

# INDEPENDENT AUDIT NO. 7 – AUDIT REPORT

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SYDNEY METRO WESTERN SYDNEY AIRPORT  
SSI 10051

MARCH 2025

## Authorisation

|                  |              |                               |          |
|------------------|--------------|-------------------------------|----------|
| Author name      |              | Reviewer / approver name      |          |
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| Date             | 14/04/25     | Date                          | 14/04/25 |

## Document Revision History

| Revision | Date     | Details  |
|----------|----------|--|
| Draft    | 27/03/25 | For internal review                                    |
| 1.0      | 29/03/25 | For issue  |
| 2.0      | 14/04/25 | Updated in response to client comments on Revision 1.0 |

**Report Name:** Independent Audit No. 7 – Audit Report, Sydney Metro Western Sydney Airport – SSI 10051

**Project No.:** 1252

**Prepared for:**

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## EXECUTIVE SUMMARY

### *The Project*

Sydney Metro is responsible for delivery of the Sydney Metro Western Sydney Airport Project (the Project). The Project involves construction and operation of a new metro railway line around 23 kilometres in length between St Marys in the north and the area known as Bradfield in the south. This includes a section of the alignment that passes through and provides access to Western Sydney International (Nancy-Bird Walton) Airport, currently under construction.

Station locations for the project would include:

- A new metro station connecting to, and providing interchange with, the existing Sydney Trains suburban rail network at St Marys, north of Western Sydney International
- Two new metro stations between the existing Sydney Trains suburban rail network at St Marys and Western Sydney International: one at Orchard Hills and one at Luddenham within the Northern Gateway precinct
- Two new metro stations within the Western Sydney International site: one at the Airport Terminal and one at the Airport Business Park
- A new metro station within the Aerotropolis Core precinct (the area to be called Bradfield), south of Western Sydney International.

The alignment of the new metro railway line would:

- Include a combination of tunnel, surface and viaduct sections
- Interface with key roads including the Great Western Highway, M4 Western Motorway, Luddenham Road, the future M12 Motorway, The Northern Road, Elizabeth Drive and Badgerys Creek Road, as well as key utilities such as the Warragamba to Prospect Water Supply Pipelines
- Include waterway crossings of Blaxland Creek and Cosgrove's Creek.

Approval for the Project was granted in State Significant Infrastructure (SSI) 10051 by the Minister for Planning and Public Spaces on 23 July 2021, subject to a number of conditions.

The Project Approval has been modified on two occasions. The first modification was approved on 14 April 2022 (prior to the current audit period) to amend condition E4 to reduce the biodiversity offset credit requirement. The second modification was approved by the Department on 20 December 2024 to decouple of the tree survey required in condition E13 from replacement trees and inclusion in the Place, Urban Design and Corridor Landscape Plan; and to amend the respite condition E57 to provide the outcomes of consultation to the Planning Secretary on request. Both modifications have been included in the scope of this audit. No consistency assessments were determined during the audit period..

Construction of the Project stages are summarised as follows:

- Advanced Enabling Works (AEW)
- Station Box and Tunnels (SBT) Preparatory Works
- SBT Bulk Excavation and Tunnelling Works

- Surface and Civil Alignment Works (SCAW) Preparatory Works
- SCAW Main Excavation and Viaduct Works
- Stations, Systems, Trains and Operations and Maintenance (SSTOM).

Construction commenced on 25 November 2021.

The Auditor understands that the following activities were conducted between 10 August 2024 to 9 February 2025 (the audit period)<sup>1</sup>:

- AEW:
  - FSM: Piling, excavation, and spoil handling were ongoing across the platforms and site compound, with various shoring, piling breakback, and construction activities as outlined below:
    - Piling works continued on platforms 1/2 and 3/4.
    - Excavations took place on platforms 1/2 and 3/4, and in the site compound.
    - Pile breakback occurred on platforms 1/2, 3/4, and the compound.
    - Shoring boxing was installed on both platforms.
    - Spoil loadout was carried out to laydown area 1 and Hobart Street, with material awaiting waste classification.
    - Cranes were used to transfer materials and equipment to platform 3/4.
    - Deflection wall slab excavation and footing preparation occurred, with a blinding slab poured.
    - Spoil removal was temporarily halted due to cancelled weekend works.
- SBT:
  - Across the SBT project, the works involved removal of remaining spoil following completion of tunnelling and cross passage excavation, tunnel support material removal, demobilisation of plant and equipment laydowns, office compounds and the like, make good of site surfaces, maintenance of environmental controls, defects work and handover of sites to SSTOM. In summary:
    - St Marys works completed and handed over to SSTOM in August 2024.
    - Claremont Meadows works complete and handed over to SSTOM in December 2024.
    - Orchard Hills works complete and handed over to SSTOM in November 2024.

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<sup>1</sup> According to the Environmental Representative Monthly Reports, the works observed during the site inspection and the works described by the auditees during the interviews.

- Bringelly works complete and handed over to SSTOM in December 2024.
- Aerotropolis works complete and handed over to SSTOM in August 2024.
- SBT had achieved completion of construction on 9 December 2024 and surrendered their Environment Protection Licence (EPL) on 22 January 2025. SBT had completely demobilised prior to the audit commencing and had no further presence on site.
- SCAW:
  - Completion of all at-grade alignment earthworks and drainage
  - Completion of abutment and segment installation and restoration works within WaterNSW (Warragamba) corridor
  - Installation of remaining Viaduct Segments casts and spans, including parapets,
  - Site demobilisation including removal of materials from laydowns, waste removal, make good on site handover to SSTOM
  - Completion of remediation works at the PS105 containment cell
  - Completion of Luddenham roundabout and handover to Penrith City Council
  - Defect works.
  - SCAW had one remaining minor compound near the Warragamba easement and were conducting maintenance on environmental controls during the audit. All other sites / portions had been completed.

Works on the SSTOM package of this Project is ongoing but has not been considered within the scope of this Independent Audit Report. A separate Independent Audit has been conducted with additional report to be prepared for the SSTOM package.

### ***The Independent Audit***

This Audit Report presents the findings from the seventh Independent Audit on the Project, covering the period from 10 August 2024 to 9 February 2025 (the 'audit period').

The objective of this Independent Audit is to satisfy SSI 10051 Schedule 2, condition A36, which states:

*Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).*

The overall outcome of the Independent Audit was positive. Relevant environmental and compliance monitoring records were being collected and reported as required to provide verification of compliance to statutory requirements and the broader Project environmental requirements. A high degree of compliance was achieved.

With respect to findings from the seventh audit:

- There were 222 conditions assessed.
- 170 conditions were considered to be compliant.
- Two (2) non-compliances were identified by the auditees and reported during the audit period. These relate to FSM heavy vehicle movements occurring without the prerequisite approvals being in place. No new non-compliances were identified by the auditor.
- 48 conditions were considered not triggered.
- In addition to the above, two (2) observations were identified, relating to the completion of SBT environmental reporting under its approved Monitoring Programs and post-construction conditions surveys.

With respect to the status of the single finding that was open at the time of completion of the sixth audit, the Auditor considers the finding to be closed.

The Auditor found that the post-approval documents were of a very high standard and largely being implemented. The deficiencies in implementation are incorporated into the non-compliance and observations raised above, and detailed in Section 3.2.

Eight (8) complaints were recorded on the complaints register that were relevant to the scope of this audit. Seven (7) were relevant to SBT, one (1) was relevant to SCAW, and none were recorded in relation to FSM works. The most complaints were received about works at or proximal to the Bringelly Services Facility and Orchard Hills sites and relating to noise and vibration (6).

This is a material reduction in the number of complaints from the previous audit period (49 complaints relevant to the Project were recorded during the previous audit period), and reflects the fall in intensity and scale of Project works being conducted by SBT and SCAW.

The auditees have not identified any incidents requiring notification to the Department during the audit period.

The Auditor considers that the works being undertaken and the resulting impacts are consistent with that described in the Environmental Impact Statement (EIS) and Response to Submissions (RTS). Overall, the Auditor considers the environmental performance of the Project during the audit period to be sound. The following positive observations are of note:

- SBT and SCAW construction works were completed progressively over the audit period and thus the potential for environmental impact has also fallen. SBT is no longer on site, with all sites having been handed over to SSTOM prior to the audit. SCAW has one remaining compound in place of which the Auditor considers to pose negligible environmental risk.
- The degree of compliance with the Approval and the REMMs is very high (five non-compliances were identified against 222 conditions) and compliance records were well organized and available at the time of the site inspection and interview with key Project personnel.
- Relevant environmental and compliance monitoring activities are being undertaken by Sydney Metro, the ER and its contractors to provide verification of compliance against statutory requirements and the broader Project legislative requirements.
- Sydney Metro will need to provide continued oversight of tree replanting and post-construction condition surveys, to ensure the relevant conditions are met.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro and its contractors for their high level of organisation, cooperation, and assistance during the Independent Audit.

# 1. INTRODUCTION

## 1.1 The Project

### 1.1.1 Overview

Sydney Metro is responsible for delivery of the Sydney Metro Western Sydney Airport Project (the Project). Approval for the Project was granted in State Significant Infrastructure (SSI) 10051 by the Minister for Planning and Public Spaces on 23 July 2021, subject to a number of conditions.<sup>2</sup>

The Project involves construction and operation of a new metro railway line around 23 kilometres in length between St Marys in the north and the area known as Bradfield in the south. This includes a section of the alignment that passes through and provides access to Western Sydney International (Nancy-Bird Walton) Airport, currently under construction.

Station locations for the Project would include:

- A new metro station connecting to, and providing interchange with, the existing Sydney Trains suburban rail network at St Marys, north of Western Sydney International
- Two new metro stations between the existing Sydney Trains suburban rail network at St Marys and Western Sydney International: one at Orchard Hills and one at Luddenham within the Northern Gateway precinct
- Two new metro stations within the Western Sydney International site: one at the Airport Terminal and one at the Airport Business Park
- A new metro station within Bradfield (the former Aerotropolis Core precinct), south of Western Sydney International.

The alignment of the new metro railway line would:

- Include a combination of tunnel, surface and viaduct sections
- Interface with key roads including the Great Western Highway, M4 Western Motorway, Luddenham Road, the future M12 Motorway, The Northern Road, Elizabeth Drive and Badgerys Creek Road, as well as key utilities such as the Warragamba to Prospect Water Supply Pipelines
- Include waterway crossings of Blaxland Creek and Cosgrove's Creek.

The Project includes works required to support its construction and operation, including all operational systems and infrastructure such as fresh air ventilation systems, signalling, communications, overhead wiring, rail corridor fencing and access tracks/paths.

A stabling and maintenance facility and operational control centre would be required to support operation of the project. The facility is proposed to be located in Orchard Hills, to the south of Blaxland Creek and east of the proposed metro line. Services facilities are proposed at Claremont

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<sup>2</sup> Note that the Department of Planning and Environment (DPE) was renamed on 1 January 2024 to the Department of Planning Housing and Infrastructure (DPHI). This Report refers to the agency as the 'Department', or 'DPHI' throughout.

Meadows and Bringelly for the St Marys to Orchard Hills tunnel and Western Sydney International to Bringelly tunnel, respectively.

An overview of the Project and its location is shown in Figure 1.

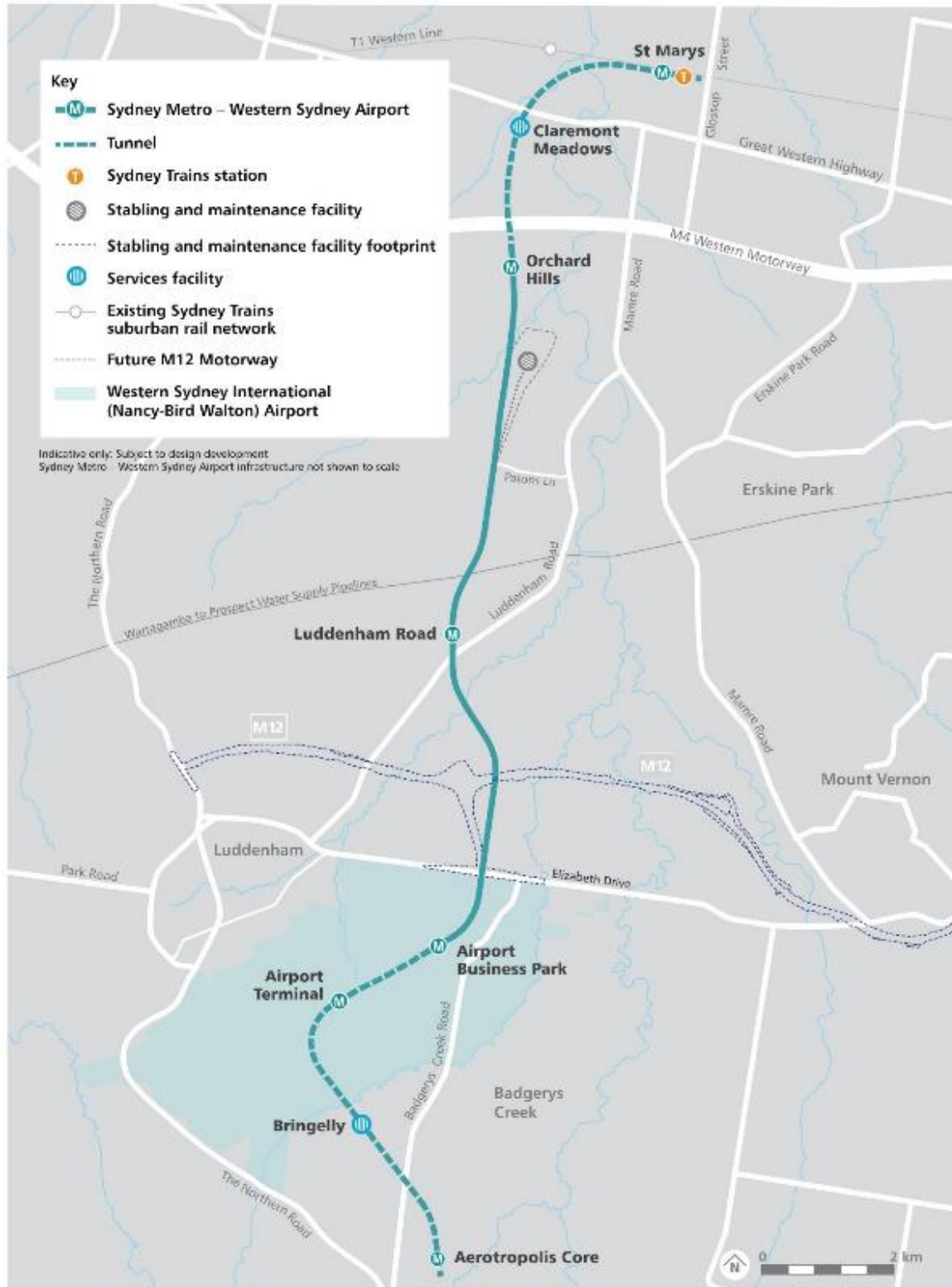


Figure 1: Project location and overview (source: Project EIS)

A section of the alignment passes through the Western Sydney International Airport site is subject to the *Airports Act 1996* (Cth) (Airports Act). As such, these works are outside of the scope of the Planning Approval (SSI 10051), and therefore outside of the scope of this Independent Audit. The separation of State and Commonwealth portions of the Project is illustrated in Figure 2.

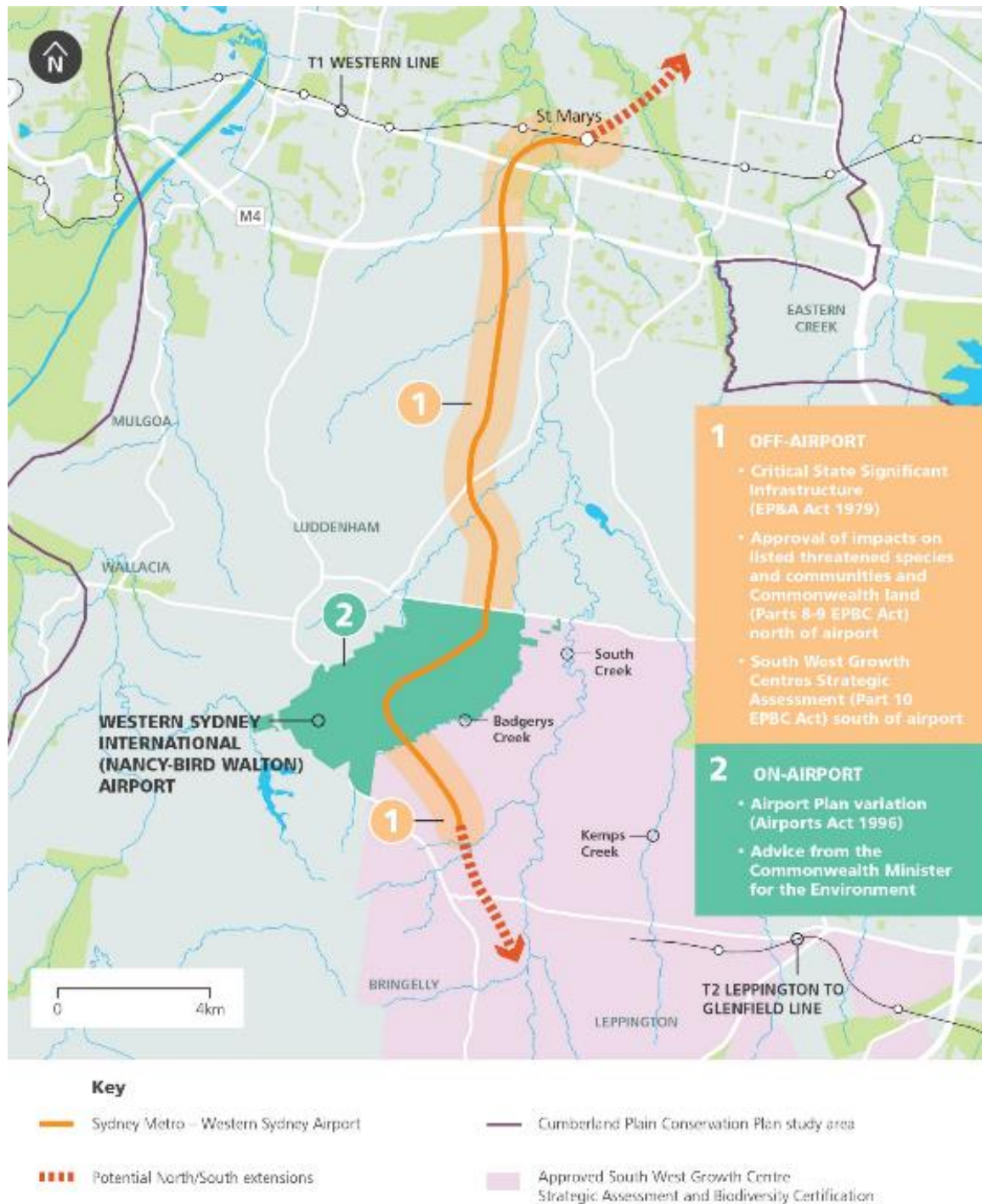


Figure 2: Sydney Metro Western Sydney Airport Planning Approval Strategy (source: Project EIS)

## 1.1.2 Changes to the Project

### **Modification 1**

The Project Approval was modified on 14 April 2022 (prior to the current audit period) to amend condition E4 to reduce the biodiversity offset credit requirement.

### **Modification 2**

A second modification was approved by the Department on 20 December 2024. The modification comprised a decoupling of the tree survey required in condition E13 from replacement trees and inclusion in the Place, Urban Design and Corridor Landscape Plan; and an amendment to the respite condition E57 to provide the outcomes of consultation to the Planning Secretary on request.

Both modifications have been included in the scope of this audit.

### **Consistency assessments**

No consistency assessments were determined during the audit period.

## 1.1.3 Staging

A Staging Report (Staging Report, Sydney Metro, Revision 11, 11 October 2024) has been prepared for the Project in accordance with conditions A10/A11. According to Revision 11 of the Staging Report, construction of the Project stages is summarised as follows:

- **Advanced Enabling Works (AEW)** – comprising establishment of key construction site and facilitation of construction activities. This includes site investigations, power and water supply works, demolition, utility diversions, and modifications to the existing transport network. The AEW stage is split into nine (9) sub-stages, as follows:
  - AEW – Demolition
  - AEW – Gas
  - AEW – Integrated Project Office (later referred to as SSTOM Project Office, or SPO)
  - AEW – Power
  - AEW – Roadworks
  - AEW – Footbridge St Marys (FSM)
  - AEW – St Marys Station Lift Relocation
  - AEW – St Marys Temporary Bus Interchange (TBI)
  - AEW – Water.
- **Station Box and Tunnels (SBT) Preparatory Works** – comprising site establishment works along with Non-Aboriginal archaeological investigations and (if triggered) salvage works at the western end of the St Marys Station Box, demolition, vegetation clearing, property adjustments, site levelling/grading, flood mitigation and drainage, contamination remediation works and offsite disposal including underground storage tanks and cattle dipping site(s), piling and foundation works, utility and temporary services work.

- **SBT Bulk Excavation and Tunnelling Works** – comprising Preparatory Works scope not completed prior to ER endorsement / Department approval (where required) of the nominated Construction Environmental Management Plans, Sub-plans and monitoring programs, remaining temporary piling and permanent piling, bulk excavation, acoustic shed installation, mined and Tunnel Boring Machine (TBM) tunnelling and cross passage construction, decommissioning of elements that are not handed over to follow-on contractors.
- **Surface and Civil Alignment Works (SCAW) Preparatory Works** – comprising site establishment activities, vegetation clearing, civil works set up at the stabling and maintenance facility at Orchard Hills, stockpiling of approximately 300,000 tonnes of topsoil and fill, contamination and remediation works, use of ancillary facilities.
- **SCAW Main Excavation and Viaduct Works** – comprising Preparatory Works scope not completed prior to ER endorsement / Department approval (where required) of the nominated Construction Environmental Management Plans, Sub-plans and monitoring programs, viaducts and bridges, works within riparian zones, native vegetation clearing, bulk excavation, decommissioning of elements that are not handed over to follow-on contractors.
- **Stations, Systems, Trains and Operations and Maintenance (SSTOM)** – comprising station design and fit out, urban and landscape design, precinct and transport integration works; testing and commission; and operation of the metro service.

A Finalisation and Auxiliary Works (FAW) stage is under development and will be incorporated into the Project's Staging Report in future.

SSTOM includes both construction and operations. SSTOM construction phases are identified in the Staging Report, however neither construction nor operations are staged at this time.

The following table indicates the construction commencement and completion dates, from Revision 11.0 of the Staging Report, and as provided by Sydney Metro during the Independent Audit.

*Table 1 Construction dates*

| Stage                           | Construction start date  | Construction finish date                 |
|---------------------------------|--|--|
| AEW - Demolition                | 24/01/22   | 13/05/22 (prior to current audit period) |
| AEW – Gas                       | Sydney Metro advise that this stage has not commenced and may not be required. |  |
| AEW – SPO <sup>3</sup>          | 30/01/23.  | 20/12/23 (prior to current audit period) |
| AEW – Power                     | 04/02/22   | 21/09/22 (prior to current audit period) |
| AEW – Roadworks                 | 25/06/22   | 18/08/22 (prior to current audit period) |
| AEW – Footbridge St Marys (FSM) | 27/05/23   | Estimated: Q4 2025                       |

<sup>3</sup> SPO did not involve construction as defined by the Approval. Dates refer to establishment works.

| Stage  | Construction start date  | Construction finish date   |
|--|--|--|
| AEW – St Marys Station Lift Relocation         | 26/05/22   | 30/11/22 (prior to current audit period)   |
| AEW – St Marys Temporary Bus Interchange (TBI) | 24/11/21   | 06/06/22 (prior to current audit period)   |
| AEW – Water                                    | 03/07/23   | 05/12/23 (prior to the current audit period)   |
| SBT Preparatory Construction                   | 19/04/22   | First week of November 2022 (upon commencement of SBT Bulk Excavation and Tunnelling Works, and prior to the current audit period) |
| SBT Bulk Excavation and Tunnelling Works       | Intended start date of 10/10/22, delayed due to wet weather until first week of November 2022. | 13/12/24   |
| SCAW Preparatory Construction                  | 10/10/22   | 01/11/22 (upon commencement of SCAW Main Excavation and Viaduct Works, and prior to the current audit period))                     |
| SCAW Main Excavation and Viaduct Works         | 01/11/22   | Estimated: Q2 2025   |
| SSTOM  | Q4 2023  | Ongoing (into operations)  |

### 1.1.4 Works conducted during the audit period

The Auditor understands that the following activities were conducted during the audit period (10 August 2024 to 9 February 2025)<sup>4</sup>:

- AEW:
  - FSM: Piling, excavation, and spoil handling were ongoing across the platforms and site compound, with various shoring, piling breakback, and construction activities as outlined below:
    - Piling works continued on platforms 1/2 and 3/4.
    - Excavations took place on platforms 1/2 and 3/4, and in the site compound.
    - Pile breakback occurred on platforms 1/2, 3/4, and the compound.
    - Shoring boxing was installed on both platforms.

<sup>4</sup> According to the Environmental Representative Monthly Reports, the works observed during the site inspection and the works described by the auditees during the interviews.

- Spoil loadout was carried out to laydown area 1 and Hobart Street, with material awaiting waste classification.
  - Cranes were used to transfer materials and equipment to platform 3/4.
  - Deflection wall slab excavation and footing preparation occurred, with a blinding slab poured.
  - Spoil removal was temporarily halted due to cancelled weekend works.
- SBT:
  - Across the SBT project, the works involved removal of remaining spoil following completion of tunnelling and cross passage excavation, tunnel support material removal, demobilisation of plant and equipment laydowns, office compounds and the like, make good of site surfaces, maintenance of environmental controls, defects work and handover of sites to SSTOM. In summary:
    - St Marys works completed and handed over to SSTOM in August 2024.
    - Claremont Meadows works complete and handed over to SSTOM in December 2024.
    - Orchard Hills works complete and handed over to SSTOM in November 2024.
    - Bringelly works complete and handed over to SSTOM in December 2024.
    - Aerotropolis works complete and handed over to SSTOM in August 2024.
  - SBT had achieved completion of construction on 9 December 2024 and surrendered their Environment Protection Licence (EPL) on 22 January 2025. SBT had completely demobilised prior to the audit commencing and had no further presence on site.
- SCAW:
  - Completion of all at-grade alignment earthworks and drainage
  - Completion of abutment and segment installation and restoration works within WaterNSW (Warragamba) corridor
  - Installation of remaining Viaduct Segments casts and spans, including parapets,
  - Site demobilisation including removal of materials from laydowns, waste removal, make good on site handover to SSTOM
  - Completion of remediation works at the PS105 containment cell
  - Completion of Luddenham roundabout and handover to Penrith City Council
  - Defect works.

- SCAW had one remaining minor compound near the Warragamba easement and were conducting maintenance on environmental controls during the audit. All other sites / portions had been completed.

## 1.2 The audit team

In accordance with Schedule 2, condition A38 of SSI 10051, and Section 3.1 of the Department's 2020 document *Independent Audit Post Approval Requirements* (IAPAR), Independent Auditors must be suitably qualified, experienced, and independent of the Project, and appointed by the Planning Secretary. Table 2 presents the auditor for this, seventh, Independent Audit on the Project.

*Table 2 Audit Team*

| Name       | Company  | Participation during this audit | Certification  |
|------------|----------|---------------------------------|--|
| ██████████ | WolfPeak | Lead Auditor                    | Exemplar Global Certified Lead Environmental Auditor (Certificate No 114283) |

Approval of the auditor was provided by the Department on 11 December 2024. The approval is presented in Appendix B. The declaration from the Auditor is presented in Appendix F.

## 1.3 The audit objectives

The objective of this Independent Audit is to satisfy SSI 10051 Schedule 2, condition A36, which states:

*Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).*

The IAPAR sets out the scope, methodology and reporting requirements for Independent Audit.

This Independent Audit seeks to fulfil the requirements of condition A36, to verify compliance with the relevant conditions, and assess the effectiveness of environmental management on the Project using the scope, methodology and reporting requirements from the IAPAR.

To note, condition A37 states:

*Notwithstanding Condition A36, the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary prior to commencement of the first audit and implemented throughout construction.*

An audit program has not been prepared and, therefore, the IAPAR has been implemented in full for this seventh Independent Audit.

## 1.4 Audit scope

This Audit Report relates to the seventh Independent Audit on the Project, covering the period from the 10 August 2024 to 9 February 2025 (the 'audit period').

The scope of the Independent Audit comprises:

- An assessment of compliance with:
  - All conditions of consent applicable to the phase of the development that is being audited
  - All post approval and compliance documents prepared to satisfy the conditions of consent, including an assessment of the implementation of Environmental Management Plans and Sub-plans; and
- A review of the environmental performance of the development, including but not necessarily limited to, an assessment of:
  - Actual impacts compared to predicted impacts documented in the environmental impact assessment
  - The physical extent of the development in comparison with the approved boundary
  - Incidents, non-compliances and complaints that occurred or were made during the audit period
  - The performance of the development having regard to agency policy and any particular environmental issues identified through consultation carried out when developing the scope of the audit; and
  - Feedback received from the Department, and other agencies and stakeholders, including the community or Community Consultative Committee (if there is one for the Project), on the environmental performance of the project during the audit period
- The status of implementation of previous Independent Audit findings, recommendations and actions (if any)
- A high-level assessment of whether Environmental Management Plans and Sub-plans are adequate; and
- Any other matters considered relevant by the auditor or the Department, considering relevant regulatory requirements and legislation, knowledge of the development's past performance and comparison to industry best practices.

The works and packages covered by this audit are SBT, SCAW and AEW FSM.

## 2. AUDIT METHODOLOGY

### 2.1 Audit process

The Independent Audit was conducted in a manner consistent with AS/NZS ISO 19011.2019 – *Guidelines for Auditing Management Systems* and the methodology set out in the Department's IAPAR.

### 2.2 Audit process detail

#### 2.2.1 Audit initiation and scope development

Prior to the commencement of the audit the following tasks were completed:

- Establish initial contact with the auditee
- Confirm the audit team
- Confirm the audit purpose, scope, criteria and program
- Consult with the Department on the audit scope.

The auditee organisations (together referred to as the auditee/s or Project team) were identified as follows:

- The Proponent: Sydney Metro
- The SBT principal contractor: CPB Contractors Ghella Joint Venture (CPBG)
- The SCAW principal contractor: CPB United Infrastructure Joint Venture (CPBUI)
- The AEW FSM principal contractor: Laing O'Rourke, or LORAC (engaged by Transport for NSW, as Owner Participant of the package).

The involvement of the personnel representing the auditees is identified in Section 2.2.3 below.

WolfPeak consulted with the Department on 11 December 2024 to obtain its input into the scope of the Independent Audit in accordance with Section 3.2 of the IAPAR. On 17 January 2025, the Department responded and requested that NSW Environment Protection Authority (EPA) and both Penrith and Liverpool City Councils also be consulted. On 24 January 2025, WolfPeak consulted with these stakeholders. A summary of the key issues and areas of focus raised by the stakeholders is presented in Table 3.

*Table 3: Key issues and areas of focus raised during consultation*

| Stakeholder                                       | Issue and Focus   | How Addressed   |
|---|---|---|
| Department of Planning Housing and Infrastructure | <p>The Department requested that:</p> <ul style="list-style-type: none"><li>• the audit is conducted in accordance with condition A36, which requires the audit to be carried out in accordance with the IAPAR</li></ul> <p>Particular attention is paid during the audit to the:</p> | <p>This audit was conducted in accordance with the IAPAR.</p> <p>Particular attention was given to the transfer of requirements to Sydney metro or SSTOM. This is</p> |

| Stakeholder            | Issue and Focus   | How Addressed  |
|------------------------|---|--|
|                        | <ul style="list-style-type: none"> <li>any requirements that have not been fully satisfied and/or that have been transferred to Sydney Metro or to the Parklife Metro consortium.</li> </ul> <p>The Department also requested that consultation be completed with EPA and both Liverpool and Penrith City Councils.</p>                             | <p>discussed further in Section 3.5.</p> <p>Consultation with the identified stakeholders was completed. Refer below.</p>                    |
| NSW EPA                | No comments or issues to raise.   | -  |
| Penrith City Council   | No comments or issues to raise.   | -  |
| Liverpool City Council | Consistent with previous input into the audit scope, Council requested that the audit confirm that sufficient information has been provided to ensure that any damage caused to Derwent Road as a result of the approved works (including heavy vehicle movements) may be rectified in accordance with condition E86 of the Instrument of Approval. | The matters raised by Council have been assessed. Refer to Section 3.5 and the findings for E86, E107 and E108 in Appendix A of this Report. |

## 2.2.2 Preparing audit activities

The Auditor performed a document review, prepared an audit plan and delivery program, and prepared work documents (audit checklists) and distributed to the auditees in preparation for the Independent Audit.

## 2.2.3 Personnel involvement

A number of people from the organisations subject to audit were involved in the Independent Audit. Table 3 presents the involvement of personnel representing the auditees. It should be noted that:

- SBT completed works prior to the audit. Therefore Sydney Metro represented this package for all matters other than a 1 hour interview with the SBT (CPBG) environment manager.
- SCAW had only one remaining compound, with all other works completed prior to the audit. Therefore Sydney Metro represented this package for all matters other than a 1 hour interview and 1 hour inspection with the SCAW (CPBUI) environment coordinator.

Table 3: Key personnel involved

| Organisation      | Stage / Package | Position Title                           | Name       | Involvement   |
|-------------------|-----------------|--|------------|---|
| Sydney Metro      | All             | A/ Senior Manager Environment            | [REDACTED] | FSM, SBT and SCAW opening meeting, interviews and document reviews, closing meetings        |
| Sydney Metro      | All             | A/Environmental Coordinator              | [REDACTED] | SBT and SCAW opening meeting, inspection, interviews and document reviews, closing meeting. |
| Sydney Metro      | All             | Environmental Officer                    | [REDACTED] | FSM opening meeting, inspection, interview and document reviews, closing meeting            |
| CPBG              | SBT             | Approvals Manager                        | [REDACTED] | Interviews and document reviews   |
| CPBUI             | SCAW            | Environment Coordinator                  | [REDACTED] | Inspection, interview and document reviews  |
| Transport for NSW | AEW FSM         | Manager Enviro and Sustainability        | [REDACTED] | Opening meeting, inspection, interviews and document reviews closing meeting                |
| Transport for NSW | AEW FSM         | Snr Environment & Sustainability Officer | [REDACTED] | Opening meeting, inspection, interviews and document reviews closing meeting                |
| LORAC             | AEW FSM         | Environmental Manager                    | [REDACTED] | Opening meeting, inspection, interviews and document reviews closing meeting                |
| LORAC             | AEW FSM         | Senior Engineer                          | [REDACTED] | Interviews and document reviews   |
| LORAC             | AEW FSM         | Environmental Graduate                   | [REDACTED] | Opening meeting, inspection, interviews and document reviews                                |
| LORAC             | AEW FSM         | Site Supervisor                          | [REDACTED] | Inspection  |
| LORAC             | AEW FSM         | Project Manager                          | [REDACTED] | Opening meeting   |

## 2.2.4 Meetings

Opening and closing meetings were held with the Auditor and Project personnel.

An opening meeting was at the commencement of interviews for each package; on 3 February 2025 for AEW FSM and 6 & 7 February 2025 for SBT and SCAW. During the opening meetings, the objectives and scope of the Independent Audit, the resources required and methodology to be applied were discussed.

Closing meetings were held at the end of each audit day; on 3 February 2025 for AEW FSM, and 6 & 7 February 2025 for SBT and SCAW. At the closing meetings, preliminary audit findings were

presented, preliminary recommendations (as appropriate) were made, and any post-audit actions were confirmed.

Attendance records for the opening and closing meetings are presented in Appendix C.

## 2.2.5 Site inspection

The on-site inspection activities were conducted as follows:

- SCAW: Compound and surrounds near the Warragamba Pipeline easement on 7 February 2025.
- AEW FSM: Compound and platform on 3 February 2025.

The Auditor inspected the entirety of each site where it was safe to do so.

SBT had completed works prior to the audit and all sites were under the control of SSTOM (which is outside the scope of this audit). Therefore no site inspection occurred for the SBT package.

Photos are presented in Appendix E.

## 2.2.6 Document review and interviews

The audit included investigation and review of Project files, records and documentation that acts as evidence of compliance (or otherwise) with a compliance requirement, and interviews with key Project personnel.

Refer to Section 2.2.3 for details on the personnel interviewed. Interviews and document review sessions were conducted with the auditees as follows:

- AEW FSM and Sydney Metro: 3 February 2025 (face-to-face).
- SBT and Sydney Metro: 6 February 2025 (face-to-face)
- SCAW and Sydney Metro: 7 February 2025 (face-to-face).

In addition to the above, the Auditor raised requests for information, in order to obtain evidence that was not available during the audit interviews and document reviews. These requests were issued to the auditees on 17 January 2025 and 10 February 2025. Responses were provided by the auditees between 28 January 2025 and 25 February 2025.

## 2.2.7 Generating audit findings

Independent Audit findings were based on verifiable evidence. The evidence included:

- Relevant records, documents and reports
- Interviews of relevant site personnel
- Photographs
- Figures and plans; and
- Site inspections of relevant locations, activities and processes.

## 2.2.8 Compliance evaluation

The Auditor determined the compliance status of each compliance requirement in the Audit Table, using the descriptors from Table 2 of the IAPAR, as listed in Table 4, below:

*Table 4: Compliance descriptors from Table 2 of the IAPAR*

| Status               | Description  |
|----------------------|--|
| <b>Compliant</b>     | The Auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.      |
| <b>Non-compliant</b> | The Auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.               |
| <b>Not Triggered</b> | A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant. |

Observations and notes were also made to provide context, identify opportunities for improvement or highlight positive initiatives.

## 2.2.9 Evaluation of post audit approval documentation

The Auditor assessed whether post approval documents:

- have been developed in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any) and their content is adequate.
- have been implemented in accordance with the conditions and all other environmental licences and approvals applicable to the Project (if any).

The adequacy of post approval documents was determined on the basis of whether:

- there are any non-compliances resulting from the implementation of the document; or
- whether there are any opportunities for improvement.

