) for at least 6.5 hours between 7am and 8pm, of which at least 3.25 hours must be below Laeq(15 minute) 55 dB(A). Noise equal to or above Leq(15 minutes) 60 dB(A) is allowed for the remaining 6.5 hours between 7am and 8pm.</i>				<p>adherence to approved hours) and associated validation attended noise monitoring.</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25) and associated AA comments sheet.</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP Pymont West Shaft Excavation JCG, Rev 00 (includes specific requirements around air quality, unexpected finds, noise, hours, soil and water, and spoil / waste, heavy vehicle haulage routes) - ETP Hunter Street East Shaft Excavation, JCG, Rev 00, 21/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) 	<p>The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed.</p> <p>Positive observation: As noted in previous audits this condition is complex and potentially difficult to implement. JCG have done excellent work in simplifying it so that site teams are able to comply.</p>	
D40	<p>Notwithstanding Conditions D22 and D23, rock breaking and other particularly highly noise intensive activities for station shaft or cut and cover stations is not permitted outside of hours identified in Condition D21, except at Hunter Street Sydney CBD; or</p> <ol style="list-style-type: none"> where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or where different construction hours are permitted or required under an EPL in force in respect of the construction; or where an EPL is not required or in force, approved through an Out of Hours Work Protocol developed in accordance with Condition D24; or construction that causes LAeq(15 min) noise levels: <ol style="list-style-type: none"> no more than 5 dB(A) above the rating background level at any residence in accordance with the Interim Construction Noise Guideline (DECC, 2009); and no more than the noise management levels specified in Table 3 of the Interim Construction Noise Guideline (DECC, 2009) at other sensitive land uses; and continuous or impulsive vibration values, measures at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006); and intermittent vibration values measured at the most affected residence are no more than those for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006). 	Full Compliance	Full Compliance	To be audited in August 2025	<p>D39 Consultation Report, Hunter Street Demolition, JCG, 16/11/23</p> <p>Email EPA to JCG, 24/05/24 (acceptance of noise data relevant to Hunter Street D39 respite)</p> <p>D39 Consultation Report, Hunter Street Excavation, JCG, September 2024</p> <p>D39 consultation email, Hunter Street shaft excavation respite notification, 24/02/25</p> <p>D39 Consultation Report, Pymont East and West shaft excavation, 13/02/24</p> <p>Hunter Street West Toolbox 24/07/25 (D39 and standard hours for works at Hunter Street West, including hammering configurations to ensure adherence to approved hours) and associated validation attended noise monitoring.</p> <p>Hunter Street D39 Monitoring results spreadsheet and map (21/07/25).</p> <p>Respite period posters Hunter Street Demolition and West (no date) identifies approved work hours under D39 – communicated to project teams</p> <p>Weekly Noise and Vibration Results, JCG, issued to AA and Sydney Metro (Mar – Aug 25) and associated AA comments sheet.</p> <p>Activity Method Statements:</p> <ul style="list-style-type: none"> - ETP Pymont West Shaft Excavation JCG, Rev 00 (includes specific requirements around air quality, unexpected finds, noise, hours, soil and water, and spoil / waste, heavy vehicle haulage routes) - ETP Hunter Street East Shaft Excavation, JCG, Rev 00, 21/05/25 (includes specific requirements around noise and vibration hours, soil and water, air quality, unexpected finds, noise, hours and spoil / waste, heavy vehicle haulage routes) 	<p>Refer to D38/D39</p> <p>High noise impact activities are being carried out at Hunter Street between 730am and 430pm weekdays and 8am and 430pm Saturdays. Respite periods have been established and followed (as evidenced via training material and monitoring data).</p> <p>High noise impact activities are being carried out at Pymont between 800am and 330pm.</p> <p>Respite periods have been established prior to the current audit period and remain the same as originally established.</p> <p>The current audit period has been a continuation of the existing program. Evidence indicates that the required hours are being followed.</p>	NT

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		Phase G1	Phase G2				
D41	Blasting No Blasting is permitted as part of this CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Land Use and Property							
D42	The CSSI must be designed and constructed with the objective of minimising impacts to, and interference with, third party property and infrastructure, and that such infrastructure and property is protected during construction.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D43	The utilities and services (hereafter "services") potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D44	Condition Survey A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in Condition A1 of this schedule as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a Preconstruction Condition Survey Report for each item surveyed. Copies of Pre-construction Condition Survey Reports must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D45	Condition surveys of all items for which condition surveys were undertaken in accordance with Condition D44 must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition D44. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure unless otherwise agreed by the Planning Secretary.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D46	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in Condition D44 unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the IPIAP.	Full Compliance	Full Compliance	To be audited in August 2025	Interview with auditees 21-22/08/25 Property Damage Register 01/03/25 to 22/08/25 Property damage appraisal report, 196 Harris Street Complaints register current to 31/08/25	Property damage queries will come through the community engagement team. A property damage claim form is then issued to the complainant. Until such time as the form is completed, it remains as a query, once submitted it will become a claim and be subject to assessment. The claim is assessed by a panel and considers information in the PCS (if one completed), inspection and monitoring data (including work faces and where JCG have worked prior to or at the time of the complaint, vibration and settlement data, convergence monitoring data). A response with the findings is then issued to the owner. If liable, JCG will rectify or seek written agreement for payment to the owner.	C

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						<p>If JCG state that they are not liable, the claimant will go back through the system and JCG meet to state their position. If the claimant is still not satisfied, the claimant can go to Sydney Metro. The letter of conclusion from JCG identifies the option for the claimant to challenge via the community team.</p> <p>8 x claims have been raised since 01/03/25. 6 x have been rejected, 1 x accepted and 1 x still under investigation (1 x claim to date has been disputed and has gone to Metro (196 Harris Street) for resolution. This remains in process).</p> <p>No claims have been escalated to IPIAP to date.</p>	
D47	Appropriate equipment to monitor areas in proximity of construction sites and the tunnel route during construction must be installed with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by Condition D44 and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of predicted impacts identified in the documents in Condition A1 or determined through geotechnical analysis, then all construction affecting settlement must cease immediately if it is safe to do so and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D48	An Independent Property Impact Assessment Panel (IPIAP) must be established. The Planning Secretary must be informed of the members of the IPIAP and the IPIAP must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under Conditions D44 and D45, the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D49	Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D50	Settlement monitoring must be extended if directed so by the IPIAP following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with Condition D47. The results of the monitoring must be made available to the Planning Secretary upon request.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D51	Social Adverse social impacts as a result of the CSSI must be minimised and managed, and social benefits enhanced in accordance with the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021).	Full Compliance	Full Compliance	Not subject to audit at this time.			
D52	Community Communications Strategy(s) Community Communications Strategy(s) must be prepared in accordance with the Overarching Community Communication Strategy as provided in the documents listed in Condition A1 and must:	Full Compliance	Full Compliance	To be audited in August 2025	<p>Interview with auditees 21-22/08/25</p> <p>Sydney Metro Overarching Community Communications Strategy (OCCS), Rev 6, 05/09/25</p>	<p>Sydney Metro are responsible for the majority of communications with the public and implementation of the OCCS. The OCCS underwent a review and was updated on 31/07/24. The document was resubmitted to on 13/08/24.</p>	C

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	<p>a) identify affected communities, including vulnerable or marginalised groups;</p> <p>b) include specific and proportionate measures and mitigations to manage impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021) and enhance positive social outcomes;</p> <p>c) support the implementation of the Community Benefits Plan(s) as required under Condition D53;</p> <p>d) be informed by engagement with directly affected communities and stakeholders; and</p> <p>e) consider cumulative impacts at each site, as relevant.</p> <p>The Community Communication Strategy(s) must be submitted to the Planning Secretary for information before construction. The Community Communication Strategy(s) must be implemented for the duration of construction.</p> <p>The CCS(s) must be monitored and reviewed in accordance with the OCCS, including consideration of the appropriateness of mitigation measures and lessons learnt.</p>				<p>DPHI Post Approval portal lodgement, 13/08/24 (submission of updated OCCS)</p> <p>Eastern Tunnelling Package, Community Communications Strategy, JCG, 11/06/25 (JCG website)</p> <p>Consultation Manager (CM) online database</p> <p>Complaints register current to 31/08/25</p> <p>Hunter Street 6 monthly newsletters (issued 500m around site, includes 6 – monthly look ahead).</p> <p>Hunter Street standard monthly notification, August 2025 including the lookahead.</p> <p>Pymont Newsletter June 2025, activities occurring and Pymont Open Day from 06/04/25, and look ahead.</p> <p>Notification of temporary of Union Street July 2025 (issued 200m radius). Note the auditor sighted this set up on the way to work.</p> <p>Hunter Street Heritage Day, 18/05/25 (~370 people in attendance)</p> <p>The Bays Monthly Update, August 2025, including look ahead (issued 200m radius)</p> <p>Letterbox drop distribution GPS map of letterbox drop (tracking of person/s doing the letterbox drop as verification all).</p> <p>Hunter Street and Pymont Newsletters, December 24 (includes 6-month look ahead, details of heritage and hours of work etc).</p> <p>Dodgy Sounds engagement records, 12-13/08/25 (organising meeting to discuss noise predictions from works planned for mid-late September).</p> <p>Monthly Vibration Report, issued to targeted stakeholders in Pymont (monthly), March – July 2025.</p> <p>https://www.sydneymetro.info/tunnelling and https://www.sydneymetro.info/sydney-metro/journey-sydney-metro-west-tunnel-boring-machines (TBM tracker)</p> <p>Pymont Open day, Pymont East 16/08/25 (~248 people attended).</p> <p>Pymont Bridge Pop-up 15/08/25</p> <p>Hunter Street Open day, 18/05/25 and event survey data.</p> <p>Consultation Manager records and associated evidence for complaints 6160, 6126, 6087.</p> <p>Email 14/07/25 (stakeholder to JCG): Request for resident at Hunter street for hammering to pause during a family event. JCG paused hammering to accommodate and received positive feedback.</p> <p>Email 15/08/25 JCG and Merivale 2 week lookahead for hammering that could impact on their ballroom. (issued weekly).</p> <p>The newsletters that go out have a subscribe option, which helps ensure that people subscribe with current details.</p>			<p>Dedicated place managers are being deployed. These place managers work with the contractor teams. The Project outreach, website, social media, signage, phone number and letterbox all appear to have been in operation / implemented during the audit period.</p> <p>The Complaints Management System has been formally documented in the audit period. The document sets out the procedure for managing complaints across the Project.</p> <p>The Sydney Metro and Contractor Consultation Manager modules demonstrate the proactive and reactive community engagement efforts for each and every stakeholder</p> <p>There is also a subordinate Community Communications Strategy that has been prepared by JCG. This document has been prepared in a manner consistent with that specified by Section 2 of the OCCS.</p> <p>The CCS is regularly monitored and reviewed to meet the requirements listed in OCCS Table 6. Evidence of implementation of the OCCS was sighted as follows:</p> <ul style="list-style-type: none"> - Community Day records - Comms with specific stakeholders are tailored depending on needs (Merivale via text, several stakeholders at Pymont as door knock, direct emails with Studios adjacent Pymont - Distribution of works notifications - Project updates - Specific reports issued to key stakeholders - Signage <p>Consultation Manager was reviewed against the complaints register. The auditor notes that the register is a summary extract from information captured in the Consultation Manager database.</p> <p>Reviewed complaint 6330 and the CM record reflected the complaints register. The information was consistent. This complaint was responded to and closed on 15/08/25.</p> <p>Review complaint 6160. The complaint regarding property damage at the Radisson associated with the removal of hoarding. JCG did not have any pre-condition evidence and thus paid for the repairs out of good will. The information was consistent. No further issues.</p> <p>Review complaint 6126. The complaint regarding driver behaviour resulted in the stand down of the driver. Evidence sighted demonstrates that the haulage company also raised and incident form and the driver was stood down between 24/03/25 and 02/04/25.</p> <p>Review complaint 6087: complaint about noise from the workshop at Pymont East, which is permissible 24/7 under condition D23(b). Metro responded and completed a tool box talk with the team. The evidence sighted demonstrates that the follow up tool box was completed on 12 and 13/03/25. The action aligned with the complaints register action stated.</p> <p>According to Metro the survey data from the open days has not resulted in the need to alter Metro's engagement approach.</p>	

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		Phase G1	Phase G2				
					<p>JCG Community Benefits Plan Initiative Impact Register, current to 27/06/25 (tracker of community benefit initiatives, showing work completed / in progress)</p> <p>JCG Project Progress Reports (Community and Community benefits Sections), march to August 2025</p> <p>ER and AA Weekly Meeting Minutes and D51_D37 Table (weekly), 12/08/25 (includes community feedback)</p>	<p>Feedback has been positive and by and large, people request more open days.</p> <p>Email 14/07/25 (stakeholder to JCG): Request for resident at Hunter street for hammering to pause during a family event. JCG paused hammering to accommodate and received positive feedback.</p> <p>The JCG Project Progress Reports (Community and Community benefits Sections) that is reported to Sydney Metro each month, provides updates on key metrics / deliverables under the comms strategy. The reports indicate that milestones are being achieved for the audit period.</p>	
D53	<p>Community Benefit Plan(s)</p> <p>A Community Benefit Plan(s) must be prepared, by a suitably qualified and experienced person, to guide the delivery of measures identified in the documents listed in Condition A1 of this schedule relating to social impacts and the development of community benefit initiatives. The Community Benefit Plan(s) must aim to:</p> <ul style="list-style-type: none"> a) make a positive contribution to the potentially affected community; b) respond to community priorities and needs; c) create positive community or environmental outcomes; and d) prioritise consideration of achieving outcomes for enhancing community character, community culture and the local surroundings. <p>The CBP(s) must include a community benefit initiative impact register to monitor, review, and report on the effectiveness of the plan(s).</p> <p>The CBP(s) must review and refine the social impacts, risks and mitigations in the documents listed in Condition A1, including impacts identified in section 4.3 (as relevant) of the Social Impact Assessment Guideline (Department of Planning, Industry and Environment, November 2021)</p> <p>Nothing in this condition prevents the preparation of individual Community Benefit Plan(s) for each station precinct.</p> <p>The Community Benefit Plan(s) must be submitted to the Planning Secretary for information, before construction. The Community Benefit Plan(s) must be implemented for the duration of construction. The community benefit initiative impact register must be provided to the Planning Secretary upon request.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			
Business							
D54	<p>Small Business Owners Engagement Plan(s)</p> <p>Small Business Owners Engagement Plan(s) must be prepared and implemented in accordance with the Overarching Community Communication Strategy to minimise adverse impacts and secure benefits to businesses and traders on streets and underground pedestrian access affected by construction of the CSSI. The Plan(s) must include but not necessarily be limited to:</p> <ul style="list-style-type: none"> a) measures to address amenity, vehicular and pedestrian access (including wayfinding) and visibility of the business or service appropriate to its reliance on such, and other reasonable matters raised in consultation with affected businesses and traders; b) measures to address impacts from the permanent closure of DeMastre Place and temporary closure of the underground pedestrian walkway between Wynyard Station and Pitt Street; 	Full Compliance	Full Compliance	Not subject to audit at this time.	-		

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	<p>c) measures to assist small businesses adversely impacted by construction of the CSSI, such as small business education and mentoring, activation events, business engagement events, marketing and promotion.</p> <p>The Plan(s) must be prepared and submitted to the Planning Secretary for information before construction at the relevant construction site.</p> <p>The Plan(s) must be monitored and reviewed in accordance with the Overarching Community Communication Strategy.</p>						
Soils and Contamination							
D55	<p>Soils</p> <p>Prior to the commencement of any ground disturbance, erosion and sediment controls must be installed and maintained, in accordance with the publication Managing Urban Stormwater: Soils & Construction (4th edition, Landcom 2004) commonly referred to as the 'Blue Book'.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D56	<p>Contamination</p> <p>A NSW EPA-accredited Site Auditor must be engaged throughout the duration of works to ensure that any work required in relation to contamination is appropriately managed.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D57	<p>A Sampling and Analysis Quality Plan (SAQP) must be prepared for medium and high risk sites as identified in the documents referred to in Condition A1 to ensure that field investigations and analyses will be undertaken in a way that enables the collection and reporting of reliable data to meet project objectives, including (where applicable) the relevant site characterisation requirements of the detailed or targeted site investigations. The SAQP must:</p> <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; and c) be reviewed by a NSW EPA accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether they consider the SAQP to be appropriate. The SAQP and the interim audit advice prepared by a Site Auditor must be submitted to the Planning Secretary for information. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
D58	<p>Detailed Site Investigations to confirm moderate and high risk contaminated sited identified in Preliminary Site Investigation in Condition A1 must be prepared, or reviewed and approved by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme.</p> <p>The Detailed Site Investigations must be undertaken before ground disturbance in areas identified in the documents under Condition A1 as moderate to high risk.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
D59	<p>A Detailed Site Investigation Report must be prepared and submitted to the Planning Secretary for information following the completion of Detailed Site Investigations required by Condition D58 and:</p> <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by a Contaminated Land Consultant certified under either the Environment Institute of Australia or New Zealand's "Certified Environmental Practitioner" (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia "Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW); and c) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue interim audit advice stating whether the DSI appropriately categorises risk and remediation requirements. The Detailed Site Investigation Report and interim audit advice must be submitted to the Planning Secretary for information. <p>Nothing in this condition prevents the Proponent from preparing individual Site Contamination Reports for separate sites.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D60	<p>If remediation is required to make land suitable for the intended land use, a Remedial Action Plan must:</p> <ul style="list-style-type: none"> a) be prepared (or reviewed and approved) by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme; and b) be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997; c) include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use and detail how the environmental and human health risks will be managed during the disturbance, remediation and/or removal of contaminated soil/sediment or groundwater; and d) be reviewed by a NSW EPA-accredited Site Auditor. The Site Auditor must issue a Section B Site Audit Statement or interim audit advice which certifies that the Remedial Action Plan is appropriate to remediate identified contamination. <p>Nothing in this condition prevents the preparation of individual Remedial Action Plans for separate sites.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			
D61	<p>Before commencing remediation, a copy of the Remedial Action Plan and the Section B Site Audit Statement, or interim audit advice, must be submitted to the Planning Secretary for information.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			
D62	<p>The Remedial Action Plan must be implemented and changes to the Remedial Action Plan must be approved in writing by the NSW EPA accredited Site Auditor. A copy of the revised Remedial Action Plan must be provided to the Planning Secretary for information.</p> <p>Nothing in this condition prevents the Proponent from engaging the Site Auditor to prepare Site Audit Statements for separate sites.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			

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D63	A Section A1 or A2 Site Audit Statement (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state the contaminated land subject to the Remedial Action Plan has been made suitable for the intended land use, must be submitted to the Planning Secretary and Council after remediation and before the commencement of operation of the CSSI. Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D64	Contaminated land must not be used for the purpose approved under the terms of this approval until a Section A1 or A2 Site Audit Statement is obtained which states that the land is suitable for that purpose and any conditions on the Section A Site Audit Statement have been complied with.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D65	An Unexpected Finds Procedure for Contamination must be prepared before the commencement of construction and implemented throughout construction. The procedure must: <ul style="list-style-type: none"> a) be followed should unexpected contamination or asbestos (or suspected contamination) be excavated or otherwise discovered; b) include details of who will be responsible for implementing the unexpected finds procedure and the roles and responsibilities of all parties involved; and c) be prepared, (or reviewed and approved), by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme 	Full Compliance	Full Compliance	Not subject to audit at this time.			
Sustainability							
D66	A Water Reuse Strategy must be prepared, which sets out options for the reuse of collected stormwater during the CSSI. The Water Reuse Strategy must include, but not be limited to: <ul style="list-style-type: none"> a) evaluation of reuse options; b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required; c) measures to avoid misuse of recycled water as potable water; d) consideration of the public health risks from water recycling; and e) time frame for the implementation of the preferred reuse option(s). The Water Reuse Strategy must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction. Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail. A copy of the Water Reuse Strategy must be made publicly available. Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction phases of the CSSI.	Not Applicable	Full Compliance	Not subject to audit at this time.			
Traffic and Transport							