## 2.2.10 Completing the audit

The Independent Audit Report was distributed to the auditees to check factual matters and to provide responses to the findings (where relevant). The Auditor retained the right to make findings or recommendations based on the facts presented. The Auditor's findings have been determined independent of the auditees, the Department and any other parties, based on the evidence assessed during the audit.

Condition A40 requires that the Audit Report and the Proponent's response to audit findings be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection unless otherwise agreed by the Planning Secretary. On 7 March 2025, the Auditor raised a request for the Planning Secretary's agreement to extend the due date of this seventh Audit Report, and the Proponents response, by an additional two weeks (making the due date 18 April 2025). The Department approved the request on 25 March 2025. Copies of the extension request and approval are presented in Appendix G.



### 3. AUDIT FINDINGS

#### 3.1 Approvals and documents audited, and evidence sighted

The documents audited comprised all the conditions from Schedule 2 of SSI 10051 applicable to the works being undertaken and the post approval documents relevant to the current audit period.

The primary documentation reviewed prior to and after the site visits and interviews are listed below. This list is not exhaustive. The full set of documents and evidence sighted against each requirement is detailed within Appendix A.

**Primary documentation:**

- Sydney Metro – Western Sydney Airport Environmental Impact Statement, 21 October 2020 (the EIS)
- Sydney Metro – Western Sydney Airport Submissions Report (no date), submitted April 2021 (the RtS)
- Sydney Metro Western Sydney Airport – Conditions of Approval (SSI 10051), 23 July 2021 (the Approval), including Modifications 1 and 2
- Sydney Metro Western Sydney Airport – CSSI Staging Report, Revision 11.0, 11 October 2024 (the Staging Report)
- Sydney Metro Western Sydney Airport complaints register current to 9 February 2025
- Sydney Metro Western Sydney Airport incident register/records current to 9 February 2025
- Overarching Community Communication Strategy, Sydney Metro, Rev 5, 31 July 2024
- SBT Community Communications Strategy, 2 January 2024
- SBT Community Communications Strategy, Aerotropolis, 2 January 2024
- SBT Community Communications Strategy, Bringelly, 2 January 2024
- SBT Community Communications Strategy, St Marys, 2 January 2024
- SBT Community Communications Strategy, Claremont Meadows, 2 January 2024
- SBT Community Communications Strategy, Orchard Hills, 2 January 2024
- SBT Community Communications Strategy, Tunnelling, 5 May 2022
- SBT Small Business Owners Engagement Plan, St Marys, 3 October 2023
- SBT Construction Environmental Management Plan, 15 March 2024
- SBT Spoil Management Sub-Plan, 14 March 2024
- SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20 February 2024 including Noise and Vibration Monitoring Program
- SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20 February 2024

- SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15 August 2024 including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures
- SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023
- SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval April 2024
- SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum February 2024
- SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works May 2024
- SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, February 2024
- SBT Overarching Construction Traffic Management Plan (CTMP), approved 16 June 2022, and sub-plans:
  - SBT CTMP Bringelly, 2 June 2022
  - SBT CTMP Claremont Meadows, 15 June 2022
  - SBT CTMP Geotech Scope North 5 April 2023
  - SBT CTMP Orchard Hills Site Establishment 27 June 2023
  - SBT CTMP Orchard Hills Operations September 2022.
- SCAW Community Communications Strategy, 28 March 2024
- SCAW Community Communications Strategy, Northern Project Region, 28 March 2024
- SCAW Community Communications Strategy, Southern Project Region, 4 March 2024
- SCAW Construction Environment Management Plan, 29 July 2024
- SCAW Noise and Vibration Management Sub-plan, 19 June 2024 including noise and vibration monitoring program
- SCAW Spoil Management Plan, 29 September 2022
- SCAW Non-Aboriginal Heritage Sub-plan, 4 October 2022
- SCAW Fauna and Flora Management Sub-plan, 19 June 2024
- SCAW Visual Amenity Management Plan, 19 October 2022
- SCAW Soil and Water Management Sub-plan, 30 July 2024 including surface water quality monitoring program
- SCAW Air Quality Management Subplan, 30 July 2024 including air quality monitoring program
- SCAW Waste Management Sub-plan, 19 June 2024
- SCAW Detailed Noise and Vibration Impact Statement Lansdowne Road / Samuel Marsden Earthworks & Structure Works, 13 November 2023

- SCAW Detailed Noise and Vibration Impact Statement, Sandstone Delivery and Placement for Cosgroves Creek to Patons Lane and Defence Establishment Orchard Hills & Haul Road Drainage Crossing, 21 September 2023
- SCAW Detailed Noise and Vibration Impact Statement, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove’s Creek to Paton’s Lane, 26 July 2023
- SCAW Detailed Noise and Vibration Impact Statement, Survey and Utility Investigation Works, 21 September 2022
- SCAW Detailed Noise and Vibration Impact Statement, Material Delivery and Stockpiling, 23 February 2023
- SCAW Detailed Noise and Vibration Impact Statement, OOHV deliveries at Elizabeth Drive, 9 January 2023
- SCAW Overarching CTMP, 3 April 2024 and sub-plans:
  - SCAW CTMP Paton’s Lane, 28 September 2022
  - SCAW CTMP Elizabeth Drive, 17 October 2022
  - SCAW CTMP Luddenham Road Gate 3, 20 November 2023
  - CTMP Lansdowne Road Gate 1, 14 May 2024
  - CTMP Luddenham Road Gates 4&5, 4 May 2023
  - CTMP Badgerys Creek Road Gate 9, 28 April 2023
- AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18 September 2024
- AEW FSM Detailed Noise and Vibration Impact Statement, 24 January 2025
- AEW FSM CTMP, Laing Orouke, 25 July 2024.

## 3.2 Non-compliances, Observations and Actions

This Section presents findings from this (seventh) audit. The summary of conditions assessed and compliance status from the seventh audit is presented in Table 5. The non-compliances and observations (along with associated recommended or completed actions) from the seventh audit period are presented in Table 6.

Detailed findings against each requirement, along with details on the auditee’s responses on draft findings (where received), are presented in Appendix A.

The status of previously open findings (at the time of completion of the sixth audit) is presented in Table 7.

Table 5: Summary of conditions assessed and compliance status from the seventh audit

| Part of the Project Approval | No. of conditions assessed | Compliance status |               |               |
|------------------------------|----------------------------|-------------------|---------------|---------------|
|                              |                            | Compliant         | Non-compliant | Not triggered |
| Part A                       | 47                         | 34                | 1             | 12            |
| Part B                       | 11                         | 9                 | 0             | 2             |
| Part C                       | 22                         | 21                | 0             | 1             |
| Part D                       | 8                          | 0                 | 0             | 8             |
| Part E                       | 134                        | 108               | 1             | 25            |
| <b>Total</b>                 | <b>222</b>                 | <b>172</b>        | <b>2</b>      | <b>48</b>     |

With respect to findings from the seventh audit:

- There were 222 conditions assessed.
- 170 conditions were considered to be compliant.
- Two (2) non-compliances were identified by the auditees and reported during the audit period. These relate to FSM heavy vehicle movements occurring without the prerequisite approvals being in place. No new non-compliances were identified by the auditor.
- 48 conditions were considered not triggered.
- In addition to the above, two (2) observations were identified, relating to the completion of SBT environmental reporting under its approved Monitoring Programs and post-construction conditions surveys.

With respect to the status of the single finding that was open at the time of completion of the sixth audit, the Auditor considers the finding to be closed.

Table 6: Findings from the seventh Independent Audit (February 2025)

| Item        | Ref      | Type           | Requirement   | Finding   | Recommended or completed action <sup>5</sup>   | By Whom         | Status <sup>6</sup> |
|-------------|----------|----------------|---|---|--|-----------------|---------------------|
| 10051_IA7_1 | A2       | Non-compliance | <i>The CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 unless otherwise specified in, or required under, this approval.</i>              | <b>Non-compliance: On 11 and 31/10/24 oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14/10/24).</b>   | This was subsequently reported to the Department and the Department issued a warning letter on 19/12/24. Approval from Council was obtained after the fact and no further non-compliances relating to this issue have been identified by the auditees. | AEW FSM (LORAC) | CLOSED              |
| 10051_IA7_2 | Not used |                |   |   |  |                 |                     |
| 10051_IA7_3 | C22      | Observation    | <i>The results of the Construction Monitoring Programs must be submitted to the Planning Secretary, ER and relevant regulatory agencies, for information in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.</i> | <b>Observation:</b> <ul style="list-style-type: none"><li><b>The 6 monthly Noise and Vibration Monitoring Report extends to December 24 and SBT works were completed in January 25 and no further monitoring reports are proposed to be prepared by SBT given the completion of works. According to SBT (CPBG), the ER considers this to be acceptable.</b></li><li><b>The 6 monthly Surface Water Monitoring Report extends to October 24 and SBT works were completed in January 25 and no further monitoring reports are proposed to be prepared by SBT given the completion of works. According to SBT (CPBG), the ER considers this to be acceptable.</b></li><li><b>The 6 monthly Groundwater Monitoring Report submission was delayed, with the agreement of DCCEEW, to 08/04/25. This is outside of the audit period.</b></li></ul> | The 6 monthly Groundwater Monitoring Report was submitted on 04/08/25.   | SBT (CPBG)      | CLOSED              |

<sup>5</sup> The recommended action does not preclude the need for all non-compliances to be reported by the proponent in accordance with A44/A45.

<sup>6</sup> Status of finding and action according to the Auditor at the time of finalizing the Report.

| Item        | Ref       | Type           | Requirement  | Finding  | Recommended or completed action <sup>5</sup>  | By Whom         | Status <sup>6</sup> |
|-------------|-----------|----------------|--|--|---|-----------------|---------------------|
| 10051_IA7_4 | E85       | Observation    | Condition surveys of all items for which condition surveys were undertaken in accordance with Condition E84 must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition E84. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure. | <p><b>Observation: The Auditor requested evidence to demonstrate that each property that received a pre-construction condition survey report has received a post-construction survey, and that the post-construction survey report had been issued to the landowner no later than 3 months following the potentially impacting works.</b></p> <p><b>SBT stated by way of an email dated 11/04/25 that:</b></p> <ul style="list-style-type: none"> <li>• <b>'212 pre condition surveys completed (including 14x second round pre surveys)</b></li> <li>• <b>198 post condition surveys completed (including 4x second round post surveys)</b></li> <li>• <b>All properties accepting a pre condition survey were offered a post condition survey. Some property owners didn't respond, or declined a post condition survey.'</b></li> </ul> <p>In addition a property survey tracker was provided (CPB_WSA_Property Log Sheet_live.xls). According to this tracker:</p> <p>198 x pre-construction condition surveys were completed, with 14 x properties receiving a second round pre-construction condition survey.</p> <p>206 x post-construction condition surveys were offered. 69 x properties did not respond and 7 x properties declined.</p> <p>The Auditor observes the large number of properties that did not respond to the offer (~33%).</p> <p>It is unclear from the tracker why more properties were offered post-construction condition surveys to that which received pre-construction condition surveys.</p> | It is recommended that SBT (CPBG) verify the contact details and method of contact for each of the 69 x properties that did not respond to post-construction condition surveys, and remake the offer if any change to these details occurs. This will ensure that all properties eligible for the post-construction condition survey receive one (unless declined). | SBT (CPBG)      | OPEN                |
| 10051_IA7_5 | E105      | Non-compliance | Local roads proposed to be used by Heavy Vehicles to directly access ancillary facilities / construction sites that are not identified in the documents listed in Condition A1 must be approved by the Planning Secretary and be included in the CTMP.   | <p><b>Non-compliance: On 18-19/09/24 heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19/09/24.</b></p>  | The HVLR was subsequently approved on 19/09/24. This was reported to the Department and the Department issued a warning letter on 19/12/24. The Auditor is not aware of any other events involving heavy vehicles using unapproved local roads during the audit period.   | AEW FSM (LORAC) | CLOSED              |
| 10051_IA7_6 | Not used. |                |  |  |   |                 |                     |

Table 7: Status of findings that were open at the time of finalising the sixth Independent Audit (August 2024)

| Item        | Ref | Type        | Requirement   | Finding  | Recommended or completed action <sup>7</sup>  | By Whom      | Status <sup>8</sup>  |
|-------------|-----|-------------|---|--|---|--------------|--|
| 10051_IA6_1 | B4  | Observation | <p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <p>(a) number of complaints received;</p> <p>(b) date and time of the complaint;</p> <p>(c) number of people (in the household) affected in relation to a complaint, if relevant;</p> <p>(d) method by which the complaint was made;</p> <p>(e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect;</p> <p>(f) issue of the complaint;</p> <p>(g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and</p> <p>(h) if no action was taken, the reason(s) why no action was taken.</p> | <p><b>Observation: The Department requested that focus be provided on the completeness of the complaints register and the adequacy of actions taken to address/respond to complaints.</b></p> <p><b>The Auditor conducted a review of the Complaints Register (discussed further in Section 3.6) and completed a comparison between a set of complaints in the register against the corresponding full files in Consultation Manager.</b></p> <p><b>SCAW and FSM entries (4 in total for the audit period) in the Complaints Register appear to be representative of the issue / response and the responses appear to be adequate.</b></p> <p><b>SBT received 58 complaints during the audit period and by and large the Complaints Register appear to be representative of the issue / response and the responses appear to be adequate. That being said, there are some isolated instances whereby the ‘nature of the complaint’ in the summary Complaints Register issued to interested parties could be further elaborated on to reflect the issue as described in Consultation Manager. For example:</b></p> <ul style="list-style-type: none"> <li>On 20/06/24 SBT received a complaint and the Register states that the complaint related to ‘truck complaint, Orchard Hills’. The corresponding Consultation Manager file identifies that the complainant raised concerns about heavy vehicle speeding, driver behaviour, revving engine and compression braking.</li> <li>On 18/06/24 SBT received a complaint and the Register states that the complaint related to ‘vehicle damage’. The corresponding Consultation Manager file identifies that the complainant raised concerns about the condition of the road at Orchard Hills which (according to the complainant) caused her to crash her car (with the car towed and the driver assessed and cleared at hospital).</li> <li>On 26/03/24 SBT received a complaint and the Register states that the complaint relates to ‘Vibration felt at property and crack formed within home.’ The corresponding Consultation</li> </ul> | <p>The Project should consider including more information in the ‘nature of complaint’ section of Consultation Manager, so that this provides more meaningful information to those receiving the Complaints Register (i.e. the ER, EPA and Department).</p> | Sydney Metro | <p>CLOSED</p> <p>The Auditor has reviewed the complaints register for the seventh audit period. It is the Auditors view that the nature of complaint section of the register provides enough information to allow the reader to grasp the issue. The Department has indicated as such in a letter to Sydney Metro dated 19/12/24. The Department is also able to request additional information if required and this is available in Consultation Manager.</p> <ul style="list-style-type: none"> <li>24 x complaints were recorded during the audit period:</li> <li>22 x for SBT, of which works are now complete.</li> <li>2 x for SCAW, of which works are near completion.</li> <li>No complaints were recorded for AEW FSM.</li> </ul> <p>The recording of complaints will be assessed during future audit rounds as required.</p> |

<sup>7</sup> The recommended action does not preclude the need for all non-compliances to be reported by the proponent in accordance with A44/A45.

<sup>8</sup> Status of finding and action according to the Auditor at the time of finalizing the Report.

| Item | Ref | Type | Requirement | Finding  | Recommended or completed action <sup>7</sup> | By Whom | Status <sup>8</sup> |
|------|-----|------|-------------|--|--|---------|---------------------|
|      |     |      |             | <p>Manager file identifies that the complainant raised concerns about the TBM vibration and cracking on their driveway, plus interactions with previous contractors.</p> <ul style="list-style-type: none"><li>On 23/03/24 SBT received a complaint and the Register states that the complaint relates to 'Dust and car wash good will offer.' The corresponding Consultation Manager file identifies that the complainant raised concerns about (in their view) construction work causing dust which is getting onto their property and whether there is assistance provided to residents to counteract these affects like free car-washing. The file also indicates that the complaint relates to all packages at Orchard Hills (not just SBT).</li><li>On 15/05/24 SBT received a complaint and the Register states that the complaint relates to 'Noise, property damage and rubbish on front of property.' The corresponding Consultation Manager file identifies that the complainant raised concerns about (in their view) very noisy OOHW keeping them awake and that they have been noticing further cracks throughout the house (including in timber beams in the shed had a crack and also the laundry tiles).</li></ul> <p>Notwithstanding the above, the Auditor:</p> <ul style="list-style-type: none"><li>is of the view that the complaints were generally responded to in an adequate way.</li><li>understands that Consultation Manager is the tool recording information on all touch points and inclusion of all details into a register that is meaningful to a broad audience is problematic</li><li>the Department can request additional information on complaints received at any time and this information is available within Consultation Manager.</li></ul> |  |         |                     |

### 3.3 Adequacy of Environmental Management Plans, sub-plans and post approval documents

As part the Independent Audit, the Auditor reviewed the documents listed in Section 3.1 of this Report. The Auditor assessed whether the documents have been developed in accordance with the conditions and their content is adequate; and whether they have been properly implemented.

The development of the CEMPs, Sub-plans and Monitoring Programs, Community Communication Strategies and the Construction Traffic Management Plans were all completed prior to the current audit period. Evidence sighted demonstrating that consultation, endorsement and approval has been adequately completed is presented in the previous Audit Reports. The Auditor has not identified any material deficiencies with the documents and is of the view that their implementation would not result in a non-compliance.

Several findings were identified in relation to implementation of the documents (presented in Table 6 of this Report and relating to heavy vehicle movements and waste management on AEW FSM, and monitoring reports for SBT), but these do not represent any major or systemic failures.

### 3.4 Summary of notices from agencies

On 19 December 2024 the Department wrote to Sydney Metro regarding the findings and responses to the third – sixth Independent Audit Reports. The Department notes the improvement to environmental performance on the Project overtime and notes that no further regulatory action would be taken at that time.

The Department also issued the following on 19 December 2024:

- A warning letter to SBT (CPBG) regarding historical issues (prior to the current audit period) with soil and water controls, heavy vehicle parking, and timing of heavy vehicles arriving at site, along with clearing and grubbing issues, concrete pour overruns and late reporting. The Department notes that no further regulatory action would be taken at the time of issue of the letter.
- A warning letter to SCAW (CPBUI) regarding historical issues (prior to the current audit period) with clearing of vegetation and lateness of reporting. The Department notes that no further regulatory action would be taken at the time of issue of the letter.
- A warning letter to AEW FSM (LORAC) regarding heavy vehicle movements occurring prior to the requisite approvals being in place (as noted in Section 3.2 above). The Department notes that no further regulatory action would be taken at the time of issue of the letter.

The EPA and Councils were consulted on the scope of this Independent Audit and neither of these stakeholders identified notices having been issued during the audit period.

### 3.5 Other matters considered relevant by the Auditor or DPHI

#### ***Matters considered relevant by the Department***

The Department requested that the audit pay particular attention to requirements that have not been fully satisfied and/or that have been transferred to Sydney Metro or to the Parklife Metro consortium (SSTOM). The Auditor did not identify any conditions that had failed to be fulfilled for SBT and SCAWs scope, which then needed to be transferred to Sydney Metro or SSTOM.

However the following matters are of note:

- Condition E13 requires that *revegetation and the provision of replacement trees must be informed by a Tree Survey undertaken during detailed design. The Tree Survey must identify the number, type and location of any trees to be removed, except for trees that are offset under Condition E4. The Tree Survey must be submitted to the Planning Secretary for information one (1) month before the commencement of operation. Where trees are to be removed, the Proponent must provide a net increase in the number of replacement trees at a ratio of 2:1, except trees that are offset under Condition E4. Replacement trees must have a minimum pot size consistent with the relevant authority's plans / programs / strategies for vegetation management, street planting, or open space landscaping, or as agreed by the relevant authority(ies). Replacement trees must be planted before the commencement of operation.*

SBT, SCAW and AEW FSM all have individual tree reports and associated registers. According to the auditees, these have been provided to Sydney Metro to issue to SSTOM (or a landscape contractor) to fulfill the replanting requirements. The Auditor queries whether there was a single consolidated tree survey in existence which captures all the trees removed on the Project, which attract the need for replacement. To the Auditor's knowledge no such survey exists (only individual registers/reports). This will need to be addressed prior to operations.

- Condition E85 requires that *condition surveys of all items for which condition surveys were undertaken in accordance with Condition E84 must be undertaken by a suitably qualified and experienced person after completion of the work identified in Condition E84. The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of Post-construction Condition Survey Reports must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure.*

Post-construction condition surveys and associated reports are being completed by each contractor following completion of potentially impacting works. The Auditor notes that the ability to fully comply with E85 relies on ensuring the accurate contact exists and the property owner granting access and has raised a finding about the completion of these surveys in this audit (refer Section 3.2).

#### ***Matters considered relevant by Liverpool City Council***

As with the previous audit, Council requested that the audit confirm that sufficient information has been provided to ensure that any damage caused to Derwent Road as a result of the approved works (including heavy vehicle movements) may be rectified in accordance with condition E86 of the Instrument of Approval. The Auditor assessed the information provided by Sydney Metro during the audit regarding damage to Derwent Road and is satisfied that the Project monitors road

condition to facilitate repairs (where relevant) for SBT, SCAW and FSM. The auditor refers to the evidence sighted for conditions E107 and E108 (at this and at previous audits) to support this position. Further, evidence was sighted whereby SBT had engaged (and paid for) Council to make repairs to Derwent Road during the audit period. Further damage was noted by Council and the auditees during the audit period, following these repairs, but the parties note that the road is now used by both SSTOM and Go Gro Organics (which have substantial heavy vehicle movements occurring).

### 3.6 Complaints

A complaints register is being maintained for the Project using the software, Consultation Manager. The complaints register was provided to the Auditor on covering the entire audit period.

Of the eight (8) complaints recorded on the complaints register that were relevant to the scope of this audit, seven (7) were relevant to SBT, one (1) was relevant to SCAW, and none were recorded in relation to FSM works. The most complaints were received about works at or proximal to the Bringelly Services Facility and Orchard Hills sites and relating to noise and vibration (6).

The Complaints Management System<sup>9</sup> and register include a requirement to provide a justification for a complaint being 'unavoidable', that is a complaint *'in opposition to the Project or government policy, or complaints about issues that are within Project planning approvals'*. All of the seven (7) 'unavoidable' complaints (received 6 August, 22 August, 25 August, 11 September, 25 September) had an associated justification.

One (1) 'avoidable' complaint (that is *'about issues outside planning approval, or a commitment that has been given to the community or stakeholders'*) was received regarding debris on a roadway and it is not considered to be associated with a non-compliance.

Four (4) complaints were not relevant to SMWSA works or under investigation at the time of drafting the Audit Report.

The Auditor considered the responses to the complaints (both the nature of the response and the timing). As far as could be determined within the confines of the audit, the Auditor considers the responses to be adequate.

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<sup>9</sup> Construction Complaints Management System, Sydney Metro, 20 October 2023

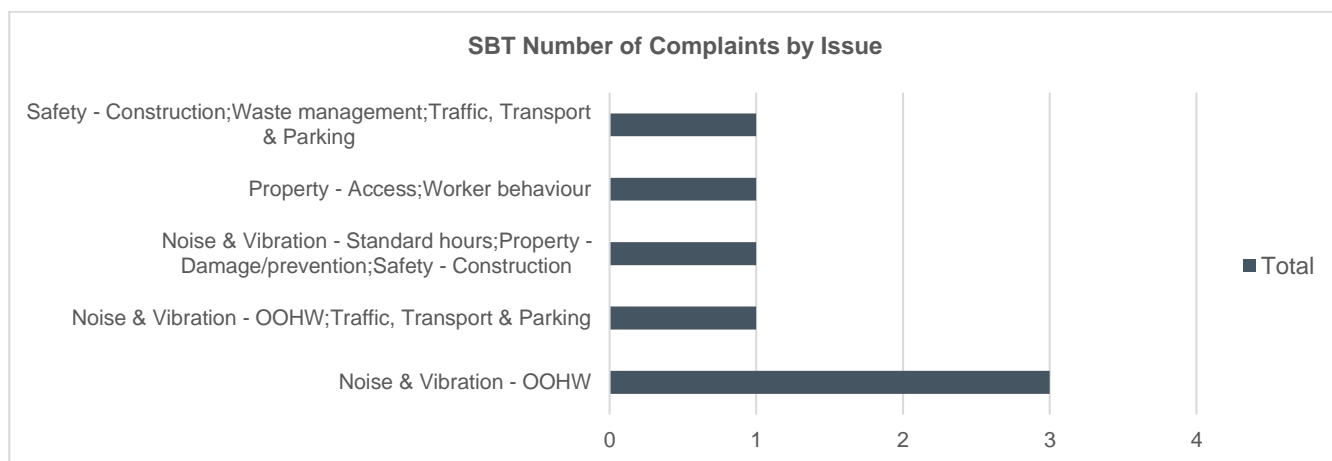


Figure 3: SBT complaints by issue

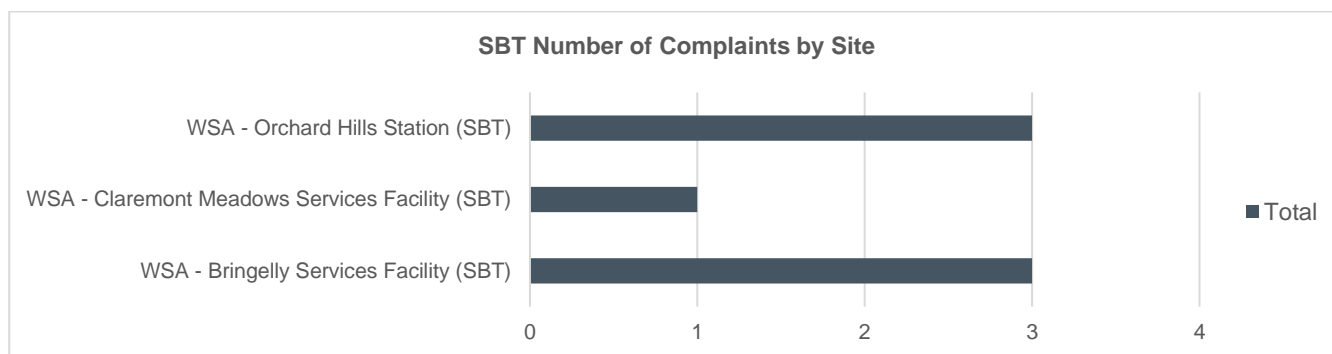


Figure 4: SBT complaints by site

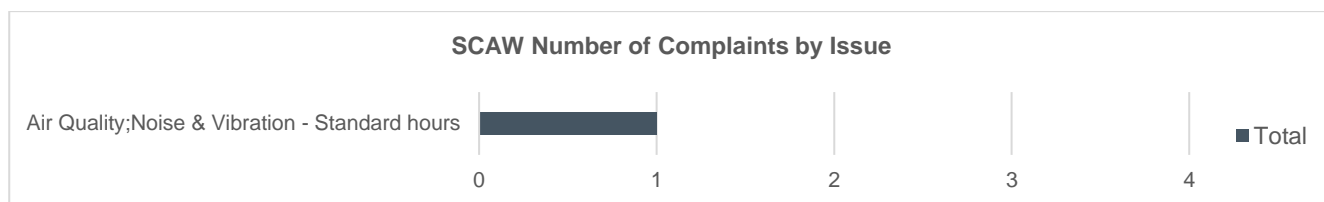


Figure 5: SCAW complaints by issue

### 3.7 Incidents

The Project wide incident register and associated records were provided to the Auditor. According to the evidence sighted there were no incidents as defined by the Approval and of which needed to be reported to the Department as required under A41/A42 recorded during the audit period.

### 3.8 Actual versus predicted impacts

Predicted outcomes associated with the construction of the Project are described in Chapters 8 – 24 of the *Sydney Metro – Western Sydney Airport Environmental Impact Statement*, 21 October

2020 (the EIS) and Sections 4.7 – 4.17 of the *Sydney Metro – Western Sydney Airport Submissions Report* (no date), submitted April 2021 (the RtS).

The EIS and RtS included a range of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project. Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the construction requirements specified in the conditions and REMMs, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit. Any such comparison is qualitative only.

Assessment of actual vs predicted impacts considered:

- The extent to which the Project has been altered to that assessed in the EIS and RtS and approved, including whether Project boundaries have changed
- The works conducted during the audit period
- The degree of compliance with the Approval and the REMMS, relevant to the audit period and the works carried out
- The degree of adequacy and implementation of the approved post approval documents
- The number, nature and severity of incidents recorded during the audit period
- The number, nature and severity of complaints recorded during the audit period.

The Auditor observes that the Project has been altered via two modification (one prior to and one during the current audit period). These relate to minor adjustments to conditions associated with biodiversity offset credits, tree survey timing and noise respite consultation reporting. Both modification applications were assessed and approved by the Department and thus confirms that they did not materially alter the Project scope. The boundaries of the project were not changed as a result of the modifications.

The works undertaken during the audit period (described in Section 1.1.4) are consistent with the construction works described in the EIS and RtS. The ER has not identified any material departures between the works undertaken during the audit period, and those identified in the EIS and RtS. The Project's Independent Certifier (IC) is charged with verifying that the Project is designed in accordance with the contract (which includes general conformance with the EIS and associated documents). Inspection test plans are implemented, with defects raised and addressed prior to the IC closure of the relevant lot (through issue of a Notice of Substantial Completion). Sydney Metro also implements a design verification traceability matrix process, which includes an assessment of design against the Project Approval, EIS and associated documents. This provides some degree of confidence that the project is being delivered in accordance with this condition. The IC has issued notices of substantial completion for SBT (confirming practical completion of their portion of the Project, delivered in accordance with its Contract). Sign off for SCAW is pending.

As set out in Section 3.2, the degree of compliance with the Approval and the REMMs is very high (two non-compliances were identified against 222 conditions). These relate to isolated truck movements and were self-reported by the auditees during the audit period. None of these non-compliances are considered to present material impacts above or beyond those contemplated in the EIS or RtS.

The Auditor has found that the CEMP, Sub-plans and monitoring programs, and community and traffic related post approval documents to be of a very high quality. The shortcomings in their

implementation, as identified in Section 3.3, are not likely to have presented material departures from the impacts identified in the EIS and RtS.

Complaints and incidents for Project are inevitable given the scale and complexity of the works. As noted in Sections 3.6 above, the Auditor is of the view that Sydney Metro and its contractors have, in general, adequately identified and responded to the complaints received during the audit period. A previous opportunity for improvement regarding the recording of the 'nature of complaint' so that recipients of the complaints register are better informed on the issues raised by stakeholders (refer finding 10051\_IA6\_1) has been closed. The Auditor has not identified any complaints that indicate that impacts are different to that predicted or that they are unacceptable in their severity. The number of complaints for SBT and SCAW have dropped significantly from previous audit periods due to the winding down and completion of construction. No complaints have been recorded for FSM.

The auditees have not identified any incidents as defined by the Approval that need to be reported to the Department as required under A41/A42, that is, no incidents have been assessed as having potential or actual material harm on the environment or community (or actual or potential loss or property damage of an amount, or amounts in aggregate, exceeding \$10,000). The Auditor agrees with this assessment based on the information sighted.

### 3.9 Environmental performance

The environmental performance of the Project during the audit period is considered by the Auditor to be high. The following matters are of note:

- SBT and SCAW construction works were completed progressively over the audit period and thus the potential for environmental impact has also fallen. SBT is no longer on site, with all sites having been handed over to SSTOM prior to the audit. SCAW has one remaining compound in place of which the Auditor considers to pose negligible environmental risk.
- The degree of compliance with the Approval and the REMMs is very high (two non-compliances were identified against 222 conditions) and compliance records were well organized and available at the time of the site inspection and interview with key Project personnel.
- Relevant environmental and compliance monitoring activities are being undertaken by Sydney Metro, the ER and its contractors to provide verification of compliance against statutory requirements and the broader Project legislative requirements.
- Sydney Metro will need to provide continued oversight of tree replanting and post-construction condition surveys, to ensure the relevant conditions are met.

## 4. CONCLUSIONS

This Audit Report presents the findings from the sixth Independent Audit for on the Sydney Metro Western Sydney Airport (the Project), covering the period from the 10 August 2024 to 9 February 2025.

The overall outcome of the Independent Audit was very positive. Compliance records were organised and available at the time of the site inspection and interviews with Project personnel from Sydney Metro and its contractors (together, the auditees). The auditees were cooperative and responsive to the Auditors requests and requirements of the audit.

Relevant environmental and compliance records were being collected and reported to enable verification against compliance and Project environmental requirements.

With respect to findings from the seventh audit:

- There were 222 conditions assessed.
- 170 conditions were considered to be compliant.
- Two (2) non-compliances were identified by the auditees and reported during the audit period. These relate to FSM heavy vehicle movements occurring without the prerequisite approvals being in place. No new non-compliances were identified by the auditor.
- 48 conditions were considered not triggered.
- In addition to the above, two (2) observations were identified, relating to the completion of SBT environmental reporting under its approved Monitoring Programs and post-construction conditions surveys.

With respect to the status of the single finding that was open at the time of completion of the sixth audit, the Auditor considers the finding to be closed.

Detailed findings are presented in Section 3, along with actions proposed or undertaken by the auditees to address the findings.

The Auditor would like to thank the auditees from Sydney Metro and its contractors (CPBG, CPBU and Laing O'Rourke) for their high level of organisation, cooperation, and assistance during the Independent Audit.

## LIMITATIONS

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With respect to conditions relating to compliance with the design, Building Codes of Australia (BCA) or satisfaction of the Independent Verifier / Certifier / Certifying Authority, the Independent Audits relied on confirmation from the Independent Verifier / Certifier / Certifying Authority that this is the case. The Independent Audits do not extend to an assessment of the works against the design or BCA requirements themselves, nor did they examine the steps the Independent Verifier / Certifier / Certifying Authority has taken to verify that the design is compliant.

The assessment of actual impacts and those predicted in the Environmental Impact Assessment(s) was a high-level assessment qualitative assessment only. The Environmental Impact Assessment(s) include a voluminous number of studies and predictions that relied on observation, measurement and modelling of the existing environments and potential outcomes arising from the Project (including mitigation measures). Full assessment of the accuracy of these predictions would also require a significant number of studies involving measurement and modelling using actual data points as inputs. Other than the requirements specified in the report, to the Auditor's knowledge there are no requirements to undertake such studies and doing so does not form part of this Independent Audit.

Audits of all post approval documents prepared to satisfy the conditions, including an assessment of the implementation of Environmental Management Plans and Sub-plans, adopts a Judgement Based Sampling approach. Judgement Based Sampling is the process of selecting a sample of commitments and evidence from within the total available data set (population) to obtain and evaluate evidence about some characteristic of that population, in order to form a conclusion concerning the population.