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D67	Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D68	Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D69	During construction of the CSSI, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of affected businesses / traders. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be minimised, alternative pedestrian and vehicular access, and parking arrangements must be developed in consultation with affected businesses / traders and relevant Councils and implemented prior to the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Site inspection 21/08/25</p> <p>Interview with auditees 21-22/08/25</p> <p>Hunter Street Ancillary Facility Assessment, Rev B, 06/12/24 including DHPI approval of the facility under A20b.</p> <p>Consultation Manager extracts with Ambulance NSW and NSW Fire and Rescue</p> <p>TGS PBR two three slow lane 18/12/24 (still current) and associated ROL Licence 2503890</p> <p>TGS union street detour westbound and eastbound (decommissioning of subs and installation of vent structure), 01/02/24 (still current) and associated ROL Licence 2503914</p> <p>Soteria site inspection records 23/07/25</p> <p>Soteria actions register 01/03/25 – 22/08/25 (traffic actions).</p> <p>Construction Traffic Management Plan The Bays – Stage 2 – Tunnelling Operations Rev 06, 16/06/25</p> <p>Union Street Closure Presentation to TCG and TTLG, 24/10/24 and 31/10/24, and 31/07/25</p> <p>Union Street emergency services communication, 13/08/25 (forward notification of proposed closure of Union Street)</p> <p>Union Street Closure TGS 31/01/25</p> <p>TGS for Hunter Street West Platform installation, 05/03/25</p> <p>Complaints register current to 31/08/25</p>	<p>Hunter Street West has an ancillary facility established. Signage has been installed so only JCG, emergency services and Australia Post have access. There is separation between the site and third party uses. The southern footpath was removed and the northern footpath has been widened.</p> <p>Consultation was completed with emergency services and Australia Post confirming their needs. This includes 24 hour access (including provision of keys during site closure).</p> <p>Consultation was also completed with the Adina Hotel and access has been maintained.</p> <p>During the site inspection signage was observed to be installed enabling third parties to safely access around the site.</p> <p>The consultation and alternative access arrangements are presented in the Ancillary Facility Assessment and CTMP.</p> <p>JCG prepare and implement TGSs for changes to footpath and road conditions. The TGSs are prepared by authorized traffic controllers and are submitted to the road authority as part of the ROL application (i.e.: road authority has verified that the arrangement is safe and able to function). JCG conduct regular inspections of the TGS set up (implemented by the traffic controllers). Records sighted indicate that actions identified are minor and result to small improvements rather than departures from the TGSs.</p> <p>A carpark has been implemented at the Bays (on old CTP). This triggered a new VMP, road safety audit and the like., All captured in the revised The Bays CTMP.</p> <p>Union Street is being planned for closure to allow a concrete pour campaign of the cavern and mined tunnel. This is planned for late October 25. JCG has prepared its documentation and communicated to the TCG, TTLG and emergency services. The TGS indicates that safety and access is being maintained.</p> <p>Several complaints were received regarding traffic and access, but these do not indicate a breach of this condition.</p>	C
D70	Construction vehicles (including light vehicles) must not use Robert Street, Rozelle to access The Bays metro station construction site, unless required in the event of an emergency situation.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D71	The locations of all heavy vehicles used for spoil haulage for the CSSI must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction.	Full Compliance	Full Compliance	To be audited in August 2025	<p>Virtual Superintendent, online GPS (live)</p> <p>Spoil records for truck 29/07/25 (includes load time, date, disposal time, ETP loader details</p> <p>VS report docket id (payment report), current to 25/07/25</p>	<p>Virtual Super GPS runs off the drivers phone. The system shows that it is operating in real time.</p> <p>The loader has a virtual super on it. The loader selects the truck via its virtual super GPS ticket. Therefore it's impossible for a truck to</p>	C

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		Phase G1	Phase G2				
					<p>GPS disabled issues report, current to 22/08/25.</p> <p>Emails JCG to Lantrak, 28/01/25, 05/02/25, 13/03/25, to Grid Logistics 16/05/25 and to Bakers 05/08/25.</p>	<p>receive spoil without its GPS tracker functioning. Further invoicing is done via the GPS docket number issued for the load received, so haulage companies cannot get paid unless their GPS has worked.</p> <p>Observation: JCG have established and implemented a robust vehicle tracking system (Virtual Superintendent (VS)). This system requires use of an app that is installed on mobile phones in each of the heavy vehicle drivers used for spoil haulage on the Project. The app provides real-time GPS tracking of the heavy vehicle. As part of the loading process, JCG's loader (also fitted with VS) must select the active truck in the system prior to loading. In the event the truck doesn't have VS active, the loader is unable to select the truck and loading will not commence. The GPS is then monitored from loading site to spoil destination in real-time and the system tracks speed, fatigue, time/duration and route analysis. As part of the tipping process, the nominated tip site destination is predetermined in VS by the JCG logistics team. For the haulier to complete the journey, they must enter the tip site geofence (a GPS boundary around the tip site). Should the driver fail to enter the tip site geofence, the system will not record the load as being completed and the haulier will not receive payment for the load. As part of the system, JCG have built in autonomous monitoring to identify when a GPS signal is lost, allowing identification and further investigation as required.</p> <p>According to the evidence provided JCG identified 49 x instances during the audit period whereby GPS inactivity was recorded (out of 32,140 trips recorded in total). JCG interrogated these events and all but 6 x events were a result of legitimate circumstances (e.g.: fatigue breaks, end-of-shift logoff oversight, phone coverage, or that the trucks were on site during the inactivity or were not being used for spoil haulage at the time of the inactivity). The remaining 6 x instances, occurring during 6 – 10 March 2025 and attributed to driver error or similar, were responded to by JCG immediately and resulted in drivers reactivating the GPS prior to collecting the next spoil load. There do not appear to be repeat occurrences and to date JCG has not identified any material loaded at a JCG site which has not been tipped at the nominated destination. For the reasons set out above, the Auditor considers that JCG have complied with D71.</p>	
D72	Construction Traffic Management Plans (CTMPs) must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D73	Local roads proposed to be used by Heavy Vehicles to directly access construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMPs.	Full Compliance	Full Compliance	To be audited in August 2025	<p>HVLR, Hunter Street East & West Construction Sites, JCG, 08/03/24 and Letter DPHI to Metro, 18/04/24 (approval of HVLR).</p> <p>HVLR, Pymont East and West Construction Sites, JCG, 18/03/24 and Letter DPHI to Metro, 15/04/24 (approval of HVLR).</p> <p>HVLR, Pymont East and West Construction Sites Loop Route, JCG, 18/01/24 and Letter DPHI to Metro, 25/03/24 (approval of HVLR).</p>	<p>Refer to earlier audit reports regarding HVLR approvals obtained prior to the current audit period. HVLRs were obtained or updated prior to the current audit period and prior to the proposed use of local roads.</p> <p>JCG operates a real-time tracking tool on all spoil trucks. Any departures are identified and able to be investigated. The evidence sighted indicates that any departures from approved GPS geofences</p>	C

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
					<p>CPAS Monitoring Report for The Bay, Pyrmont & Hunter Street, Mar 24 – Sep 24</p> <p>Telematics system - My virtual super system (online)</p> <p>JCG Driver disciplinary Register (no date, from 01/09/24 to 31/01/25, and including off route movements)</p> <p>Interview with auditees 21-22/08/25</p> <p>Vehicle Movement Plan, Hunter Street West, The Bays, Hunter Street East, Pyrmont.</p> <p>Delivery Driver Induction (issued by QR code upon or prior to booking a delivery)</p> <p>JCG Heavy Vehicle driver induction, 11/03/25 (includes approved haulage routes, rules around marshalling, parking)</p> <p>Heavy Vehicle Driver Code of Conduct Rev02</p> <p>JCG response to RFI02 10 (combined information regarding HV non-adhere events)</p>	<p>did not relate to the use of unapproved local roads. According to the JCG Driver Disciplinary Register there were instances whereby spoil heavy vehicles departed from approved haul routes, some of which relate to the use of local roads not covered by an HVLIR. These are not discussed here. Rather these contraventions are detailed in D77.</p>	
D74	<p>All requests to the Planning Secretary under Condition D73 must include the following:</p> <ul style="list-style-type: none"> a) a swept path analysis; b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways; c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition. 	Full Compliance	Full Compliance	Not subject to audit at this time.			
D75	<p>Road Dilapidation</p> <p>Before any local road is used by a Heavy Vehicle for the purposes of construction of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the Relevant Council within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of the CSSI.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D76	<p>If damage to roads occurs as a result of the construction of the CSSI, the Proponent must either (at the Council's discretion):</p> <ul style="list-style-type: none"> a) compensate the Relevant Council for the damage so caused; or b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report. 	Full Compliance	Full Compliance	To be audited in August 2025	<p>Complaints register current to 31/08/25</p> <p>Email JCG and CoS Edward Street road damage, 21/01/25</p> <p>Email JCG and CoS, 07/08/25. Pyrmont Bridge Road damage.</p>	<p>No complaints have been received from Council regarding condition of roads and JCG have not identified any damage arising from its works.</p> <p>One item of damage was identified by JCG (a pothole associated with some earlier works in January 2025). This was raised with CoS and rectified by JCG on 31/01/25.</p> <p>JCG also identified a pothole on Pyrmont Bridge Road on 24/07/25 not related to their works, notified Council and they carried out repairs.</p>	C
D77	Construction Parking and Access Management	Full Compliance	Full Compliance	To be audited in August 2025	Site inspection 21/08/25	This requirement is addressed in the Construction Parking and Access Strategies (CPAS). The CPASs have gone through review by Sydney Metro, the ER, TfNSW, City of Sydney and issued to the	NC