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## **APPENDIX A – SSI 10051 CONDITIONS OF APPROVAL**



| Unique ID                        | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected | Independent Audit findings and recommendations | Compliance Status |
|----------------------------------|------------------------|-----|------|-------|--------------------|--|-------------------|
| SCHEDULE 2                       |                        |     |      |       |                    |  |                   |
| PART A ADMINISTRATIVE CONDITIONS |                        |     |      |       |                    |  |                   |
| General                          |                        |     |      |       |                    |  |                   |

|    |   |            |            |            |  |   |   |
|----|---|------------|------------|------------|--|---|---|
| A1 | <p>The Proponent must carry out the CSSI in accordance with the terms of this approval and generally in accordance with the:</p> <p>(a) Sydney Metro – Western Sydney Airport Environmental Impact Statement dated 21 October 2020;</p> <p>(b) Sydney Metro – Western Sydney Airport Submissions Report submitted April 2021</p> <p>(c) Sydney Metro – Western Sydney Airport. NSW Infrastructure Approval (SSI_10051) – Request for modification of Condition E4, dated March 2022; and</p> <p>(d) Sydney Metro – Western Sydney Airport, SSI-10051, Request for Modification to Conditions E13 and E57. Correspondence dated 12 August 2024</p> | Applicable | Applicable | Applicable | <p>Evidence referred to elsewhere in this Audit Table</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S1, 08/03/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S6A, 11/10/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S6B, 11/10/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N1B, 27/11/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S3, 21/12/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S9, 03/04/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S2, 11/04/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N2, 16/08/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S7, 28/08/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N4, 12/11/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S4, 29/11/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo/, SBT notice of substantial completion Portion N8, 08/03/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S10, 03/12/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N3, 09/12/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N9, 09/12/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S5, 16/12/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG, SBT notice of substantial completion Portion S8, 19/12/24</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N6, 28/04/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion 12A, 30/06/23</p> <p>Notice SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion S12, 30/07/23</p> <p>Notice SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N7, 17/08/23</p> <p>Notice SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N5A, 08/09/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N1A, 22/09/23</p> <p>Letter SYSTRA KBR to Sydney Metro, CPBG and OpCo, SBT notice of substantial completion Portion N5B, 09/10/23</p> | <p>The Auditor notes that several non-compliances and observations have been identified against the requirements of this Approval. The non-compliances are not significant in number and have not appeared to result in any material impact to the environment or community. The non-compliances have been assigned to the requirement to which they relate. Therefore, the Auditor has not assigned another non-compliance with this requirement.</p> <p>FSM, SBT and SCAW have not determined any consistency assessments during the audit period.</p> <p>Independent Certifier (IC) is charged with verifying that the Project is designed in accordance with the contract (which includes general conformance with the EIS and associated documents). Inspection test plans are implemented, with defects raised and addressed prior to the IC closure of the relevant lot (through issue of a Notice of Substantial Completion). Sydney Metro also implements a design verification traceability matrix process, which includes an assessment of design against the Project Approval, EIS and associated documents. This provides some degree of confidence that the project is being delivered in accordance with this condition. The IC has signed off on SBT portions. Sign off for SCAW is pending.</p> <p>The Auditor also notes that Project plans, strategies and programs have incorporated the requirements of this Approval and the REMMs as applicable (noting the finding against A2 below). By and large these have been implemented on site and SBT and SCAW have now either completed construction (SBT) or are almost complete (SCAW).</p> | C |
|----|---|------------|------------|------------|--|---|---|

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|--|---|-------------------|
| A2        | The CSSI must only be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents listed in Condition A1 unless otherwise specified in, or required under, this approval.  | Applicable | Applicable | Applicable | Evidence referred to elsewhere in this Audit Table<br><br>Letter DPHI to LOR, 19/12/24 (warning to FSM regarding non-compliance's against E105 on 18-19/09/24 (when heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19 September 2024) and A2 on 11 & 31/10/24 (oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14 October 2024)   | <b>Non-compliance FSM: On 11 and 31/10/24 oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14/10/24. This was subsequently reported to the Department and the Department issued a warning letter on 19/12/24.</b>   | NC                |
| A3        | In the event of an inconsistency between:<br><br>(a) the conditions of this approval and any document listed in <b>Condition A1</b> , the conditions of this approval will prevail to the extent of the inconsistency; and<br><br>(b) any document listed in <b>Condition A1</b> , the most recent document will prevail to the extent of the inconsistency.<br><br>Note: For the purpose of this condition, there is an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.   | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25   | This audit assesses compliance with the conditions of this Approval. Where duplication / overlap or inconsistency with a REMM has been identified, the Auditor has applied the condition requirement.<br><br>The auditees are not aware of a material inconsistency, and has applied the requirements of this Approval against all else.<br><br>Whilst not a compliance issue, the Auditor notes reference to REMMS SC1 and SC2 as a means to reclassify contamination risk. This is inconsistent with E92. This audit has considered this difference and assesses compliance with E92. | C                 |
| A4        | In the event that there are differing interpretations of the conditions of this approval, including in relation to a condition of this approval, the Planning Secretary's interpretation is final.   | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25   | Sydney Metro and its contractors are not aware of any events requiring interpretation.  | NT                |
| A5        | The Proponent must comply with all written requirements or directions of the Planning Secretary, including in relation to:<br><br>(a) the environmental performance of the CSSI;<br><br>(b) any document or correspondence in relation to the CSSI;<br><br>(c) any notification given to the Planning Secretary under the terms of this approval;<br><br>(d) any audit of the construction or operation of the CSSI;<br><br>(e) the terms of this approval and compliance with the terms of this approval (including anything required to be done under this approval);<br><br>(f) the carrying out of any additional monitoring or mitigation measures; and<br><br>(g) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under the terms of this approval | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25<br><br>Warning letter, DPHI to Sydney Metro Laing O'Rourke, 19/12/24<br><br>Letter DPHI to Sydney Metro, 19/12/24 (DPHI responses to independent audits, including acceptance of Audit Reports 3 – 6)<br><br>Letter DPHI to CPBG, 19/12/24 (warning to SBT regarding previous non-compliance's against A2 and E38, and record of breach for earlier non-compliances in 2022 and 2023).<br><br>Letter DPHI to CPBUI, 19/12/24 (warning to SCAW regarding previous non-compliance's against E2, and record of breach for earlier non-compliances in 2023)<br><br>Letter DPHI to LOR, 19/12/24 (warning to FSM regarding non-compliance's against E105 on 18-19/09/24 (when heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19 September 2024) and A2 on 11 & 31/10/24 (oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14 October 2024) | The Department issued FSM with a warning letter about heavy vehicle movements. FSM appears to have undertaken actions in response to the warning refer A2 and E105.<br><br>See the DPHI letter regarding prev audits, which includes the warning letters as attachments. These relate to legacy findings. SBT have completed construction and SCAW is almost complete.  | C                 |

| Unique ID      | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|----------------|--|------------|------------|------------|---|---|-------------------|
| A6             | Where the terms of this approval require a document or monitoring program to be prepared, or a review to be undertaken, in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:<br><br>(a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval;<br><br>(b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them;<br><br>(c) documentation of the follow-up with the identified party(s) where feedback has not been provided to confirm that the party(s) has none or has failed to provide feedback after repeated requests;<br><br>(d) outline of the issues raised by the identified party(s) and how they have been addressed; and<br><br>(e) a description of the outstanding issues raised by the identified party(s) and the reasons why they have not been addressed. | Applicable | Applicable | Applicable | Refer to A18, C5, C13, C14, E8, E12, E14, E23, E35, E42, E47, E57, E64, E73, E77, E79, E83, E114, E117, E121, E130; and D5, E17, E58, E112, E119  | Consultation was required during earlier audit periods, when strategies, plans and programs were being developed and construction was in its infancy. Refer to earlier audit reports regarding an assessment on the adequacy of consultation during earlier audit periods.<br><br>The evidence sighted at this seventh audit indicates that where consultation was required during the audit period, it has been completed. | C                 |
| A7             | This approval lapses five (5) years after the date on which it is granted, unless work has physically commenced on or before that date.  | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25  | The Project is in construction.   | C                 |
| A8             | References in the terms of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, standards or policies in the form they are in as at the date of this approval.   | Applicable | Applicable | Applicable | Refer to evidence sighted elsewhere in this Audit Table.  | The plans, strategies and programs sighted as part of the audit appear to reference the relevant and current guidelines, protocols, Australian Standards or policies.   | C                 |
| A9             | Any document that must be submitted or action taken within a timeframe specified in or under the conditions of this approval may be submitted or undertaken within a later timeframe agreed with the Planning Secretary.<br><br>This condition does not apply to the written notification required in respect of an incident under <b>Condition A41</b> .  | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25  | The auditees are not aware of any requests for extensions to timeframes during the audit period.  | NT                |
| <b>Staging</b> |  |            |            |            |   |   |                   |
| A10            | The CSSI may be constructed and operated in stages. Where staged construction and/or operation is proposed, a <b>Staging Report</b> must be prepared. The <b>Staging Report</b> must be submitted to the Planning Secretary for information no later than one (1) month before the lodgement of any <b>CEMP</b> or <b>CEMP sub plan</b> for the first of the proposed stages of construction (or if only staged operation is proposed, one (1) month before the commencement of operation of the first of the proposed stages of operation), unless otherwise agreed with the Planning Secretary.  | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br><br>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)<br><br>Letter DPHI to Sydney Metro, 03/07/24 (DPHI acknowledgement of Rev 10 of Staging Report) | The Project is being staged. The Staging Report was updated during the audit period in relation to SSTOM and some administrative changes. Information relevant to SBT, SCAW and FSM remained unchanged. The Staging Report addresses the relevant requirements. The ER endorsed the update and it was submitted to DPHI for information.  | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|---|---|-------------------|
| A11       | <p>The <b>Staging Report</b> must:</p> <p>(a) set out how construction of the whole of the CSSI will be staged, including details of work and other activities to be carried out in each stage and the general timing of when construction of each stage will commence and finish;</p> <p>(b) if staged operation is proposed, set out how the operation of the whole of the CSSI will be staged, including details of each stage and the general timing of when operation of each stage will commence;</p> <p>(c) specify conditions that apply to each stage of construction and operation including how compliance with conditions will be achieved across and between each of the stages of the CSSI;</p> <p>(d) set out mechanisms for managing any cumulative impacts arising from the proposed staging; and</p> <p>(e) for the purposes of informing <b>Conditions C2, C7 and C17</b>, include an assessment of the predicted level of environmental risk and potential level of community concern posed by the construction activities required to construct each stage of the CSSI. With respect to (e) above, the risk assessment must use an appropriate process consistent with AS/NZS ISO 31000: 2018; Risk Management - Guidelines and must be endorsed by the ER</p> <p><b>Note:</b></p> <p>1. A Staging Report may reflect the staged construction and operation of the project through geographical activities, temporal activities or activity-based staging.</p> <p>2. The risk matrix must reflect the stages of construction identified in the Staging Report</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>Letter DPHI to Sydney Metro, 03/07/24 (DPHI acknowledgement of Rev 10 of Staging Report)</p>  | <p>The Project is being staged. The Staging Report was updated during the audit period in relation to SSTOM and some administrative changes. Information relevant to SBT, SCAW and FSM remained unchanged. The Staging Report addresses the relevant requirements. The ER endorsed the update and it was submitted to DPHI for information.</p> | C                 |
| A12       | The CSSI must be staged in accordance with the <b>Staging Report</b> , as submitted to the Planning Secretary for information.   | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Evidence sighted in Parts B, C and E of this Audit Table</p> <p>Site inspection 03, 07/02/25</p>   | <p>The Project appears to be staged in accordance with the Staging Report.</p>  | C                 |
| A13       | Where staging is proposed, the terms of this approval that apply or are relevant to the work or activities to be carried out in a specific stage must be complied with at the relevant time for that stage   | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Evidence sighted in Parts B, C and E of this Audit Table</p> <p>Site inspection 03, 07/02/25</p>   | <p>The Project appears to be staged in accordance with the Staging Report. This audit assesses compliance of the Project in consideration of the timing specified in the Staging Report. The Auditor has not identified a departure from the Staging Report.</p>  | C                 |
| A14       | Where changes are proposed to the staging of construction or operation, a revised <b>Staging Report</b> must be prepared and submitted to the Planning Secretary for information before the commencement of changes to the stage of construction or the stage of operation.  | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Construction start dates (A10) as provided by Sydney Metro and the auditees, 20/07/23)</p> | <p>The Project is being staged. The Staging Report was updated during the audit period in relation to SSTOM and some administrative changes. Information relevant to SBT, SCAW and FSM remained unchanged. The Staging Report addresses the relevant requirements. The ER endorsed the update and it was submitted to DPHI for information.</p> | C                 |
| A15       | Where changes are proposed to the risk assessment related to the staging of construction or operation, a revised <b>Staging Report</b> must be submitted to the Planning Secretary for information one (1) month before the lodgment of any <b>CEMP</b> or <b>CEMP sub plan</b> associated with the stage where change in risk assessment is proposed  | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p>   | <p>The Project is being staged. The Staging Report was updated during the audit period in relation to SSTOM and some administrative changes. Information relevant to SBT, SCAW and FSM remained unchanged. The Staging Report addresses the relevant requirements. The ER endorsed the update and it was submitted to DPHI for information.</p> | C                 |

| Unique ID                      | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|--------------------------------|--|------------|------------|------------|--|--|-------------------|
| A16                            | <p>The Proponent may submit any strategies, plans or programs required by this approval on a progressive basis, within each stage of the CSSI.</p> <p>Notes:</p> <ol style="list-style-type: none"> <li>While any strategy, plan or program may be submitted on a progressive basis, the Proponent will need to ensure that the existing activities on site are covered by suitable strategies, plans or programs at all times; and</li> <li>If the submission of any strategy, plan or program is to be submitted on a progressive basis, then the relevant strategy, plan or program must clearly describe the activities to which the strategy, plan or program applies, the relationship of this activity to any future activities within the stage, and the trigger for updating the strategy, plan or program.</li> <li>The staged submission of strategies, plans or programs may reflect the construction and operation of the project through geographical activities, temporal activities or activity-based staging.</li> </ol>  | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Evidence sighted in Parts B, C and E of this Audit Table</p>  | The Project appears to be submitting strategies, plans or programs in line with the staging set out in the Staging Report.   | C                 |
| <b>Ancillary Facilities</b>    |  |            |            |            |  |  |                   |
| A17                            | <p>Ancillary facilities that are not identified by description and location in the documents listed in <b>Condition A1</b> can only be established and used in each case if:</p> <ol style="list-style-type: none"> <li>they are located within or immediately adjacent to the Construction Boundary of the CSSI; and</li> <li>they are not located next to sensitive land use(s) (including where an access road is between the facility and the receiver), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and</li> <li>they have no impacts on Heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the terms of this approval; and</li> <li>the establishment and use of the facility can be carried out and managed within the outcomes set out in the terms of this approval, including in relation to environmental, social and economic impacts.</li> </ol> <p><b>Note:</b> This condition does not apply to any ancillary facilities or work that are exempt or complying development, established before the commencement of construction under this approval or minor ancillary facilities established under Condition A22.</p> | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Construction Environment Management Plan, STB, CPBG, 15/03/24 (STB CEMP)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Construction Environmental Management Plan, 29/07/24(SCAW CEMP)</p> <p>SCAW Ancillary Facility Checklist, 13/10/23 (SMF rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 17/11/23 (Elizabeth Drive rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Luddenham South rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Warragamba laydown area)</p> <p>SCAW Minor ancillary facility checklist, Luddenham South, RCD-00001, 19/04/23</p> <p>SCAW Minor ancillary facility checklist, SMF office relocations, 28/06/23</p> <p>Exempt development checklist for compound at 19 Harris Street, 08/02/23</p> <p>FSM interview 03/02/25</p> <p>Sydney Metro Western Sydney Airport Advanced Enabling Works (FSM) Construction Environmental Management Plan (CEMP), Laing O'Rourke, 13/06/23</p> <p>FSM MAF checklist (Laydowns), approved by ER 17/11/23</p> | <p>Table 8-3 of Chapter 8 of the EIS identifies compounds to be established at all construction sites.</p> <p>The auditees are not aware of any compounds being established in areas not already approved under the EIS, as the EIS allows for compounds to be established anywhere within the construction footprint. That being said, compounds are included in the approved CEMPs and SEMP's or done under exempt development checklist or MAF checklists.</p> <p>No new ancillary facilities for STB, SCAW or FSM during the audit period.</p> | C                 |
| <b>Site Establishment Work</b> |  |            |            |            |  |  |                   |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|------------|------------|------------|--|--|-------------------|
| A18       | <p>Before establishment of any ancillary facility (excluding exempt or complying development, minor ancillary facilities determined by the <b>ER</b> to have minimal environmental impact and those established under <b>Condition A22</b> and those considered in an approved <b>CEMP</b>), the Proponent must prepare a <b>Site Establishment Management Plan</b> which outlines the environmental management practices and procedures to be implemented for the establishment of the ancillary facilities. <b>The Site Establishment Management Plan</b> must be prepared in consultation with the Relevant Council(s) and relevant government agencies. The <b>Site Establishment Management Plan</b> must include:</p> <p>(a) a description of activities to be undertaken during establishment of the ancillary facility (including scheduling and duration of work to be undertaken at the site);</p> <p>(b) figures illustrating the proposed operational site layout and the location of the closest sensitive land use(s);</p> <p>(c) a program for ongoing analysis of the key environmental risks arising from the site establishment activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of site establishment work;</p> <p>(d) details of how the site establishment activities described in subsection (a) of this condition will be carried out to:</p> <p>(i) meet the performance outcomes stated in the documents listed in Condition A1; and</p> <p>(ii) manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; and</p> <p>(e) a program for monitoring the performance outcomes, including a program for construction noise monitoring, where appropriate or required.</p> <p>Nothing in this condition prevents the Proponent from preparing individual <b>Site Establishment Management Plans</b> for each ancillary facility.</p> | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Construction Environment Management Plan, STB, CPBG, 15/03/24 (STB CEMP)</p> <p>Preparatory Construction Environment Management Plan, STB, CPBG, 13/04/2022 (STB PCEMP)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Construction Environmental Management Plan, 29/07/24(SCAW CEMP)</p> <p>SCAW Ancillary Facility Checklist, 13/10/23 (SMF rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 17/11/23 (Elizabeth Drive rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Luddenham South rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Warragamba laydown area)</p> <p>SCAW Minor ancillary facility checklist, Luddenham South, RCD-00001, 19/04/23</p> <p>SCAW Minor ancillary facility checklist, SMF office relocations, 28/06/23</p> <p>FSM interview 03/02/25</p> <p>Sydney Metro Western Sydney Airport Advanced Enabling Works (FSM) Construction Environmental Management Plan (CEMP), Laing O'Rourke, 13/06/23</p> <p>FSM MAF checklist (Laydowns), approved by ER 17/11/23</p> <p>Site inspection 03, 07/02/25</p> | SBT, SCAW and FSM did not utilize an SEMP. Ancillary facilities (including establishment and any updates) are included in the relevant CEMP or MAF checklists. | NT                |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|------------|------------|------------|--|--|-------------------|
| A19       | With the exception of a <b>Site Establishment Management Plan</b> expressly nominated by the Planning Secretary to be endorsed by the <b>ER</b> , all <b>Site Establishment Management Plans</b> must be submitted to the Planning Secretary for approval one (1) month before the establishment of any ancillary facilities | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Construction Environment Management Plan, STB, CPBG, 15/03/24 (STB CEMP)</p> <p>Preparatory Construction Environment Management Plan, STB, CPBG, 13/04/2022 (STB PCEMP)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Construction Environmental Management Plan, 29/07/24(SCAW CEMP)</p> <p>SCAW Ancillary Facility Checklist, 13/10/23 (SMF rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 17/11/23 (Elizabeth Drive rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Luddenham South rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Warragamba laydown area)</p> <p>SCAW Minor ancillary facility checklist, Luddenham South, RCD-00001, 19/04/23</p> <p>SCAW Minor ancillary facility checklist, SMF office relocations, 28/06/23</p> <p>FSM interview 03/02/25</p> <p>Sydney Metro Western Sydney Airport Advanced Enabling Works (FSM) Construction Environmental Management Plan (CEMP), Laing O'Rourke, 13/06/23</p> <p>FSM MAF checklist (Laydowns), approved by ER 17/11/23</p> <p>Site inspection 03, 07/02/25</p> | SBT, SCAW and FSM did not utilize an SEMP. Ancillary facilities (including establishment and any updates) are included in the relevant CEMP or MAF checklists. | NT                |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|------------|------------|------------|--|--|-------------------|
| A20       | A <b>Site Establishment Management Plan</b> expressly nominated by the Planning Secretary to be endorsed by the ER must be submitted to the <b>ER</b> for endorsement one (1) month before the establishment of that ancillary facility or as otherwise agreed with the <b>ER</b> . | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Construction Environment Management Plan, STB, CPBG, 15/03/24 (STB CEMP)</p> <p>Preparatory Construction Environment Management Plan, STB, CPBG, 13/04/2022 (STB PCEMP)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Construction Environmental Management Plan, 29/07/24(SCAW CEMP)</p> <p>SCAW Ancillary Facility Checklist, 13/10/23 (SMF rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 17/11/23 (Elizabeth Drive rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Luddenham South rock crusher)</p> <p>SCAW Ancillary Facility Checklist, 05/02/24 (Warragamba laydown area)</p> <p>SCAW Minor ancillary facility checklist, Luddenham South, RCD-00001, 19/04/23</p> <p>SCAW Minor ancillary facility checklist, SMF office relocations, 28/06/23</p> <p>FSM interview 03/02/25</p> <p>Sydney Metro Western Sydney Airport Advanced Enabling Works (FSM) Construction Environmental Management Plan (CEMP), Laing O'Rourke, 13/06/23</p> <p>FSM MAF checklist (Laydowns), approved by ER 17/11/23</p> <p>Site inspection 03, 07/02/25</p> | STB, SCAW and FSM did not utilize an SEMP. Ancillary facilities (including establishment and any updates) are included in the relevant CEMP or MAF checklists. | NT                |

| Unique ID  | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|------------|--|------------|------------|------------|--|--|-------------------|
| A21        | <p>The use of ancillary facility for construction must not commence until the <b>CEMP</b> required by <b>Condition C1</b> relevant <b>CEMP Sub-plans</b> required by <b>Condition C5</b> and relevant <b>Construction Monitoring Programs</b> required by <b>Condition C13</b> have been approved by the Planning Secretary or endorsed by the ER (whichever is applicable).</p> <p>Note: This condition does not apply to Condition A22 or where the use of an ancillary facility is Low Impact Work or for Low Impact Work.</p>  | Applicable | Applicable | Applicable | <p>Refer to evidence sighted in C1 regarding approval of the CEMPs, Sub-plans and Monitoring Programs.</p> <p>Email Metro to DPHI, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Emails Metro to Penrith and Liverpool Councils, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Portal lodgement, 17/10/22 (notification of commencement of SCAW main construction)</p> <p>Letter Metro to DPHI, 14/10/22 (notification of commencement of SCAW main construction)</p> <p>Email Metro to Penrith and Liverpool Councils, 18/10/22 (notification of commencement of SCAW main construction)</p> <p>Emails Metro to Penrith and Liverpool Councils, 30/09/22 (notification of SBT Main Construction)</p> <p>Letter Metro to DPHI, 30/09/22 (notification of SBT Main Construction)</p> <p>Email DPHI to Metro, 04/10/22 (acknowledgment of notification of commencement of SBT main construction)</p> <p>Letter Sydney Metro to DPHI and post approval portal lodgement record, 19/05/23 (notification of AEW FSM to DPHI)</p> <p>Emails x 2 Sydney Metro to Liverpool Council and Penrith Council, 19/05/23 (notification of AEW FSM to Council)</p> | <p>SBT, SCAW and FSM did not utilize an SEMP. Ancillary facilities (including establishment and any updates) are included in the relevant CEMP or MAF checklists.</p> <p>No new phases of construction commenced in the audit period. Refer to previous audit reports for details on commencement.</p>   | NT                |
| A22        | <p>Lunch sheds, office sheds, portable toilet facilities and the like, can be established and used where they have been assessed in the documents listed in Condition A1 or satisfy the following criteria:</p> <p>(a) are located within or adjacent to the Construction Boundary; and</p> <p>(b) have been assessed by the ER to have –</p> <ul style="list-style-type: none"> <li>(i) minimal amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the ICNG, traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and</li> <li>(ii) minimal environmental impact with respect to waste management and flooding, and</li> <li>(iii) no impacts on biodiversity, soil and water, and Heritage items beyond those already approved under other terms of this approval.</li> </ul> | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>Metro/STB interview 06-07/02/25</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Minor ancillary facility checklist, SMF office relocations, 28/06/23</p> <p>SCAW Minor Ancillary facility checklist, Lansdowne Road, (submitted to Metro, 05/03/24)</p> <p>FSM interview 03/02/25</p> <p>Sydney Metro Western Sydney Airport Advanced Enabling Works (FSM) Construction Environmental Management Plan (CEMP), Laing O'Rourke, 13/06/23</p> <p>FSM MAF checklist (Laydowns), approved by ER 17/11/23</p>   | <p>SBT have not established any MAFs.</p> <p>SCAW had two MAFs in operation, of which are situated within the Project boundary and one of which has been closed prior to the audit. In the EIS, the entire project footprint is identified as potentially housing ancillary facilities.</p> <p>FSM has established multiple MAFs for use during possessions and these were approved by the ER prior to the current audit period. Refer to the previous audit report for the Auditor's view on the location on two of the MAFs. No new MAFs were established during the audit period.</p> | C                 |
| Compliance |  |            |            |            |  |  |                   |

| Unique ID                | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|--------------------------|---|------------|------------|------------|--|---|-------------------|
| A23                      | Boundary screening must be erected around ancillary facilities that are adjacent to sensitive land use(s) for the duration that the ancillary facility is in use unless otherwise agreed with relevant affected residents, business operators or landowners.  | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25   | Boundary screening was observed around the FSM compounds / sites. SBT has completed works and is no longer present on site. SCAW's remaining compound is not near any surrounding receivers.                    | C                 |
| A24                      | Boundary screening required under Condition A23 must minimise visual impacts on adjacent sensitive land use(s)  | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25   | Boundary screening was observed around the FSM compounds / sites. SBT has completed works and is no longer present on site. SCAW's remaining compound is not near any surrounding receivers.                    | C                 |
| Independent Appointments |   |            |            |            |  |   |                   |
| A25                      | All <b>Independent Appointments</b> required by the terms of this approval must have regard to the Department's guideline Seeking approval from the Department for the appointment of independent experts (DPIE, 2020) and hold current membership of a relevant professional body, unless otherwise agreed by the Planning Secretary   | Applicable | Applicable | Applicable | Letter DPHI to Sydney Metro, 17/08/21 (approval of Project ERs)<br><br>Letter DPHI to Sydney Metro, 17/03/22 (approval of inclusion of Alex Gale to ER team)<br><br>Letter DPHI to Sydney Metro, 24/03/23 (approval of inclusion of Brett McLennan to ER team)<br><br>Letter DPHI to Metro, 11/12/24 (approval of WolfPeak Audit Team)<br><br>Letter Sydney Metro to Stephen Lancken, 14/12/21 (engagement of complaints mediator)<br><br>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22<br><br>Government Architects New South Wales Terms of Reference for the SM Design Review Pane; for WSA and West Line 9/03/22<br><br>Letter DPHI to Sydney Metro, 01/03/23 (DPHI approval of Excavation Director Lian Ramage)<br><br>Letter DPHI to Sydney Metro, 17/06/22 (DPHI approval of the SBT St Marys Excavation Directors – Dr Ian Stuart and Jenny Winnett)<br><br>Letter DPHI to Sydney Metro, 04/07/23 (DPHI approval of Sophie Jennings as Excavation Director for FSM).<br><br>Letter DPHI to Metro, 14/05/24 (DPHI approval of Kat McCrae as the FSM excavation director).<br><br>Letter DPHI to Metro, 30/06/23 (DPHI approval of IPIAP) | The engagement of Independent Appointments appears to have given regard to the Department's guideline. The auditees are not aware of any formal directions issued by the Department regarding this requirement. | C                 |
| A26                      | The Planning Secretary may at any time commission an audit of how an Independent Appointment has exercised their functions. The Proponent must:<br><br>(a) facilitate and assist the Planning Secretary in any such audit; and<br><br>(b) make it a term of their engagement of an Independent Appointment that the Independent Appointment facilitate and assist the Planning Secretary in any such audit. | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25   | Metro or its contractors are not aware of any such direction.   | NT                |

| Unique ID                  | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|----------------------------|---|------------|------------|------------|--|--|-------------------|
| A27                        | Upon completion of an audit under <b>Conditions A26</b> above, the Planning Secretary may withdraw its approval of an <b>Independent Appointment</b> should they consider the <b>Independent Appointment</b> has not exercised their functions in accordance with this approval.<br><br>Note: <b>Conditions A26 and A27</b> apply to all <b>Independent Appointments</b> including the ER and Independent Auditor | Applicable | Applicable | Applicable | Interview with auditees 03, 06, 07/02/25   | Metro or its contractors are not aware of any such direction.  | NT                |
| Environment Representative |   |            |            |            |  |  |                   |
| A28                        | Work must not commence until an <b>Environmental Representative (ER)</b> has been nominated by the Proponent and approved by the Planning Secretary.  | Applicable | Applicable | Applicable | Letter DPHI to Sydney Metro, 17/08/21 (approval of Project ERs)<br><br>Letter DPHI to Sydney Metro, 17/03/22 (approval of inclusion of Alex Gale to ER team)<br><br>Letter DPHI to Sydney Metro, 24/03/23 (approval of inclusion of Brett McLennan to ER team) | The ERs (x 4) were approved by the Department prior to the works for which they are responsible for, and prior to the current audit period.  | C                 |
| A29                        | The proposed <b>ER</b> must be a suitably qualified and experienced person(s) who was not involved in the preparation of the documents listed in <b>Condition A1</b> and is independent from the design and construction personnel for the CSSI and those involved in the delivery of it.   | Applicable | Applicable | Applicable | Letter DPHI to Sydney Metro, 17/08/21 (approval of Project ERs)<br><br>Letter DPHI to Sydney Metro, 17/03/22 (approval of inclusion of Alex Gale to ER team)<br><br>Letter DPHI to Sydney Metro, 24/03/23 (approval of inclusion of Brett McLennan to ER team) | The ERs (x 4) were considered by the Department to be suitably qualified, experienced and independent of the project. The ERs were approved by the Department prior to the works for which they are responsible for, and prior to the current audit period.  | C                 |
| A30                        | The Proponent may engage more than one <b>ER</b> for the CSSI, in which case the functions to be exercised by an ER under the terms of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of the SSI.   | Applicable | Applicable | Applicable | Letter DPHI to Sydney Metro, 17/08/21 (approval of Project ERs)<br><br>Letter DPHI to Sydney Metro, 17/03/22 (approval of inclusion of Alex Gale to ER team)<br><br>Letter DPHI to Sydney Metro, 24/03/23 (approval of inclusion of Brett McLennan to ER team) | The ERs (x 4) have been engaged on the Project. Both were considered by the Department to be suitably qualified, experienced and independent of the project. The ERs were approved by the Department prior to the works for which they are responsible for, and prior to the current audit period.<br><br>Refer to A32 with respect to carrying out their functions during the audit period. | C                 |
| A31                        | The ER must meet the requirements of the Department's Environmental Representative Protocol (DPHI, 2018).   | Applicable | Applicable | Applicable | Letter DPHI to Sydney Metro, 17/08/21 (approval of Project ERs)<br><br>Letter DPHI to Sydney Metro, 17/03/22 (approval of inclusion of Alex Gale to ER team)<br><br>Letter DPHI to Sydney Metro, 24/03/23 (approval of inclusion of Brett McLennan to ER team) | The ERs (x 4) were considered by the Department to be suitably qualified, experienced and independent of the Project. The ERs were approved by the Department prior to the works for which they are responsible for, and prior to the current audit period.  | C                 |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|---|------------|------------|------------|--|---|-------------------|
| A32       | <p>For the duration of the work until the commencement of operation, or as agreed with the Planning Secretary, the approved ER must:</p> <p>(a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of the CSSI;</p> <p>(b) consider and inform the Planning Secretary on matters specified in the terms of this approval;</p> <p>(c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community;</p> <p>(d) review documents identified in <b>Conditions A10, A18, A20, C1, C5 and C13</b> and any other documents that are identified by the Planning Secretary, to ensure they are consistent with requirements in or under this approval and if so:</p> <p>(i) endorse the documents before submission of such documents to the Planning Secretary (if those documents are required to be approved by the Planning Secretary); or</p> <p>(ii) endorse the documents before the implementation of such documents (if those documents are only required to be submitted to the Planning Secretary / Department for information or are not required to be submitted to the Planning Secretary / Department);</p> <p>(iii) provide a written statement to the Planning Secretary advising the documents have been endorsed.</p> <p>(e) for documents that are required to be submitted to the Planning Secretary / Department for information under <b>(d)(ii)</b> above, the documents must be submitted as soon as practicable to the Planning Secretary / Department after endorsement by the ER, unless otherwise agreed by the Planning Secretary;</p> <p>(f) regularly monitor the implementation of the documents listed in <b>Conditions A10, A18, A20, C1, C5 and C13</b> to ensure implementation is being carried out in accordance with the document and the terms of this approval;</p> <p>(g) as may be requested by the Planning Secretary, help plan or attend audits of the development commissioned by the Department including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under <b>Condition A36</b>;</p> <p>(h) as may be requested by the Planning Secretary, assist the Department in the resolution of community complaints received directly by the Department;</p> <p>(i) consider or assess the impacts of minor ancillary facilities as required by Condition A22; and</p> <p>(j) consider any minor amendments to be made to the Site Establishment Management Plan, CEMP, CEMP Sub-plans and construction monitoring programs without increasing impacts to nearby sensitive land use(s), and are consistent with the terms of this approval and the <b>Site Establishment Management Plan, CEMP, CEMP Sub-plans</b> and construction monitoring programs approved by the Planning Secretary and, if satisfied such amendment is necessary, approve the amendment. This does not include any modifications to the terms of this approval;</p> <p>(k) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an <b>Environmental Representative Monthly Report</b> providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the CSSI or as otherwise agreed by the Planning Secretary; and</p> <p>(l) assess the impacts of activities as required by the Low Impact Work definition.</p> <p>With respect to (d) above, the ER is not required to endorse the specialist content in documents requiring specialist review and / or endorsement.</p> | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Letter DPHI to Sydney Metro, 19/12/23 (DPHI extension of December 2023 ER Monthly Report (and all future December reports).</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> <p>Letter DPHI to Sydney Metro, 19/12/24 (DPHI extension of December 2024 ER Monthly Report)</p> | <p>Refer to Independent Audit No. 3 and 4 Audit Reports for endorsements of documents that occurred prior to the current audit period.</p> <p>Evidence was provided showing the development and review process by the ER to ensure that the documents are consistent with the conditions and that the necessary endorsements/approvals have been completed. Note that some plans are in the process of being updated / endorsed at the time of the audit.</p> <p>Ancillary facilities have been endorsed / approved prior to their establishment.</p> <p>The ER Monthly Report provide evidence of the monitoring of the works, and is consistent with the ER Protocol. The Reports summarise the inspection reports. The inspection reports identify relevant matters (attendees, activities, weather, observations and actions, along with the severity of deficiency and the priority of the actions). All Monthly Reports were submitted within 7 days of the end of the month or within the extended timeframe required for the December 2024 report. The ER identified a range of deficiencies in site controls, which were addressed by the project teams in a timely and appropriate manner. The Reports do not indicate any material or systematic failures.</p> <p>The Auditor is not aware of the Department requiring the ER to assist with audits.</p> <p>The Auditor is not aware of the Department requiring the ER to assist with complaints. That being said, the ER was involved in the escalation of 1 x complaint to the Complaints Mediator as per Overarching Community Communications Strategy, the Construction Complaints Management System; and conditions B2, B8-B10 of this Approval. This concluded prior to the current audit period. Refer B2, B8-B10.</p> | C                 |
| A33       | <p>The Proponent must provide the <b>ER</b> with all documentation requested by the ER in order for the ER to perform their functions specified in <b>Condition A32</b> (including preparation of <b>the ER monthly report</b>), as well as:</p> <p>(a) the <b>Complaints Register</b> (to be provided on a weekly basis or as requested); and</p> <p>(b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the <b>ER</b> before the commencement of the subject work).</p>   | Applicable | Applicable | Applicable | <p>Email Sydney Metro to HBI (and others), (weekly issue of complaints register)</p> <p>Bringelly tunnelling support – Environmental Review, Sydney Metro Western Sydney Airport Station Boxes and Tunnelling Works, CPBG, 17/06/24</p> <p>Claremont Meadows Tunnelling Support Activities – Environmental Review, CPBG, 09/02/24.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p>  | <p>The ER receives the complaints register weekly.</p> <p>FSM, SBT and SCAW have not determined any consistency assessments during the audit period.</p> <p>SBT determined two environmental reviews (which sit below a consistency assessment). The first related to utilizing Claremont Meadows for tunnel support activities. This was determined by Sydney Metro on 09/02/24. The second related to utilizing Bringelly for tunnel support activities and was determined by Sydney Metro on 17/06/24. According to the ER Monthly Reports, both were provided to the ER prior to commencement of the relevant activities.</p>   | C                 |

| Unique ID                    | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|------------------------------|--|------------|------------|------------|--|---|-------------------|
| Notification of Commencement |  |            |            |            |  |   |                   |
| A34                          | The Department, and relevant Councils must be notified in writing of the date of commencement of construction at least seven (7) days before the commencement of construction. | Applicable | Applicable | Applicable | <p>Emails Metro to Penrith and Liverpool Councils, 30/09/22 (notification of SBT Main Construction)</p> <p>Letter Metro to DPHI, 30/09/22 (notification of SBT Main Construction)</p> <p>Email DPHI to Metro, 04/10/22 (acknowledgment of notification of commencement of SBT main construction)</p> <p>Email Metro to DPHI, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Emails Metro to Penrith and Liverpool Councils, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Portal lodgement, 17/10/22 (notification of commencement of SCAW main construction)</p> <p>Letter Metro to DPHI, 14/10/22 (notification of commencement of SCAW main construction)</p> <p>Email Metro to Penrith and Liverpool Councils, 18/10/22 (notification of commencement of SCAW main construction)</p> <p>Letter Sydney Metro to DPHI and post approval portal lodgement record, 19/05/23 (notification of AEW FSM to DPHI)</p> <p>Emails x 2 Sydney Metro to Liverpool Council and Penrith Council, 19/05/23 (notification of AEW FSM to Council)</p> <p>Letter Sydney Metro to DPHI and post approval lodgement record, 09/06/23 (notification of AEW Water to DPHI)</p> <p>Emails x 2 Sydney Metro to Liverpool Council and Penrith Council, 09/06/23 (notification of AEW Water to Council)</p> | Notification of all stages occurred prior to the relevant works commencing and prior to the current audit period. | C                 |

| Unique ID                       | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---------------------------------|--|------------|------------|------------|--|---|-------------------|
| A35                             | If construction of the CSSI is to be staged, the Department, Liverpool City Council and Penrith City Council must be notified in writing at least seven (7) days before the commencement of each stage, of the date of the commencement of that stage. | Applicable | Applicable | Applicable | <p>Emails Metro to Penrith and Liverpool Councils, 30/09/22 (notification of SBT Main Construction)</p> <p>Letter Metro to DPHI, 30/09/22 (notification of SBT Main Construction)</p> <p>Email DPHI to Metro, 04/10/22 (acknowledgment of notification of commencement of SBT main construction)</p> <p>Email Metro to DPHI, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Emails Metro to Penrith and Liverpool Councils, 09/08/22 (notification of commencement of SCAW preparatory construction)</p> <p>Portal lodgement, 17/10/22 (notification of commencement of SCAW main construction)</p> <p>Letter Metro to DPHI, 14/10/22 (notification of commencement of SCAW main construction)</p> <p>Email Metro to Penrith and Liverpool Councils, 18/10/22 (notification of commencement of SCAW main construction)</p> <p>Letter Sydney Metro to DPHI and post approval portal lodgement record, 19/05/23 (notification of AEW FSM to DPHI)</p> <p>Emails x 2 Sydney Metro to Liverpool Council and Penrith Council, 19/05/23 (notification of AEW FSM to Council)</p> <p>Letter Sydney Metro to DPHI and post approval lodgement record, 09/06/23 (notification of AEW Water to DPHI)</p> <p>Emails x 2 Sydney Metro to Liverpool Council and Penrith Council, 09/06/23 (notification of AEW Water to Council)</p> | Notification of all stages occurred prior to the relevant works commencing and prior to the current audit period.   | C                 |
| Independent Environmental Audit |  |            |            |            |  |   |                   |
| A36                             | Independent Audits of the CSSI must be conducted and carried out in accordance with the Independent Audit Post Approval Requirements (DPIE, 2020).   | Applicable | Applicable | Applicable | <p>Independent Audit No. 6 – Audit Report, WolfPeak, 24/09/24</p> <p>DPHI post approval portal lodgement, 09/10/24 (submission of sixth Audit Report and auditee response).</p> <p><a href="https://www.sydneymetro.info/sites/default/files/2024-10/Sydney%20Metro%20WSA%20Independent%20Audit%20No.%206%20-%20Audit%20Report%20%28SBT%2C%20SCAW%20and%20AEW%29_Redacted.pdf">https://www.sydneymetro.info/sites/default/files/2024-10/Sydney%20Metro%20WSA%20Independent%20Audit%20No.%206%20-%20Audit%20Report%20%28SBT%2C%20SCAW%20and%20AEW%29_Redacted.pdf</a></p> <p>Letter DPHI to Sydney Metro, 19/12/24 (DPHI responses to independent audits, including acceptance of Audit Reports 3 – 6)</p> <p>Letter DPHI to Metro, 11/12/24 (approval of WolfPeak Audit Team)</p> <p>Email DPHI to WolfPeak, 17/01/25 (DPHI input into seventh audit scope)</p>  | <p>The sixth Independent Audit was conducted in accordance with the IAPAR and submitted to the Department within the required timeframes. To the Auditor's knowledge no feedback on the fifth Audit Report was provided by the Department.</p> <p>This (seventh) Independent Audit has been conducted in accordance with the IAPAR.</p> | C                 |

| Unique ID   | Compliance requirement  | SBT            | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---|---|----------------|------------|------------|--|---|-------------------|
| A37   | Notwithstanding <b>Condition A36</b> , the Proponent may prepare an audit program to outline the scope and timing of each independent audit that will be undertaken during construction. If prepared, the audit program must be developed in consultation with, and approved by, the Planning Secretary prior to commencement of the first audit and implemented throughout construction  | Applicable     | Applicable | Applicable | -  | No Audit Program has been prepared. The audits have proceeded under the IAPAR as per A36.   | NT                |
| A38   | Proposed independent auditors must be approved by the Planning Secretary before the commencement of an <b>Independent Audit</b>   | Applicable     | Applicable | Applicable | Letter DPHI to Metro, 11/12/24 (approval of WolfPeak Audit Team)   | The WolfPeak audit team was approved prior to commencement of the sixth Independent Audit, excluding SSTOM (carried out by a separate audit team).  | C                 |
| A39   | The Planning Secretary may require the initial and subsequent <b>Independent Audits</b> to be undertaken at different times to those specified in the Independent Audit Post Approval Requirements (DPIE, 2020), upon giving at least four (4) weeks' notice (or timing as stipulated by the Planning Secretary) to the Proponent of the date upon which the audit must be commenced.   | Applicable     | Applicable | Applicable | Letter DPHI to Metro, 11/12/24 (approval of WolfPeak Audit Team)<br>Email DPHI to WolfPeak, 17/01/25 (DPHI input into seventh audit scope)   | The auditees and the auditor are not aware of any change in timing. The Department did not raise any changes during consultation on this fifth audit.   | C                 |
| A40   | <b>Independent Audit Reports</b> and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection as outlined in the Independent Audit Post Approval Requirements (DPIE, 2020), unless otherwise agreed by the Planning Secretary.   | Applicable     | Applicable | Applicable | Independent Audit No. 6 – Audit Report, WolfPeak, 24/09/24<br>DPHI post approval portal lodgement, 09/10/24 (submission of sixth Audit Report and auditee response).<br>Letter, DPHI to Sydney Metro, 19/12/24 (letter acknowledging lodgement of Audit Report and provision of feedback)<br>Letter WolfPeak to Sydney Metro, 07/03/25 (extension request of Audit report submission) and letter DPHI to Sydney Metro, 25/03/25 (approval of two week extension) | The sixth Independent Audit was conducted in accordance with the IAPAR and submitted to the Department within the required timeframes. To the Auditor's knowledge no feedback on the fourth Audit Report was provided by the Department.<br>On 07/03/25, the Auditor raised a request for the Planning Secretary's agreement to extend the due date of this seventh Audit Report, and the Proponents response, by an additional two weeks. The Department approved the request on 25/03/25. | C                 |
| <b>Incident And Non-Compliance Notification And Reporting</b> |   |                |            |            |  |   |                   |
| A41   | The Planning Secretary must be notified via phone or in writing via the Major Projects website immediately after the Proponent becomes aware of an incident. Any notification via phone must be followed up by a notification in writing via the Major Projects website within 24 hours of the initial phone call.<br><br>The written notification must identify the CSSI (including the application number and the name of the CSSI if it has one) and set out the location and general nature of the incident | Applicable     | Applicable | Applicable | Incident records current to 03/02/25   | The incident registers sighted are current and identify a range of minor incidents associated with leaks and spills and the like on SBT, SCAW and FSM packages. None of the incidents are considered by the auditees to be notifiable under the terms of the approval. According to the incident register and associated reports, there does not appear to have been risk of material harm.   | NT                |
| A42   | Any incident within or potentially affecting the Controlled Areas of the WaterNSW Pipelines corridor must also be reported to WaterNSW on the WaterNSW 24-hour Incident Notification Number 1800 061 069.   | Not Applicable | Applicable | Applicable | Incident records current to 03/02/25   | The incident registers sighted are current and identify a range of minor incidents associated with leaks and spills and the like on SBT, SCAW and FSM packages. None of the incidents are considered by the auditees to be notifiable under the terms of the approval. According to the incident register and associated reports, there does not appear to have been risk of material harm. None of the incidents were located in the Controlled Areas.                                     | NT                |
| A43   | Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.  | Applicable     | Applicable | Applicable | Incident records current to 03/02/25   | The incident registers sighted are current and identify a range of minor incidents associated with leaks and spills and the like on SBT, SCAW and FSM packages. None of the incidents are considered by the auditees to be notifiable under the terms of the approval. According to the incident register and associated reports, there does not appear to have been risk of material harm. None of the incidents were located in the Controlled Areas.                                     | NT                |

| Unique ID   | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|---|--|------------|------------|------------|--|--|-------------------|
| A44   | The Planning Secretary must be notified in writing via the Major Projects website within seven (7) days after the Proponent becomes aware of any non-compliance with the terms of this approval.   | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPPI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>FSM Non-compliance Report 23/09/24 (breach of E105) and DPPI post approval portal lodgement record, 30/09/24</p> <p>FSM Non-compliance Report 13/11/24 (breach of A2) and DPPI post approval portal lodgement record, 20/11/24</p> <p>Letter DPPI to LOR, 19/12/24 (warning to FSM regarding non-compliance's against E105 on 18-19/09/24 (when heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19 September 2024) and A2 on 11 &amp; 31/10/24 (oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14 October 2024)</p> | <p>No non-compliances were identified during the audit period on SBT or SCAW.</p> <p>FSM identified and reported two non-compliances:</p> <ul style="list-style-type: none"> <li>- On 11 and 31/10/24 oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14/10/24. This was subsequently reported to the Department and the Department issued a warning letter on 19/12/24.</li> <li>- Non-compliance FSM: On 18-19/09/24 heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19/09/24. This was subsequently reported to the Department and the Department issued a warning letter on 19/12/24.</li> </ul> | C                 |
| A45   | <p>A non-compliance notification must identify the CSSI (including the application number for it), set out the condition of approval that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be undertaken to address the non-compliance.</p> <p>Note: A non-compliance which has been notified as an incident does not need to also be notified as a noncompliance.</p> | Applicable | Applicable | Applicable | <p>FSM Non-compliance Report 23/09/24 (breach of E105) and DPPI post approval portal lodgement record, 30/09/24</p> <p>FSM Non-compliance Report 13/11/24 (breach of A2) and DPPI post approval portal lodgement record, 20/11/24</p>  | The non-compliances identified by the auditees appear to have been reported to the Department in accordance with this condition.   | C                 |
| Identification of Workforce                         |  |            |            |            |  |  |                   |
| A46   | All Heavy Vehicles used for spoil haulage must be clearly marked on the sides and rear with the project name and application number to enable immediate identification by a person viewing the Heavy Vehicle standing 20 metres away   | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPPI post approval portal lodgement records of submission of ER Monthly Reports</p>   | <p>SBT ceased hauling spoil during the audit period. SCAW ceased hauling spoil at the previous audit period and did not haul during the current audit period. FSM had incidental spoil movements during the audit period, by waste haulage companies.</p> <p>The ER did not identify any material issues regarding truck stickers during the audit period.</p>   | C                 |
| A47   | The CSSI name, application number, telephone number, postal address and email address required under <b>Condition B3</b> must be available on site boundary fencing / hoarding at each ancillary facility before the commencement of construction. This information must also be provided on the website required under <b>Condition B11</b> .   | Applicable | Applicable | Applicable | <p><a href="https://www.sydneymetro.info/get-touch">https://www.sydneymetro.info/get-touch</a></p> <p>Site inspection 03, 07/02/25</p>   | SBT no longer has any active sites. SCAW's only remaining site is internal to the project alignment. FSM signage was observed to be in place in locations visible to the public containing the required information.   | C                 |
| PART B – COMMUNITY INFORMATION AND REPORTING        |  |            |            |            |  |  |                   |
| Community Information, Consultation and Involvement |  |            |            |            |  |  |                   |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|------------|------------|------------|--|--|-------------------|
| B1        | The Overarching Community Communication Strategy as provided in the documents listed in Condition A1, or updated Strategy must be implemented for the duration of the work. Should the Overarching Community Communication Strategy be updated, a copy must be provided to the Planning Secretary for information. | Applicable | Applicable | Applicable | <p>Sydney Metro interview 03-07/02/25</p> <p>Overarching Community Communication Strategy, Sydney Metro, Rev 5, 31/07/24 (OCCS) and DPPI post approval portal lodgement 13/08/24 (submission of updated OCCS)</p> <p><a href="https://www.sydneymetro.info/westernsydneyairportline">https://www.sydneymetro.info/westernsydneyairportline</a></p> <p><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a></p> <p><a href="https://www.sydneymetro.info/news">https://www.sydneymetro.info/news</a></p> <p>Sydney Metro LinkedIn and Facebook pages.</p> <p>Complaints register current to 09/02/25</p> <p>CICG Meeting Minutes, Aug 24 - Jan 25</p> <p>Sydney Metro Combined Community Notification Mock up (no date), shows proposed integrated approach for notifications.</p> <p>Fortnightly Environment / ER meeting Minutes, 31/01/25 (shows tracking of community benefits case study review and submission)</p> <p>SBT Community Communications Strategy, 02/01/24</p> <p>SBT Community Communications Strategy, Aerotropolis, 02/01/24</p> <p>SBT Community Communications Strategy, Bringelly, 02/01/24</p> <p>SBT Community Communications Strategy, St Marys, 02/01/24</p> <p>SBT Community Communications Strategy, Claremont Meadows, 02/01/24</p> <p>SBT Community Communications Strategy, Orchard Hills, 02/01/24</p> <p>SBT Community Communications Strategy, Tunnelling, 05/05/23</p> <p>Small Business Owners Engagement Plan, St Marys, 03/10/23</p> <p><a href="https://www.sydneymetro.info/news">https://www.sydneymetro.info/news</a></p> <p><a href="https://www.youtube.com/watch?v=KW3icTegSZc">https://www.youtube.com/watch?v=KW3icTegSZc</a></p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Community Communications Strategy, 20/03/24</p> <p>SCAW Community Communications Strategy, Northern Project Region, 20/03/24</p> <p>SCAW Community Communications Strategy, Southern Project Region, 20/03/24</p> <p><a href="https://caportal.com.au/cpb/smw-pudclp/virtual">https://caportal.com.au/cpb/smw-pudclp/virtual</a></p> | <p>Sydney Metro are the primary managers of all communications across the Project. The contractors consult with agencies other than the Department, support consultation with the Department, provide information for community consultation, relay complaints and participate in community engagement forums as advised by Sydney Metro.</p> <p>The OCCS resides on the website and all AEW fall into the OCCS, where as the main contract works fall out via their stand-alone Community Communications Strategies. The OCCS was updated on 31/07/24.</p> <p>The SBT and SCAW Community Communication Strategies include requirements to implement a complaints management system, project updates and notifications, have a complaints mediator, briefing sessions. The documents are consistent with the Overarching Community Communication Strategy. Involvement is at the bequest of Sydney Metro. According to the auditees there has not been issue with sharing of information or representation of contractors in Sydney Metro community engagement.</p> <p>Evidence was provided showing implementation of the Community Communications Strategy as follows:</p> <ul style="list-style-type: none"><li>• Project updates on the Sydney Metro website</li><li>• Social media updates on Facebook and LinkedIn</li><li>• Complaints register and use of Consultation Manager</li><li>• Engagement records with local Council</li><li>• Communication Interface Coordination Group meeting information.</li></ul> <p>SBT works are complete and SCAW works are almost complete.</p> | C                 |

| Unique ID                          | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|------------------------------------|---|------------|------------|------------|--|---|-------------------|
| <b>Complains Management System</b> |   |            |            |            |  |   |                   |
| B2                                 | A <b>Complaints Management System</b> must be prepared and implemented before the commencement of any work and maintained for the duration of construction and for a minimum for 12 months following completion of construction of the CSSI.  | Applicable | Applicable | Applicable | Sydney Metro Complaints Management System, 31/07/24<br>SBT consultation manager online module<br>SCAW consultation manager online module<br>Complaints register current to 09/02/25  | Sydney Metro operates an overarching complaints register via the Consultation Manager platform. SBT and SCAW are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.<br><br>The data required under the OCCS and B4 has been captured.   | C                 |
| B3                                 | The following information must be available to facilitate community enquiries and manage complaints before the commencement of work and for 12 months following the completion of construction:<br>(a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI;<br>(b) a postal address to which written complaints and enquires may be sent;<br>(c) an email address to which electronic complaints and enquiries may be transmitted; and<br>(d) a mediation system for complaints unable to be resolved. This information must be accessible to all in the community regardless of age, ethnicity, disability or literacy level.  | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25<br><a href="https://www.sydneymetro.info/westernsydneyairportline">https://www.sydneymetro.info/westernsydneyairportline</a><br><a href="https://www.sydneymetro.info/website-accessibility">https://www.sydneymetro.info/website-accessibility</a><br><a href="https://www.sydneymetro.info/get-touch">https://www.sydneymetro.info/get-touch</a><br><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a><br><a href="https://www.sydneymetro.info/how-to-make-a-complaint">https://www.sydneymetro.info/how-to-make-a-complaint</a><br>SBT Community Communications Strategy, 02/01/24 (and subordinate plans – refer B1)<br>SCAW Community Communications Strategy, 20/03/24 (and subordinate plans – refer B1) | Project signage is on each compound fence line, identifying the contact details as required by this condition. The Project works notifications includes contact details as required by this condition. Works updates are directly mailed to community via Australia Post. Complaint mediation system is described in the OCCS and each of the Community Communications Strategies. The auditees advise that if a complaint cannot be resolved, and the ER (or the Director of Communications) recommends mediation, this is escalated. The website includes a statement about how complaints are managed and the availability of mediation if required. | C                 |
| B4                                 | A <b>Complaints Register</b> must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:<br>(a) number of complaints received;<br>(b) date and time of the complaint;<br>(c) number of people (in the household) affected in relation to a complaint, if relevant;<br>(d) method by which the complaint was made;<br>(e) any personal details of the complainant which were provided by the complainant or, if no such details were provided, a note to that effect;<br>(f) issue of the complaint;<br>(g) means by which the complaint was addressed and whether resolution was reached, with or without mediation; and<br>(h) if no action was taken, the reason(s) why no action was taken.   | Applicable | Applicable | Applicable | Metro consultation manager online module<br>SBT consultation manager online module<br>SCAW consultation manager online module<br>Complaints register current to 09/02/25   | Sydney Metro operates an overarching complaints register via the Consultation Manager platform. SBT and SCAW are also running Consultation Manager. The contractor complaints appear to be fed to Sydney Metro for consolidation.<br><br>The data required under the OCCS and B4 has been captured.   | C                 |
| B5                                 | Complainants must be advised of the following information before, or as soon as practicable after, providing personal information:<br>(a) the Complaints Register may be forwarded to government agencies, including the Department (Department of Planning Industry and Environment, 4 Parramatta Square, 12 Darcy Street, Parramatta NSW 2150), to allow them to undertake their regulatory duties;<br>(b) by providing personal information, the complainant authorises the Proponent to provide that information to government agencies;<br>(c) the supply of personal information by the complainant is voluntary; and<br>(d) the complainant has the right to contact government agencies to access personal information held about them and to correct or amend that information (Collection Statement).<br><br>The <b>Collection Statement</b> must be included on the Proponent or development website to make prospective complainants aware of their rights under the Privacy and Personal Information Protection Act 1998 (NSW). For any complaints made in person, the complainant must be made aware of the Collection Statement. | Applicable | Applicable | Applicable | <a href="https://www.sydneymetro.info/complaints-privacy-collection-notice">https://www.sydneymetro.info/complaints-privacy-collection-notice</a><br><a href="https://www.sydneymetro.info/how-to-make-a-complaint">https://www.sydneymetro.info/how-to-make-a-complaint</a><br>Complaints register current to 09/02/25<br>1800 Phone call test 03/02/22 (at earlier audit)<br><a href="https://www.sydneymetro.info/westernsydneyairportline">https://www.sydneymetro.info/westernsydneyairportline</a>   | The collection statement is available on the Sydney Metro website.<br><br>The voicemail introduction to the complaints line and the email immediate response identifies that personal information will be recorded and managed in accordance with the Privacy and Personal Information Protection Act. And directs the complainant to the Collection Notice on the website for further information. The collection notice provides the relevant details in accordance with this condition.  | C                 |

| Unique ID                           | Compliance requirement   | SBT        | SCAW       | SSTOM                            | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-------------------------------------|--|------------|------------|----------------------------------|--|---|-------------------|
| B6                                  | The <b>Complaints Register</b> must be provided to the Planning Secretary upon request, within the timeframe stated in the request.<br><br>Note: Complainants must be advised that the Complaints Register may be forwarded to Government agencies to allow them to undertake their regulatory duties  | Applicable | Applicable | Applicable                       | DPHI post approval portal records, Feb – Jun 24 (issue of complaints register to DPHI)   | Sydney Metro provide the complaints register to the Department on a weekly basis.   | C                 |
| B7                                  | A <b>Community Complaints Mediator</b> that is independent of the design and construction personnel must be engaged by the Proponent, upon the referral of the complaint by the ER in accordance with the <b>Overarching Community Communication Strategy</b>  | Applicable | Applicable | Applicable (during construction) | Overarching Community Communications Strategy, Sydney Metro, 05/08/20 and 12/04/21<br><br>Letter Sydney Metro to Stephen Lancken, 14/12/21 (engagement of complaints mediator)   | Stephen Lancken has been appointed the complaints mediator for the Project.   | C                 |
| B8                                  | The role of the Community Complaints Mediator is to provide independent mediation services for any reasonable and unresolved complaint referred by the ER where a member of the public is not satisfied by the Proponent's response. Where a Community Complaints Mediator is required, a mediator accredited under the National Mediator Accreditation System (NMAS), administered by the Mediator Standards Board must be appointed.   | Applicable | Applicable | Applicable                       | Overarching Community Communications Strategy, Sydney Metro, 05/08/20 and 12/04/21<br><br>Letter Sydney Metro to Stephen Lancken, 14/12/21 (engagement of complaints mediator)<br><br>Sydney Metro interview 03-07/02/25 | Stephen Lancken has been appointed the complaints mediator for the Project. 1 x complaint had been escalated during the fourth audit period and resolved in 2023.<br><br>No other complaints had been escalated to mediation. | C                 |
| B9                                  | The Community Complaints Mediator will:<br>(a) review any unresolved disputes, referred by the ER in accordance with the <b>Overarching Community Communication Strategy</b> ;<br>(b) make recommendations to the Proponent to satisfactorily address complaints, resolve disputes or mitigate against the occurrence of future complaints or disputes; and<br>(c) provide a copy of the recommendations, and the Proponent's response to the recommendations, to the Planning Secretary within one month of the recommendations being made. | Applicable | Applicable | Applicable                       | Overarching Community Communications Strategy, Sydney Metro, 05/08/20 and 12/04/21<br><br>Letter Sydney Metro to Stephen Lancken, 14/12/21 (engagement of complaints mediator)<br><br>Sydney Metro interview 03-07/02/25 | Stephen Lancken has been appointed the complaints mediator for the Project. 1 x complaint had been escalated during the fourth audit period and resolved in 2023.<br><br>No other complaints had been escalated to mediation. | NT                |
| B10                                 | <b>Community Complaints Mediation</b> will not be enacted before the Complaints Management System required by <b>Condition B2</b> has been executed for a complaint and will not consider issues such as property acquisition, where other dispute processes are provided for in this approval, statute or clear government policy and resolution processes are available, or matters which are not within the scope of this CSSI.   | Applicable | Applicable | Applicable (during construction) | Overarching Community Communications Strategy, Sydney Metro, 05/08/20 and 12/04/21<br><br>Letter Sydney Metro to Stephen Lancken, 14/12/21 (engagement of complaints mediator)<br><br>Sydney Metro interview 03-07/02/25 | Stephen Lancken has been appointed the complaints mediator for the Project. 1 x complaint had been escalated during the fourth audit period and resolved in 2023.<br><br>No other complaints had been escalated to mediation. | NT                |
| Provision of Electronic Information |  |            |            |                                  |  |   |                   |

| Unique ID                                     | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|---|--|------------|------------|------------|--|---|-------------------|
| B11   | <p>A website or webpage providing information in relation to the CSSI must be established before commencement of work and maintained for the duration of construction, and for a minimum of 24 months following the completion of all stages of construction of the CSSI. Up-to-date information (excluding confidential, private, commercial information or other documents as agreed to by the Planning Secretary) must be published before the relevant work commencing and maintained on the website or dedicated pages including:</p> <p>(a) information on the current implementation status of the CSSI;</p> <p>(b) a copy of the documents listed in <b>Condition A1</b>, and any documentation relating to any modifications made to the CSSI or the terms of this approval;</p> <p>(c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its terms), and copies of any approval granted by the Minister to a modification of the terms of this approval, or links to the referenced documents where available;</p> <p>(d) a copy of each statutory approval, license or permit required and obtained in relation to the CSSI, or where the issuing agency maintains a website of approvals, licenses or permits, a link to that website;</p> <p>(e) a current copy of each document required under the terms of this approval, which must be published within one (1) week of its approval or before the commencement of any work to which they relate or before their implementation, as the case may be; and</p> <p>(f) a copy of the audit reports required under this approval.</p> <p>Where the information / document relates to a particular work or is required to be implemented, it must be published before the commencement of the relevant work to which it relates or before its implementation.</p> <p>All information required in this condition is to be provided on the website or webpage, and easy to navigate.</p> | Applicable | Applicable | Applicable | <p><a href="https://www.cpbcon.com.au/en/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/en/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p><a href="https://www.cpbcon.com.au/en/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/en/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p><a href="https://www.quickway.com.au/projects/sydney-metro-western-sydney-airport-advanced-enabling-works/">https://www.quickway.com.au/projects/sydney-metro-western-sydney-airport-advanced-enabling-works/</a></p> <p><a href="https://www.laingorourke.com/projects/australia/st-marys-station-footbridge/">https://www.laingorourke.com/projects/australia/st-marys-station-footbridge/</a></p> <p><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a></p> <p><a href="https://www.sydneymetro.info/westernsydneyairportline">https://www.sydneymetro.info/westernsydneyairportline</a></p> <p><a href="https://www.sydneymetro.info/western-sydney-airport-line/environment-planning">https://www.sydneymetro.info/western-sydney-airport-line/environment-planning</a></p> <p><a href="https://www.sydneymetro.info/station/st-marys-metro-station">https://www.sydneymetro.info/station/st-marys-metro-station</a></p> <p><a href="https://www.sydneymetro.info/station/claremont-meadows-intermediate-services-facility">https://www.sydneymetro.info/station/claremont-meadows-intermediate-services-facility</a></p> <p><a href="https://www.sydneymetro.info/station/orchard-hills-station">https://www.sydneymetro.info/station/orchard-hills-station</a></p> <p><a href="https://www.sydneymetro.info/station/orchard-hills-stabling-and-maintenance-facility">https://www.sydneymetro.info/station/orchard-hills-stabling-and-maintenance-facility</a></p> <p><a href="https://www.sydneymetro.info/station/luddenham-station">https://www.sydneymetro.info/station/luddenham-station</a></p> <p><a href="https://www.sydneymetro.info/station/bringelly-services-facility">https://www.sydneymetro.info/station/bringelly-services-facility</a></p> <p><a href="https://www.sydneymetro.info/station/aerotropolis-station">https://www.sydneymetro.info/station/aerotropolis-station</a></p> <p>Email DPHI to Metro, 12/09/17 (DPHI agreement for the use of third party websites).</p> | <p>The information required under the Approval appears to all have been published on the Sydney Metro website or the websites of its contractors.</p> <p>The Auditor notes that the use of third party websites was agreed to by the Department in 2017 (as part of another Sydney Metro project) and has been applied consistently since. The Auditor is not aware of any direction from the Department stating otherwise.</p> <p>Sydney Metro, SBT and SCAW each have trackers identifying when documents are approved / endorsed, when works commenced and when the documents were published. Date of publication is also included in the AEW FSM website. As far as the Auditor can ascertain, the required documents are published within the required timeframe, noting that no new construction phases commenced during the audit period, SBT completed construction and SCAW works are almost complete.</p> | C                 |
| PART C- CONSTRUCTION ENVIRONMENTAL MANAGEMENT |  |            |            |            |  |   |                   |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|---|---|-------------------|
| C1        | <p><b>Construction Environmental Management Plans (CEMPs)</b> and <b>CEMP Sub-plans</b> must be prepared in accordance with the <b>Construction Environmental Management Framework (CEMF)</b> included in the documents listed in <b>Condition A1</b> to detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in <b>Condition A1</b> will be implemented and achieved during construction.</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The CEMP, Sub-plans and monitoring programs have been prepared in accordance with the CEMF and they identify how the performance outcomes, commitments and mitigation measures will be implemented and achieved during construction. The documents have been reviewed and endorsed by Sydney Metro and the ER and, where identified for approval by the Department under the Staging Report, have been approved by the Department prior to the commencing of the relevant construction works. Refer to earlier audit reports for ER endorsements and Department approvals (where required by the Staging Report) where these occurred prior to the current audit period.</p> <p>Refer to C10 and C21 regarding the implementation of the CEMP, Sub-plans and monitoring programs. Also, the ER has monitored the implementation of the documents (refer A32 for further details). The ER has raised actions in relation to environmental improvements on site, however the reports have not indicated failure to implement the CEMP and Sub-plans.</p> | C                 |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|------------|------------|------------|---|--|-------------------|
| C2        | <p>With the exception of any <b>CEMPs</b> expressly nominated by the Planning Secretary to be endorsed by the <b>ER</b>, all <b>CEMPs</b> must be submitted to the Planning Secretary for approval.</p> <p>Note: The Planning Secretary will consider the assessment of the predicted level of environmental risk and potential level of community concern required under <b>Condition A11(e)</b> when deciding whether any CEMP's may be endorsed by the ER.</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The Staging Report has established the approval pathway for each of the CEMP and Sub-plans on the Project (i.e.: identifying which CEMPs (and Sub-plans) are required to be endorsed by the ER and which require Department approval).</p> <p>All CEMPs are to be endorsed by the ER and do not require Departmental approval. All CEMPs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> <p>Refer to earlier audit reports regarding the details and status of endorsement and updates for those corresponding audit periods.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|---|---|-------------------|
| C3        | The <b>CEMP(s)</b> not requiring the Planning Secretary's approval must be submitted to the <b>ER</b> for endorsement no later than one (1) month before the commencement of construction or where construction is staged no later than one (1) month before the commencement of that stage. That <b>CEMP</b> must obtain the endorsement of the ER as being consistent with the conditions of this approval and all undertakings made in the documents listed in Condition A1 | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The Staging Report has established the approval pathway for each of the CEMP and Sub-plans on the Project (i.e.: identifying which CEMPs (and Sub-plans) are required to be endorsed by the ER and which require Department approval).</p> <p>All CEMPs are to be endorsed by the ER and do not require Departmental approval. All CEMPs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|--|---|-------------------|
| C4        | Any <b>CEMP</b> to be approved by the Planning Secretary must be endorsed by the ER and then submitted to the Planning Secretary for approval no later than one (1) month before the commencement of construction or where construction is staged no later than one (1) month before the commencement of that stage. | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br><br>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)<br><br>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report) | Refer to C3 and C4. No CEMPs for stages of construction relevant to the current audit period are identified for Departmental approval under the Staging Report. | NT                |

| C5  | <p>Of the <b>CEMP Sub-plans</b> required under <b>Condition C1</b>, the following <b>CEMP Sub-plans</b> must be prepared in consultation with the relevant government agencies identified for <b>each CEMP Subplan</b>. Details of issues raised by a government agency during consultation (as required by <b>Condition A6</b>) must be provided with the relevant <b>CEMP Sub-plan</b> when submitted to the Planning Secretary / ER (whichever is applicable). Where a government agency(ies) request(s) is not included, the Proponent must provide the Planning Secretary / ER (whichever is applicable) justification as to why.</p> <table><tr><th></th><th>Required CEMP Sub-plan</th><th>Relevant government agencies to be consulted for each CEMP Sub-plan</th></tr><tr><td>(a)</td><td>Noise and vibration</td><td>Relevant Councils and WaterNSW (in relation to its assets)</td></tr><tr><td>(b)</td><td>Flora and fauna</td><td>DPIE EES, DPI Fisheries, and Relevant Councils</td></tr><tr><td>(c)</td><td>Soil and Water</td><td>DPI Fisheries, and Relevant Councils</td></tr><tr><td>(d)</td><td>Non-Aboriginal heritage</td><td>Relevant Councils, WaterNSW and Heritage NSW</td></tr></table> <p>Note: CEMP Sub-plan(s) may reflect the construction of the project through geographical activities, temporal activities or activity based staging</p> |   | Required CEMP Sub-plan | Relevant government agencies to be consulted for each CEMP Sub-plan | (a)   | Noise and vibration  | Relevant Councils and WaterNSW (in relation to its assets) | (b) | Flora and fauna | DPIE EES, DPI Fisheries, and Relevant Councils | (c) | Soil and Water | DPI Fisheries, and Relevant Councils | (d) | Non-Aboriginal heritage | Relevant Councils, WaterNSW and Heritage NSW | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The Staging Report identifies what Sub-plans are required for each stage of works.</p> <p>For AEW the Sub-plans listed in this condition have been identified under the Staging Report as being part of the relevant CEMP, rather than as a separate sub-plan.</p> <p>The Staging Report identifies that the SBT main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water. Non Aboriginal Heritage would form a procedure in the CEMP. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SBT main works prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water and Non-Aboriginal Heritage. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SCAW main works prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> <p>Refer to earlier audit reports for details on consultation during each document's development, endorsement and submission and approval of each document.</p> | C |
|-----|---|---|------------------------|---|---|--|--|-----|-----------------|--|-----|----------------|--------------------------------------|-----|-------------------------|--|------------|------------|------------|---|--|---|
|     | Required CEMP Sub-plan  | Relevant government agencies to be consulted for each CEMP Sub-plan |                        |   |   |  |  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |
| (a) | Noise and vibration   | Relevant Councils and WaterNSW (in relation to its assets)          |                        |   |   |  |  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |
| (b) | Flora and fauna   | DPIE EES, DPI Fisheries, and Relevant Councils                      |                        |   |   |  |  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |
| (c) | Soil and Water  | DPI Fisheries, and Relevant Councils                                |                        |   |   |  |  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |
| (d) | Non-Aboriginal heritage   | Relevant Councils, WaterNSW and Heritage NSW                        |                        |   |   |  |  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |
| C6  | <p>The CEMP Sub-plans must state how:</p> <p>(a) the environmental performance outcomes identified in the documents listed in Condition A1 will be achieved;</p> <p>(b) the mitigation measures identified in the documents listed in Condition A1 will be implemented;</p> <p>(c) the relevant terms of this approval will be complied with; and</p> <p>(d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed through SMART principles</p>  | Applicable  | Applicable             | Applicable  | <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> | <p>The Auditor has reviewed the required Sub-plans and is of the view that these requirements have been satisfied.</p> | C  |     |                 |  |     |                |                                      |     |                         |  |            |            |            |   |  |   |

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected  | Independent Audit findings and recommendations | Compliance Status |
|-----------|------------------------|-----|------|-------|---|--|-------------------|
|           |                        |     |      |       | <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> |  |                   |

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| C7 | With the exception of any <b>CEMP Sub-plans</b> expressly nominated by the Planning Secretary to be endorsed by the <b>ER</b> , all <b>CEMP Sub-plans</b> must be submitted to the Planning Secretary for approval.  | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The Staging Report identifies what Sub-plans are required for each stage of works.</p> <p>For AEW the Sub-plans listed in this condition have been identified under the Staging Report as being part of the relevant CEMP, rather than as a separate sub-plan.</p> <p>The Staging Report identifies that the SBT main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water. Non Aboriginal Heritage would form a procedure in the CEMP. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SBT main works prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water and Non-Aboriginal Heritage. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SCAW main works prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> <p>Refer to earlier audit reports for details on consultation during each document's development, endorsement and submission and approval of each document.</p> | C |
| C8 | The <b>CEMP Sub-plans</b> not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all relevant undertakings made in the documents listed in <b>Condition A1</b> . Any of these <b>CEMP</b> Sub-plans must be submitted to the <b>ER</b> with, or subsequent to, the submission of the CEMP but in any event, no later than one (1) month before construction or where construction is staged no later than one (1) month before the commencement of that stage. | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p>  | <p>The Staging Report identifies what Sub-plans are required for each stage of works.</p> <p>For AEW the Sub-plans listed in this condition have been identified under the Staging Report as being part of the relevant CEMP, rather than as a separate sub-plan.</p> <p>The Staging Report identifies that the SBT main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water. Non Aboriginal Heritage would form a procedure in the CEMP. Evidence shows that the Sub-plans were prepared in</p>  | C |

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
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|           |                        |     |      |       | <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>accordance with this requirement for SBT main works prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water and Non-Aboriginal Heritage. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SCAW main works prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> <p>Refer to earlier audit reports for details on consultation during each document's development, endorsement and submission and approval of each document.</p> |                   |

|     |   |            |            |            |   |   |   |
|-----|---|------------|------------|------------|---|---|---|
| C9  | Any of the <b>CEMP Sub-plans</b> to be approved by the Planning Secretary must be submitted to the Planning Secretary with, or subsequent to, the submission of the <b>CEMP</b> but in any event, no later than one (1) month before construction or where construction is staged no later than one (1) month before the commencement of that stage   | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Construction Environment Management Plan (SCAW CEMP), 29/07/24</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Spoil Management Plan, 29/09/22</p> <p>SCAW Non-Aboriginal Heritage Sub-plan, 04/10/22 (SCAW NAHMP) including procedures and evidence of consultation</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW Visual Amenity Management Plan, 19/10/22 (SCAW VAMP)</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Waste Management Sub-plan, 19/06/24 (SCAW WMP)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> | <p>The Staging Report identifies what Sub-plans are required for each stage of works.</p> <p>For AEW the Sub-plans listed in this condition have been identified under the Staging Report as being part of the relevant CEMP, rather than as a separate sub-plan.</p> <p>The Staging Report identifies that the SBT main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water. Non Aboriginal Heritage would form a procedure in the CEMP. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SBT main works prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water and Non-Aboriginal Heritage. Evidence shows that the Sub-plans were prepared in accordance with this requirement for SCAW main works prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period..</p> <p>Refer to earlier audit reports for details on consultation during each document's development, endorsement and submission and approval of each document.</p> | C |
| C10 | Construction must not commence until the <b>CEMP</b> and all <b>CEMP Sub-plans</b> have been approved by the Planning Secretary or endorsed by the <b>ER</b> (whichever is applicable), unless otherwise agreed by the Planning Secretary. The <b>CEMP</b> and <b>CEMP Sub-plans</b> , as approved by the Planning Secretary or endorsed by the <b>ER</b> (whichever is applicable), including any minor amendments approved by the <b>ER</b> , must be implemented for the duration of construction. | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SBT Spoil Management Sub-Plan, 14/03/24</p>   | <p>The Staging Report identifies what Sub-plans are required for each stage of works.</p> <p>For AEW the Sub-plans listed in this condition have been identified under the Staging Report as being part of the relevant CEMP, rather than as a separate sub-plan.</p> <p>The Staging Report identifies that the SBT main works would require Sub-plans for Noise and Vibration, Flora and Fauna, Soil and Water. Non Aboriginal Heritage would form a procedure in the CEMP. Evidence shows that the Sub-plans were prepared in</p>   | C |