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		Phase G1	Phase G2				
	<p>All vehicles associated with the CSSI (including light vehicles and Heavy Vehicles) must be managed to:</p> <ul style="list-style-type: none"> a) minimise parking on public roads; b) minimise idling and queueing on state and regional roads; c) not carry out marshalling of construction vehicles near sensitive land user(s); d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMPs 				<p>Overarching Construction Traffic Management Plan, JCG, 10/03/23 (and DPE portal submission, 24/03/23) and Letter DPHI to Metro, 23/03/23 (approval of CPAS)</p> <p>Construction Parking and Access Strategy Stage 1 for Hunter and Pymont, 11/04/25 (and prior DPHI approval, 24/09/24)</p> <p>Construction Parking and Access Strategy Stage 2, The Bays, 27/05/25 (and prior DPHI approval, 14/06/24)</p> <p>Complaints register current to 31/08/25</p> <p>CPAS Monitoring Report for The Bay, Pymont & Hunter Street, Sep 24 – Mar 25 (and DPHI, Council submission of the CPAS monitoring report)</p> <p>Toolbox Talk, 12/06/25 covers parking and idling, use of shuttle etc</p> <p>JCG Driver disciplinary Register (from 01/03/25, and including off route movements, parking and marshalling)</p> <p>DPHI post approval portal lodgement 14/08/25 (A11 letter requesting revised reporting approach for off route events).</p> <p>On 14/08/25 Sydney Metro raised a request to report on traffic non-compliances (off route events) in its CPAS monitoring report as opposed to another timeframe.</p> <p>Telematics system - My virtual super system (online)</p> <p>JCG Driver disciplinary Register (no date, from 01/09/24 to 31/01/25, and including off route movements, parking and marshalling)</p> <p>Interview with auditees 21-22/08/25</p> <p>JCG Heavy Vehicle driver induction (includes approved haulage routes, rules around marshalling, parking,</p> <p>Vehicle Movement Plan, Hunter Street West, The Bays, Hunter Street East, Pymont.</p> <p>Delivery Driver Induction (issued by QR code upon or prior to booking a delivery)</p>	<p>Department. The Department has approved each CPAS prepared for the Project.</p> <p>Parking and truck marshalling areas are in place at The Bays and a shuttle bus takes people to the other sites as required. The CPAS Monitoring Reports, reports on compliance with this condition.</p> <p>Training is delivered communicating the requirements via the driver inductions, project induction and toolboxes.</p> <p>Non-compliance: Note that this finding is consistent with that identified in the previous audit report, but covers the current audit period. JCG have a system to manage heavy vehicles on the Project, however the system failed to ensure spoil haulage vehicles adhered to the nominated haulage routes identified in the CTMPs on 73 x occasions during the audit period. JCG provided a range of information regarding this requirement and the following is of note:</p> <ul style="list-style-type: none"> • JCG has an advanced and robust system for managing heavy vehicles, and is of the view that it is implementing all reasonable and feasible control measures to ensure adherence is achieved (including training, real-time monitoring, weekly analysis of route breaches, disciplinary action in accordance with the Driver Code of Conduct, and reporting via the CPAS Monitoring Report). Evidence was provided supporting this and the Auditor does not dispute this position. • The 73 x off-route events represents 0.23% of total movements for the 6 month period (32,140 trips recorded in total) • None of the off-route events resulted in heavy vehicles travelling past sensitive areas such as schools • There were no complaints regarding off-route heavy vehicle movements recorded in the complaints register, nor were there any associated incidents. <p>The off-route events are reported in Table 5 of the CPAS Monitoring Report, and this information is provided to the Department.</p> <p>The Auditor is not aware of the Department raising issues with the reported off-route events on previous occasions.</p> <p>As a result of this matter being captured in the previous audit report, Sydney Metro submitted a request under A11 to report on non-compliances with this condition at the time of submission of the CPAS Monitoring Report (essentially lining up the A47 and D77 reporting times), thus avoiding the obligation to report such events continuously throughout the year. At the time of writing the Department had not yet approved (or rejected) the request.</p>	
D78	A Construction Parking and Access Strategy must be prepared to identify and mitigate impacts resulting from on- and off-street parking changes during construction. The Construction Parking and Access Strategy must include, but not necessarily be limited to:	Full Compliance	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	<ul style="list-style-type: none"> a) achieving the requirements of Condition D77 above; b) confirmation and timing of the removal of on- and off-street parking associated with construction of Stage 1 of the CSSI; c) parking surveys of all parking spaces to be removed or occupied by the project workforce to determine current demand during peak, off-peak, school drop off and pickup, weekend periods and during special events; d) consultation with affected stakeholders utilising existing on- and off-street parking stock which will be impacted as a result of construction; e) assessment of the impacts to on- and off-street parking stock taking into consideration, occupation by the project workforce, outcomes of consultation with affected stakeholders and considering the impacts of special events; f) identification of reasonable and practicable mitigation measures to manage impacts to stakeholders as a result of on- and off-street parking changes including, but not necessarily limited to, staged removal and replacement of parking, provision of alternative parking arrangements, managed staff parking arrangements and working with relevant council(s) to introduce parking restrictions adjacent to work sites and compounds or appropriate residential parking schemes; g) mechanisms for monitoring, over appropriate intervals (not less than 6 months), to determine the effectiveness of implemented mitigation measures; h) details of shuttle bus service(s) to transport the project workforce to construction sites from public transport hubs and off-site car parking facilities (where these are provided) and between construction sites; i) provision of contingency measures should the results of mitigation or monitoring indicate implemented measures are ineffective; and j) provision of reporting of monitoring results to the Planning Secretary and Relevant Council(s) at six (6) monthly intervals. <p>The Construction Parking and Access Strategy must be submitted to the Planning Secretary for approval at least one (1) month prior to the commencement of any construction that reduces the availability of existing parking. The approved Construction Parking and Access Strategy must be implemented before impacting on on-street parking and incorporated into the CTMPs.</p>						
D79	<p>Road Safety</p> <p>A Traffic and Transport Liaison Group(s) must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMPs.</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			
D80	<p>Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMPs.</p>	Full Compliance except in relation to operational traffic.	Full Compliance except in relation to operational traffic.	Not subject to audit at this time.			
D81	<p>Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant Traffic and Transport Liaison</p>	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	Group before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.						
D82	<p>Pedestrian and Cyclist Access</p> <p>Safe pedestrian and cyclist access must be maintained and signposted around CSSI construction sites during construction, including during the operation of festivals and special events, in accordance with the CTMPs.</p> <p>Note: Pedestrian and cyclist access around construction sites must be as direct as reasonably practicable.</p>	Full Compliance	Full Compliance	To be audited in August 2025	<p>Construction Traffic Management Plan Hunter Street East – Stage 2 – Demolition, 07/06/24</p> <p>Construction Traffic Management Plan Hunter Street East – Stage 1 - Tunnel Excavation and Lining, 07/06/24</p> <p>Construction Traffic Management Plan Pyrmont West – Stage 2, 02/05/24</p> <p>Construction Traffic Management Plan Pyrmont East – Crane Demob, 03/07/24</p> <p>Construction Traffic Management Plan The Bays – Stage 2 – Tunnelling Operations Rev 06, 16/06/25</p> <p>Construction Traffic Management Plan Pyrmont East – Stage 2: Site Establishment & Excavation, 05/06/24</p> <p>Site inspection 21/08/25</p> <p>Complaints register current to 31/08/25</p> <p>CPAS Monitoring Report for The Bay, Pyrmont & Hunter Street, Sep 24 – Mar 25 (and DPFI, Council submission of the CPAS monitoring report)</p> <p>ER Monthly Reports, March – August 2025</p> <p>JCG Traffic Management Inspection Reports March – August 2025.</p> <p>2025 Major events Calendar TfNSW to JCG.</p>	<p>This requirement is addressed in the Overarching Construction Traffic Management Plan and site-specific Construction Traffic Management Plans (CTMPs). The CTMPs have gone through review by Sydney Metro, the ER, TfNSW, Port Authority (for Bays), City of Sydney and issued to the Department. The Auditor is not aware of any outstanding issues from any of the stakeholders.</p> <p>The CTMPs include Traffic Guidance Schemes (TGSs) showing the layout of works and interfaces with third party property. Impacts are largely limited temporary works set up for utilities, on street work zones. The impacts on pedestrian and cycling access during the audit period are managed through implementation of TGSs. During the site inspection the work layouts appeared consistent with the TGSs. Traffic</p> <p>The complaints do not indicate obstruction to property or issues associated with access or absence of alternative pathways.</p> <p>The booking system is such that JCG do not book any haulage during the major events (specified by the Transport for NSW major event calendar, broken down per event and LGA).</p>	C
D83	<p>Emergency Vehicle Access</p> <p>The Proponent must maintain emergency vehicle access, in consultation with TfNSW, relevant Councils and emergency services at all times throughout the CSSI. Measures must be outlined in the Construction Parking and Access Strategy required under Condition D78 above.</p>	Not Applicable	Full Compliance	Not subject to audit at this time.			
Utilities Management							
D84	Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D85	<p>Utility Coordination Manager</p> <p>A Utility Coordination Manager must be appointed for the duration of work associated with the CSSI. The role of the Utility Coordination Manager must include, but not be limited to:</p> <ol style="list-style-type: none"> the management and coordination of all utility work associated with the delivery of the CSSI, to ensure respite is provided to the community; providing advice to the Sydney Metro Place Manager regarding upcoming utility work, including the scope of the work and the responsibility for the work; and 	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
	c) investigating complaints received from the Community Complaints Mediator or the Project communication team relating to utility work and providing a response as required.						
Urban Design and Visual Amenity							
D86	Ancillary Facilities Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around ancillary facilities and enhance their understanding and experience of the locality and space.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D87	Nothing in this approval permits advertising on any element of the CSSI.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D88	Lighting and Security The CSSI must be constructed with the objective of minimising light spill to surrounding properties including from headlights of construction vehicles. All lighting associated with the construction of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces. Additionally, mitigation measures must be provided to manage any residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D89	Visual Amenity The CSSI must be constructed in a manner that minimises visual impacts of construction sites including, providing temporary landscaping and vegetative screening, minimising light spill, minimising impacts to identified significant view lines and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Waste							
D90	Waste generated during construction and operation must be dealt with in accordance with the following priorities: a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.	Full Compliance except for operation	Full Compliance except for operation	Not subject to audit at this time.			
D91	The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be.	Full Compliance	Full Compliance	Not subject to audit at this time.			

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Unique ID	Compliance requirement ¹	Applicability to each phase (Phasing Report, Version 2.0, February 2023)		Condition subject to audit as per approved Scoping Statement	Evidence collected	Audit findings and recommendations	Compliance status
		Phase G1	Phase G2				
D92	Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D93	All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.	Full Compliance	Full Compliance	Not subject to audit at this time.			
Water							
D94	Work on waterfront land must be carried out in accordance with controlled activity guidelines.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D95	Water Quality The CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with.	Full Compliance	Full Compliance	Not subject to audit at this time.			
D96	Unless an EPL is in force in respect to the CSSI and that licence specifies alternative criteria, discharges from wastewater treatment plants to surface waters must not exceed: <ul style="list-style-type: none"> a) the <i>Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018</i> (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level; b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the <i>Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000</i> (ANZECC/ARMCANZ); and c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level; and d) the draft <i>Australian and New Zealand Guidelines</i> default guideline values for iron (marine) Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D97	If alternative discharge criteria from the Water Treatment Plants are proposed via an EPL, a Water Pollution Impact Assessment will be required for the relevant pollutants to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			

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		Phase G1	Phase G2				
D98	If construction stage stormwater discharges are proposed, a Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D99	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Not Applicable	Full Compliance	Not subject to audit at this time.			
D100	Groundwater Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from construction dewatering by the CSSI in accordance with the NSW Aquifer Interference Policy (NSW DPIE, 2012).	Not Applicable	Full Compliance	Not subject to audit at this time.			
D101	The Proponent must submit a revised Groundwater Modelling Report in association with the CSSI to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include: <ul style="list-style-type: none"> a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI; b) predicted incidental groundwater take (dewatering) including cumulative project effects; c) potential impacts for all latter stages of the CSSI or detail and demonstrate why these later stages of the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects; d) actions required after Stage 1 to minimise the risk of inflows (including in the event latter stages of the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI; e) saltwater intrusion modelling analysis, from estuarine and saline groundwater in shale, into The Bays metro station site and other relevant metro station sites; and f) a schematic of the conceptual hydrogeological model. 	Not Applicable	Full Compliance	Not subject to audit at this time.			