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|--|--|--|--|--|--|--|
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|  |  |  |  |  | <p>2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays)</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSED, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>.</p> <p>Consultation Manager extract, 14/11/24 (consultation with Vet Lab regarding works)</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> <p>FSM asbestos register, current to February 2025 (identifies each asbestos find on the project) along with the clearance certificate and waste disposal dockets</p> <p>FSM Imported material tracker current to 12/12/24 and associated delivery dockets.</p> <p>SBT waste register and skip bin monthly reports current to December 24SCAW Waste Tracking Register, current to 31/01/25SCAW Austip Recycling Monthly Reports, current to Jan 25 and EPL 21421 FSM Waste Tracking Register current to 11/12/24 and 20 x disposal dockets (various)</p> <p>FSM Grasshopper Monthly Reports, Aug - Dec 24</p> <p>FSM WE38 disposal records, Aussie Recycling, EPL 21389 and EPL 20885</p> <p>EPL 5186 (Brandown), 12618 (Brandown), 5065 (Cleanaway), 5897 (NCC), 20033 (Organic Recycling Group), 20647 (Grima), 21636 (Remondis)) SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPHI post approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>SBT EPL Monitoring reports available on CPBG website <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>SBT ERSED Plans for CMF 10/09/24, OHE 11/10/24, BSF 26/08/24</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> <p>FSM ERSED Plans, (ECMs), Rev 8.</p> |  |
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| Unique ID                        | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
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| C11                              | In addition to the relevant requirements of the <b>CEMF</b> , the <b>Flora and Fauna CEMP Sub-plan</b> must include but not be limited to:<br>(a) details of how the requirements of Conditions E11 will be met;<br>(b) details of a dewatering plan of farm dams including:<br>(i) supervision of dewatering by a suitably qualified ecologist;<br>(ii) a methodology for the transfer of native fauna species known to inhabit and/or use the dam;<br>(iii) the location and suitability of the proposed relocation sites; and<br>(iv) any potential impacts of relocating the fauna to the relocation sites;<br>(c) protocols for incidental finds of threatened species and ecological communities within the construction boundary | Applicable | Applicable | Applicable | SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)<br><br>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation   | The Auditor has reviewed the SBT and SCAW FFMPs and considers that they adequately address the requirements of this condition as relevant. | C                 |
| C12                              | In addition to the relevant requirements of the <b>CEMF</b> , the <b>Soil and Water CEMP Sub-Plan</b> must include but not be limited to:<br>(a) details how the requirements of <b>Conditions E127, E128 and E129</b> will be met; and<br>(b) the unexpected, contaminated finds protocol required by <b>Condition E98</b> .   | Applicable | Applicable | Applicable | SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures<br><br>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation | The Auditor has reviewed the SBT and SCAW SWMPs and considers that they adequately address the requirements of this condition as relevant. | C                 |
| Construction Monitoring Programs |   |            |            |            |   |  |                   |

| Unique ID | Compliance requirement   | SBT   | SCAW                                      | SSTOM   | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
|-----------|--|---|---|---|---|--|--|-----|-----------------------|--|-----|-------------|------------|-----|-------------|-------------------|------------|------------|------------|---|--|---|
| C13       | <p>The following <b>Construction Monitoring Programs</b> must be prepared in consultation with the relevant government agencies (as required by <b>Condition A6</b>) identified for each to compare actual performance of construction of the CSSI against the performance predicted in the documents listed in <b>Condition A1</b> or in the <b>CEMP</b>. Where a government agency(ies) request(s) is not included, the Proponent must provide the Planning Secretary / <b>ER</b> (whichever is applicable) justification as to why.</p> <table><tr><th></th><th>Required Construction Monitoring Programs</th><th>Relevant government agencies to be consulted for each Construction Monitoring Program</th></tr><tr><td>(a)</td><td>Noise and vibrations</td><td>Relevant Councils and WaterNSW (in relation to its assets)</td></tr><tr><td>(b)</td><td>Surface water quality</td><td>DPIE Water, DPI Fisheries, and Relevant Councils</td></tr><tr><td>(c)</td><td>Groundwater</td><td>DPIE Water</td></tr><tr><td>(d)</td><td>Air Quality</td><td>Relevant Councils</td></tr></table> |   | Required Construction Monitoring Programs | Relevant government agencies to be consulted for each Construction Monitoring Program | (a)   | Noise and vibrations   | Relevant Councils and WaterNSW (in relation to its assets) | (b) | Surface water quality | DPIE Water, DPI Fisheries, and Relevant Councils | (c) | Groundwater | DPIE Water | (d) | Air Quality | Relevant Councils | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Staging Report identifies what monitoring programs are required for each stage of works.</p> <p>For AEW the monitoring requirements listed in this condition have been identified under the Staging Report as being part of the relevant CEMP (or not relevant at all), rather than as a separate document.</p> <p>The Staging Report identifies that the SBT main works would require monitoring programs for Noise and Vibration, Surface Water, Groundwater and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Groundwater Monitoring Programs required Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period. Refer to earlier audit reports for details on consultation, endorsement and approval that occurred prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require monitoring programs for Noise and Vibration, Surface Water and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Surface Water monitoring programs require Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period. Refer to earlier audit reports for details on consultation, endorsement and approval that occurred prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> | C |
|           | Required Construction Monitoring Programs  | Relevant government agencies to be consulted for each Construction Monitoring Program |   |   |   |  |  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
| (a)       | Noise and vibrations   | Relevant Councils and WaterNSW (in relation to its assets)                            |   |   |   |  |  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
| (b)       | Surface water quality  | DPIE Water, DPI Fisheries, and Relevant Councils                                      |   |   |   |  |  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
| (c)       | Groundwater  | DPIE Water  |   |   |   |  |  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
| (d)       | Air Quality  | Relevant Councils   |   |   |   |  |  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |
| C14       | <p>Each <b>Construction Monitoring Program</b> must provide:</p> <p>(a) details of baseline data available including the period of baseline monitoring;</p> <p>(b) details of baseline data to be obtained and when;</p> <p>(c) details of all monitoring of the project to be undertaken;</p> <p>(d) the parameters of the project to be monitored;</p> <p>(e) the frequency of monitoring to be undertaken;</p> <p>(f) the location of monitoring;</p> <p>(g) the reporting of monitoring results and analysis results against relevant criteria;</p> <p>(h) details of the methods that will be used to analyse the monitoring data;</p> <p>(i) procedures to identify and implement additional mitigation measures where the results of the monitoring indicated unacceptable project impacts;</p> <p>(j) a consideration of SMART principles;</p> <p>(k) any consultation to be undertaken in relation to the monitoring programs; and</p> <p>(l) any specific requirements as required by <b>Conditions C15 to C16</b>.</p>  | Applicable  | Applicable                                | Applicable  | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Auditor has reviewed the monitoring programs and is of the view that the requirements from the condition have been satisfied as relevant.</p> | C  |     |                       |  |     |             |            |     |             |                   |            |            |            |   |  |   |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|--|---|-------------------|
| C15       | <p>The Noise and Vibration Construction Monitoring Program must include:</p> <p>(a) noise and vibration monitoring at representative residential and other locations (including at the worst- affected residences), subject to property owner approval, to confirm construction noise and vibration levels;</p> <p>(b) monitoring undertaken during the day, evening and night-time periods throughout the construction period and cover the range of activities being undertaken;</p> <p>(c) method and frequency for reporting monitoring results; and</p> <p>(d) a process to undertake real time noise and vibration monitoring.</p> <p>The results of the monitoring must be readily available to the construction team, the Proponent and ER. The Planning Secretary and EPA must be provided with access to the results on request.</p>   | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a></p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> | <p>The Auditor has reviewed the monitoring programs and is of the view that the requirements from the condition have been satisfied as relevant.</p> <p>Results are available online.</p> | C                 |
| C16       | <p><b>Groundwater Construction Monitoring Program</b> must include:</p> <p>(a) groundwater monitoring networks at each construction excavation site predicted to intercept groundwater in the documents listed in Condition A1;</p> <p>(b) detail of the location of all monitoring bores with nested sites to monitor both shallow and deep groundwater levels and quality;</p> <p>(c) define the location of saltwater interception monitoring where sentinel groundwater monitoring bores will be installed between the saline sources and that of each construction excavation site predicted to intercept groundwater in the documents listed in Condition A1;</p> <p>(d) results from existing monitoring bores;</p> <p>(e) monitoring and gauging of groundwater inflow to the excavations predicted to intercept groundwater in the documents listed in Condition A1, appropriate trigger action response plan for all predicted groundwater impacts upon each noted neighbouring groundwater system component for each excavation construction site;</p> <p>(f) trigger levels for groundwater quality, salinity and groundwater drawdown in monitoring bores and / or other groundwater users;</p> <p>(g) daily measurement of the amount of water discharged from the water treatment plants;</p> <p>(h) water quality testing of the water discharged from treatment plants;</p> <p>(i) management and mitigation measures and criteria, including measures to address impacts on groundwater dependent ecosystems;</p> <p>(j) groundwater inflow to the excavations to enable a full accounting of the groundwater take from the Sydney Basin Central Groundwater Source;</p> <p>(k) reporting of groundwater gauging at excavations, groundwater monitoring, groundwater trigger events and action responses; and</p> <p>(l) methods for providing the data collected to Sydney Water where discharges are directed to their assets.</p> | Applicable | Applicable | Applicable | <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p>  | <p>The Auditor has reviewed the monitoring programs and is of the view that the requirements from the condition have been satisfied as relevant.</p>                                      | C                 |

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|-----------|---|------------|------------|------------|---|--|-------------------|
| C17       | With the exception of any <b>Construction Monitoring Programs</b> expressly nominated by the Planning Secretary to be endorsed by the ER, all <b>Construction Monitoring Programs</b> must be submitted to the Planning Secretary for approval. | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Staging Report identifies what monitoring programs are required for each stage of works.</p> <p>For AEW the monitoring requirements listed in this condition have been identified under the Staging Report as being part of the relevant CEMP (or not relevant at all), rather than as a separate document.</p> <p>The Staging Report identifies that the SBT main works would require monitoring programs for Noise and Vibration, Surface Water, Groundwater and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Groundwater Monitoring Programs required Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require monitoring programs for Noise and Vibration, Surface Water and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Surface Water monitoring programs require Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> | C                 |

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|-----------|--|------------|------------|------------|---|--|-------------------|
| C18       | The <b>Construction Monitoring Programs</b> not requiring the Planning Secretary's approval must obtain the endorsement of the ER as being in accordance with the conditions of approval and all undertakings made in the documents listed in <b>Condition A1</b> . Any of these <b>Construction Monitoring Programs</b> must be submitted to the <b>ER</b> for endorsement at least one (1) month before the commencement of construction or where construction is staged no later than one (1) month before the commencement of that stage | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Staging Report identifies what monitoring programs are required for each stage of works.</p> <p>For AEW the monitoring requirements listed in this condition have been identified under the Staging Report as being part of the relevant CEMP (or not relevant at all), rather than as a separate document.</p> <p>The Staging Report identifies that the SBT main works would require monitoring programs for Noise and Vibration, Surface Water, Groundwater and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Groundwater Monitoring Programs required Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require monitoring programs for Noise and Vibration, Surface Water and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Surface Water monitoring programs require Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> | C                 |

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|-----------|---|------------|------------|------------|---|---|-------------------|
| C19       | The <b>Construction Monitoring Programs</b> not requiring the Planning Secretary's approval must obtain the endorsement of the <b>ER</b> as being in accordance with the conditions of approval and all undertakings made in the documents listed in Condition A1. Any of these Construction Monitoring Programs must be submitted to the ER for endorsement at least one (1) month before the commencement of construction or where construction is staged no later than one (1) month before the commencement of that stage | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Staging Report identifies what monitoring programs are required for each stage of works.</p> <p>For AEW the monitoring requirements listed in this condition have been identified under the Staging Report as being part of the relevant CEMP (or not relevant at all), rather than as a separate document.</p> <p>The Staging Report identifies that the SBT main works would require monitoring programs for Noise and Vibration, Surface Water, Groundwater and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Groundwater Monitoring Programs required Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require monitoring programs for Noise and Vibration, Surface Water and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Surface Water monitoring programs require Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period..</p> | C                 |

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|-----------|---|------------|------------|------------|---|--|-------------------|
| C20       | Unless otherwise agreed with the Planning Secretary, construction must not commence until the Planning Secretary has approved, or the <b>ER</b> has endorsed (whichever is applicable), all of the required <b>Construction Monitoring Programs</b> and all relevant baseline data for the specific construction activity has been collected. | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation</p> | <p>The Staging Report identifies what monitoring programs are required for each stage of works.</p> <p>For AEW the monitoring requirements listed in this condition have been identified under the Staging Report as being part of the relevant CEMP (or not relevant at all), rather than as a separate document.</p> <p>The Staging Report identifies that the SBT main works would require monitoring programs for Noise and Vibration, Surface Water, Groundwater and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Groundwater Monitoring Programs required Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>The Staging Report identifies that the SCAW main works would require monitoring programs for Noise and Vibration, Surface Water and Air Quality. All are required to be endorsed by the ER. Only the Noise and Vibration and Surface Water monitoring programs require Department approval. Endorsement and approval was granted prior to the commencement of the relevant works and prior to the current audit period.</p> <p>All CEMPs, Sub-plans and Programs relevant to the current audit period were endorsed prior to the relevant works package commencing. All were endorsed prior to the current audit period, with only minor amendments to the FSM CEMP occurring during the audit period.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|------------|------------|--|---|-------------------|
| C21       | The <b>Construction Monitoring Programs</b> , as approved by the Planning Secretary or the <b>ER</b> has endorsed (whichever is applicable), including any minor amendments approved by the ER, must be implemented for the duration of construction and for any longer period set out in the monitoring program or specified by the Planning Secretary or the ER (whichever is applicable), whichever is the greater. | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPHI post approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>Email DCCEEW to CPBG, 04/02/25 (DCCEEW acceptance for delay of submission of the SBT groundwater monitoring report for July-Dec 24 to 01/04/25)</p> <p><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a></p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation, revised in response to Sydney Metro and ER comments on Rev2</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> | <p>At this stage SBT and SCAW have demonstrated that they are implementing the monitoring required at this stage of their works as is required under the monitoring programs. The AEW packages do not have monitoring programs (as per approved Staging Report).</p> <p>Refer to C22 regarding cessation of the monitoring for SBT.</p> | C                 |

|  |   |                |                |            |   |  |    |
|--|---|----------------|----------------|------------|---|--|----|
| C22  | <p>The results of the <b>Construction Monitoring Programs</b> must be submitted to the Planning Secretary, <b>ER</b> and relevant regulatory agencies, for information in the form of a <b>Construction Monitoring Report</b> at the frequency identified in the relevant Construction Monitoring Program.</p> <p>Note: Where a relevant CEMP Sub-plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-plan.</p>  | Applicable     | Applicable     | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT NSW (Off-Airport) Soil and Water Management Sub-Plan, 15/08/24 (SBT SWMP) including Groundwater Monitoring Program, Surface Water Monitoring Program, Procedures</p> <p>SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPHI post approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>Email DCCEEW to CPBG, 04/02/25 and 27/03/25 (DCCEEW acceptance for delay of submission of the SBT groundwater monitoring report for July-Dec 24 to 01/04/25 and again to 08/04/25)</p> <p>Email CPBG to DCCEEW 04/04/25 (submission of SBT groundwater monitoring report for July-Dec 24)</p> <p>DPHI post approval portal submission 04/08/25 (Email CPBG to DCCEEW 04/04/25 (submission of SBT groundwater monitoring report for July-Dec 24)</p> <p><a href="https://www.sydneymetro.info/documents">https://www.sydneymetro.info/documents</a></p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Soil and Water Management Sub-plan, 30/07/24 (SCAW SWMP) including surface water quality monitoring program, procedures and evidence of consultation</p> <p>SCAW Air Quality Management Subplan, 30/07/24 (SCAW AQMP) including air quality monitoring program, procedures and evidence of consultation, revised in response to Sydney Metro and ER comments on Rev2</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> | <p>According to the records sighted the Monitoring Reports have been submitted and made publicly available in line with the requirements of this condition.</p> <p><b>Observation SBT:</b></p> <ul style="list-style-type: none"> <li>- <b>The 6 monthly Noise and Vibration Monitoring Report extends to December 24 and SBT works were completed in January 25 and no further monitoring reports are proposed to be prepared by SBT given the completion of works.</b></li> <li>- <b>The 6 monthly Surface Water Monitoring Report extends to October 24 and SBT works were completed in January 25 and no further monitoring reports are proposed to be prepared by SBT given the completion of works.</b></li> <li>- <b>The 6 monthly Groundwater Monitoring Report submission was delayed, with the agreement of DCCEEW, to 01/04/25</b></li> </ul> | C  |
| <b>PART D – OPERATIONAL ENVIRONMENTAL MANAGEMENT</b> |   |                |                |            |   |  |    |
| <b>Operational Environmental Management</b>          |   |                |                |            |   |  |    |
| D1   | <p>An <b>Operational Environmental Management Plan (OEMP)</b> must be prepared having regard to the Environmental Management Plan Guideline for Infrastructure Projects (Department Planning, Industry and Environment 2020). The OEMP must detail how the performance outcomes, commitments and mitigation measures made and identified in the documents listed in <b>Condition A1</b> will be implemented and achieved during operation. This condition (<b>Condition D1</b>) does not apply if <b>Condition D2</b> of this approval applies.</p> | Not Applicable | Not Applicable | Applicable | <p>Interview with auditees 03-07/02/25</p>  | <p>The Project is in construction</p>  | NT |

| Unique ID                     | Compliance requirement  | SBT   | SCAW                   | SSTOM   | Evidence collected                  | Independent Audit findings and recommendations | Compliance Status |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
|-------------------------------|---|---|------------------------|---|-------------------------------------|--|-------------------|-----|--------------------------|------------------------|-----|---------------------------------|--|----------------|----------------|------------|-------------------------------------|--------------------------------|----|
| D2                            | An <b>OEMP</b> is not required for the CSSI if the Proponent has an <b>Environmental Management System (EMS)</b> or equivalent as agreed with the Planning Secretary, and demonstrates, to the satisfaction of the Planning Secretary, that through the <b>EMS</b> or equivalent:<br><br>(a) the performance outcomes, commitments and mitigation measures, made and identified in the documents listed in Condition A1, and specified relevant terms of this approval can be achieved;<br><br>(b) issues identified through ongoing risk analysis can be managed; and<br><br>(c) procedures are in place for rectifying any non-compliance with this approval identified during compliance auditing, incident management or any other time during operation. | Not Applicable  | No Applicable          | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D3                            | Where an OEMP is required, the Proponent must include the following OEMP Sub-plans in the OEMP: <table><tr><th></th><th>Required OEMP Sub-Plan</th><th>Relevant government agencies to be consulted for each OEMP Sub-Plan</th></tr><tr><td>(a)</td><td>Groundwater Management</td><td>DPIE Water</td></tr><tr><td>(b)</td><td>Bushfire Management Plan</td><td>NSW Rural Fire Service</td></tr><tr><td>(c)</td><td>Flood Emergency Management Plan</td><td>EES Group, DPIE Water, SES and Relevant Councils</td></tr></table>  |   | Required OEMP Sub-Plan | Relevant government agencies to be consulted for each OEMP Sub-Plan | (a)                                 | Groundwater Management                         | DPIE Water        | (b) | Bushfire Management Plan | NSW Rural Fire Service | (c) | Flood Emergency Management Plan | EES Group, DPIE Water, SES and Relevant Councils | Not Applicable | Not Applicable | Applicable | Interview with auditees 03-07/02/25 | The Project is in construction | NT |
|                               | Required OEMP Sub-Plan  | Relevant government agencies to be consulted for each OEMP Sub-Plan |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| (a)                           | Groundwater Management  | DPIE Water  |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| (b)                           | Bushfire Management Plan  | NSW Rural Fire Service  |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| (c)                           | Flood Emergency Management Plan   | EES Group, DPIE Water, SES and Relevant Councils                    |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D4                            | Each of the OEMP Sub-plans must include the information set out in Condition D2 of this approval.   | Not Applicable  | Not Applicable         | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D5                            | The <b>OEMP Sub-plans</b> must be developed in consultation with relevant government agencies as identified in <b>Condition D3</b> and must include information requested by an agency to be included in an OEMP Sub-plan during such consultation. Details of all information requested by an agency to be included in an <b>OEMP Sub-plan</b> as a result of consultation, including copies of all correspondence from those agencies, must be provided with the relevant <b>OEMP Sub-Plan</b> .  | Not Applicable  | Not Applicable         | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D6                            | The <b>OEMP Sub-plans</b> must be submitted to the Planning Secretary as part of the <b>OEMP</b>  | Not Applicable  | Not Applicable         | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D7                            | The <b>OEMP</b> or <b>EMS</b> or equivalent as agreed with the Planning Secretary, must be submitted to the Planning Secretary for information no later than one (1) month before the commencement of operation.  | Not Applicable  | Not Applicable         | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| D8                            | The <b>OEMP</b> or <b>EMS</b> or equivalent, as submitted to the Planning Secretary and amended from time to time, must be implemented for the duration of operation or as agreed with the Planning Secretary. The OEMP or EMS or equivalent must be made publicly available before the commencement of operation.  | Not Applicable  | Not Applicable         | Applicable  | Interview with auditees 03-07/02/25 | The Project is in construction                 | NT                |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| PART E – KEY ISSUE CONDITIONS |   |   |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |
| Air Quality                   |   |   |                        |   |                                     |  |                   |     |                          |                        |     |                                 |  |                |                |            |                                     |                                |    |

| Unique ID              | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|------------------------|---|------------|------------|------------|--|--|-------------------|
| E1                     | All reasonably practicable measures must be implemented to minimise the emission of dust and other air pollutants during construction | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> | <p>SBT and SCAW works were completed at each site between September 24 and January 25 (with only one small portion of SCAW remaining in place at Warragamba Pipeline in February 25). This resulted in sites being progressively stabilized and handed to SSTOM. According to the SBT Monthly Construction Reports, the SCAW monitoring data, along with the ER Inspection and Monthly Reports, dust appears to be have been managed. No material issues have been identified.</p> <p>According to the complaints register 2 x air quality complaints were recorded during the audit period from 146D Samuel Marsden Road (in relation to haul road movements on SCAW). These appear to have been addressed by SCAW.</p> | C                 |
| Biodiversity and Trees |   |            |            |            |  |  |                   |

|    |  |            |            |            |   |  |   |
|----|--|------------|------------|------------|---|--|---|
| E2 | The clearing of native vegetation must be minimised to the greatest extent practicable with the objective of reducing impacts to threatened ecological communities and threatened species habitat  | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT Hydrogeological Interpretive Report, (040403) (shows PCTs and consideration of site layout)</p> <p>SBT Site Establishment design report Bringelly (PKG 051001), and Orchard Hills (031801)</p> <p>SBT Permit to Clear, (permits 022 - 024)</p> <p>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</p> <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22).</p> | <p>The SBT site establishment design reports show that site configurations and layouts have been developed with the view that native vegetation is retained. The native vegetation overlay has been used in the site establishment decision making process. There has been no material change to the site establishment footprints. The SBT permit to clear process is such that clearing is marked and controlled so that no additional impacts to that intended are carried out (i.e.: no clearing beyond specified area), that relevant credits have been retired (where necessary). The last round of clearing was conducted prior to the current audit period. SBT does not anticipate any further clearing of native vegetation, if this is the case then this requirement can be considered closed for SBT.</p> <p>The SCAW design has been refined so that only land required to build the project has been accounted for. However, updates to ecosystem credit retirements have been required to account for canopy cover that traverses the construction footprint. A revised ecosystem credit retirement was completed prior to the audit period. The new credits were retired prior to the relevant clearing occurring and remains below the total requirements approved by the Department and that specified in E4. The SCAW permit to clear process is such that clearing is marked and controlled so that no additional impacts to that intended are carried out (i.e.: no clearing beyond specified area), that relevant credits have been retired (where necessary). The clearing process is consistent with the approved FFMP. The last round of clearing was done prior to the current audit period. No change from the previous audit period.</p> <p>AEW FSM removed 17 x landscaping/planted trees during earlier audit periods, of which all but two were designated as medium value or below. The 2 x high value trees were dead (according to the arborist). The justifications for removal were either for permanent works or for access by the cranes (if cranes could not access the area then the alternative is that the carpark access be closed for each possession (and one week before and after)). The area is subject to landscaping post construction which will include planting. Note that this requirement is considered not applicable to FSM under the Staging Report. Sydney Metro and the ER both approved the removal of the trees. No further tree removal occurred during the audit period.</p> <p>Metro have provided evidence that the construction footprint is greater than the clearing footprint (i.e.: demonstrating that clearing has been minimized).</p> <p>Condition E4 was modified to reduce the number of ecosystem credits to be retired, demonstrating that the clearing footprint has been reduced.</p> | C |
| E3 | Impacts to plant community types must not exceed those identified in the documents listed in <b>Condition A1</b> , unless otherwise approved by the Planning Secretary. In requesting the Planning Secretary's approval, an assessment of the additional impact(s) to plant community types and an updated ecosystem and / or species credit requirement under <b>Condition E4</b> below, if required, must be provided. | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT Hydrogeological Interpretive Report, (040403)</p> <p>SBT Site Establishment design report Bringelly (PKG 051001), and Orchard Hills (031801)</p>   | <p>Refer above.</p> <p>The SBT and SCAW permit to clear process is such that clearing is marked and controlled so that no additional impacts to that intended are carried out (i.e.: no clearing beyond specified area), that relevant credits have been retired (where necessary). The pre-clearing permit includes a review of the land being taken.</p>   | C |

|  |  |  |                   |            | <div>SBT Permit to Clear, (permits 022 - 024)</div> <div>DPHI post approval portal lodgment 12/08/22 (retirement of ecosystem credits at Orchard Hills)</div> <div>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</div> <div>Metro/STB interview 06-07/02/25</div> <div>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</div> <div>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22).</div> <div>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</div> <div>SCAW GIS module (online)</div> <div>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22 and 12/10/22</div> <div>SCAW Revised Biodiversity Credit Requirements, AMBS, 17/05/23</div> <div>Letter Sydney Metro to DPHI, 14/08/23 (conditions E4, E5, E7 – evidence of updated credit retirement)</div> <div>DPHI post approval portal lodgement, 15/08/23 (submission of updated credit retirement)</div> <div>SCAW pre-clearing inspection, permit and post clearing permits (15 events), Aug 23 – November 23</div> <div>Sydney Metro interview 03-07/02/25</div> <div>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22)</div> <div>Letter DPHI to Sydney Metro, 04/11/22 (acknowledgement of evidence of the retirement of credits or payment to secure offsets to Department (E3))</div> <div>Letter DPHI to Sydney Metro, 18/11/22 (acknowledgement of evidence to demonstrate that biodiversity credits have been retired prior to removal of native vegetation associated with the SCAW construction stage as required under condition E4))</div> <div>Sydney Metro SMWSA Offset tracker, current to 27/07/23 (parent tracker)</div> <div>Letter DPHI to Sydney Metro, 23/05/23 (approval to retire additional ecosystem credits as per E3 and E4 for Dilwinia and Pultenea)</div> <div>Letter Sydney Metro to DPHI 15/06/23 (submission of Dillwynia and Pultenaea ecosystem retirement evidence (provision of BCF certificate to DPHI, under E7))</div> <div>Letter DPHI to Sydney Metro, 28/06/23 (DPHI acknowledgement of BCF payment)</div> | <div>Metro have demonstrated that ecosystem credits have been retired prior to clearing of the relevant vegetation, and the number of credits used (i.e.: amount of vegetation used). The credits retired to do not exceed the amount specified in the EIS, and the Department has granted approval for the departure of clearing from E4.</div> <div>The only clearing on SBT attracting additional credit retirements were the Dillwynia and Pultenaea cleared at orchard Hills in preparation for SSTOM. Evidence shows that clearing did not commence until after evidence of BCF retirement had been submitted to the Department. The work was completed prior to the current audit period.</div> <div>SBT, SCAW and FSM did not conduct any clearing during the audit period. .</div> |  |   |   |
|--|--|--|-------------------|------------|--|---|--|---|---|
| E4                                     | <div>As modified through MOD-1 (approved 14/04/22)</div> <div>Prior to impacts on the biodiversity values set out in Table 3 and Table 4, the number and classes of ecosystem credits and species credits (like-for-like) must be retired.</div> <div>Note: Credits have been calculated using the Biodiversity Assessment Method.</div> <div>Table 3: Ecosystem credits</div> <table><tr><th>Plant Community Type (PCT) ID and name</th><th>Number of Credits</th></tr></table> | Plant Community Type (PCT) ID and name | Number of Credits | Applicable | Applicable   | Applicable  | <div>Staging Report, Sydney Metro, Rev 11, 11/10/24</div> <div>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</div> <div>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</div> <div>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</div> <div>SBT Hydrogeological Interpretive Report, (040403)</div> | <div>Evidence provided shows that ecosystem credits have been retired prior to the impact occurring. The staged approach is consistent with the Staging Report.</div> <div>SBT, SCAW and FSM do not anticipate any further clearing of PCT.</div> | C |
| Plant Community Type (PCT) ID and name | Number of Credits  |  |                   |            |  |   |  |   |   |

|  | <table><tr><td>724: Broad-leaved Ironbark – Grey Box - Melaleuca decora grassy open forest on clay/gravel soils of the Cumberland Plain, Sydney Basin Bioregion</td><td>246</td></tr><tr><td>835: Forest Red Gum – Rough-barked Apple grassy woodland on alluvial flats of the Cumberland Plain, Sydney Basin Bioregion</td><td>217</td></tr><tr><td>849: Grey Box – Forest Red Gum grassy woodland on flats of the Cumberland Plain, Sydney Basin Bioregion</td><td>202 204</td></tr><tr><td>1800: Swamp Oak open forest on riverflats of Cumberland Plain and Hunter Valley</td><td>181</td></tr><tr><td>Total</td><td>846 848</td></tr></table> <p>Table 4: Species credits required</p> <table><tr><th>Species</th><th>Number of Credits</th></tr><tr><td>Acacia-bynoeana (Bynoe's Wattle)</td><td>34</td></tr><tr><td>Acacia-pubescens (Downy Wattle)</td><td>54</td></tr><tr><td>Allocasuarina-glareicola</td><td>47</td></tr><tr><td>Cynanchum-elegans (White-flowered Wax Plant)</td><td>18</td></tr><tr><td>Dillwynia tenuifolia</td><td>21 72</td></tr><tr><td>Grevillea juniperina subsp. juniperina (Juniper-leaved Grevillea)</td><td>57 153</td></tr><tr><td>Grevillea parviflora subsp. parviflora (Small-flower Grevillea)</td><td>32</td></tr><tr><td>Marsdenia viridiflora subsp. viridiflora (Endangered population Marsdenia viridiflora R. Br. subsp. viridiflora</td><td>137</td></tr><tr><td>Micromyrtus minutiflora</td><td>47</td></tr><tr><td>Pimlea-curviloba var. curviloba</td><td>18</td></tr><tr><td>Pimlea-spicata (Spiked Rice-flower)</td><td>22</td></tr><tr><td>Pultenaea parviflora</td><td>10 31</td></tr><tr><td>Meridolum corneovirens Cumberland Plain Land Snail</td><td>159</td></tr><tr><td>Myotis Macropus (Southern Myotis)</td><td>292</td></tr><tr><td>Total Species Credit</td><td>539 1113</td></tr></table> | 724: Broad-leaved Ironbark – Grey Box - Melaleuca decora grassy open forest on clay/gravel soils of the Cumberland Plain, Sydney Basin Bioregion | 246        | 835: Forest Red Gum – Rough-barked Apple grassy woodland on alluvial flats of the Cumberland Plain, Sydney Basin Bioregion | 217  | 849: Grey Box – Forest Red Gum grassy woodland on flats of the Cumberland Plain, Sydney Basin Bioregion   | 202 204 | 1800: Swamp Oak open forest on riverflats of Cumberland Plain and Hunter Valley | 181 | Total | 846 848 | Species | Number of Credits | Acacia-bynoeana (Bynoe's Wattle) | 34 | Acacia-pubescens (Downy Wattle) | 54 | Allocasuarina-glareicola | 47 | Cynanchum-elegans (White-flowered Wax Plant) | 18 | Dillwynia tenuifolia | 21 72 | Grevillea juniperina subsp. juniperina (Juniper-leaved Grevillea) | 57 153 | Grevillea parviflora subsp. parviflora (Small-flower Grevillea) | 32 | Marsdenia viridiflora subsp. viridiflora (Endangered population Marsdenia viridiflora R. Br. subsp. viridiflora | 137 | Micromyrtus minutiflora | 47 | Pimlea-curviloba var. curviloba | 18 | Pimlea-spicata (Spiked Rice-flower) | 22 | Pultenaea parviflora | 10 31 | Meridolum corneovirens Cumberland Plain Land Snail | 159 | Myotis Macropus (Southern Myotis) | 292 | Total Species Credit | 539 1113 |  |  |  | <p>SBT Site Establishment design report Bringelly (PKG 051001), and Orchard Hills (031801)</p> <p>SBT Permit to Clear, (permits 008 - 021), and SBT Permit to Clear, (permits 022 - 024)</p> <p>DPHI post approval portal lodgment 12/08/22 (retirement of ecosystem credits at Orchard Hills)</p> <p>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</p> <p>Metro/SBT interview 06-07/02/25</p> <p>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</p> <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22).</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>SCAW GIS module (online)</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22 and 12/10/22</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 17/05/23</p> <p>Letter Sydney Metro to DPHI, 14/08/23 (conditions E4, E5, E7 – evidence of updated credit retirement, including current offset tracker)</p> <p>DPHI post approval portal lodgement, 15/08/23 (submission of updated credit retirement)</p> <p>SCAW pre-clearing inspection, permit and post clearing permits (15 events), Aug 23 – November 23</p> <p>Sydney Metro interview 03-07/02/25</p> <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22, associated evidence)</p> <p>Letter DPHI to Sydney Metro, 04/11/22 (acknowledgement of evidence of the retirement of credits or payment to secure offsets to Department (E3))</p> <p>Letter DPHI to Sydney Metro, 18/11/22 (acknowledgement of evidence to demonstrate that biodiversity credits have been retired prior to removal of native vegetation associated with the SCAW construction stage as required under condition E4))</p> <p>Letter DPHI to Sydney Metro, 23/05/23 (approval to retire additional ecosystem credits as per E3 and E4 for Dillwinia and Pultenea)</p> <p>Letter Sydney Metro to DPHI 15/06/23 (submission of Dillwynia and Pultenaea ecosystem retirement evidence (provision of BCF certificate to DPHI, under E7))</p> <p>Letter DPHI to Sydney Metro, 28/06/23 (DPHI acknowledgement of BCF payment)</p> |  |
|--|--|--|------------|--|--|---|---------|---|-----|-------|---------|---------|-------------------|----------------------------------|----|---------------------------------|----|--------------------------|----|--|----|----------------------|-------|---|--------|---|----|---|-----|-------------------------|----|---------------------------------|----|-------------------------------------|----|----------------------|-------|--|-----|-----------------------------------|-----|----------------------|----------|--|--|--|--|--|
| 724: Broad-leaved Ironbark – Grey Box - Melaleuca decora grassy open forest on clay/gravel soils of the Cumberland Plain, Sydney Basin Bioregion | 246  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| 835: Forest Red Gum – Rough-barked Apple grassy woodland on alluvial flats of the Cumberland Plain, Sydney Basin Bioregion                       | 217  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| 849: Grey Box – Forest Red Gum grassy woodland on flats of the Cumberland Plain, Sydney Basin Bioregion  | 202 204  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| 1800: Swamp Oak open forest on riverflats of Cumberland Plain and Hunter Valley  | 181  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Total  | 846 848  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Species  | Number of Credits  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Acacia-bynoeana (Bynoe's Wattle)   | 34   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Acacia-pubescens (Downy Wattle)  | 54   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Allocasuarina-glareicola   | 47   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Cynanchum-elegans (White-flowered Wax Plant)   | 18   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Dillwynia tenuifolia   | 21 72  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Grevillea juniperina subsp. juniperina (Juniper-leaved Grevillea)  | 57 153   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Grevillea parviflora subsp. parviflora (Small-flower Grevillea)  | 32   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Marsdenia viridiflora subsp. viridiflora (Endangered population Marsdenia viridiflora R. Br. subsp. viridiflora                                  | 137  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Micromyrtus minutiflora  | 47   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Pimlea-curviloba var. curviloba  | 18   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Pimlea-spicata (Spiked Rice-flower)  | 22   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Pultenaea parviflora   | 10 31  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Meridolum corneovirens Cumberland Plain Land Snail   | 159  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Myotis Macropus (Southern Myotis)  | 292  |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| Total Species Credit   | 539 1113   |  |            |  |  |   |         |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |
| E5   | The requirement to retire like-for-like ecosystem credits and species credits in Condition E4 may be satisfied by payment to the Biodiversity Conservation Fund of an amount equivalent to the number and classes of ecosystem credits and species credits.  | Applicable   | Applicable | Applicable   | <p>Letter Metro to DPHI and DPHI post approval portal lodgment 12/08/22 (retirement of ecosystem credits at Orchard Hills)</p> <p>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</p> <p>SBT pre-clearance inspection Orchard Hills South (offset variations), 12/10/22</p> | The evidence provided shows that retirement has been completed via payment into the trust. SBT, SCAW and FSM do not anticipate any further clearing of PCT. | C       |   |     |       |         |         |                   |                                  |    |                                 |    |                          |    |  |    |                      |       |   |        |   |    |   |     |                         |    |                                 |    |                                     |    |                      |       |  |     |                                   |     |                      |          |  |  |  |  |  |