Legend	OFFICIAL
	Condition / requirement within this audit scope and subject to assessment.

APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS

NSW Planning ref: SSI-10038-PA-777

Sydney Metro West
Via Major Projects Portal
30/07/2025

Attention: [REDACTED] A/Senior Manager Environment

Subject: Metro West - Auditor Nomination A40 – Construction audit #7

Dear [REDACTED]

I refer to the submission, lodged 24 June 2025, regarding the auditor nomination for the seventh construction phase independent audit of the Sydney Metro West project, being the fourth independent audit under the combined Stage 1 (SSI 10038, as modified) & Stage 2 (SSI 19238057, as modified) independent audit program.

NSW Planning has reviewed the independent auditor nominations and based on the information you have provided is satisfied that the proposed persons are suitably qualified, experienced, and independent.

Consequently, I can advise that under condition A40 of SSI 10038 and condition A42 of SSI 19238057, the Planning Secretary has approved the following auditors:

- [REDACTED] WolfPeak, as lead auditor
- [REDACTED] WolfPeak, as part of the audit team.

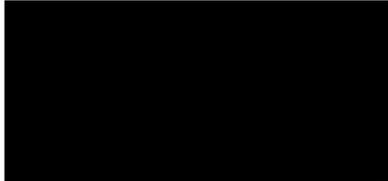
Please ensure this correspondence is appended to the Independent Audit Report.

The Independent Audit must be prepared, undertaken, and finalised in accordance with the conditions of SSI 10038 and SSI 19238057, the *Independent Audit Post Approval Requirements* (2020) and the approved audit program. Failure to meet these requirements will require revision and resubmission.

Please note that a further auditor nomination must be made, and written approval from the Planning Secretary received prior to undertaking any subsequent independent audits.

Should you wish to discuss the matter further, please contact [REDACTED], Senior Compliance Officer by email at compliance@planning.nsw.gov.au

Yours sincerely



Team Leader Compliance
Compliance

As nominee of the Planning Secretary

APPENDIX C – ATTENDANCE RECORDS

Phase B (CTP)

CTP opening meeting record, 25/08/25	Name	Organisation
	[REDACTED]	WolfPeak
	[REDACTED]	Sydney Metro
	[REDACTED]	AFJV
[REDACTED]	[REDACTED]	Sydney Metro
CTP closing meeting record, 26/09/25	Name	Organisation
	[REDACTED]	WolfPeak
	[REDACTED]	Sydney Metro
	[REDACTED]	Sydney Metro
[REDACTED]	[REDACTED]	AFJV

Phase F (WTP)

WTP opening meeting attendance	Name	Organisation
13/08/25	[REDACTED]	WolfPeak
	[REDACTED]	GLC
	[REDACTED]	Sydney Metro
	[REDACTED]	Sydney Metro
	[REDACTED]	GLC
	[REDACTED]	GLC
WTP closing meeting attendance	Name	Organisation
23/09/25	[REDACTED]	WolfPeak
	[REDACTED]	GLC
	[REDACTED]	Sydney Metro
	[REDACTED]	Sydney Metro
	[REDACTED]	GLC
	[REDACTED]	GLC

Phase G (ETP)

ETP opening meeting attendance	Name	Organisation
13/08/25	[REDACTED]	WolfPeak
	[REDACTED]	Sydney Metro
	[REDACTED]	Sydney Metro
	[REDACTED]	JCG
	[REDACTED]	JCG
	[REDACTED]	Sydney Metro
ETP closing meeting attendance	Name	Organisation
26/09/25	[REDACTED]	WolfPeak
	[REDACTED]	Sydney Metro
	[REDACTED]	JCG
	[REDACTED]	JCG
	[REDACTED]	Sydney Metro
	[REDACTED]	JCG
	[REDACTED]	JCG
	[REDACTED]	Sydney Metro
	[REDACTED]	Sydney Metro

APPENDIX D – CONSULTATION RECORDS

Derek Low

From: [REDACTED]@planning.nsw.gov.au>
Sent: Friday, 1 August 2025 10:19 AM
To: [REDACTED]; DPE PSVC Compliance Mailbox
Cc: [REDACTED]
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit August 2025 - Department consultation

Follow Up Flag: Follow up
Due By: Monday, 4 August 2025 2:00 PM
Flag Status: Flagged

Morning [REDACTED]

Thanks for the discussion this morning (*following our recent phone tagging*) to work through the proposed audit scope.

As discussed, I agree to the scope as drafted.

Cheers

[REDACTED]

[REDACTED]

A/Director Compliance Metro

NSW Planning | Department of Planning, Housing and Infrastructure
T 02 9274 6306 | M 0429 782 853 | E [REDACTED]
Locked Bag 5022 | PARRAMATTA NSW 2124
www.dpie.nsw.gov.au



The Department of Planning, Housing and Infrastructure acknowledges the traditional custodians of the land and pay respects to Elders past and present. I also acknowledge all the Aboriginal and Torres Strait Islander staff working with NSW Government at this time.

Please note that I work flexibly. I'm sending this message now because it's a good time for me, but I don't expect that you will read, respond to or action it outside of your own regular hours.

If you are submitting a compliance document or request as required under the conditions of consent or approval, please note that the Department is no longer accepting lodgement via compliance@planning.nsw.gov.au.

The Department has recently upgraded the Major Projects Website to improve the timeliness and transparency of its post approval and compliance functions. As part of this upgrade, proponents are now requested to submit all post approval and compliance documents online, via the Major Projects Website. To do this, please refer to the instructions available [here](#).

Our Vision: Together, we create thriving environments, communities and economies.

From: [REDACTED]
Sent: Friday, 1 August 2025 10:16 AM
To: DPE PSVC Compliance Mailbox <compliance@planning.nsw.gov.au>; [REDACTED]
Cc: [REDACTED]
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit August 2025 - Department consultation

Hi [REDACTED], thanks for the call just now.

As per our discussion confirming you:

- are ok to proceed with the risk-based approach for this audit
- are ok with the scope as verbally described (WTP inspection at Parra and MSF, CTP no inspection, ETP inspection at both Hunter and both Pymont sites)
- agree with WolfPeak proceeding with consultation with all Councils and EPA as per previous audits.

If you could please respond confirming the above, that would be appreciated, and we will progress on this basis.

Regards,

[REDACTED] | Principal
A/Executive Director - Delivery



P: 1800 979 716
M: [REDACTED]
E: [REDACTED]
A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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From: [REDACTED]
Sent: Wednesday, 30 July 2025 2:43 PM
To: 'compliance@planning.nsw.gov.au' <compliance@planning.nsw.gov.au>; [REDACTED]
Cc: [REDACTED]
Subject: RE: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit August 2025 - Department consultation

Hello [REDACTED] and compliance team.

Sorry to pester. Just following up again on the below, and as per text to Rob on Friday 25/07.

The first audit site inspection is scheduled for 21/08 and we have not yet commenced third party consultation or finalised scope based on the Department's review/comments on the scoping statements.

We kindly request the Department's feedback so we can progress accordingly.

Many thanks

[REDACTED]
A/Executive Director - Delivery



P: 1800 979 716
M: 0402 403 716
E: [REDACTED]
A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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From: [REDACTED]
Sent: Monday, 21 July 2025 10:07 AM
To: [REDACTED] compliance@planning.nsw.gov.au'
<compliance@planning.nsw.gov.au>
Subject: FW: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit August 2025 - Department consultation

Hello [REDACTED] and Team. Kindly following up on the below.

We kindly request Department review the attached Scoping Statement and confirm:

- if it any key requirements / issues it would like examined that are not already called up by the scope defined by Section 5 of the Audit Program and that specified by the attached Scoping Statement; or
- if it recommends that other parties or agencies are to be consulted. If so, we request that the Department identify those parties.

Happy to meet / call to discuss if the Department would like.

Regards,

[REDACTED] | Principal
A/Executive Director - Delivery



P: 1800 979 716

M: [REDACTED]

E: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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From: [REDACTED]
Sent: Monday, 30 June 2025 2:59 PM
To: compliance@planning.nsw.gov.au; [REDACTED]
Cc: [REDACTED]

Subject: Sydney Metro West Stage 1 and Stage 2 - Risk Based Audit August 2025 - Department consultation

Hello [REDACTED] and Compliance Team.

As you know, Sydney Metro West Stage 1 (CSSI 10038) and Sydney Metro West Stage 2 (CSSI 19238057) are required to undertake Independent Audits in accordance with the Sydney Metro West Audit Program (WolfPeak, Rev 5.3, 8 July 2024) which was approved by the Department on 11 July 2024. The next audit under the Audit Program is scheduled to commence in late August 2025.

In accordance with Section 7.2.3 of the Audit Program, WolfPeak is consulting with the Department on the scope of the audit and for confirmation as to whether other parties or agencies are to be consulted.

The required scope (as outlined in Section 5 of the Audit Program) already covers an assessment of each relevant condition along with an assessment of environmental performance, and the management of complaints, incidents and non-compliances and so forth. These matters are included in the audit scope. A Scoping Statement is attached, and it outlines the key parameters of the audit (including dates, auditees, target sites and the conditions proposed to be audited / not audited for each Stage / Phase of works).

In providing input to the scope, we kindly request Department review the attached Scoping Statement and confirm:

- if it any key requirements / issues it would like examined that are not already called up by the scope defined by Section 5 of the Audit Program and that specified by the attached Scoping Statement; or
- if it recommends that other parties or agencies are to be consulted. If so, we request that the Department identify those parties.

If the Department would like to meet to work through the proposed scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

[REDACTED] | Principal
A/Executive Director - Delivery



P: 1800 979 716

M: [REDACTED]

E: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses



Our ref: DOC25/643758-1

██████████
A/Executive Director – Delivery
WolfPeak Group Pty Ltd.
180 George Street
SYDNEY NSW 2000

14 August 2025

By email: ██████████

Dear ██████████

Re: Consultation on the Independent Audit for Sydney Metro West stages 1 and 2 (CSSI 10038 and CSSI 19238057)

The Environment Protection Authority (EPA) refers to your email of 4 August 2025 regarding your engagement as an independent auditor on the Sydney Metro West stages 1 and 2 (CSSI 10038 and CSSI 19238057) project.

The EPA understands that WolfPeak has been requested by Department Planning, Housing and Infrastructure (DPHI) to consult with the EPA on the scope of the audit.

The EPA has considered this request and has identified the below areas for consideration during the audit:

- Condition B4 of CSSI 10038 - Complaints management system/register
- Conditions D71-D78 of 10038 – Contaminated sites

The environment protection licences (EPLs) relevant to the request are:

- EPL 21784 – Eastern Tunnelling Package (ETP)
- EPL 21610 – Central Tunnelling Package (CTP)
- EPL 21676 – Western Tunnelling Package (WTP).

All EPL's are publicly available on the EPA's POEO Public Register at [Environment & Heritage | PRPOEO](#). Each EPL includes a list of notices and any non-compliances, with a short description for each licence annual return (12-month) period.

If you have any further questions about this matter, please contact ██████████ or at info@epa.nsw.gov.au, copying ██████████

NSW Environment Protection Authority
As the environmental steward and regulator of our State we are committed to a sustainable future.
Join us on our mission to protect tomorrow together.

Phone:
131 555
Email:
info@epa.nsw.gov.au
Website:
epa.nsw.gov.au

Visit:
6 Parramatta Square
12 Darcy St, Parramatta
NSW 2150 Australia
Mail:
Locked Bag 5022
Parramatta
NSW 2124 Australia



Kind regards

[Redacted]

[Redacted]

Unit Head Regulatory Operations
NSW Environment Protection Authority

[REDACTED]

From: [REDACTED]

Sent: Monday, 4 August 2025 3:03 PM

To: [REDACTED]

Subject: Sydney Metro West Stage 2 - Risk Based Audit August 2025 - Consultation

Hello City of Sydney.

I am one of the approved Independent Auditors on Sydney Metro West Stage 2 (CSSI 19238057) (the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late August 2025, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late October 2025.

The temporal period considered as part of this audit runs from March to August 2025 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
- Property damage
- Heavy vehicle movements, damage to roads and safety of pedestrians and cyclists.

On 1 August 2025, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **18 August 2025**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

[REDACTED] | Principal
A/Executive Director - Delivery





P: 1800 979 716

M: [REDACTED]

E: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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[REDACTED]

From: Inner West Council <council@innerwest.nsw.gov.au>
Sent: Monday, 4 August 2025 3:06 PM
To: [REDACTED]
Subject: RE: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Thank you for your email. It will be directed to the appropriate Council service team within two business days.

For a quicker response we encourage you to use our wide range of online services through [Get it Done Online](#). These services include:

- Requesting a service
- Making a payment
- Lodging an application
- Reporting an issue.

For urgent enquiries, please contact our Customer Service Team on 02 9392 5000.

If your enquiry relates to a life-threatening emergency, please call Triple Zero (000) and request police, fire, or ambulance assistance.

Yours sincerely,

[REDACTED]
Acting Customer Service Manager
p +61 2 9392 5000 e council@innerwest.nsw.gov.au

[REDACTED]

From: [REDACTED]
Sent: Monday, 4 August 2025 3:06 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Hello Inner West Council.

I am one of the approved Independent Auditors on Sydney Metro West stages 1 and 2 (CSSI 10038 and CSSI 19238057) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late August 2025, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late October 2025.

The temporal period considered as part of this audit runs from March to August 2025 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- For both Stage 1 and Stage 2:
 - Reporting of incidents and non-compliances
 - Community engagement and complaints management
 - Property damage
- For Stage 2 only
 - Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
 - Noise and Vibration including implementation of the Noise and Vibration CEMP Sub-plan and Monitoring Program
 - Heavy vehicle movements, damage to roads and safety of pedestrians and cyclists.

On 1 August 2025, DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **18 August 2025**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

[REDACTED]
A/Executive Director - Delivery



P: 1800 979 716

M: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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From: [Redacted]
Sent: Tuesday, 5 August 2025 8:58 AM
To: [Redacted]
Cc: [Redacted]
Subject: FW: [Due 18/08/2025] FW: Sydney Metro West - Risk Based Audit August 2025 - Consultation
Importance: High

You don't often get email from kai.zhu@canadabay.nsw.gov.au. [Learn why this is important](#)

Hi [Redacted]
I can confirm the Council has no further comments regarding the auditing scope proposed below.

[Redacted] | **Senior Project Manager**
City of Canada Bay

1a Marlborough St Drummoyne NSW 2047 | www.canadabay.nsw.gov.au
T: 02 9121 0069 | M: 0421 831 998 | [Redacted]

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.



Any information transmitted in this message and its attachments is intended only for the person or entity to which it is addressed. The above email correspondence should be read in conjunction with our standard disclaimer/terms which can be found at <https://www.canadabay.nsw.gov.au/email-disclaimer>

From: [Redacted]
Sent: Tuesday, August 5, 2025 8:30 AM
To: [Redacted]
Subject: [Due 18/08/2025] FW: Sydney Metro West - Risk Based Audit August 2025 - Consultation
Importance: High

Hi [Redacted]
Please see below for your action. Please note the due date is 18 August 2025.

Kind regards,
[Redacted]
Development Engineer

Development Engineer
City of Canada Bay

15-17 Regatta Road Five Dock NSW 2046 | www.canadabay.nsw.gov.au
T: 02 9121 0063 | M: 0448 220 607 | [REDACTED]

We acknowledge the Aboriginal and Torres Strait Islander peoples, in particular the Wangal people, as the first inhabitants and traditional custodians of the lands where we live, learn and work.



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From: [REDACTED]

Sent: Monday, 4 August 2025 3:09 PM

To: [REDACTED]

Cc: [REDACTED]

Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

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Hello City of Canada Bay.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late August 2025, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late October 2025.

The temporal period considered as part of this audit runs from March to August 2025 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Post construction condition surveys and repair of damage.

On 1 August 2025 DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **18 August 2025**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

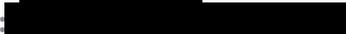
Regards,

 **Principal**
A/Executive Director - Delivery



P: 1800 979 716

M: 

E: 

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

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From: [REDACTED]
Sent: Thursday, 21 August 2025 10:15 AM
To: [REDACTED]
Subject: RE: Sydney Metro West - Risk Based Audit August 2025 - Consultation

You don't often get email from sumathi.navaratnam@burwood.nsw.gov.au. [Learn why this is important](#)

Hi [REDACTED]

Apologies that I could not respond to this email in time. I am the new Manager of City Planning, having replaced Rita last year.

I know we have missed the date but would it be helpful to meet so we can get an update on the project? I came to Council from Metro so I have some idea about the project but keen to work with you to understand project progress that Council need to be across.

Thank you and regards,

[REDACTED]
Manager City Planning
T: 02 9911 9913
E: [REDACTED]
2 Conder Street, Burwood, NSW, 2134



 Burwood Council acknowledges the Wangal Peoples as the traditional custodians of the area. We pay our respects to their elders past and present.

How would you rate my service?



It takes 10 seconds and our management reads every response.

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this message in error, please notify the sender immediately and delete this message and any attachments. Council employs virus scanning systems but does not accept liability for viruses, or any form of malware, etc. that may be transmitted with this email.

From: [REDACTED]
Sent: Monday, 4 August 2025 3:10 PM
To: [REDACTED]
Cc: [REDACTED]

Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Hello Burwood Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late August 2025, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late October 2025.

The temporal period considered as part of this audit runs from March to August 2025 (the audit period). The proposed scope has been developed in consideration of the Project's environmental and compliance risks for the audit period, and in consultation with Sydney Metro and DPHI. The scope comprises an assessment of compliance with Approval conditions and implementation of plans/commitments relating to:

- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Post construction condition surveys and repair of damage.

On 1 August 2025 DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **18 August 2025**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

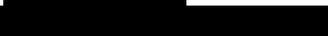
Regards,


A/Executive Director - Delivery



P: 1800 979 716

M: 

E: 

A: Gadigal Country – 180 George Street, Sydney NSW 2000

[REDACTED]

From: Strathfield Municipal Council <council@strathfield.nsw.gov.au>
Sent: Monday, 4 August 2025 3:17 PM
To: [REDACTED]
Subject: Automatic reply: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Thank you for contacting Strathfield Municipal Council.

This is an automated response to confirm we have received your email. Your email will be allocated to the relevant department of Council for consideration and action.

In accordance with our [Customer Service Charter](#), your request will be addressed within 10 working days (please note Council may contact you to further discuss your request).

If you would prefer to speak with one of our Customer Service Officers, you can contact us on (02) 9748 9999 between 8:30am and 5:00pm, Monday to Friday.

To report a hazard that may cause physical harm, please call our 24/7 Customer Service team on (02) 9748 9999 or the easiest and fastest way to request a service day or night is by downloading our [StrathfieldHub App](#).

In an emergency, please call 000 and request police, fire, or ambulance for life-threatening situations.

If your email is a submission in response to a development application, we will include it in our consideration of the application and advise you once a determination has been reached.

Thank you,

Strathfield Municipal Council

Disclaimer: This transmission is intended for the addressee named and may contain confidential information. If you are not the intended recipient of the transmission, please delete the transmission and notify the sender. The contents of the transmission are the opinion of the individual sender, and are not necessarily endorsed by Strathfield Municipal Council.

[REDACTED]

From: [REDACTED]
Sent: Monday, 4 August 2025 3:16 PM
To: council@strathfield.nsw.gov.au; [REDACTED]
Cc: [REDACTED]
Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Hello Strathfield Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

The Project is required to undertake periodic Independent Audits to assess compliance with the Project Approval. The next audit is scheduled to commence in late August 2025, with the final Audit Report to be submitted to the Department of Planning, Housing and Infrastructure (DPHI) by late October 2025.

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- Reporting of incidents and non-compliances
- Community engagement and complaints management
- Post construction condition surveys and repair of damage.

On 1 August 2025 DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

In providing input I kindly note that feedback be provided no later than **18 August 2025**. Any feedback received after this time may not be able to be considered in this audit cycle.

If Council would like to meet to discuss any of the above in order to provide input into the audit scope, we would welcome this opportunity. Please let me know if this is the case.

I look forward to hearing from you.