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected   | Independent Audit findings and recommendations | Compliance Status |
|-----------|------------------------|-----|------|-------|--|--|-------------------|
|           |                        |     |      |       | <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22).</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22</p> <p>SCAW DPHI portal lodgment, 10/11/22 (submission of evidence of credit retirement)</p> <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22, associated evidence)</p> <p>Letter DPHI to Sydney Metro, 04/11/22 (acknowledgement of evidence of the retirement of credits or payment to secure offsets to Department (E3))</p> <p>Letter DPHI to Sydney Metro, 18/11/22 (acknowledgement of evidence to demonstrate that biodiversity credits have been retired prior to removal of native vegetation associated with the SCAW construction stage as required under condition E4))</p> <p>Letter DPHI to Sydney Metro, 23/05/23 (approval to retire additional ecosystem credits as per E3 and E4 for Dilwinia and Pultenea)</p> <p>Letter Sydney Metro to DPHI 15/06/23 (submission of Dillwynia and Pultenaea ecosystem retirement evidence (provision of BCF certificate to DPHI, under E7))</p> <p>Letter DPHI to Sydney Metro, 28/06/23 (DPHI acknowledgement of BCF payment)</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22 and 12/10/22</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 17/05/23</p> <p>Letter Sydney Metro to DPHI, 14/08/23 (conditions E4, E5, E7 – evidence of updated credit retirement)</p> <p>DPHI post approval portal lodgement, 15/08/23 (submission of updated credit retirement)</p> |  |                   |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|---|------------|------------|------------|---|---|-------------------|
| E6        | Where evidence of compliance with the <u>Ancillary rules: Reasonable steps to seek like-for-like biodiversity credits for the purpose of applying the variation rules</u> has been provided to the Planning Secretary, variation rules may be applied to retire the relevant ecosystem credits and species credits as set out in the BAM Biodiversity Credit Report (Variation) | Applicable | Applicable | Applicable | <p>Letter DPHI to Sydney Metro, 23/05/23 (approval to retire additional ecosystem credits as per E3 and E4 for Dilwinia and Pultenea)</p> <p>Letter Sydney Metro to DPHI 15/06/23 (submission of Dillwynia and Pultenea ecosystem retirement evidence (provision of BCF certificate to DPHI, under E7))</p> <p>Letter DPHI to Sydney Metro, 28/06/23 (DPHI acknowledgement of BCF payment)</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22 and 12/10/22</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 17/05/23</p> <p>Letter Sydney Metro to DPHI, 14/08/23 (conditions E4, E5, E7 – evidence of updated credit retirement)</p> <p>DPHI post approval portal lodgement, 15/08/23 (submission of updated credit retirement)</p> <p>SCAW pre-clearing inspection, permit and post clearing permits (15 events), Aug 23 – November 23</p>                             | <p>This has been adopted for Dillwynia and Pultenea ecosystem retirement. This was reported to the Department prior to the clearing of these species and was accepted by the Department on 28/06/23 (prior to the current audit period). Updated credit retirement completed for SCAW during the fifth audit period did not trigger this requirement.</p> <p>SBT, SCAW and FSM do not anticipate any further clearing of PCT.</p> | C                 |
| E7        | Evidence of the retirement of credits in satisfaction of <b>Condition E4</b> or payment to the Biodiversity Conservation Fund in satisfaction of <b>Condition E5</b> must be provided to the Planning Secretary prior to impacts on the biodiversity values   | Applicable | Applicable | Applicable | <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits for SCAW, associated evidence), and DPHI portal lodgement 10/11/22</p> <p>Letter Metro to DPHI, 12/08/22 evidence of retirement of ecosystem credits for SBT, associated evidence and DPHI post approval portal lodgement, 16/08/22</p> <p>Letter Metro to DPHI, 10/11/22 (evidence of retirement of ecosystem credits as at 31/11/22).</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 01/09/22 and 12/10/22</p> <p>SCAW Revised Biodiversity Credit Requirements, AMBS, 17/05/23</p> <p>Letter Sydney Metro to DPHI, 14/08/23 (conditions E4, E5, E7 – evidence of updated credit retirement)</p> <p>DPHI post approval portal lodgement, 15/08/23 (submission of updated credit retirement)</p> <p>SCAW pre-clearing inspection, permit and post clearing permits (15 events), Aug 23 – November 23</p> | <p>Confirmation of retirement of credit requirements for AEW, SBT and SCAW were completed and submitted prior to the relevant clearing works. Refer to previous audit reports for details.</p> <p>Revised credit retirements for SCAW completed during the fifth audit period were completed prior to clearing of the subject vegetation.</p> <p>SBT, SCAW and FSM do not anticipate any further clearing of PCT.</p>             | C                 |

| Unique ID | Compliance requirement   | SBT            | SCAW       | SSTOM          | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|----------------|------------|----------------|--|--|-------------------|
| E8        | The Proponent must minimise impacts to Key Fish Habitat ( <b>KFH</b> ) as defined in Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update). Residual impacts to <b>KFH</b> , following the implementation of habitat rehabilitation or other environmental compensation measures, must be offset at a ratio of 2:1 habitat offset requirement in accordance with the Policy and Guidelines for Fish Habitat Conservation and Management (DPI, 2013 update) and in consultation with DPI Fisheries. | Applicable     | Applicable | Not Applicable | <p>Site inspection 03, 07/02/25</p> <p>SCAW EWMS, Waterway Crossings 003, 01/03/23</p> <p>SCAW propellor online module (drone photos) 28/04/23</p> <p>Letter DPI Fisheries to SCAW, 10/03/23 (feedback on SCAW waterway crossing EWMS).</p> <p>Emails SCAW and DPI Fisheries, 02/03/23 – 09/05/23 (DPI Fisheries consultation on waterway crossing EWMS)</p>         | <p>SBT and FSM have not crossed any creeks.</p> <p>SCAW works cross three KFH. An EWMS was prepared in consultation with DPI Fisheries. SCAW updated its documents in response to DPI comments and resubmitted to them for information. No further comments were received. The works commenced after completion of consultation in accordance with the EWMS. The works are complete with no incidents or issues identified by the Project team.</p> <p>No offset to fish habitat is required. Refer E14.</p>   | C                 |
| E9        | Where offsets are required in accordance with <b>Condition E8</b> , payment of the habitat offset requirement must be made to the DPI Fish Conservation Trust Fund prior to the commencement of Work that impacts <b>KFH</b> .   | Applicable     | Applicable | Not Applicable | <p>Site inspection 03, 07/02/25</p> <p>SCAW EWMS, Waterway Crossings 003, 01/03/23</p> <p>SCAW propellor online module (drone photos) 28/04/23</p> <p>Letter DPI Fisheries to SCAW, 10/03/23 (feedback on SCAW waterway crossing EWMS).</p> <p>Emails SCAW and DPI Fisheries, 02/03/23 – 09/05/23 (DPI Fisheries consultation on waterway crossing EWMS)</p>         | <p>SBT and FSM have not crossed any creeks.</p> <p>SCAW works cross three KFH. An EWMS was prepared in consultation with DPI Fisheries. SCAW updated its documents in response to DPI comments and resubmitted to them for information. No further comments were received. The works commenced after completion of consultation in accordance with the EWMS. The works are complete with no incidents or issues identified by the Project team.</p> <p>No offset to fish habitat is required. Refer E14.</p>   | NT                |
| E10       | Where offsets are required in accordance with <b>Condition E8</b> , the Proponent must submit to the Planning Secretary a receipt confirming payment to the DPI Fish Conservation Trust Fund within one (1) month of making the payment.   | Applicable     | Applicable | Not Applicable | <p>Site inspection 03, 07/02/25</p> <p>SCAW EWMS, Waterway Crossings 003, 01/03/23</p> <p>SCAW propellor online module (drone photos) 28/04/23</p> <p>Letter DPI Fisheries to SCAW, 10/03/23 (feedback on SCAW waterway crossing EWMS).</p> <p>Emails SCAW and DPI Fisheries, 02/03/23 – 09/05/23 (DPI Fisheries consultation on waterway crossing EWMS)</p>         | <p>SBT and FSM have not crossed any creeks.</p> <p>SCAW works cross three KFH. An EWMS was prepared in consultation with DPI Fisheries. SCAW updated its documents in response to DPI comments and resubmitted to them for information. No further comments were received. The works commenced after completion of consultation in accordance with the EWMS. The works are complete with no incidents or issues identified by the Project team.</p> <p>No offset to fish habitat is required. Refer E14.</p>   | NT                |
| E11       | Nest Boxes must be installed one (1) month prior to any removal of existing tree hollows and/or the release of any captured hollow dependent fauna.  | Not Applicable | Applicable | Not Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT NSW (Off-airport) Flora and Fauna Management Sub-plan, 20/02/24 (SBT FFMP)</p> <p>SBT Nest Box Monitoring Report, AMBS, 12/04/24</p> <p>SCAW Fauna and Flora Management Sub-plan, 19/06/24 (SCAW FFMP) including procedures, and evidence of consultation</p> <p>Nest Box Monitoring Report July 2024, AMBS, 10/09/24</p> | <p>Refer to earlier audit reports regarding timing of clearing and establishment of nest boxes.</p> <p>SBT Nest Box Monitoring Report occurs annually in spring. The Report prepared in April 24 concluded that introduced species had occupied most of the nest boxes.</p> <p>SCAW Nest Box Monitoring Report prepared in September 24 concluded that whilst introduced species had historically occupied most of the nest boxes, occupancy by native species was increasing.</p> <p>Nest box monitoring has now been handed over from SBT and SCAW to SSTOM.</p> <p>FSM have not cleared HBTs or related habitats.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM          | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|------------|------------|----------------|---|--|-------------------|
| E12       | <p>Prior to vegetation clearing, the Proponent must identify where it is practicable for the CSSI to reuse native trees and vegetation that are to be removed. If it is not possible for the CSSI to reuse removed native trees and vegetation, the Proponent must consult with the relevant council(s), NSW National Parks &amp; Wildlife Service, Western Sydney Parklands Trust, Greater Sydney Local Land Services, Landcare groups, DPI Fisheries and any additional relevant government agencies to determine if:</p> <p>(a) hollows, tree trunks (greater than 25-30 centimetres in diameter and 2-3 metres in length), mulch, bush rock and root balls salvaged from native vegetation impacted by the CSSI; and</p> <p>(b) collected plant material, seeds and/or propagated plants from native vegetation impacted by the CSSI, could be used by others in habitat enhancement and rehabilitation work, before pursuing other disposal options.</p>  | Applicable | Applicable | Not Applicable | <p>SBT consultation records June 2022 for E12 with Fisheries, DPHI Water, Liverpool Council, LLS, NPWS, Penrith City Council, WSPT (from second audit period)</p> <p>Email LLS to SBT, 21/06/22 (LLS advising that they could potentially receive timber material).</p> <p>Orchard Hills Vegetation Reuse – Onsite discussion with Peter Ridge from LLS.nsw.gov – E12 – reuse of 2m logs.</p> <p>Email LLS to SBT, 23/08/22 and 26/08/22, and again May through to July 2023, and again on 01/08/23</p> <p>Site inspection 02, 05/09/24</p> <p>Email SCAW to Liverpool and Penrith Council, NPWS, LLS Heritage NSW, Parklands Trust, Landcare, OEH and DPI, 06/02/23</p> <p>Email Parklands to SCAW, 06/02/23</p> <p>Email LLS to SCAW, 06/06/22</p> <p>SCAW Consultation Tracker E12 vegetation</p> <p>Email chain Sydney Metro and SCAW, 03/07/23 and 24/10/24.</p> <p>Email Penrith Council to SCAW, 02/05/23 (off site reuse of seeds)</p> <p>SCAW Timeline of Events, Stockpile Vegetation, Rev0</p> | <p>SBT reused mulch for erosion and sediment control where possible (mulch bunds). Where not reusable, SBT consultation was completed prior to the current audit period which covered all planned clearing. Only LLS responded to request for reuse of native vegetation. Native vegetation was provided to LLS in September 2022.</p> <p>During the fourth audit period, SBT cleared iron bark and grey Box at Orchard Hills as part of clearing in preparation for SSTOM. SBT made contact with LLS about the availability of this vegetation and delivered the material on 01/08/23. SBT did not follow up with any other of the listed stakeholders.</p> <p>SBT does not anticipate any further clearing of native vegetation.</p> <p>SCAW has retained some vegetation on site and is prioritizing reuse on site for future rehabilitation and landscaping. Retention on site was observed during the audit site inspection. Notwithstanding the above, consultation commenced with the view that some reuse on site may not be possible. Two responses have been received expressing interest and Penrith Council has recovered some seeds from the alignment. SCAW provided evidence to show that the current approach is to not mulch any vegetation – all vegetation is to be felled and held on site for the SSTOM and Finalisation Auxiliary Works package. Large woody debris was observed on site at the fifth audit for the purposes of handover to SSTOM.</p> | C                 |
| E13       | <p>Revegetation and the provision of replacement trees must be informed by a Tree Survey undertaken during detailed design. The Tree Survey must identify the number, type and location of any trees to be removed, except for trees that are offset under <b>Condition E4</b>. The Tree Survey must be submitted to the Planning Secretary for information with the <b>Place, Urban Design and Corridor Landscape Plan</b> required under <b>Condition E79</b> one (1) month before the commencement of operation.</p> <p>Where trees are to be removed, the Proponent must provide a net increase in the number of replacement trees at a ratio of 2:1, except trees that are offset under <b>Condition E4</b>. Replacement trees must have a minimum pot size consistent with the relevant authority's plans / programs / strategies for vegetation management, street planting, or open space landscaping, or as agreed by the relevant authority(ies).</p> <p>Replacement trees must be planted before the commencement of operation.</p> <p><b>Note:</b> For the purposes of this condition, the relevant authority is that State or local government authority that owns or manages the land on which the replacement trees will be planted</p> | Applicable | Applicable | Not Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>SBT Tree Survey, Orchard Hills, 28/07/22</p> <p>SBT Tree Survey, St Marys, 20/07/22</p> <p>SCAW E13 Tree Survey, 20/12/22</p> <p>Metro Tree Register (AEW) (no date).</p>   | <p>SBT Tree Surveys were prepared by SBT for Orchard Hills and St Marys. This includes the information required by this condition. Other sites were subject to offset under E4. No change for current audit period. Revegetation does not form part of SBT's scope.</p> <p>SCAW has prepared a tree survey (for non-offset trees). This includes the information required by this condition. 25 trees accounted for that are not offset. No change for current audit period. Revegetation does not form part of SBTs scope.</p>  | C                 |

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| E14       | <p>The Proponent must design the watercourse crossings and the east-west regional corridor (Paton's Lane) crossing to achieve the following objectives:</p> <p>(a) design of viaducts to retain and minimise clearing/disturbance of native vegetation and maximise native plant growth under the structures,</p> <p>(i) maintain and/or improve riparian/terrestrial connectivity under the viaduct and bridge structures to maximise the corridor function;</p> <p>(ii) maximise the viaduct and bridge structures span over the riparian corridor and/or remnant native vegetation whichever is the widest;</p> <p>(iii) minimise the clearing/disturbance of native vegetation and native riparian vegetation; and</p> <p>(iv) maximise light and moisture penetration under the viaduct and bridge structures to support native plant growth;</p> <p>(b) design of culverts and other crossings incorporate the following into the design to provide for movement of aquatic and terrestrial fauna,</p> <p>(i) elevated "dry" cells to encourage terrestrial movement, and recessed "wet" cells to facilitate the movement of aquatic fauna;</p> <p>(ii) maximise light penetration into the culvert structures;</p> <p>(iii) a naturalised base along the bed of the culvert; and 'fauna furniture' (such as rocks, logs, ropes and ledges) to facilitate fauna movement to maintain connectivity and provide fauna passage;</p> <p>(c) design of scour protection using natural solutions such as the revegetation of banks with local native species; and</p> <p>(d) details of remnant native vegetation including riparian vegetation.</p> <p>The Proponent must consult with DPIE EES, DPI Fisheries and engage suitably qualified experts in fauna crossing design to achieve the outcomes of this condition.</p> <p><b>Note:</b> These design objectives must form part of the <b>Place, Urban Design and Corridor Landscape Plan</b> required under <b>Condition E79</b>.</p> | Applicable | Applicable | Not Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>Letter HBI to Sydney Metro, 11/10/24 (ER endorsement of Rev 11 of Staging Report)</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Site inspection 03, 07/02/25</p> <p>SCAW Memo, summary of consultation on E14, 24/11/22 (including evidence of consultation attached).</p> <p>Letter DPI Fisheries to SCAW 10/08/22</p> <p>SCAW Blaxland Creek Viaduct (Substructure and Superstructure) design reports SCARB 4150 and 4110 (x2), CPBUI, 16/01/23 and 02/02/23</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW EWMS, Waterway Crossings 003, 01/03/23</p> <p>SCAW propellor online module (drone photos) 28/04/23</p> <p>Letter DPI Fisheries to SCAW, 10/03/23 (feedback on SCAW waterway crossing EWMS).</p> <p>Emails SCAW and DPI Fisheries, 02/03/23 – 09/05/23 (DPI Fisheries consultation on waterway crossing EWMS)</p> <p>Email SCAW to DPI Fisheries, 07/07/23 (consultation on redesign on Cosgrove creek crossing to avoid heritage scar trees)</p> <p>Email DPI Fisheries to SCAW, 18/07/23 (DPI response to redesign on Cosgrove creek crossing to avoid heritage scar trees)</p> | <p>This is not relevant to SBT, FSM, as there are no watercourse crossings for those packages.</p> <p>SCAW prepared two design reports for works involving crossings. The Design Reports the design to be compliant with this condition. Evidence demonstrates that DPHI EES was consulted with and did not wish to participate. Fisheries was consulted and confirmed that condition E14 had been complied with (in their view). The relevant design details have been incorporated into SCAWs PUDCLP.</p> <p>A redesign was completed at Cosgrove Creek (to avoid nearby heritage scar trees). This update was issued to DPI Fisheries for further consultation. DPI Fisheries confirmed acceptance.</p> <p>SCAW works cross three KFH. An EWMS was prepared in consultation with DPI Fisheries. SCAW updated its documents in response to DPI comments and resubmitted to them for information. No further comments were received. The works commenced after completion of consultation in accordance with the EWMS and are complete with the project team not aware of any incidents or issues associated with these works.</p> | C                 |
| Flooding  |   |            |            |                |   |   |                   |

| Unique ID      | Compliance requirement  | SBT  | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
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| E15            | <p>The CSSI must be designed and constructed with the objective of not exceeding the flood impacts presented in the documents listed in <b>Condition A1</b> or the flood impact criteria in <b>Table 5</b>, whichever is greater, within and in the vicinity of the CSSI for all flood events up to and including the one (1) per cent Annual Exceedance Probability (AEP) flood event.</p> <table><thead><tr><th>Parameter</th><th>Location</th><th>Criteria</th></tr></thead><tbody><tr><td rowspan="5">Afflux</td><td rowspan="3">Land zoned as residential, industrial or commercial, and critical infrastructure</td><td>Maximum 10mm to buildings that are flood prone in existing conditions</td></tr><tr><td>No new above floor flooding</td></tr><tr><td>Maximum 50 mm where flooding is below floor level</td></tr><tr><td>Roads</td><td>Maximum 50mm</td></tr><tr><td>Land zoned as rural, primary production, environment or public recreation</td><td>Maximum 100mm</td></tr><tr><td>Velocity</td><td>All areas</td><td>Velocities are to remain below 1m per second. Where existing velocities exceed 1m per second, increase by less than 10 percent</td></tr><tr><td rowspan="2">Flood Hazard</td><td>Residential and commercial land</td><td>No increase in the flood hazard or risk to life</td></tr><tr><td>Roads</td><td>No increase in the flood hazard or risk to life</td></tr><tr><td rowspan="3">Flood Duration</td><td>Residential and commercial buildings</td><td>No increase to duration of above floor flooding</td></tr><tr><td>Roads</td><td>No more than one hour increase</td></tr><tr><td>Crown land, open space, farming, grazing and cropping land</td><td>No more than one hour increase</td></tr></tbody></table> <p>Measures identified in the documents listed in <b>Condition A1</b> to limit flooding impacts or measures that achieve the same outcome must be incorporated into the detailed design of the CSSI.</p> | Parameter  | Location   | Criteria   | Afflux   | Land zoned as residential, industrial or commercial, and critical infrastructure   | Maximum 10mm to buildings that are flood prone in existing conditions | No new above floor flooding | Maximum 50 mm where flooding is below floor level | Roads | Maximum 50mm | Land zoned as rural, primary production, environment or public recreation | Maximum 100mm | Velocity | All areas | Velocities are to remain below 1m per second. Where existing velocities exceed 1m per second, increase by less than 10 percent | Flood Hazard | Residential and commercial land | No increase in the flood hazard or risk to life | Roads | No increase in the flood hazard or risk to life | Flood Duration | Residential and commercial buildings | No increase to duration of above floor flooding | Roads | No more than one hour increase | Crown land, open space, farming, grazing and cropping land | No more than one hour increase | Applicable | Applicable | Applicable | <p>SBT Hydrology and Flood Assessment Design Report, 28/11/22 and update 24/04/23</p> <p>Metro/STB interview 06-07/02/25</p> <p>SBT response to draft Independent Audit No. 3 - Audit Report</p> <p>SCAW Flood Protection Report, AHJV, 16/12/22</p> <p>Flood Protection Report Revision 00 (SMWSASCA–CPU–SWD–EW000–SD–RPT–245000) issued 03/03/23</p> <p>Sydney Metro central portal (online document review portal, and evidence of stage 3 review gate comments sheet for Flood Protection Report)</p> | <p>As noted in the third Independent Audit Report, the SBT Hydrology and Flood Assessment Design Report identifies the flood impacts and assess these against the requirements of E15. The Report identifies several departures from the requirements around the Bringelly site (afflux and velocity). SBT completed a review of the data and determined that predicted flood impacts are within the tolerable ranges from E15. The Auditor is not a flood expert and therefore does not dispute this position. The Auditor is not aware of any further feedback from the Sydney Metro team on this matter. SBT considers there to be no change to the flood design.</p> <p>As noted in the third Independent Audit Report the SCAW Flood Protection Report identifies the flooding impacts against the requirements of E15. The Report identifies that it is compliant with the parameters of E15, however in the comments section of the Report (Appendix C) there were a range of observations against the Report’s dealing with E15, including comments indicating that there are exceedances of the criteria from E15. SCAW completed a review of the data and determined that predicted flood impacts are compliant with the requirements of E15. An updated report was prepared clarifying this. The Auditor is not a flood expert and therefore does not dispute this position. The Auditor is not aware of any further feedback from the Sydney Metro team on this matter. SCAW considers there to be no change to the flood design.</p> <p>FSM is outside flood prone land.</p> | C |
| Parameter      | Location  | Criteria   |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
| Afflux         | Land zoned as residential, industrial or commercial, and critical infrastructure  | Maximum 10mm to buildings that are flood prone in existing conditions  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                |   | No new above floor flooding  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                |   | Maximum 50 mm where flooding is below floor level  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                | Roads   | Maximum 50mm   |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                | Land zoned as rural, primary production, environment or public recreation   | Maximum 100mm  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
| Velocity       | All areas   | Velocities are to remain below 1m per second. Where existing velocities exceed 1m per second, increase by less than 10 percent |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
| Flood Hazard   | Residential and commercial land   | No increase in the flood hazard or risk to life  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                | Roads   | No increase in the flood hazard or risk to life  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
| Flood Duration | Residential and commercial buildings  | No increase to duration of above floor flooding  |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                | Roads   | No more than one hour increase   |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
|                | Crown land, open space, farming, grazing and cropping land  | No more than one hour increase   |            |            |  |  |   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |
| E16            | <p>Updated modelling that incorporates these measures and is calibrated and validated with consideration of the results of the Wianamatta-South Creek Catchment Flood Assessment prepared by Infrastructure NSW as part of Stage 2 of the South Creek Sector Review must be prepared by a suitably qualified flood consultant. The modelling must identify changes in post-development flood behaviour including cumulative flood impacts associated with Western Sydney International Airport and the M12, where this information is available, prior to detailed design being finalised</p>   | Applicable   | Applicable | Applicable | <p>SBT Hydrology and Flood Assessment Design Report, 28/11/22 and update 24/04/23</p> <p>Metro/STB interview 06-07/02/25</p> <p>SCAW Flood Protection Report, AHJV, 16/12/22</p> <p>Flood Protection Report Revision 00 (SMWSASCA–CPU–SWD–EW000–SD–RPT–245000) issued 03/03/23</p> | <p>The SBT Hydrology and Flood Assessment Design Report identifies the flood impacts and assess these against the requirements of E16. It states that incorporation of the Wianamatta-South Creek Catchment Flood Assessment is not able to be validated due to poor resolution of the Wianamatta-South Creek Catchment Flood Assessment model, and its low relevance to the SBT sites. Sydney Metro reviewed this finding and, on 25/11/22 marked the matter as closed. The Auditor is not a flood expert and, therefore, does not dispute this action. SBT considers there to be no change to the flood design.</p> <p>The SCAW Flood Protection Report confirms that the results of the Wianamatta-South Creek Catchment Flood Assessment have been included into the modelling. The Report does identify the post construction flood behaviour (no significant impact on the catchment). SCAW considers there to be no change to the flood design.</p> | C   |                             |   |       |              |   |               |          |           |  |              |                                 |   |       |   |                |                                      |   |       |                                |  |                                |            |            |            |   |   |   |

| Unique ID       | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E17             | Where flooding characteristics exceed the levels identified in <b>Condition E15</b> above the Proponent must undertake the following:<br>(a) consult with affected landowners for properties adversely flood affected as a result of the CSSI regarding appropriate mitigations; and<br>(b) consult with the NSW State Emergency Service (SES) and Relevant Council(s) regarding the management of any continuous and residual flood risk from rarer flood events larger than the 1 per cent AEP and up to the probable maximum flood.<br><br>In the event that the Proponent and the affected landowner cannot agree on the measures to mitigate the impact as described in <b>Condition E15</b> , the Proponent must engage a suitably qualified and experienced independent person to advise and assist in determining the impact and relevant mitigation measures  | Applicable | Applicable | Applicable | Refer to evidence in E15  | Refer to findings from E15. The modelling and subsequent clarifications determined that there is no need for consultation with landowners on the basis that criteria from E15 has been achieved.  | NT                |
| E18             | Flood information including flood reports, models and geographic information system outputs must be provided to the DPIE PDPS, Relevant Council(s), DPIE EES and the SES in order to assist in preparing relevant documents and to reflect changes in flood behaviour as a result of the CSSI. The DPIE PDPS, Relevant Council(s), DPIE EES and the SES must be notified in writing that the information is available no later than one (1) month following the completion of construction.<br><br>Information requested by the DPIE PDPS, Relevant Council(s), DPIE EES or the SES must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the DPIE PDPS, Relevant Council(s), DPIE EES and the SES. The project flood models and data must be uploaded to the NSW Flood Data Portal and access must be provided to the DPIE PDPS, Relevant Council(s), DPIE EES and SES no later than one (1) month following the completion of construction. | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25  | Construction is ongoing.  | NT                |
| <b>Heritage</b> |  |            |            |            |   |   |                   |
| E19             | The Proponent must not destroy, modify or otherwise physically affect any Heritage item not identified in documents referred to in <b>Condition A1</b> . Unexpected heritage finds identified by the CSSI must be managed in accordance with the <b>Unexpected Heritage Finds and Human Remains Procedure</b> outlined in <b>Conditions E34 to E36</b> . Consideration of avoidance and redesign to protect unexpected finds of state heritage significance must be addressed where this condition applies.  | Applicable | Applicable | Applicable | Unexpected Heritage Finds Procedure, Sydney Metro, August 2021<br><br>SBT CEMP (Annexure B – unexpected finds protocol), 15/03/24<br><br>SBT St Marys Archaeological Monitoring Method Statement, AMBS, January 2022<br><br>SBT St Marys Archaeological Monitoring Report, AMBS, December 2022<br><br>SCAW CEMP, 29/07/24<br><br>SCAW Non-Aboriginal Heritage Management Plan, 04/10/22<br><br><br><br><br><br><br><br><br><br>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24 (Appendix Q)<br><br>Letter GML to Laing O'Rourke, 10/10/24 (heritage advice regarding heritage finds uncovered to date) | Refer to earlier audit reports regarding identification, management and protection of known heritage items. known heritage items from the EIS have been cleared prior to construction that impacts the land on which they reside, or design has been refined to avoid the items, or works affecting the items has yet to occur.<br><br>SBT St Marys Archaeological Monitoring Report confirmed that supervision was carried out during works in risk area, and that no items of significance were identified during the works in the subject area. There were no other areas of heritage significance subject to disturbance.<br><br>SCAW utilizes the Metro procedure. The Non-Aboriginal Heritage Management Plan has identified that unexpected finds for non-Aboriginal heritage to be unlikely.<br><br>SBT and SCAW had no unexpected finds during the audit period.<br><br><br><br><br><br><br><br><br><br>The AEW FSM CEMP has the Metro procedure in their CEMP. FSM encountered 3 x unexpected finds since 01/09/24 and ongoing uncovering of pre-existing finds. FSM has had the heritage advisor on site during the possession works to manage / record the potential heritage significance of finds in the platform. To date all finds are deemed to be of local significance or not significant. The heritage advisor has accepted that the items be recorded and destroyed as part of the works and continue to implement the UFP and ARD.<br><br>Historic heritage to be protected and retained extends to the Goods Shed. This area has not been impacted as yet.<br><br>According to an email within Metro (from the Sydney Metro Heritage Lead) all known Aboriginal heritage sites have been cleared as at 30/08/22. | C                 |

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| E20       | The dismantling and reassembly of the jib crane at St Marys Station, if required, must only be undertaken under the supervision of a consultant experienced in the conservation of heritage machinery. | Applicable | Not Applicable | Applicable | Site inspection 03, 07/02/25<br><br>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports<br><br>SBT Monthly Construction Report (for the ER), August 24 – December 24  | The jib crane is still in place and appears to be free of impact from construction on SBT and FSM.  | C                 |
| E21       | The St Marys Goods Shed must not be destroyed, modified or otherwise adversely affected, except as identified in the documents listed in <b>Condition A1</b> .   | Applicable | Not Applicable | Applicable | SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023<br><br>Email chain, Transport to Sydney Metro, 02/03/21 – 31/05/21 (Transport Heritage Advisor advice on vibration impact on the Goods Shed)<br><br>Email Chain AMBS and Sydney Metro, 27/01/23 – 03/02/23 (heritage advice on installation of 'crack meters' at the Goods Shed)<br><br>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25) | The EIS identified minor impacts to the Goods Shed. Refer to earlier reports regarding protection of the Goods Shed by SBT.<br><br>Note that SSTOM had control of the vibration logger for all periods during the audit period).  | C                 |
| E22       | The <b>Archaeological Research Design</b> included in the documents listed in <b>Condition A1</b> must be implemented during construction.   | Applicable | Applicable     | Applicable | Archaeological Research Design, Artefact, April 2021<br><br>SBT AMBS Archaeological Statement 22/07/22 confirming monitoring of concrete slab at St Marys SBT.<br><br>SBT St Marys Site Archaeological Report by AMBS June 2022<br><br>SBT St Marys Archaeological Monitoring Method Statement, AMBS, January 2022<br><br>SBT St Marys Archaeological Monitoring Report, AMBS, December 2022<br><br>Sydney Metro interview 03-07/02/25  | AMBS was engaged as the archaeological specialists for SBT adjacent the Goods Shed on a risk based approach. The monitoring reports confirm that the ARD has been implemented for subject works despite this not being called up under the ARD and approval. Works in the area called up by the ARD (Goods Shed) have not commenced and have not been required at this time.<br><br>No works have been required under the ARD during the audit period. The only requirement during the audit period was to follow and implement the unexpected finds procedure. FSM encountered 3 x unexpected finds since 01/09/24 and ongoing uncovering of pre-existing finds. FSM has had the heritage advisor on site during the possession works to manage / record the potential heritage significance of finds in the platform. To date all finds are deemed to be of local significance or not significant. The heritage advisor has accepted that the items be recorded and destroyed as part of the works and continue to implement the UFP and ARD. | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E23       | Before commencement of archaeological excavation, the Proponent must, in consultation with Heritage NSW, nominate a suitably qualified <b>Excavation Director</b> , who complies with Heritage Council of NSW's Criteria for Assessment of Excavation Director (September 2019), to oversee and advise on matters associated with historical archaeology for the approval of the Planning Secretary. The Excavation Director must be present to oversee excavation, advise on archaeological issues, advise on the duration and extent of oversight required during archaeological excavations consistent with the <b>Archaeological Research Design and Excavation Methodology(s)</b> identified in the documents listed in <b>Condition A1</b> . More than one Excavation Director may be engaged for CSSI to exercise the functions required under the conditions of this approval. | Applicable | Applicable | Applicable | <p>Excavation Director was nominated – James Cole, AMBS letter of approval from Heritage NSW dated 6/7/22</p> <p>Letter Sydney Metro to DPHI, 10/06/22 (nomination of Excavation Director to DPHI)</p> <p>SBT St Marys Archaeological Monitoring Method Statement, AMBS, January 2022</p> <p>SBT St Marys Archaeological Monitoring Report, AMBS, December 2022</p> <p>Letter Heritage NSW to Sydney Metro, 13/04/22 (Heritage NSW endorsement of Lian Ramage)</p> <p>Letter DPHI to Sydney Metro, 01/03/23 (DPHI approval of Lian Ramage)</p> <p>Letter DPHI to Sydney Metro, 17/06/22 (DPHI approval of the SBT St Marys Excavation Directors – Dr Ian Stuart and Jenny Winnett)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>Sydney Metro interview 03-07/02/25</p> <p>Letter Heritage NSW to Sydney Metro 13/06/23 (Heritage endorsement of Sophie Jennings as Excavation Director for FSM)</p> <p>Letter DPHI to Sydney Metro, 04/07/23 (DPHI approval of Sophie Jennings as Excavation Director for FSM).</p> <p>Letter DPHI to Metro, 14/05/24 (DPHI approval of Kat McCrae as the FSM excavation director).</p> | <p>There are no areas requiring archaeological excavation under the SCAW scope of works and, therefore, have not engaged an Excavation Director for their scope.</p> <p>Sydney Metro advise that the following excavation directors have been nominated to date:</p> <p>SBT: Lian Ramage was nominated as ED to Heritage NSW on 7 April 2023. Heritage NSW provided correspondence supporting her nomination on 13 April 2023.</p> <p>James Cole was nominated as Secondary ED to Heritage NSW on 23 June 2022. Heritage NSW provided correspondence supporting her nomination on 6 July 2022. Sydney Metro are preparing to submit nominations for Lian Ramage and James Cole to the Department. For SBT, the archaeological excavation to date has not been required under the ARDEM, rather some excavation at St Marys was conducted under supervision on a risk based approach. These works are complete and the site has been handed over to SSTOM.</p> <p>AEW: Note this is prior to the current audit period. Dr Iain Stuart and Jenny Winnett were nominated as ED to Heritage NSW on 1 June 2022. Heritage NSW provided correspondence supporting their nomination on 2 June 2022. The Department provided approval of the appointment on 17/06/22.</p> <p>Sophie Jennings was nominated as the excavation director for FSM. Heritage NSW endorsement was received 13/06/23 and the Department approved Ms Jennings on 04/07/23.</p> <p>Kat McCrae was nominated as the excavation director for FSM. Heritage NSW endorsement was received prior to approval request to the Department (and the Department approved Ms Jennings on 14/05/24).</p> | C                 |
| E24       | Archival photographic digital recording must be undertaken for all listed heritage items which will be affected by the CSSI. The recordings must be undertaken prior to the commencement of Work which may impact the items and documented in an <b>Archival Recording Report</b> . The recordings must include buildings, structures and landscape features and detailed maps showing the location of features. The archival recording must be prepared in accordance with How to Prepare Archival Records of Heritage Items (NSW Heritage Office, 1998) and Photographic Recording of Heritage Items Using Film or Digital Capture (NSW Heritage Office, 2006).  | Applicable | Applicable | Applicable | <p>St Mary's Railway Station, Archival Recording, Biosis, 11/01/22 (Archival Recording Report)</p> <p>Bringelly RAAF Base Compound, 15/11/21 (Archival Recording Report)</p> <p>Archival Report, Alexander Mayes Photography, August 2022 (Luddenham Road)</p> <p>Archival Recording Report – SBT Kelvin Park, AMBS, March (adjacent Aerotropolis).</p> <p>Archival Recording Report, Alexander Mayes Photography, August 2022 (McMaster and McGarvie-Smith Farms)</p> <p>Memo AMBS to SCAW, 18/01/23 (Heritage Fabric Salvage assessment on McMaster and McGarvie-Smith Farms)</p>   | <p>The SBT Archival Recording Reports were prepared for the entire St Marys Railway Station area (i.e.: covering all areas relevant for the entire Project at this location) and Bringelly RAAF base, plus outside Aerotropolis. The recording was completed prior to impact. No other locations are affected by SBT at this stage.</p> <p>The SCAW project had archival recording completed on the Luddenham road and the Farm buildings. The recording was completed as per this condition prior to impact.</p> <p>Archival recording for the AEW packages was conducted (if required) prior to the current audit period.</p>   | C                 |

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| E25       | The <b>Archival Recording Report</b> must be submitted to the Planning Secretary, relevant councils and Heritage NSW for information within 12 months of completing all work described in the documents listed in <b>Condition A1</b> in relation to heritage items. Copies of the Archival Recording Report must also be provided to relevant local historical societies.  | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>DPHI post approval portal lodgement records, 05/02/24, 19/08/24 (submission of Reports to DPHI)</p> <p>Letter Heritage NSW to Sydney Metro, 26/02/24 and 18/04/24 (Heritage review and acceptance of the Reports)</p> <p>Email Sydney Metro to Councils, 05/03/24, 07/03/24, 13/03/24, 21/03/24 (submission of Reports to Councils)</p> <p>Email Metro to Nepean District Historical Society, 18/08/24 (submission of Reports to Historical Society)</p>  | <p>This requirement is not yet due.</p> <p>Sydney Metro provided evidence showing submission of the following reports to the Department, Heritage NSW, Councils and historical society.</p> <ul style="list-style-type: none"> <li>Bringelly RAAF Photo Archival Recording, Artefact Heritage, November 2021;</li> <li>Kelvin Photo Archival Recording, AMBS, September 2023</li> <li>McGarvie Smith Farm Photo Archival Recording, Alexander Mayes Photography, August 2022</li> </ul>   | C                 |
| E26       | Following completion of all work described in the documents listed in Condition A1 in relation to heritage items, a non-Aboriginal Archaeological Excavation Report including the details of further historical research either undertaken or to be carried out and archaeological excavations (with artefact analysis and identification of a final repository for finds) and addressing the research design, must be prepared in accordance with any guidelines and standards required by the Heritage Council of NSW and Heritage NSW. | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25   | Works are ongoing.  | NT                |
| E27       | The <b>non-Aboriginal Archaeological Excavation</b> Report must be submitted to the Planning Secretary, relevant councils and Heritage NSW for information within 12 months of completing all Work described in the documents listed in <b>Condition A1</b> in relation to heritage items. Copies of the Report must also be provided to relevant local historical societies and local libraries.   | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25   | Works are ongoing.  | NT                |
| E28       | All reasonable steps must be taken so as not to harm, modify or otherwise impact Aboriginal objects or places of cultural significance except as authorised by this approval.   | Applicable | Applicable | Applicable | <p>Aboriginal Cultural Heritage Management Plan, Sydney Metro, 19/08/21 (ACHMP)</p> <p>SBT CEMP (Annexure B – unexpected finds protocol), 15/03/24</p> <p>SBT St Marys Archaeological Monitoring Method Statement, AMBS, January 2022</p> <p>SBT St Marys Archaeological Monitoring Report, AMBS, December 2022</p> <p>Sydney Metro interview 03-07/02/25</p> <p>SCAW CEMP, 29/07/24</p> <p>SCAW Non-Aboriginal Heritage Management Plan, 04/10/22</p> <p>Sydney Metro Archaeological Site Clearance Certificates (x8)</p> <p>Email Metro internal, 30/08/22 (status update on Aboriginal archaeological clearance).</p> | <p>It is understood that known Aboriginal heritage items from the EIS have been cleared prior to construction that impacts the land on which they reside, or design has been refined to avoid the items.</p> <p>Aboriginal archaeological investigations and salvage was completed. As at 01/02/23, eight sites have been cleared or partially cleared for the purpose of the SM-WSA project, in accordance with the ACHMP:</p> <ul style="list-style-type: none"> <li>BWB</li> <li>B22 (AHIMS 45-5-2640)</li> <li>AS3</li> <li>UVA1</li> <li>AS7</li> <li>AS6</li> <li>UVA2; and</li> <li>AS2.</li> </ul> <p>According to an email within Metro all sites have been cleared as at 30/08/22. No change for the current audit period.</p> <p>No unexpected Aboriginal heritage finds occurred during the audit period.</p> | C                 |

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| E29       | The Registered Aboriginal Parties ( <b>RAPs</b> ) must be kept regularly informed about the CSSI. The RAPs must continue to be provided with the opportunity to be consulted about the Aboriginal cultural heritage management requirements of the CSSI throughout construction.   | Applicable | Applicable | Applicable | Aboriginal Cultural Heritage Management Plan, Sydney Metro, 19/08/21 (ACHMP)<br><br>WSA RAP consultation log, Sydney Metro, 24/10/24   | <p>Metro advised that, prior to the current audit period, Sydney Metro consulted with RAPs on the final ACHMP. According to a register consultation during the audit period comprised: emails to RAPs regarding fieldwork and on site consultation (August and December 2022), presentation of preliminary findings from investigations (September 2022), update email (and letter) on details of all salvage and artefact assemblage (December 2022). An update was provided to the RAPs on the results of the archaeological program (3D scanning etc.) and advised that they will be provided a copy of the report and request feedback (July 2023). One response supporting the 3D scanning was received from a RAP representative.</p> <p>Further consultation was undertaken in September, December and January about lithic analysis, salvage report progress, coordinates on scar trees and ACHMP update. Metro has also completed consultation on 23/02/24, 08/03/24, 24/06/24 and 24/10/24 around project updates.</p> | C                 |
| E30       | <p>The Aboriginal Cultural Heritage Management Plan included in the documents listed in Condition A1 must be updated to include:</p> <p>(a) a methodology for the completion of pedestrian surveys for all areas within the project footprint yet to be surveyed;</p> <p>(b) procedures for undertaking further test excavation and, if necessary, salvage excavations prior to the commencement of works in areas subject to further test excavation;</p> <p>(c) mapping that clearly outlines all areas yet to be subject to survey, test excavations, and salvage excavations;</p> <p>(d) a procedure to update mapping following the completion of survey, test excavations, and salvage excavations that detail the archaeological works conducted across the project footprint;</p> <p>(e) a procedure for updating the predictive model following the identification of new Aboriginal heritage items; and</p> <p>(f) a procedure to report and update the effectiveness of the Aboriginal Cultural Heritage Management Plan following the completion of survey, test excavation activities or significant artefact finds.</p> <p>The updated Plan must be submitted to the Planning Secretary for information prior to works in areas identified for further test excavations.</p> <p><b>Note:</b> Salvage excavations in the areas identified for salvage in documents in Condition A1, may occur prior to additional test excavations occurring.</p> | Applicable | Applicable | Applicable | Aboriginal Cultural Heritage Management Plan, Sydney Metro, 19/08/21 (ACHMP)<br><br>Letter DPHI to Sydney Metro, 24/09/21<br><br>Sydney Metro interview 03-07/02/25<br><br>Sydney Metro Archaeological Site Clearance Certificates (x8)<br><br>Email Metro internal, 30/08/22 (status update on Aboriginal archaeological clearance).<br><br>Email AECOM to Sydney Metro, 26/07/23 (update on status on Aboriginal Cultural Heritage Excavation Report(s)) | <p>Metro evidence indicates that the Aboriginal Cultural Heritage Plan was updated as per this condition and submitted to the Department. Test excavations commenced on 27/01/22 (i.e.: after submission of the ACHMP to the Department)</p> <p>Aboriginal archaeological investigations and salvage was completed. As at 01/02/23, eight sites have been cleared or partially cleared for the purpose of the SM-WSA project, in accordance with the ACHMP:</p> <ul style="list-style-type: none"><li>• BWB</li><li>• B22 (AHIMS 45-5-2640)</li><li>• AS3</li><li>• UVA1</li><li>• AS7</li><li>• AS6</li><li>• UVA2; and</li><li>• AS2.</li></ul> <p>According to Sydney Metro all sites have been cleared as at 30/08/22. No change for the current audit period.</p> <p>No unexpected Aboriginal heritage finds occurred during the audit period.</p>  | C                 |

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|-----------|---|------------|------------|------------|--|---|-------------------|
| E31       | The updated <b>Aboriginal Cultural Heritage Management Plan</b> must be implemented for the duration of salvage activities and construction.  | Applicable | Applicable | Applicable | <p>Aboriginal Cultural Heritage Management Plan, Sydney Metro, 19/08/21 (ACHMP)</p> <p>Letter DPHI to Sydney Metro, 24/09/21</p> <p>Sydney Metro interview 03-07/02/25</p> <p>Sydney Metro Archaeological Site Clearance Certificates (x8)</p> <p>Email Metro internal, 30/08/22 (status update on Aboriginal archaeological clearance).</p> <p>Email AECOM to Sydney Metro, 26/07/23 (update on status on Aboriginal Cultural Heritage Excavation Report(s))</p> <p>Quarterly Registered Aboriginal Party (RAP) update December 2023, issued 12/12/23</p> <p>WSA RAP consultation log, Sydney Metro, 24/10/24</p>   | <p>To note, test excavation has been completed and the site cleared. The clearance certificates include a statement from the consultant that works were carried out as per the ACHMP and that relevant area has been cleared. Metro are not aware of any areas that will be subject to further excavation and therefore no updates to the mapping, the methodology or the ACHMP.</p> <p>The Project is now in a phase where unexpected finds is the only action on site remaining. Refer to E28 – E36 with respect to completion of other requirements captured in the ACHMP.</p>   | C                 |
| E32       | <p>At the completion of Aboriginal cultural heritage test and salvage excavations, an <b>Aboriginal Cultural Heritage Excavation Report(s)</b> must be prepared by a suitably qualified person. The <b>Aboriginal Cultural Heritage Excavation Report(s)</b> must:</p> <p>(a) be prepared in accordance with the Guide to Investigation, assessing and reporting on Aboriginal cultural heritage in NSW, OEH 2011 and the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales, DECCW 2010; and</p> <p>(b) document the results of the archaeological test excavations and any subsequent salvage excavations (with artefact analysis and identification of a final repository for finds).</p> <p>The <b>RAPs</b> must be given a minimum of 28 days to consider the report(s) and provide comments before the report(s) is finalised. The final report(s) must be provided to the Planning Secretary, Heritage NSW, the relevant Councils, Gandangara LALC and Deerubbin LALC, the RAPs and local libraries within 24 months of the completion of the Aboriginal archaeological excavations (both test and salvage).</p> | Applicable | Applicable | Applicable | <p>Sydney Metro interview 03-07/02/25</p> <p>Sydney Metro Archaeological Site Clearance Certificates (x8)</p> <p>Email Metro internal, 30/08/22 (status update on Aboriginal archaeological clearance).</p> <p>Email AECOM to Sydney Metro, 26/07/23 (update on status on Aboriginal Cultural Heritage Excavation Report(s))</p> <p>WSA RAP consultation log, Sydney Metro, 24/10/24</p> <p>Sydney Metro Western Sydney Airport: Aboriginal Cultural Heritage Excavation Report, prepared by Aecom, dated 7 March 2024</p> <p>Quarterly Registered Aboriginal Party (RAP) update December 2023, issued 12/12/23</p> <p>Letter Heritage NSW to Metro, 30/05/24 (confirmation of receipt of the Aboriginal Cultural Heritage Excavation Report)</p> <p>Email Metro to Councils 15/08/24 (submission of the Aboriginal Cultural Heritage Excavation Report)</p> <p>DPHI post approval portal lodgement, 19/08/24 (submission of the Aboriginal Cultural Heritage Excavation Report)</p> | <p>Aboriginal archaeological investigations and salvage was completed. As at 01/02/23, eight sites have been cleared or partially cleared for the purpose of the SM-WSA project, in accordance with the ACHMP:</p> <ul style="list-style-type: none"> <li>BWB</li> <li>B22 (AHIMS 45-5-2640)</li> <li>AS3</li> <li>UVA1</li> <li>AS7</li> <li>AS6</li> <li>UVA2; and</li> <li>AS2.</li> </ul> <p>According to an email within Metro all sites have been cleared as at 30/08/22 (the first of which was cleared in July 2022). The Excavation Reports are due in ~July 2024.</p> <p>The heritage consultant advised that the draft Aboriginal Cultural Heritage Excavation Report was submitted to Sydney Metro and the RAPs in late 2023. There was 1 x response and the Report is being updated.</p> <p>The Report has been finalized and submitted to each of the stakeholders in this condition.</p> | C                 |

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| E33       | Where previously unidentified Aboriginal objects or places of cultural significance are discovered, all work must immediately stop in the vicinity of the affected area. Works potentially affecting the previously unidentified objects or places must not recommence until Heritage NSW has been informed. The measures to consider and manage this process must be specified in the <b>Unexpected Heritage Finds and Human Remains Procedure</b> required by Condition E34 and include registration in the Aboriginal Heritage Information Management System (AHIMS), where required. | Applicable | Applicable | Applicable | Sydney Metro interview 03-07/02/25<br><br>Unexpected Heritage Finds Procedure, Sydney Metro, May 2021<br><br>Unexpected Heritage Find Reporting Form, 12/01/23 (Scar tree find at Cosgrove's Creek, Luddenham)<br><br>Site access and haul package site plan 255127, Rev2, SCAW (redesign around scar trees)<br><br>Site inspection 03, 07/02/25   | Sydney Metro are not aware of any unexpected finds during the audit period (or prior) with the exception of the following: During the SCAW walk through in November 2022, as part of welcome to country two scar trees were identified. Trees have been protected, additional consultation undertaken and trees were registered on AHIMS (#45-5-5667, and #45-5-5668). Consultation was undertaken, the trees were registered. The trees remain in place and redesign has occurred to avoid these trees. The works proximal to these trees is now complete.  | C                 |
| E34       | An <b>Unexpected Heritage Finds and Human Remains Procedure</b> must be prepared to manage unexpected heritage finds (heritage items and values) in accordance with any guidelines and standards prepared by the Heritage Council of NSW or Heritage NSW.  | Applicable | Applicable | Applicable | Unexpected Heritage Finds Procedure, Sydney Metro, May 2021<br><br>Exhumation Management Procedure, Sydney Metro, May 2021 (Human Remains Procedure)<br><br>Letter DPHI to Sydney Metro, 30/09/21<br><br>SBT CEMP (Annexure B – unexpected finds protocol), 15/03/24<br><br>SCAW CEMP, 29/07/24<br><br>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24 (Appendix Q)<br><br>Letter GML to Laing O'Rourke, 10/10/24 (heritage advice regarding heritage finds uncovered to date)<br><br>FSM WE14 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 05/10/24<br><br>FSM WE09 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 31/08/24<br><br>FSM WE09 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 01/09/24 | The Unexpected Heritage Finds and Human Remains Procedure was prepared in accordance with the relevant guideline by a suitably qualified person, and was approved by the Department prior to the current audit period.<br><br>The procedure has been summarised in Annexure B of the approved SBT CEMP.<br><br>The approved SCAW CEMP has identified this requirement as being the responsibility of Metro. The protocol has been followed when triggered. Refer E28.<br><br>The FSM CEMP includes the Metro unexpected finds procedure.   | C                 |
| E35       | The <b>Unexpected Heritage Finds and Human Remains Procedure</b> must be prepared by a suitably qualified and experienced heritage specialist in consultation with the Heritage Council of NSW (with respect to non-Aboriginal cultural heritage) and in relation to Aboriginal cultural heritage, in accordance with the Code of Practice for Archaeological Investigation of Aboriginal Objects in New South Wales (DECCW 2010) and submitted to the Planning Secretary for information no later than one (1) month before the commencement of construction.                           | Applicable | Applicable | Applicable | SBT CEMP (Annexure B – unexpected finds protocol), 15/03/24<br><br>Unexpected Heritage Finds Procedure, Sydney Metro, May 2021<br><br>Exhumation Management Procedure, Sydney Metro, May 2021 (Human Remains Procedure)<br><br>Letter DPHI to Sydney Metro, 30/09/21 (approval of Unexpected Heritage Finds and Exhumation Management Procedures)<br><br>SCAW CEMP, 29/07/24   | The Unexpected Heritage Finds and Human Remains Procedure was prepared in accordance with the relevant guideline by a suitably qualified person in consultation with Heritage NSW, and was approved by the Department prior to the current audit period.<br><br>The procedure has been summarised in Annexure B of the approved SBT CEMP.<br><br>The approved SCAW CEMP has identified the development of the procedure to be the responsibility of Metro. SCAW utilizes the Metro procedure. The protocol has been followed when triggered. Refer E28.<br><br>The FSM CEMP includes the Metro unexpected finds procedure. | C                 |

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| E36                 | <p>The <b>Unexpected Heritage Finds and Human Remains Procedure</b>, as submitted to the Planning Secretary, must be implemented for the duration of construction.</p> <p>Where archaeological investigations have been undertaken as a result of Unexpected Finds notifications then a Final Archaeological Report must be provided in accordance with Heritage Council guidance and standard requirements for final reporting under Excavation Permits.</p> <p><b>Note:</b> Human remains that are found unexpectedly during the carrying out of work may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately. Management of human remains in NSW is subject to requirements set out in the Public Health Act 2010 (NSW) and Public Health Regulation 2012 (NSW). Nothing in these conditions prevents separate procedures for the Unexpected Heritage Finds and Human Remains Procedure.</p> | Applicable | Applicable | Applicable | <p>SBT CEMP (Annexure B – unexpected finds protocol), 15/03/24</p> <p>Unexpected Heritage Finds Procedure, Sydney Metro, May 2021</p> <p>SBT St Marys Archaeological Monitoring Method Statement, AMBS, January 2022</p> <p>SBT St Marys Archaeological Monitoring Report, AMBS, December 2022</p> <p>Metro/SBT interview 06-07/02/25</p> <p>SCAW CEMP, 29/07/24</p> <p>Unexpected Heritage Find Reporting Form, 22/11/22 (potential find of heritage work during excavation at the SCAW compound)</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>Unexpected Heritage Find Reporting Form, 12/01/23 (Scar tree find at Cosgrove's Creek, Luddenham)</p> <p>Letter GML to Laing O'Rourke, 10/10/24 (heritage advice regarding heritage finds uncovered to date)</p> <p>FSM WE14 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 05/10/24</p> <p>FSM WE09 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 31/08/24</p> <p>FSM WE09 Unexpected Finds Notification (LORAC to Sydney Metro) and report, 01/09/24</p> | <p>The procedure has been incorporated into the SBT CEMP. SBT have trained the workforce in identification and reporting on heritage finds. SBT are not aware of any unexpected finds during the audit period.</p> <p>The approved SCAW CEMP has identified the development of the procedure to be the responsibility of Metro. SCAW utilizes the Metro procedure. The protocol has been followed when triggered.</p> <p>During the SCAW walk through in November 2022, as part of welcome to country two scar trees were identified. Trees have been protected, additional consultation undertaken and trees were registered on AHIMS (#45-5-5667, and #45-5-5668). Consultation was undertaken, the trees were registered. The trees remain in place and redesign has occurred to avoid these trees. The protection of the trees was observed during the audit site inspection.</p> <p>There have been 3 x unexpected finds on FSM during the audit period. These comprise historical brickwork / footings etc. The heritage consultant has authorized that the items are not of significance and do not require salvage or further investigation and can be continued to be impacted during the works. The finds appear to have been reported and notified in accordance with the procedure, noting however that initial notification is made to stakeholder via email, due to the form being completed over the time of assessment by the heritage advisor.</p> | C                 |
| Noise and Vibration |   |            |            |            |   |   |                   |

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| E37       | A detailed land use survey must be undertaken to confirm sensitive land use(s) (including critical working areas such as operating theatres and precision laboratories) potentially exposed to construction noise and vibration and construction ground-borne noise. The survey may be undertaken on a progressive basis but must be undertaken in any one area before the commencement of work which generates construction noise, vibration or ground-borne noise in that area. The results of the survey must be included in the <b>Detailed Noise and Vibration Impact Statements</b> required under <b>Condition E47</b> . | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Land Use Survey, Resonate, 05/08/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Survey and Utility Investigation Works, 21/09/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Material Delivery and Stockpiling, 23/02/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, OOHV deliveries at Elizabeth Drive, 09/01/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove’s Creek to Paton’s Lane, 26/07/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Sandstone Delivery and Placement for Cosgroves Creek to Patons Lane and Defence Establishment Orchard Hills &amp; Haul Road Drainage Crossing, 21/09/23 and ER letter of endorsement 11/10/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25</p> | The Land Use Surveys are included in the SBT, SCAW, FSM DNVISs. According to the revision dates on the Land Use Surveys within the DNVISs (or those from previous audit periods), the surveys appear to have been prepared prior to works resulting in construction noise, vibration or ground borne noise. | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
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| E38       | <p>Work must only be undertaken during the following hours:</p> <p>(a) 7:00am to 6:00pm Mondays to Fridays, inclusive;</p> <p>(b) 8:00am to 1:00pm Saturdays; and</p> <p>(c) at no time on Sundays or public holidays.</p> | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SBT OOHW Register 2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSed, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> | <p>Refer to earlier audit reports for evidence demonstrating how construction hours (standard and high noise) are specified within project documentation and communicated to the workforce.</p> <p>High risk activities are monitored by construction staff and the ER. According to the ER Monthly Reports, there do not appear to be any other instances of breaches of the work hours.</p> <p>OOHW permits have been granted for OOHW and these appear to have had reasonable justification.</p> <p>8 x OOHW noise complaints were recorded on the complaints register for the audit period. These relate to SBT OOHW at Claremont Meadows (x4) and Orchard Hills (x4). The register appears to indicate that these all related to approved works. 2 x complaints were received by SBT regarding daytime noise at Bringelly associated with the use of a streetsweeper. SBT noted that this was to manage material tracking.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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| E39       | <p>Except as permitted by an EPL or approved in accordance with the Out-of-Hours Works Protocol required by Condition E42, highly noise intensive work that result in an exceedance of the applicable NML at the same receiver must only be undertaken:</p> <p>(a) between the hours of 8:00 am to 6:00 pm Monday to Friday;</p> <p>(b) between the hours of 8:00 am to 1:00 pm Saturday; and</p> <p>(c) if continuously, then not exceeding three (3) hours, with a minimum cessation of work of not less than one (1) hour.</p> <p>For the purposes of this condition, 'continuously' includes any period during which there is less than one (1) hour between ceasing and recommencing any of the work.</p> | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SBT OOHW Register 2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSed, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> | <p>SBT indicates that there have not been any day time works that were likely to have triggered this requirement during the audit period.</p> <p>SCAW have not identified any highly noise intensive works that result in exceedances of the NML.</p> <p>AEW FSM did not have any highly noise intensive activities during the audit period.</p> <p>10 x noise complaints were recorded during the audit period, but none of these indicate a breach with E39.</p> | C                 |
| E40       | <p>This approval does not permit blasting.</p>   | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>Sydney Metro interview 03-07/02/25</p>   | <p>The auditees are not aware of any blasting.</p>   | NT                |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|------------|------------|------------|--|--|-------------------|
| E41       | <p>Notwithstanding Conditions E38 and E39 work may be undertaken outside the hours specified in the following circumstances:</p> <p>(a) Safety and Emergencies, including:</p> <p>(i) for the delivery of materials required by the NSW Police Force or other authority for safety reasons; or</p> <p>(ii) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or</p> <p>(b) Low impact, including:</p> <p>(i) construction that causes LAeq(15 minute) noise levels: • no more than 5 dB(A) above the rating background level at any residence in accordance with the ICNG, and • no more than the 'Noise affected' NMLs specified in Table 3 of the ICNG at other sensitive land user(s); and</p> <p>(ii) construction that causes: • continuous or impulsive vibration values, measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), or • intermittent vibration values measured at the most affected residence are no more than the preferred values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006); or</p> <p>(c) By Approval, including:</p> <p>(i) where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or</p> <p>(ii) works which are not subject to an EPL that are approved under an Out-of-Hours Work Protocol as required by Condition E42; or</p> <p>(iii) negotiated agreements with directly affected residents and sensitive land user(s); or</p> <p>(d) By Prescribed Activity, including:</p> <p>(i) tunnelling and ancillary support activities (excluding cut and cover tunnelling and surface works not directly supporting tunneling) are permitted 24 hours a day, seven days a week; or</p> <p>(ii) grout batching at the Orchard Hills construction site is permitted 24 hours per day, seven days per week; or</p> <p>(iii) delivery of material that is required to be delivered outside of standard construction hours in Condition E38 to directly support tunnelling activities, except between the hours 10:00 pm and 7:00 am to / from the Orchard Hills ancillary facility; or</p> <p>(iv) haulage of spoil generated through tunnelling is permitted 24 hours per day, seven days per week except between the hours of 10:00 pm and 7:00 am to / from the Orchard Hills construction site; or</p> <p>(v) works within an acoustic enclosure are permitted 24 hours a day, seven days a week where there is no exceedance of noise levels or intermittent vibration levels under Low impact circumstances identified in Condition E41(b), unless otherwise agreed with the Planning Secretary; or</p> <p>(vi) tunnel and underground station box fit out works are permitted 24 hours per day, seven days per week.</p> <p>On becoming aware of the need for emergency work in accordance with (a)(ii) above, the ER, the Planning Secretary and the EPA must be notified of the reasons for such work. The Proponent must use best endeavours to notify as soon as practicable all noise and/or vibration affected sensitive land user(s) of the likely impact and duration of those work.</p> <p><b>Notes:</b> 1. Tunnelling does not include station box excavation. 2. Tunnelling ancillary support activities includes logistics support and material handling and delivery</p> | Applicable | Applicable | Applicable | <p>SBT NSW (Off-airport) Construction Noise and Vibration Management Sub-plan, 20/02/24 (SBT NVMP) including Noise and Vibration Monitoring Program</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SBT OOHW Register 2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays) (</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSED, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> | <p>SBT indicates that 6 x OOHW during the audit period have been conducted under conditions L5.1 – L5.11 of EPL 21672. The OOHW Permit Application Register identifies each OOHW activities approved for the audit period. The OOHW application process includes justification (of which appear to meet the requirements of this condition), assessment, controls to be applied (where applicable), notification requirements, cumulative impact consideration and authorization.</p> <p>8 x OOHW noise complaints were recorded on the complaints register for the audit period. These relate to SBT OOHW at Claremont Meadows (x4) and Orchard Hills (x4). The register appears to indicate that these all related to approved works.</p> <p>SCAW indicates that 2x OOHW during the audit period to be conducted under L5.1 – L5.8 of EPL 21695. All OOHW conducted during the audit period were assessed as being low impact under the EPL, or covered under a DNVIS. The OOHW application process includes justification, assessment, controls to be applied (where applicable), notification requirements, cumulative impact consideration and authorization. There does not appear to be OOHW that do not fit the requirements of this condition.</p> <p>FSM has conducted several weekend possessions which have involved OOHW and were conducted as per the approved OOHW Protocol. For each the relevant assessment has been conducted and approval received. No complaints have been received in relation to noise form these works.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E42       | <p>An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work (not subject to an EPL) that is outside the hours defined in Conditions E38 and E39. The Protocol must be approved by the Planning Secretary before commencement of the out-of-hours work. The Protocol must be prepared in consultation with the ER. The Protocol must provide:</p> <p>(a) justification for why out-of-hours work need to occur;</p> <p>(b) identification of low and high-risk activities and an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where:</p> <ul style="list-style-type: none"><li>(i) the ER reviews all proposed out-of-hours activities and confirms their risk levels;</li><li>(ii) low risk activities that can be approved by the ER; and</li><li>(iii) high risk activities that are approved by the Planning Secretary;</li></ul> <p>(c) a process for the consideration of out-of-hours work against the relevant NML and vibration criteria;</p> <p>(d) a process for selecting and implementing mitigation measures for residual impacts in consultation with the community at each affected location, including respite periods consistent with the requirements of Condition E56. The measures must take into account the predicted noise levels and the likely frequency and duration of the out-of-hours works that sensitive land user(s) would be exposed to, including the number of noise awakening events;</p> <p>(e) procedures to facilitate the coordination of out-of-hours work including those approved by an EPL or undertaken by a third party, to ensure appropriate respite is provided; and</p> <p>(f) notification arrangements for affected receivers for all approved out-of-hours works and notification to the Planning Secretary of approved low risk out-of-hours works.</p> <p>This condition does not apply if the requirements of <b>Condition E41</b> are met.</p> <p><b>Note:</b> Out-of-hours work is any work that occurs outside the construction hours identified in Condition E38 and E39.</p> | Applicable | Applicable | Applicable | <p>Sydney Metro Western Sydney Airport Out of Hours Works Protocol, Sydney Metro, 08/11/21</p> <p>Letter DPHI to Sydney Metro, 11/11/21 (approval of OOHW Protocol)</p> <p>Sydney Metro interview 03-07/02/25</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSED, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> | <p>The overarching OOHW Protocol was developed and approved prior to the current audit period.</p> <p>Both SBT and SCAW operate under their EPLs and do not use the OOHW Protocol.</p> <p>The FSM OOHW conducted during the audit period have been conducted in accordance with the approved OOHW Protocol.</p> | C                 |

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| E43 | <p>Mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria:</p> <p>(a) construction 'Noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009);</p> <p>(b) preferred vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure);</p> <p>(c) Australian Standard AS 2187.2 - 2006 "Explosives - Storage and Use - Use of Explosives" (for human exposure); (d) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and</p> <p>(e) the vibration limits set out in the German Standard DIN 4150-3: Structural Vibration- effects of vibration on structures (for structural damage).</p> <p>Any work identified as exceeding the noise management levels and / or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-plan.</p> <p><b>Note:</b> The ICNG identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</p> | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Survey and Utility Investigation Works, 21/09/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Material Delivery and Stockpiling, 23/02/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, OOHW deliveries at Elizabeth Drive, 09/01/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 26/07/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Sandstone Delivery and Placement for Cosgroves Creek to Patons Lane and Defence Establishment Orchard Hills &amp; Haul Road Drainage Crossing, 21/09/23 and ER letter of endorsement 11/10/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays) (</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> | <p>SBTs works diminished significantly over the audit period, to completion in January 2025. The noise risk throughout this time feel accordingly. As noted in previous audits, SBT Sites had hoarding installed as per the DNVISs. St Marys had a small acoustic shed at the top of the box to allow concrete deliveries during OOH. The works at this site were completed and handed over to SSTOM in October 23. Solar powered light towers are being used on occasion. Noise blankets were installed on noisy plant including generators and scrubber fans. All plant was assessed through the Chek Rite system which verifies that the plant is well maintained and fitted with non-tonal beacons. Plant sound power levels were periodically checked on a risk based approach to ensure they are below the predicted levels. Noise monitoring results at receiver has not identified construction noise as being excessive. The SBT auditees have not identified any vibration intensive works or activities within the safe working distances of plant. SBT OOHW appear to have undergone the appropriate assessment and mitigation. 10 x noise complaints were received during the audit period. These do not appear to be excessive and the response appears to have been adequate.</p> <p>SCAWs works diminished significantly over the audit period, to the extent that one small site at Warragamba remained in February 2025. The noise risk throughout this time feel accordingly. As noted in previous audits, the SCAW DNVISs identify controls to be applied and these are checked via inspection and monitoring. SCAW standard construction hours works have been measured as being compliant with the applicable criteria. The works are not proximal to sensitive receivers (with the exception of 16-20 Lansdowne Road during some minor road works), and therefore impacts are limited. The OOHW applications have identified predominantly compliant noise and vibration levels or have been completed under a community agreement. No noise complaints recorded for the audit period.</p> <p>The noise risk from FSM is limited. No complaints have been received in relation to noise or vibration from these works.</p> | C |
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| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
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|           |   |            |            |            | <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSed, water discharges, OOHw, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHw permit), heritage, waste and stockpiling</p> <p>FSM OOHw permits WE08, WE09, WE14, WE24, WE28.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> | <p>The ER has not identified any material issues with respect to noise and vibration management / non-compliances during the audit period.</p>  |                   |
| E44       | <p>All reasonable and feasible mitigation measures must be applied when the following residential ground-borne noise levels are exceeded:</p> <p>(a) evening (6:00 pm to 10:00 pm) — internal LAeq(15 minute): 40 dB(A); and</p> <p>(b) night (10:00 pm to 7:00 am) — internal LAeq(15 minute): 35 dB(A).</p> <p>The mitigation measures must be outlined in the Noise and Vibration CEMP Sub-plan, including in any Out-of-Hours Work Protocol, required by Condition E42.</p> | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Groundborne noise monitoring report, Renzo Tonin, 30/05/24</p> <p>SBT Groundborne noise monitoring report (Derwent Road complaint response), Renzo Tonin, 09/07/24</p>  | <p>The SBT Tunnelling DNVIS identifies a number of receivers that are predicted to experience ground borne noise above the adopted criteria for tunnelling and cross passage excavation. Ground borne noise mitigation measures are included in Section 6.3.3 of the DNVIS and Sections 6 and 8 of the approved Noise and Vibration Management Sub-plan. Ground borne noise monitoring was conducted by Renzo Tonin during the previous audit period. For each the measured results were below the criteria. Tunnelling was essentially complete prior to the current audit period, with TBMs demobilized in July 2024 and cross passage excavation completed prior to October 2024.</p> <p>Ground-borne noise is not anticipated to be an impact on SCAW or the FSM packages as these involve surface works.</p> | C                 |

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| E45 | Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution.   | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SCAW Noise and Vibration Management Sub-plan, 19/06/24 (SCAW NVMP) including noise and vibration monitoring program and records of consultation, revised in response to Sydney Metro and ER comments</p> <p>SCAW Land Use Survey, Resonate, 05/08/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Survey and Utility Investigation Works, 21/09/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Material Delivery and Stockpiling, 23/02/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, OOHV deliveries at Elizabeth Drive, 09/01/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 26/07/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Sandstone Delivery and Placement for Cosgroves Creek to Patons Lane and Defence Establishment Orchard Hills &amp; Haul Road Drainage Crossing, 21/09/23 and ER letter of endorsement 11/10/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25</p> <p>Consultation Manager extract, 14/11/24 (consultation with Vet Lab regarding works)</p> | None of the DNVIS' identify any sensitive land uses listed in this condition as exceeding applicable NMLs. FSM has demonstrated consultation with the one potentially affected sensitive receiver (VetLab). Evidence indicates that they have no issue with the works being undertaken.  | C |
| E46 | <p>Industry best practice construction methods must be implemented where reasonably practicable to ensure that noise and vibration levels are minimised around sensitive land use(s). Practices may include, but are not limited to:</p> <p>(a) use of regularly serviced low sound power equipment;</p> <p>(b) at source control, temporary noise barriers (including the arrangement of plant and equipment) around noisy equipment and activities such as rock hammering and concrete cutting;</p> <p>(c) use of non-tonal reversing alarms; and</p> <p>(d) use of alternative construction and demolition techniques.</p> | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p>  | SBTs works diminished significantly over the audit period, to completion in January 2025. The noise risk throughout this time feel accordingly. As noted in previous audits, SBT Sites had hoarding installed as per the DNVISs. St Marys had a small acoustic shed at the top of the box to allow concrete deliveries during OOH. The works at this site were completed and handed over to SSTOM in October 23. Solar powered light towers are being used on occasion. Noise blankets were installed on noisy plant including generators and scrubber fans. All plant was assessed through the Chek Rite system which verifies that the plant is well maintained and fitted with non-tonal beacons. Plant sound power levels were | C |

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|  |  |  |  | <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Survey and Utility Investigation Works, 21/09/22</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Material Delivery and Stockpiling, 23/02/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, OOHW deliveries at Elizabeth Drive, 09/01/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove’s Creek to Paton’s Lane, 26/07/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Sandstone Delivery and Placement for Cosgroves Creek to Patons Lane and Defence Establishment Orchard Hills &amp; Haul Road Drainage Crossing, 21/09/23 and ER letter of endorsement 11/10/23</p> <p>SCAW Detailed Noise and Vibration Impact Statement, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays) (</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERS&amp;D, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> | <p>periodically checked on a risk based approach to ensure they are below the predicted levels. Noise monitoring results at receiver has not identified construction noise as being excessive. The SBT auditees have not identified any vibration intensive works or activities within the safe working distances of plant. SBT OOHW appear to have undergone the appropriate assessment and mitigation. 10 x noise complaints were received during the audit period. These do not appear to be excessive and the response appears to have been adequate.</p> <p>SCAWs works diminished significantly over the audit period, to the extent that one small site at Warragamba remained in February 2025. The noise risk throughout this time fell accordingly. As noted in previous audits, the SCAW DNVISs identify controls to be applied and these are checked via inspection and monitoring. SCAW standard construction hours works have been measured as being compliant with the applicable criteria. The works are not proximal to sensitive receivers (with the exception of 16-20 Lansdowne Road during some minor road works), and therefore impacts are limited. The OOHW applications have identified predominantly compliant noise and vibration levels or have been completed under a community agreement. No noise complaints recorded for the audit period.</p> <p>The noise risk from FSM is limited. No complaints have been received in relation to noise or vibration from these works.</p> |  |
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| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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|           |                        |     |      |       | <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> | The ER has not identified any material issues with respect to noise and vibration management / non-compliances during the audit period. |                   |

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| E47 | <p><b>Detailed Noise and Vibration Impact Statements (DNVIS)</b> must be prepared for any work that may exceed the NMLs, vibration criteria and / or ground-borne noise levels specified in <b>Conditions E43 and E44</b> at any residence outside construction hours identified in <b>Condition E38</b>, or where receivers will be highly noise affected or subject to vibration levels above those otherwise determined as appropriate by a suitably qualified structural engineer under <b>Condition E87</b>. The DNVIS must include specific mitigation measures identified through consultation with affected sensitive land user(s) and the mitigation measures must be implemented for the duration of the works. A copy of the DNVIS must be provided to the ER before the commencement of the associated works. The Planning Secretary and the EPA may request a copy (ies) of the DNVIS.</p> | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, May - Dec 24, 18/12/24 (and DPHI post approval portal lodgment 12/02/25)</p> <p>SBT Monthly Construction Report (for the ER), August 24 – December 24</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>SCAW weekly synergy reports (inspection register)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays) (</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module covering housekeeping, parking, ECMs, project boundary, dust controls, emissions, bunding, waste management, ERSed, water discharges, OOHW, UFP), (online spanning the audit period)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> | <p>Refer to Independent Audit No. 3 - 6 for the status of preparation of DNVISs, submission to the ER and department / EPA for earlier audit periods.</p> <p>No updated or new DNVISs were prepared by SBT or SCAW during the audit period. During the audit period FSM updated its DNVIS. This was provided to the ER prior to the triggering works commencing. The auditees are not aware of the Department or EPA requesting copies of DNVISs. All the DNVISs are presented on line on the Sydney Metro and contractor websites.</p> <p>Refer to E43 and E46 regarding implementation of controls. The auditor is of the view that the controls implemented appear to be consistent with that from the DNVISs. The ER has not identified any material departures in the controls from that stated in the DNVISs.</p> | C |
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|           |                        |     |      |       | ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPPI post approval portal lodgement records of submission of ER Monthly Reports<br><br>Complaints register current to 09/02/25 |  |                   |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E48       | Owners and occupiers of properties at risk of exceeding the screening criteria for cosmetic damage must be notified before works that generate vibration commences in the vicinity of those properties. If the potential exceedance is to occur more than once or extend over a period of 24 hours, owners and occupiers must be provided a schedule of potential exceedances on a monthly basis for the duration of the potential exceedances, unless otherwise agreed by the owner and occupier. These properties must be identified and considered in the Noise and Vibration CEMP Sub-plan. | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>SCAW consolidated monitoring result register, 30/07/24</p> <p>SCAW 6-monthly construction monitoring report (Nov 23 - Apr 24) and EPL Monitoring Reports (Feb - Jul 24).<br/><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>Letter Resonate to CPBUI, 13/08/24 (vibration monitoring report for 16-20 Lansdowne Road)</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays) (</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> | <p>The SBT have not identified any properties at risk of exceeding the screening criteria for cosmetic damage for the current scope of works. SCAW had one property (16-20 Lansdowne Road) at risk. Vibration intensive works were completed near this receiver prior to the current audit period.</p> <p>The AEW FSM identifies the cosmetic damage screening criteria have the potential to be exceeded at the following St Marys Station structures:</p> <ul style="list-style-type: none"><li>• St Marys Commuter Car Park</li><li>• Platforms 1/2 and 3/4 (Heritage listed - 1888 &amp; 1942-3)</li><li>• Platforms 3/4 building (Heritage Listed - 1888).</li></ul> <p>For the St Marys Station platform and building: Direct impacts on the platform are required to install the FSM foundations. No monitoring is required on this structure according to the approved AEW FSM CEMP. Monitoring is required on the platform building. Heritage advice has been sought and presented in Section 4.2.6 of the Heritage Procedure within the AEW FSM CEMP. Monitoring has been conducted and results have been satisfactory.</p> | C                 |