Regards,

[REDACTED] | Principal
A/Executive Director - Delivery





P: 1800 979 716

M: [REDACTED]

E: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community. We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.

*This email is intended only for the addressee and may contain confidential information. If you receive this email in error, please delete it and any attachments and notify the sender immediately by reply email. WolfPeak takes all care to ensure that attachments are free from viruses or other defects. WolfPeak assume no liability for any loss, damage or other consequences, which may arise from opening or using an attachment. **Consider the environment. Please don't print this e-mail unless really necessary.***

From: PCC Council <council@cityofparramatta.nsw.gov.au>
Sent: Monday, 4 August 2025 3:19 PM
To: [REDACTED]
Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

PLEASE DO NOT REPLY TO THIS EMAIL

Dear Customer,

Thank you for contacting the City of Parramatta.

Your enquiry is important to us. We will review your request and allocate it to the relevant team within 48 business hours. Please note, the response times may vary depending on the nature of the request and volume of request we are currently managing.

For Information on FOGO, please visit our website [New Bin Service – FOGO](#). If you require further assistance, you have the option to contact our FOGO team via fogo@cityofparramatta.nsw.gov.au.

For information on Parramatta Aquatic Centre (PAC), please visit our website by clicking the link [Parramatta Aquatic Centre \(PAC\)](#). If you need additional support, you can reach out to our PAC team via pac@cityofparramatta.nsw.gov.au.

If you are reporting a hazard that may cause physical harm to a person or property, please call the Contact Centre Team on **9806 5050** between 8:30 am to 4:30 pm Monday to Friday.

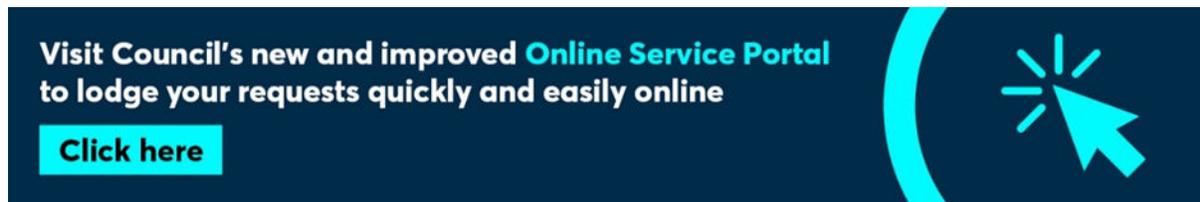
In a life-threatening emergency please call 000 and request police, fire or ambulance.

Did you know that the top five issues reported to council are:

1. Waste bin service is missed
2. Waste bin damaged
3. Parking offence
4. Illegally dumped rubbish
5. Repair of a single pothole

The best way to report these issues or to request a service, is by accessing Council's online [Service Portal](#).

Using this portal, your request will be automatically allocated to the relevant team for action. Simply click the blue "Click Here" box in the link below to log your issue or request a service.



Visit Council's new and improved **Online Service Portal** to lodge your requests quickly and easily online

[Click here](#)



9 Wentworth Street Parramatta NSW 2150
PO Box 32, Parramatta NSW 2124
(02) 9806 5050
Links | www.cityofparramatta.nsw.gov.au

From: [REDACTED]
Sent: 8/4/2025 5:19:21 AM +00:00
To: council@cityofparramatta.nsw.gov.au
Subject: Sydney Metro West - Risk Based Audit August 2025 - Consultation

*****[EXTERNAL EMAIL] Stop and think before opening attachments, clicking on links or responding. *****

Hello City of Parramatta Council.

I am one of the approved Independent Auditors on Sydney Metro West Stage 1 (CSSI 10038) (referred to herewith as the Project).

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- Heritage including implementation of the Heritage (Non-Aboriginal and Aboriginal) CEMP Sub-plan
- Post construction condition surveys, repair of damage and completion of permanent works
- Remediation of contaminated land
- Heavy vehicle movements, damage to roads and safety of pedestrians and cyclists
- Waste import and disposal.

On 1 August 2025 DPHI requested that we consult with Council on the audit scope. Therefore, I request Council confirm whether it has any key issues it would like examined, relating to compliance with the conditions of Approval the during the audit period.

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I look forward to hearing from you.

Regards,

[REDACTED]
A/Executive Director - Delivery



P: 1800 979 716

M: [Redacted]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

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Disclaimer

The information contained in this communication from the sender is confidential. It is intended solely for use by the recipient and others authorized to receive it. If you are not the recipient, you are hereby notified that any disclosure, copying, distribution or taking action in relation of the contents of this information is strictly prohibited and may be unlawful.

This email has been scanned for viruses and malware, and may have been automatically archived by Mimecast, a leader in email security and cyber resilience. Mimecast integrates email defenses with brand protection, security awareness training, web security, compliance and other essential capabilities. Mimecast helps protect large and small organizations from malicious activity, human error and technology failure; and to lead the movement toward building a more resilient world. To find out more, visit our website.

[REDACTED]

From: [REDACTED]

Friday, 15 August 2025 4:42 PM

To: [REDACTED]

Cc: [REDACTED]

Subject:

RE: Sydney Metro West - Risk Based Audit August 2025 - Consultation

Hi [REDACTED]

Thank you for your email.

Please be advised that the project has caused significant and ongoing damage to Hawkesbury Road, Bailey Street, and Hassall Street over the course of its duration due to heavy truck movements. Council received regular and substantial complaints from the community regarding this issue during previous audit periods, highlighting both the deterioration of road surfaces and the associated safety concerns for residents and road users.

Damage was also present due to utility works associated with the project. These works have since been restored and are currently in good condition following remediation undertaken as part of the Metro West project in the late stages of the last audit period and throughout the current one. Council requests that regular inspections and maintenance continue to occur throughout the remainder of the project to ensure road conditions are maintained and that any pavement or safety issues are addressed proactively and in a timely manner.

Please let me know if you require anything further.

Regards,
[REDACTED]



[REDACTED]
EXECUTIVE ASSISTANT TO DIRECTOR ENVIRONMENT AND PLANNING

16 Memorial Avenue, PO Box 42 Merrylands NSW 2160

T +61 2 8757 9842

E [REDACTED]

W www.cumberland.nsw.gov.au

From: [REDACTED]

Sent: Monday, 4 August 2025 3:14 PM

To: [REDACTED]

Cc: [REDACTED]

Subject: [EXTERNAL] Sydney Metro West - Risk Based Audit August 2025 - Consultation

CAUTION: This email originated from outside of the organisation. Do not click links or open attachments unless you recognise the sender and know the content is safe.

Hello Cumberland Council

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 Principal
A/Executive Director - Delivery





P: 1800 979 716

M: [REDACTED]

E: [REDACTED]

A: Gadigal Country – 180 George Street, Sydney NSW 2000

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Disclaimer

The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this unintentionally, please contact the sender and delete the material from all computers. Cumberland City Council does not warrant the material contained in this message is free from Computer virus or defect. Loss or damage incurred in use is not the responsibility of Cumberland City Council. Cumberland City Council respects your privacy and is committed to protecting it. To view our Privacy Policy please visit www.cumberland.nsw.gov.au

APPENDIX E – PHOTOS

No.	Comment	Photograph
1	CTP Five Dock East footpath restored	
2	CTP Five Dock East stormwater protected despite surface being free from sediment	
3	CTP Five Dock West free of plant and materials.	

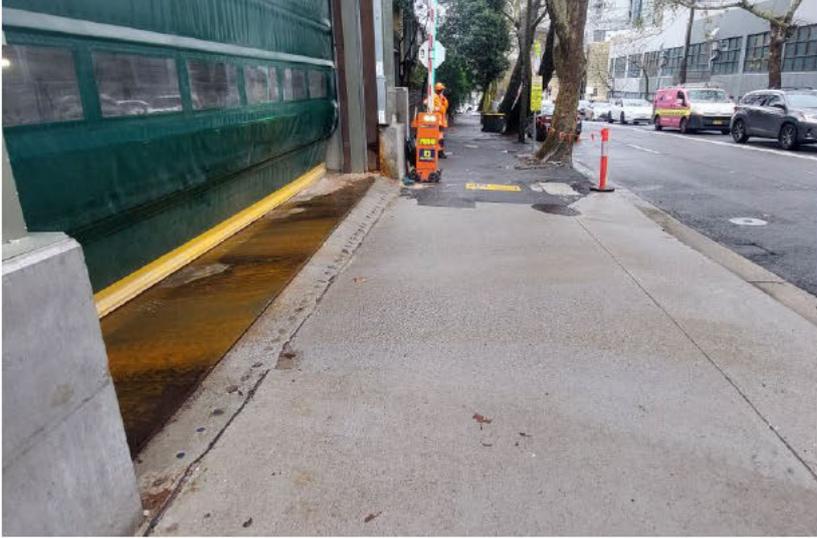
No.	Comment	Photograph
4	<p>CTP Five Dock West station box. Water is pumped to The Bays for treatment and discharge under an EPL.</p>	
5	<p>WTP Clyde MSF West access gate has significant sediment tracking, but this is being kept within the Project site (i.e.: the road sighted is within the Project area) and not on the public road.</p>	

No.	Comment	Photograph
6	WTP Clyde MSF East stockpiles were well labelled	
7	WTP Clyde MSF East sucker truck tip off, allowing for water treatment and sediment to be separated.	
8	WTP Clyde MSF evidence of erosion following recent rain. This is all retained in the flood basin (see next shot)	
9	WTP Clyde MSF flood retention basin	

No.	Comment	Photograph
10	WTP Clyde MSF has significant portions now completed with chipseal	
11	WTP Clyde MSF / Dive, lime treatment works underway. These appeared well managed.	
12	WTP Parramatta heritage investigations and salvage ongoing.	

No.	Comment	Photograph
13	WTP Parramatta heritage no go zones in place	
14	WTP Parramatta protection of adjacent shops from wind load and accidental impact	

No.	Comment	Photograph
15	WTP Parramatta site hive monitoring in place	
16	ETP Pyrmont East TBM 1 and TBM 2 arrival	
17	ETP Pyrmont East fuel storage, with double lined tank	

No.	Comment	Photograph
18	ETP Pymont East water treatment plant on top of shaft decking	
19	ETP Pymont West shaft excavation ongoing	
20	ETP Pymont West. The gates and footpaths at Pymont were accessible and safe.	

No.	Comment	Photograph
21	ETP Hunter Street West Ancillary Facility, with hoarding in place and material secure.	
22	ETP Hunter Street East decking installed	
23	ETP Hunter Street East shaft excavation ongoing	

No.	Comment	Photograph																		
24	ETP Hunter Street East shaft excavation ongoing																			
25	ETP Hunter Street East respite hours sign posted	 <p>Please respect our neighbours</p> <p>High noise work hours High noise work NOT to happen in respite periods shown in purple</p> <table border="1"> <thead> <tr> <th>Monday - Saturday</th> <th>Sunday</th> </tr> </thead> <tbody> <tr> <td>7am - 9am: 2 hour work block</td> <td>8am - 10am: 3 1/2 hour work block</td> </tr> <tr> <td>9am - 12pm: 2 hour work block</td> <td>10am - 12pm: 3 1/2 hour work block</td> </tr> <tr> <td>12pm - 2pm: 2 hour work block</td> <td>12pm - 2pm: 3 1/2 hour work block</td> </tr> <tr> <td>2pm - 4pm: 2 hour work block</td> <td>2pm - 4pm: 3 1/2 hour work block</td> </tr> <tr> <td>4pm - 6pm: 2 hour work block</td> <td>4pm - 6pm: 3 1/2 hour work block</td> </tr> <tr> <td>6pm - 8pm: 2 hour work block</td> <td>6pm - 8pm: 3 1/2 hour work block</td> </tr> <tr> <td>8pm - 10pm: 2 hour work block</td> <td>8pm - 10pm: 3 1/2 hour work block</td> </tr> <tr> <td>10pm - 12am: 2 hour work block</td> <td>8pm - 10pm: 3 1/2 hour work block</td> </tr> </tbody> </table> <p>Controls</p> <ul style="list-style-type: none"> Noise blankets must be installed where possible. Water suppression for hammering or cutting works. Dust is not allowed to leave site. Adjust work if dust is generated. Vehicles leaving site must be covered and wheels washed. We cannot track mud on roads. <p>High noise works include:</p> <ul style="list-style-type: none"> Hammering or rockbreaking Cutting pavement, concrete or steel Skull dragging bins or metal on metal noises Dropping heavy material into bins <p>Please be careful of making noise when you are:</p> <ul style="list-style-type: none"> Loading materials into bins (no dropping) Stacking or moving steel beams (no dropping) Moving equipment or materials (no dragging or dropping) <p>IF YOU ARE UNSURE CHECK WITH YOUR SUPERVISOR</p> <p>Community contact:</p> <p>Environment contact:</p>	Monday - Saturday	Sunday	7am - 9am: 2 hour work block	8am - 10am: 3 1/2 hour work block	9am - 12pm: 2 hour work block	10am - 12pm: 3 1/2 hour work block	12pm - 2pm: 2 hour work block	12pm - 2pm: 3 1/2 hour work block	2pm - 4pm: 2 hour work block	2pm - 4pm: 3 1/2 hour work block	4pm - 6pm: 2 hour work block	4pm - 6pm: 3 1/2 hour work block	6pm - 8pm: 2 hour work block	6pm - 8pm: 3 1/2 hour work block	8pm - 10pm: 2 hour work block	8pm - 10pm: 3 1/2 hour work block	10pm - 12am: 2 hour work block	8pm - 10pm: 3 1/2 hour work block
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26	ETP Hunter Street West MAF at Richard Johnson Square established so it does not impact on the heritage item																			

No.	Comment	Photograph						
27	ETP Hunter Street West respite hours sign posted	<p>The photograph shows a posted sign for 'Hunter St West' construction. The sign is titled 'Hunter St West' and is divided into several sections. On the left, it lists 'Normal Construction Hours' for Monday-Friday (8:00 AM to 5:00 PM), Saturday (8:00 AM to 12:00 PM), and Sunday (No work). A note states 'OOHW Permit Required'. In the center, there is a site plan showing 'Green Hours' (8:00 AM to 5:00 PM) and 'Green Zones' (9:00 AM to 5:00 PM). On the right, it lists 'High Noise Work Hours - 9.75 hours' (i.e. Rockbreaking, Jackhammering etc.) and a table of 'High Noise Hours for 2 or more excavators in Green Zones':</p> <table border="1"> <thead> <tr> <th>Day</th> <th>Hours</th> </tr> </thead> <tbody> <tr> <td>Monday - Saturday</td> <td>2 hour work block</td> </tr> <tr> <td>Sunday</td> <td>2 hour work block</td> </tr> </tbody> </table> <p>Below the table, it states '2 or more excavators in Green Zones to comply with Respite Hours on the right'.</p>	Day	Hours	Monday - Saturday	2 hour work block	Sunday	2 hour work block
Day	Hours							
Monday - Saturday	2 hour work block							
Sunday	2 hour work block							
28	ETP Hunter Street West vibration monitoring in Skinner's Family Hotel	<p>The photograph shows a vibration monitoring device installed in a concrete wall. The device is a circular sensor with a green light, and a Uniden battery pack is visible next to it.</p>						

APPENDIX F – DECLARATIONS

Declaration of Independence - Auditor



Project Name:	Sydney Metro West Stage 1 and 2
Consent Number:	SSI 10038 & SSI 19238057
Description of Project:	<p>Stage 1 works of Sydney Metro West includes:</p> <ul style="list-style-type: none"> - new passenger rail infrastructure between Westmead and the central business district (CBD) of Sydney, including: <ul style="list-style-type: none"> o tunnels, stations (including surrounding areas) and associated ail facilities and o stabilising and maintenance facilities (including associated underground and overground connection to tunnels), and - modification of existing rail infrastructure (including stations and surrounding areas), and - ancillary development. <p>Stage 2 works of the Sydney Metro West includes: station excavation for new metro stations at Pyrmont and Hunter Street Sydney CBD; tunnel excavation including tunnel support activities between The Bays and Hunter Street Sydney CBD; and ancillary development</p>
Project Address:	Sydney Metropolitan Area
Proponent:	Sydney Metro
Title of Audit	Independent Audit Stage 1 and 2 August 2025
Date:	01/10/25

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- i. the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

Refer page 2 of this document for declarations regarding WolfPeak's non-audit involvement in the Project. These were declared to the Department prior to the audit and approval of the audit team.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

Name of Auditor:	██████████
Signature:	
Qualification:	Bachelor of Environmental Management, Macquarie University Master of Environmental Engineering Management, UTS Exemplar Global Certified Lead Environmental Auditor (No. 114283)
Company:	WolfPeak Group Pty Ltd

Declarations of involvement

WolfPeak's auditors have not had any involvement in the Project. Regarding other WolfPeak employees, WolfPeak would like to declare the following involvement in the Project:

Sydney Metro West Stage 1 (Central Tunnelling Project (CTP)) SSI 10038

One (1) WolfPeak staff member was engaged during late 2021 and early 2022. This person did not form part of the audit team. This was declared to Sydney Metro during tender and to the Department prior to the commencement of the first Independent Audit. WolfPeak's involvement in this package was completed in March 2022 and we do not expect any further involvement.

Sydney Metro West Stage 1 (Western Tunnelling Project (WTP)) SSI 10038

One (1) WolfPeak staff member was providing environmental support to the contractor. This person did not form part of the audit team. This involvement commenced after the first Independent Audit and was declared to the Department prior to commencing the second Independent Audit. WolfPeak's involvement in this package was completed in October 2022 and we do not expect any further involvement at this time.

Sydney Metro West Stage 2 (Eastern Tunnelling Project (ETP)) SSI 19238057

One (1) WolfPeak staff member has commenced work as the ISC Independent Sustainability Professional (ISP) on the ETP. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package. This was declared to Sydney Metro and the Department prior to commencement of the first Independent Audit on ETP.

Controls in place to manage potential conflict

The following controls were in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit and has not audited its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- The WolfPeak employee working as ISP on the ETP is not on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.

- The following controls are in place to manage the potential for unintended sharing of information:
 - The WolfPeak audit team has not had and does not have access to the Sydney Metro or contractor/s systems and drives unless this was arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
 - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been / will continue to be locked so only the WolfPeak audit team has access.
- WolfPeak employee working as ISP on the ETP is not the owner of any of the documents being produced.
- WolfPeak employee working as ISP on the ETP is not responsible for site works on which audit inspections will occur.

Declaration of Independence - Auditor



Project Name:	Sydney Metro West Stage 1 and 2
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- ii. the findings of the audit are reported truthfully, accurately and completely;
- iii. I have exercised due diligence and professional judgement in conducting the audit;
- iv. I have acted professionally, objectively and in an unbiased manner;
- v. I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- vi. I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- vii. neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- viii. I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

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Name of Auditor:	██████████
Signature:	
Qualification:	Master Engineering Management, UTS, Sydney 2007 Exemplar Global Lead Environmental Auditor 2022 (No.115421)
Company:	WolfPeak Group Pty Ltd

Declarations of involvement

WolfPeak's auditors have not had any involvement in the Project. Regarding other WolfPeak employees, WolfPeak would like to declare the following involvement in the Project:

Sydney Metro West Stage 1 (Central Tunnelling Project (CTP)) SSI 10038

One (1) WolfPeak staff member was engaged during late 2021 and early 2022. This person did not form part of the audit team. This was declared to Sydney Metro during tender and to the Department prior to the commencement of the first Independent Audit. WolfPeak's involvement in this package was completed in March 2022 and we do not expect any further involvement.

Sydney Metro West Stage 1 (Western Tunnelling Project (WTP)) SSI 10038

One (1) WolfPeak staff member was providing environmental support to the contractor. This person did not form part of the audit team. This involvement commenced after the first Independent Audit and was declared to the Department prior to commencing the second Independent Audit. WolfPeak's involvement in this package was completed in October 2022 and we do not expect any further involvement at this time.

Sydney Metro West Stage 2 (Eastern Tunnelling Project (ETP)) SSI 19238057

One (1) WolfPeak staff member has commenced work as the ISC Independent Sustainability Professional (ISP) on the ETP. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package. This was declared to Sydney Metro and the Department prior to commencement of the first Independent Audit on ETP.

Controls in place to manage potential conflict

The following controls were in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not audit and has not audited its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- The WolfPeak employee working as ISP on the ETP is not on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.

- The following controls are in place to manage the potential for unintended sharing of information:
 - The WolfPeak audit team has not had and does not have access to the Sydney Metro or contractor/s systems and drives unless this was arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
 - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been / will continue to be locked so only the WolfPeak audit team has access.
- WolfPeak employee working as ISP on the ETP is not the owner of any of the documents being produced.
- WolfPeak employee working as ISP on the ETP is not responsible for site works on which audit inspections will occur.