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| E49       | <p>Where sensitive land use(s) are identified in <b>Appendix B</b> as exceeding the highly noise affected criteria during typical case construction, mitigation measures must be implemented with the objective of reducing typical case construction noise below the highly noise affected criteria at each relevant sensitive land use(s). Activities that would exceed highly noise affected criteria during typical case construction must not commerce until the measures identified in this condition have been implemented, unless otherwise agreed with the Planning Secretary.</p> <p><b>Note:</b> Mitigation measures may include path barrier controls such as acoustic sheds and/or noise walls, at-property treatment, or a combination of path and at-property treatment.</p>  | Applicable | Applicable     | Applicable     | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, Nov 23 – Apr 24, 29/05/24</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>Letter Resonate to CPBUI, 13/08/24 (vibration monitoring report for 16-20 Lansdowne Road)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> | <p>SBT DNVIS's have not identified sensitive land use(s) in Appendix B as exceeding the highly noise affected criteria during typical case construction for the works conducted during the audit period <u>and</u> utilizing the controls that have been adopted (noise walls, acoustic shed etc.). SBT works are now complete.</p> <p>SCAW reviewed the scenarios whereby this could be triggered for its scope of works (the only area within their scope potentially triggering this is in Orchard Hills at 16-20 Lansdowne Road). A DNVIS was prepared and specific mitigation measures have been developed in consultation with the stakeholder (beyond the standard mitigations). A colorbond fence was installed. The receiver has not requested any additional noise barrier or at-property treatment, despite being made aware that the colorbond fence would not provide attenuation recommended by the DNVIS. These works have been completed and the receiver did not raise any complaints.</p> <p>According to the AEW FSM DNVIS, this requirement has not been triggered.</p> | NT                |
| E50       | <p>For all construction sites where acoustic sheds are installed, the sheds must be designed, constructed and operated to minimise noise emissions. This would include the following considerations:</p> <p>(a) all significant noise producing equipment that would be used during the night-time would be inside the sheds, where feasible and reasonable;</p> <p>(b) noise generating ventilation systems such as compressors, scrubbers, etc., would be located inside the sheds and external air intake/discharge ports would be appropriately acoustically treated; and</p> <p>(c) the doors of acoustic sheds would be kept closed during the night-time period. Where nighttime vehicle access is required at sites with nearby residences, the shed entrances would be designed and constructed to minimise noise breakout.</p> | Applicable | Not Applicable | Not Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023</p> <p>SBT acoustic shed design documents (various)</p> <p>Acoustic shed monitoring results, 07/08/23</p> <p>Email Renzo Tonin to SBT, 18/08/23 (confirmation on acoustic shed performance)</p>  | <p>1 x small acoustic shed had been established at St Marys for concrete pours into the shaft (as identified in the St Marys DNVIS). It appears as though the acoustic shed is using a lower specification corrugated iron than that stated in the DNVIS. That being said, acoustic monitoring was conducted and the acoustic consultant stated that the shed is performing better than expected. Therefore, the model is validated and no further action is required. There were no complaints received in relation to activities in or around the shed. The SBT works at St Marys were completed and handed over to SSTOM in October 23.</p>  | NT                |

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| E51       | <p>Where Condition E49 determines that at-property treatment (temporary or permanent) is the appropriate measure to reduce noise impacts, this at-property treatment must be offered to landowners of residential properties for habitable living spaces, unless other mitigation or management measures are agreed to by the landowner.</p> <p>Landowners must be advised of the range of options that can be installed at or in their property and given a choice as to which of these they agree to have installed.</p> <p>A copy of all guidelines and procedures that will be used to determine at-property treatment at their residence must be provided to the landowner.</p> | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, Nov 23 – Apr 24, 29/05/24</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>Letter Resonate to CPBUI, 13/08/24 (vibration monitoring report for 16-20 Lansdowne Road)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> | <p>SBT DNVIS's have not identified sensitive land use(s) in Appendix B as exceeding the highly noise affected criteria during typical case construction for the works conducted during the audit period <u>and</u> utilizing the controls that have been adopted (noise walls, acoustic shed etc.).</p> <p>SCAW reviewed the scenarios whereby this could be triggered for its scope of works (the only area within their scope potentially triggering this is in Orchard Hills at 16-20 Lansdowne Road). A DNVIS was prepared and specific mitigation measures have been developed in consultation with the stakeholder (beyond the standard mitigations). A colorbond fence was installed. The receiver has not requested any additional noise barrier or at-property treatment, despite being made aware that the colorbond fence would not provide attenuation recommended by the DNVIS. These works have been completed and the receiver did not raise any complaints.</p> <p>According to the AEW FSM DNVIS, this requirement has not been triggered.</p> | NT                |

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| E52       | <p>Any offer for at-property treatment or the application of other noise mitigation measures in accordance with Condition E51, does not expire until the noise impacts specified in Condition E49, affecting that property are completed, even if the landowner initially refuses the offer.</p> <p><b>Note:</b> If an offer has been made but is not accepted, this does not preclude the commencement of construction under Condition E49.</p> | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, Nov 23 – Apr 24, 29/05/24</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove’s Creek to Paton’s Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>Letter Resonate to CPBUI, 13/08/24 (vibration monitoring report for 16-20 Lansdowne Road)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> | <p>SBT DNVIS’s have not identified sensitive land use(s) in Appendix B as exceeding the highly noise affected criteria during typical case construction for the works conducted during the audit period <u>and</u> utilizing the controls that have been adopted (noise walls, acoustic shed etc.).</p> <p>SCAW reviewed the scenarios whereby this could be triggered for its scope of works (the only area within their scope potentially triggering this is in Orchard Hills at 16-20 Lansdowne Road). A DNVIS was prepared and specific mitigation measures have been developed in consultation with the stakeholder (beyond the standard mitigations). A colorbond fence was installed. The receiver has not requested any additional noise barrier or at-property treatment, despite being made aware that the colorbond fence would not provide attenuation recommended by the DNVIS. These works have been completed and the receiver did not raise any complaints.</p> <p>According to the AEW FSM DNVIS, this requirement has not been triggered.</p> | NT                |

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| E53       | The implementation of at-property treatment does not preclude the application of other noise and vibration mitigation and management measures including temporary and long term accommodation. | Applicable | Applicable | Applicable | <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Tunnelling, August 2023 (and submission to ER 20/07/23)</p> <p>SBT Detailed Noise and SBT Detailed Noise and Vibration Impact Statement - Orchard Hills Tunnel Support Worksite, July 2023</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023 and addendum for TBM retrieval 09/04/24 and submission to ER 10/04/24.</p> <p>SBT Detailed Noise and Vibration Impact Statement - Claremont Meadows Ventilation Facility, September 2023, including addendum 14/02/24 and ER endorsement 22/02/24</p> <p>SBT Detailed Noise and Vibration Impact Statement - Aerotropolis Core Station, April 2023 and Addendum for TBM retrieval works 22/05/24 (and submission to ER, 03/05/24)</p> <p>SBT Detailed Noise and Vibration Impact Statement - Bringelly Services Facility, 19/02/24 (including ER endorsement).</p> <p>SBT Noise and Vibration Monitoring Report, Nov 23 – Apr 24, 29/05/24</p> <p>SCAW Detailed Noise and Vibration Impact Statement No. 4, Warragamba Pipeline Works, Luddenham Roundabout Works and Full Viaduct Alignment – Cosgrove's Creek to Paton's Lane, 29/04/24 (and ER submission 17/05/24)</p> <p>SCAW Detailed Noise and Vibration Impact Statement No 6, Lansdowne Road / Samuel Marsden Earthworks &amp; Structure Works, 13/11/23 and ER Letter of endorsement 23/11/23.</p> <p>Letter Resonate to CPBUI, 13/08/24 (vibration monitoring report for 16-20 Lansdowne Road)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> | <p>SBT DNVIS's have not identified sensitive land use(s) in Appendix B as exceeding the highly noise affected criteria during typical case construction for the works conducted during the audit period <u>and</u> utilizing the controls that have been adopted (noise walls, acoustic shed etc.).</p> <p>SCAW reviewed the scenarios whereby this could be triggered for its scope of works (the only area within their scope potentially triggering this is in Orchard Hills at 16-20 Lansdowne Road). A DNVIS was prepared and specific mitigation measures have been developed in consultation with the stakeholder (beyond the standard mitigations). A colorbond fence was installed. The receiver has not requested any additional noise barrier or at-property treatment, despite being made aware that the colorbond fence would not provide attenuation recommended by the DNVIS. These works have been completed and the receiver did not raise any complaints.</p> <p>According to the AEW FSM DNVIS, this requirement has not been triggered.</p> | C                 |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E54       | Vibration testing must be conducted during vibration generating activities that have the potential to impact on Heritage items to verify minimum working distances to prevent cosmetic damage. In the event that the vibration testing and attended monitoring shows that the preferred values for vibration are likely to be exceeded, the Proponent must review the construction methodology and, if necessary, implement additional mitigation measures. Such measures must include, but not be limited to, review or modification of excavation techniques. | Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023</p> <p>Email chain, Transport to Sydney Metro, 02/03/21 – 31/05/21 (Transport Heritage Advisor advice on vibration impact on the Goods Shed)</p> <p>Email Chain AMBS and Sydney Metro, 27/01/23 – 03/02/23 (heritage advice on installation of 'crack meters' at the Goods Shed)</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SCAW Warragamba Pipeline Vibration Assessment Report, Douglas Partners, 25/08/23</p> <p>SCAW Warragamba Vibration Monitoring Reports, Douglas Partners, 26/10/23 – 16/01/24 (vibration monitoring on Warragamba pipeline, results all satisfactory).</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> | <p>It is understood based on the evidence sighted that the only heritage item in the safe working distance of SBT construction during the audit period is the Goods Shed at St Marys and the St Marys Station platform and building. The St Marys area was handed over to SSTOM prior to the current audit period.</p> <p>For the Warragamba Pipeline (SCAW works) during the fourth audit period, the Douglas Partners assessment report identified relevant criteria and recommendations around static drum rolling within safe working distances of the pipeline (associated with establishment of the crane pad). Monitoring was conducted during the works, with the results being satisfactory. There have been no works requiring vibration testing during the sixth or seventh audit periods.</p> <p>For the St Marys Station platform and building: Direct impacts on the platform are required to install the FSM foundations. No monitoring is required on this structure according to the approved AEW FSM CEMP. Monitoring is required on the platform building. Heritage advice has been sought and presented in Section 4.2.6 of the Heritage Procedure within the AEW FSM CEMP. Monitoring has been conducted and results have been satisfactory.</p> | C                 |

| Unique ID | Compliance requirement   | SBT            | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|----------------|------------|------------|---|---|-------------------|
| E55       | The Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration, movement and noise monitoring at Heritage items. | Not Applicable | Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>SBT Detailed Noise and Vibration Impact Statement - St Marys Station, February 2023</p> <p>Email chain, Transport to Sydney Metro, 02/03/21 – 31/05/21 (Transport Heritage Advisor advice on vibration impact on the Goods Shed)</p> <p>Email Chain AMBS and Sydney Metro, 27/01/23 – 03/02/23 (heritage advice on installation of 'crack meters' at the Goods Shed)</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SCAW Warragamba Pipeline Vibration Assessment Report, Douglas Partners, 25/08/23</p> <p>SCAW Warragamba Vibration Monitoring Reports, Douglas Partners, 26/10/23 – 16/01/24 (vibration monitoring on Warragamba pipeline, results all satisfactory).</p> <p>Memo, AMBS to SCAW, 26/07/23 (advice on installation of vibration monitors on heritage items, including Warragamba pipeline)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p> <p>Letter ER to Sydney Metro, 18/09/24 (ER endorsement of minor update to FSM CEMP Revision 03)</p> <p>AEW FSM Detailed Noise and Vibration Impact Statement, 24/01/25 and submission to ER on 13/11/24</p> <p>FSM Noise and Vibration Monitoring Result Register current to 15/12/24</p> | <p>It is understood based on the evidence sighted that the only heritage item in the safe working distance of SBT construction during the audit period is the Goods Shed at St Marys and the St Marys Station platform and building. The St Marys area was handed over to SSTOM prior to the current audit period.</p> <p>For the Warragamba Pipeline (SCAW works), heritage specialist advice was sought regarding the monitoring. The advice was to ensure the monitors are fixed in a way that does not damage the item. The Douglas Partners assessment report identified the method of fixing (taping) which is consistent with the heritage advisor's advice. The Assessment Report also identified relevant criteria and recommendations around static drum rolling within safe working distances of the pipeline. Monitoring was conducted during the works, with the results being satisfactory. There have been no works requiring vibration testing during the sixth or seventh audit periods.</p> <p>For the St Marys Station platform and building: Direct impacts on the platform are required to install the FSM foundations. No monitoring is required on this structure according to the approved AEW FSM CEMP. Monitoring is required on the platform building. Heritage advice has been sought and presented in Section 4.2.6 of the Heritage Procedure within the AEW FSM CEMP. Monitoring has been conducted and results have been satisfactory.</p> | C                 |

| Unique ID | Compliance requirement  | SBT            | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|----------------|------------|------------|---|--|-------------------|
| E56       | <p>All work undertaken for the delivery of the CSSI, including those undertaken by third parties (such as utility relocations), must be coordinated to ensure respite periods are provided. The Proponent must:</p> <p>(a) reschedule any work to provide respite to impacted noise sensitive land use(s) so that the respite is achieved in accordance with Condition E57; or</p> <p>(b) consider the provision of alternative respite or mitigation to impacted noise sensitive land use(s); and</p> <p>(c) provide documentary evidence to the ER in support of any decision made by the Proponent in relation to respite or mitigation</p> <p>The consideration of respite must also include all other approved Critical SSI, SSI and SSD projects which may cause cumulative and / or consecutive impacts at receivers affected by the delivery of the CSSI.</p> | Not Applicable | Applicable | Applicable | <p>CICG Meeting Minutes, Aug 24 - Jan 25</p> <p>Sydney Metro Monthly Cumulative Impacts Monthly Meeting, Aug 24 - Jan 25</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SBT OOHW Register 2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>Complaints register current to 09/02/25</p> | <p>SBT, SCAW and FSM have had minimal overlap during the audit period and track planned OOHW.</p> <p>Sydney Metro holds a monthly cumulative impacts contractor meeting held with metro and contractors. This ensures coordination and respite NOTE: This does not include third parties (e.g.: John Holland / Sydney Water, WSA). M12 does attend periodically.</p> <p>Sydney Metro holds fortnightly meetings with its major contractors and the ERs to discuss a variety of environmental issues, including potential for cumulative impacts.</p> <p>Communications Interface Coordination Groups (CICG) meet monthly to discuss upcoming works and potential for cumulative impacts. Where upcoming works are likely to overlap, this triggers the need for further discussion and review of potential scheduling and impact. The CICG underwent an update to allow all Metro WSA OOHW plus those from WSACo, M12 and Sydney Water etc. to be discussed. This is a positive development with respect to managing cumulative impacts across the alignment.</p> <p>8 x complaints were recorded during the audit period regarding OOHW. None of these complaints appear to indicate a failure in the provision of respite, where eligible.</p> | C                 |

| Unique ID | Compliance requirement  | SBT            | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|----------------|------------|------------|--|--|-------------------|
| E57       | <p>In order to undertake out-of-hours work outside the work hours specified under <b>Condition E38</b>, appropriate respite periods for the out-of-hours work must be identified in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with:</p> <p>(a) a progressive schedule for periods no less than three (3) months, of likely out-of-hours work;</p> <p>(b) a description of the potential work, location and duration of the out-of-hours work;</p> <p>(c) the noise characteristics and likely noise levels of the work; and</p> <p>(d) likely mitigation and management measures which aim to achieve the relevant NMLs under Condition E43 (including the circumstances of when respite or relocation offers will be available and details about how the affected community can access these offers).</p> <p>The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour work must be provided to the <b>ER</b> before the out of hours work commences, and to the EPA and the Planning Secretary <del>prior to the out-of-hours work commencing on request.</del></p> <p><b>Note:</b> Respite periods can be any combination of days or hours where out-of-hours work would not be more than 5 dB(A) above the RBL at any residence.</p> | Not Applicable | Applicable | Applicable | <p><a href="https://www.sydneymetro.info/station/st-marys-metro-station">https://www.sydneymetro.info/station/st-marys-metro-station</a></p> <p><a href="https://www.sydneymetro.info/station/claremont-meadows-intermediate-services-facility">https://www.sydneymetro.info/station/claremont-meadows-intermediate-services-facility</a></p> <p><a href="https://www.sydneymetro.info/station/orchard-hills-station">https://www.sydneymetro.info/station/orchard-hills-station</a></p> <p><a href="https://www.sydneymetro.info/station/orchard-hills-stabling-and-maintenance-facility">https://www.sydneymetro.info/station/orchard-hills-stabling-and-maintenance-facility</a></p> <p><a href="https://www.sydneymetro.info/station/luddenham-station">https://www.sydneymetro.info/station/luddenham-station</a></p> <p><a href="https://www.sydneymetro.info/station/bringelly-services-facility">https://www.sydneymetro.info/station/bringelly-services-facility</a></p> <p><a href="https://www.sydneymetro.info/station/aerotropolis-station">https://www.sydneymetro.info/station/aerotropolis-station</a></p> <p>SBT OOHW Register 2024, and OOHW permits 0112 – 0118 (extended FRP works, utilities, throw screen replacement)</p> <p>SBT E57 Report (Out of Hours Work E57 Bringelly XP and Tunnel Invert lining Support Phase 3.pdf) and submission to ER, 17/09/24 and submission to DPHI 19/09/24</p> <p>SCAW OOHW Register and OOHW permits 43 and 44 (parapets on segments, and extended earthworks hours on Saturdays)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHW permit), heritage, waste and stockpiling</p> <p>FSM OOHW permits WE08, WE09, WE14, WE24, WE28.</p> <p>FSM E57 reports, WE08, WE09, WE14, WE24</p> <p><a href="https://www.laingorourke.com/projects-and-sectors/australia/st-marys-station-footbridge/">https://www.laingorourke.com/projects-and-sectors/australia/st-marys-station-footbridge/</a></p> <p>DPHI portal lodgement 23/08/24 WE08, 30/08/24 WE09, 04/10/24 WE14, 13/12/24 WE24</p> <p>Email LORAC to ER, 23/08/24 WE08, 30/08/24 WE09, 04/10/24 WE14, 13/12/24 WE24, 10/01/25 WE28</p> <p>Email LORAC to EPA 23/08/24 WE08, 30/08/24 WE09, 04/10/24 WE14, 13/12/24 WE24,</p> | <p>Refer to the third Independent Audit Report regarding Sydney Metro's interpretation of this requirement. This has since been clarified by the Department in April 2024 – requiring E57 reports to be prepared for all OOHW above 5db(A) above BG (clarified after the St Marys tunnelling E57 report / works).</p> <p>The monthly updates are issued to potentially affected receivers and the consultation includes the information required by this condition.</p> <p>SBT triggered this requirement during the audit period at Bringelly. Consultation and offers of respite was completed. The information was submitted to the ER and Department. The auditees are not aware of any directions from the regulators in response. All other OOHW did not trigger an E57 Report.</p> <p>SCAW did not undertake any OOHW triggering E57 during the audit period.</p> <p>AEW FSM prepared three E57 reports and these were submitted to the identified stakeholders (note that WE28 did not require notification to the Department or EPA following modification of condition E57. To the auditors knowledge there were no responses from the stakeholders.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW           | SSTOM      | Evidence collected                  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|--|------------|----------------|------------|-------------------------------------|---|-------------------|
| E58       | <p>The Proponent must prepare an Operational Noise and Vibration Review (ONVR) to confirm noise and vibration mitigation measures that would be implemented for the Operation of the CSSI for the ultimate service. The ONVR must be prepared as part of the iterative design development and in consultation with the EPA, relevant council(s), other relevant stakeholders and must:</p> <p>(a) identify appropriate Operational noise and vibration objectives and levels for surrounding development, including existing and potential future (as known at the time of ONVR preparation) sensitive land use(s);</p> <p>(b) confirm the operational noise and vibration predictions based on the expected final design. Confirmation must be based on an appropriately calibrated noise model;</p> <p>(c) identify sensitive landuses that are predicted to exceed:</p> <ul style="list-style-type: none"> <li>(i) noise criteria set out in the Rail Infrastructure Noise Guideline (EPA, 2013), Noise Policy for Industry (EPA, 2017); and</li> <li>(ii) vibration goals for human exposure for existing sensitive land use(s), as presented in Assessing Vibration: a Technical Guideline (DECC, 2006);</li> </ul> <p>(d) identify all noise and vibration mitigation measures including location, type and timing of mitigation measures, with a focus on:</p> <ul style="list-style-type: none"> <li>(i) source control and design;</li> <li>(ii) at the receiver (if relevant); and</li> <li>(iii) 'best practice' achievable noise and vibration outcome for each activity;</li> </ul> <p>(e) describe how the final suite of mitigation measures will achieve:</p> <ul style="list-style-type: none"> <li>(i) the noise criteria outlined in the Rail Infrastructure Noise Guideline (EPA, 2013) and Noise Policy for Industry (EPA, 2017); and</li> <li>(ii) vibration goals for human exposure for existing sensitive land use(s), as presented in Assessing Vibration: a Technical Guideline (DECC, 2006);</li> </ul> <p>(f) include a consultation strategy to seek feedback from directly affected landowners on the noise and vibration mitigation measures being offered;</p> <p>(g) include procedures for operational noise and vibration complaints management, including investigation and monitoring (subject to complainant agreement).</p> <p>The ONVR must be verified by an independent acoustic expert and submitted to the Planning Secretary for approval before the implementation of any operational noise mitigation measures.</p> <p>The Proponent must implement the identified noise and vibration control measures and make the ONVR publicly available.</p> <p><b>Note:</b> The design of noise barriers and the like must be undertaken in consultation with the relevant stakeholders, including affected landowners and businesses (or a representative of a business), Western Parklands City Authority and relevant council(s) as part of the Place, Urban Design and Corridor Landscape Plan required under Condition E79.</p> | Applicable | Not Applicable | Applicable | Interview with auditees 03-07/02/25 | The Project is in construction, no operational noise mitigation has been installed during the audit period. | NT                |
| E59       | <p>Operational noise mitigation measures as identified in <b>Condition E58</b> that will not be physically affected by work, must be implemented within six months of submitting the ONVR, unless otherwise agreed by the Planning Secretary. Where implementation of operational noise mitigation measures are not proposed to be implemented in accordance with this requirement, the Proponent must submit to the Planning Secretary a report providing justification as to why, along with details of temporary measures that would be implemented to reduce construction noise impacts, until such time that the operational noise mitigation measures are implemented.</p> <p>The report must be submitted to the Planning Secretary within six months of submitting the ONVR.</p> <p><b>Note:</b> Not having finalised detailed design is not sufficient justification for not implementing the proposed mitigation measures.</p>   | Applicable | Not Applicable | Applicable | Interview with auditees 03-07/02/25 | The Project is in construction, no operational noise mitigation has been installed during the audit period. | NT                |

| Unique ID                                      | Compliance requirement   | SBT        | SCAW           | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|--|--|------------|----------------|------------|--|--|-------------------|
| E60  | <p>Within 12 months of the commencement of operation of the CSSI, the Proponent must undertake monitoring of operational noise to compare actual noise performance of the CSSI against the noise performance predicted in the review of noise mitigation measures required by Condition E58. An Operational Noise and Vibration Compliance Report (ONVCR) must be prepared to document this monitoring and include, but not necessarily be limited to:</p> <p>(a) noise and vibration monitoring to assess compliance with the operational noise levels predicted in the review of operational noise mitigation measures required under Condition E58;</p> <p>(b) methodology, location and frequency of noise and vibration monitoring undertaken, including monitoring sites at which CSSI noise and vibration levels are ascertained, with specific reference to locations indicative of impacts on receivers;</p> <p>(c) a review of the performance of the CSSI against the:</p> <ul style="list-style-type: none"> <li>(i) operational noise levels in terms of criteria and noise goals established in the NSW Rail Infrastructure Noise Guideline (EPA 2013) and Noise Policy for Industry (EPA, 2017);</li> <li>(ii) vibration goals for human exposure for existing sensitive land use(s), as presented in Assessing Vibration: a Technical Guideline (DECC, 2006);</li> </ul> <p>(d) details of any complaints and enquiries received in relation to Operational noise and vibration generated by the CSSI (between the date of commencement of Operation and the date the report was prepared);</p> <p>(e) an assessment of the performance and effectiveness of applied noise and vibration mitigation measures together with a review and if necessary, reassessment of mitigation measures;</p> <p>(f) identification of:</p> <ul style="list-style-type: none"> <li>(i) additional measures to meet the criteria outlined in the NSW Rail Infrastructure Noise Guideline (EPA 2013) and Noise Policy for Industry (EPA, 2017),</li> <li>(ii) additional measures to meet the vibration goals for human exposure for existing sensitive land, as presented in Assessing Vibration: a Technical Guideline (DECC, 2006);</li> <li>(iii) when these measures are to be implemented; and</li> <li>(iv) how their effectiveness is to be measured and reported to the Planning Secretary and the EPA.</li> </ul> <p>The ONVCR must be submitted to the Planning Secretary and the EPA within 60 days of completing the Operational noise and vibration monitoring and made publicly available.</p> <p><b>Note:</b> Refer to Condition B5 about how personal information will be handled.</p> | Applicable | Not Applicable | Applicable | Interview with auditees 03-07/02/25  | The Project is in construction.  | NT                |
| <b>Place, Urban Design, and Visual Amenity</b> |  |            |                |            |  |  |                   |
| E61  | Wayfinding information must be incorporated on temporary hoardings to guide pedestrians around the St Marys construction site and enhance their understanding and experience of the locality and space   | Applicable | Not Applicable | Applicable | <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25</p>   | The St Marys site was handed over to SSTOM in October 23 and this seventh audit does not include SSTOM (covered by a separate audit and audit report).   | C                 |
| E62  | The CSSI must be constructed in a manner that minimises visual impacts of construction sites including temporary landscaping and vegetative screening, minimising light spill, and incorporating architectural treatment and finishes within key elements of temporary structures that reflect the context within which the construction sites are located, wherever practicable.  | Applicable | Applicable     | Applicable | <p>Site inspection 03, 07/02/25</p> <p>Independent Audit No 3, WolfPeak, 23/03/23</p> <p>Complaints register current to 09/02/25</p> | <p>Refer to the finding from the third Independent Audit with respect to details on the extent of application of mitigation measures at construction compounds. The Auditor is of the view that compliance has been achieved, observing however that some mitigations have been deemed not practicable. SBT has demobilized and handed over all sites to SSTOM. SCAW has one remaining compound near Warragamba which is remote from any nearby receivers. FSM has implemented hoarding and screening consistent with Sydney Metro approved style/colours.</p> <p>No complaints were received during the audit period regarding light spill or visual amenity.</p> | C                 |

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| E63       | <p>The CSSI must be designed with consideration of:</p> <ul style="list-style-type: none"> <li>(a) the design objectives, principles and guidelines identified in documents listed in Condition A1;</li> <li>(b) the principles and objectives of the draft Connecting with Country Framework;</li> <li>(c) relevant land use changes, masterplans and initiatives, where this information is known and/or available;</li> <li>(d) existing and proposed future local context and character; and</li> <li>(e) transport and land use integration and system functionality in the context of precincts, to the extent it is known and/or defined.</li> </ul> <p>Responses to items (a) – (e) must be reviewed by the Design Review Panel (DRP) to inform the design of permanent built works and landscape design of the CSSI. The outcome of the DRP review must be provided to the Planning Secretary prior to the submission of the Place, Urban Design and Corridor Landscape Plan (PUDCLP).</p> <p><b>Note:</b> In accordance with Condition A10 and Condition A16, the requirements of this condition can be staged.</p> | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Letter DPHI to Metro, 14/12/22 (acknowledgement of submission of Design Review Panel Process).</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro)</p> <p>SCAW PUDCLP, December 2022</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>Letter Govt Architect E63, 30/11/22</p> <p>Letter DPHI to Sydney Metro, 30/01/23 (DPHI RFI on PUDCLP)</p> <p>Letter CPBUI to DPHI, 06/03/23 (CPBUI response to DPHI RFI re Council consultation)</p> <p>DPHI portal RFI, PA166 (Additional RFI from DPHI re Council consultation)</p> <p>Letter Sydney Metro to DPHI, 18/04/23 (Sydney Metro response to additional RFI re Council consultation)</p> <p>Letter DPHI to Sydney Metro, 10/05/23 (acceptance of response to additional RFI re Council consultation)</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>SCAW prepared a document demonstrating that the design was assessed against the requirements of this condition, and that it was provided to the Design Review Panel (DRP) for review. The DRP provided a range of recommendations and for each SCAW and Sydney Metro provided a response. Whilst most of the recommendations were addressed, there are a range of recommendations that weren't adopted. The lack of uptake of some recommendations were supported by a justification (e.g.: due to the recommendation being not applicable to the SCAW scope of works or that the recommendation would be addressed in subsequent design developments). However, there are other recommendations that were not adopted and did not have an associated justification. The Auditor observes that there is no requirement to adopt all the recommendations from the DRP, and that Government Architect (representing the DRP) noted that not all recommendations were adopted by SCAW and Sydney Metro in its final response. The outcome of the DRP review was submitted to the Department (prior to submission of the PUDCLP) and to the Auditor's knowledge the Department did not take issue with the matter (refer E77).</p> <p>The FSM initial design presentation from April 2023 includes preliminary design and a schedule. The DRP has received regular updates to design throughout he DRP presentations. The DRP has provided feedback, requesting that certain elements of the design be refined. FSM updated design in response to the DRP feedback. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |
| E64       | <p>The CSSI must be constructed and operated with the objective of minimising light spill to surrounding properties. All lighting associated with the CSSI must be consistent with the requirements of:</p> <ul style="list-style-type: none"> <li>(a) ASINZS 4282:2019 Control of the obtrusive effects of outdoor lighting, relevant Australian Standards in the series ASINZS 1158 - Lighting for Roads and Public Spaces;</li> <li>(b) NASF Guideline E: Managing the Risk of Distractions to Pilots from Lighting in the Vicinity of Airports; and</li> <li>(c) NASF Guideline C: Managing the risk of wildlife strikes in the vicinity of airports.</li> </ul> <p>Mitigation measures must be provided to manage residual night lighting impacts to protect properties adjoining or adjacent to the CSSI, in consultation with affected landowners.</p>   | Applicable | Applicable | Applicable | <p>Complaints register current to 09/02/25</p> <p>SBT Construction Environmental Management Plan, 15/03/24 (SBT CEMP)</p> <p>SCAW Visual Amenity Management Plan (VAMP), 19/10/22</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24</p>   | <p>The SBT CEMP, SCAW VAMP, AEW FSM CEMP recognizes this requirement.</p> <p>Refer to the finding from the third Independent Audit with respect to details on the extent of application of mitigation measures at construction compounds. The Auditor is of the view that compliance has been achieved, observing however that some mitigations have been deemed not practicable.</p> <p>SBT has demobilized and handed over all sites to SSTOM. SCAW has one remaining compound near Warragamba which is remote from any nearby receivers. FSM has implemented hoarding and screening consistent with Sydney Metro approved style/colours. FSM lighting is required for possession works, but these are directed to the works zone and are confined to the corridor.</p> <p>No complaints were received during the audit period regarding light spill or visual amenity.</p>  | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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| E65       | Designs must have regard to the Movement and Place Framework relevant guidance including the Walking Space Guide: Towards Pedestrian Comfort and Safety (TfNSW, 2020) and the Cycleway Design Toolbox: Designing for Cycling and Micromobility (TfNSW, 2020)   | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)  | This requirement is not triggered by AEW, SBT or SCAW.   | NT                |
| E66       | Active transport facilities must be designed, constructed and/or rectified in accordance with the Guide to Road Design Part 6A: Paths for Walking and Cycling (Austroads, 2017) and relevant Australian Standards (AS) such as AS 1428.1-2009 Design for access and mobility. The active transport links must also incorporate relevant Crime Prevention Through Environmental Design principles.  | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)  | This requirement is not triggered by AEW, SBT or SCAW.   | NT                |
| E67       | <p>The Proponent must establish an independent DRP to provide advice and recommendations to the Proponent during the CSSI's design development and construction to facilitate quality design and place outcomes. The DRP must be formed and hold its first meeting within six months of the date of this approval, or as otherwise agreed with the Planning Secretary.</p> <p><b>Note:</b> Nothing in this approval prevents the use of an existing design panel as the Design Review Panel convened for this project where the function and composition of that panel complies with the terms of this approval.</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>DRP was established 07/03/2022</p> <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Panel; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|------------|------------|------------|--|--|-------------------|
| E68       | <p>The responsibilities of the Design Review Panel include:</p> <p>(a) providing advice and recommendations to the Proponent for consideration in the design development of the CSSI</p> <p>(b) provide advice on the application of Sydney Metro – Western Sydney Airport Submissions Report – Appendix D Design Guidelines to key design elements in relation to place making, architecture, heritage, urban and landscape design and artistic aspects of the CSSI; and</p> <p>(c) reviewing and endorsing any updates to the Sydney Metro – Western Sydney Airport Submissions Report – Appendix D Design Guidelines.</p> <p>The Panel's advice must be consistent with the CSSI as approved.</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>DRP was established 07/03/2022</p> <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Pane; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|------------|------------|------------|--|--|-------------------|
| E69       | <p>The DRP must be chaired by the NSW Government Architect (or their nominee), and must be comprised of, where relevant, by suitably qualified, experienced and independent professional(s) in each of the fields of:</p> <p>(a) urban design and place making;</p> <p>(b) landscape architecture; and</p> <p>(c) architecture.</p> <p>The Panel may seek advice from suitably qualified, experienced independent professionals in other fields as required, including but not limited to sustainability, active transport and non-Aboriginal heritage. The Panel must also seek appropriate expertise to ensure Aboriginal cultural heritage and cultural values inform its advice.</p> | Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>DRP was established 07/03/2022</p> <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Pane; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|---|------------|------------|------------|--|---|-------------------|
| E70       | Panel members must be sourced from the NSW State Design Review Panel Pool or otherwise be approved by the NSW Government Architect.   | Applicable | Applicable | Applicable | <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Panel; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>To the auditees' knowledge the DRP members remain unchanged from that initially established. SBT does not construct any elements that trigger this condition.</p> <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |
| E71       | <p>Prior to forming the DRP, a Design Review Panel Terms of Reference is to be developed and endorsed by the NSW Government Architect. The Terms of Reference must be submitted to the Planning Secretary once it is endorsed by the NSW Government Architect and:</p> <p>(a) must be generally consistent with the NSW State Design Review Panel Terms of Reference (version 5);</p> <p>(b) outline the frequency of DRP meetings, coordinated with the Proponent's program requirements, as outlined in Condition E76, to ensure timely advice and design adjustment; and</p> <p>(c) identify cessation arrangements.</p> | Applicable | Applicable | Applicable | <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Panel; for WSA and West Line 9/03/22</p> <p>Letter DPHI to Sydney Metro, 24/03/22 (acknowledgment of submission of DRP Terms of Reference)</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p>  | <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged.</p>  | C                 |

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|-----------|--|----------------|------------|------------|---|---|-------------------|
| E72       | The DRP must be operated and managed in accordance with the <b>Design Review Panel Terms of Reference</b> .  | Applicable     | Applicable | Applicable | <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Pane; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.   | C                 |
| E73       | The NSW Government Architect must, after consultation with the Proponent, appoint an appropriately qualified and experienced design advisor to the DRP and may appoint an alternate design advisor. The advisor must attend meetings of the Panel. The advisor may also be invited by the Panel to assist with decisions regarding the Panel's recommendations and record the Panel's advice and recommendations | Not Applicable | Applicable | Applicable | <p>Letter DPHI to Sydney Metro, 13/01/22 (approval of extension to timeframe to establish Design Review Panel under E36) up to 23/03/22</p> <p>Record of Advice meeting on 07/03/2022 included the introductory meeting and setting up the agenda 09/03/2022</p> <p>Government Architects New South Wales Terms of Reference for the SM Design Review Pane; for WSA and West Line 9/03/22</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro) and Government Architect letters of advice.</p> <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>The DRP was established prior to the current audit period. The terms of reference remain unchanged. Evidence of engagement on the SCAW PUDCLP and AEW FSM design and DRP Meeting Record of Advice demonstrate provision of advice. SCAW design is complete.</p> <p>FSM PUDCLP design refinement occurred in response to feedback from the DRP. The letters of advice identify the Government Architect Design Advisor. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|----------------|------------|------------|--|---|-------------------|
| E74       | The relevant council may be invited to the meetings of the Panel as observers or to provide feedback on key design elements of the CSSI  | Not Applicable | Applicable | Applicable | <p>Government Letters of Advice, following DRP Meetings 08/09/22, 20/09/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p>  | <p>Liverpool City Council has not been invited to the DRP meetings as no works relevant to the DRP occur in its LGA.</p> <p>DRP Meeting Record of Advice demonstrate provision of advice. The Records of Advice identify that Penrith City Council has attended the DRP meetings.</p>   | C                 |
| E75       | DRP advice and recommendations, as issued by the Panel, and the Proponent's response to each recommendation must be included when submitting the final PUDCLP to the Planning Secretary for information. | Not Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Letter DPHI to Metro, 14/12/22 (acknowledgement of submission of Design Review Panel Process).</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP was prepared and submitted to the Department for information. The PUDCLP includes all of the DRP consultation and recommendations in Appendix C. the proponent's response to the recommendations have been included. Refer to E63 regarding the status of adoption of the recommendations.</p> <p>Design of AEW FSM continued during the audit period. . FSM PUDCLP design refinement is ongoing in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|----------------|------------|------------|--|---|-------------------|
| E76       | The Proponent must provide the design development schedule to the DRP prior to its first meeting, including details of when relevant elements of the detailed design will be available for review by the Panel. The schedule must be updated every three months until the detailed design process is complete. | Not Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SMWSA SSI10051_IA3_Request for Information_Sydney Metro_Rev1.1, 23/02/23 (Sydney Metro response to Auditor request for information)</p> <p>SMWSA DRP Programs 2022 and 2023</p> <p>Sydney Metro response to draft Audit Report, email re DRP forward program of dates, received 19/03/23</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>Sydney Metro confirmed provided the following statement: <i>'The initial design development schedule was provided to the DRP Chair on 15/02/2022, prior to the first meeting held 7/03/2022. Please see the attached email from Lara Dominish "Sydney Metro – Western Sydney Airport DRP – forward program of dates". Since this initial submission to DRP, the schedule has been progressively updated by the Sydney Metro Place Making team and presented to DRP and GANSW via the DRP meetings, hosted on Teams....'</i></p> <p>The Auditor notes that, whilst implied, E76 does not strictly state that the updated schedule must be resubmitted to the DRP.</p> <p>SCAW design is complete. Refer E77.</p> <p>The FSM initial design presentation from April 2023 includes preliminary design and a schedule. The schedule has not undergone any change, despite the design changing. FSM PUDCLP design refinement occurred in response to feedback from the DRP. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|----------------|------------|------------|--|--|-------------------|
| E77       | <p>A PUDCLP must be prepared to document and illustrate the permanent built works and landscape design of the CSSI and how these works are to be maintained. The PUDCLP must be:</p> <p>(a) prepared by a suitably qualified and experienced person(s) in consultation with the community (including the affected landowners and businesses or a representative of the businesses), Western Parklands City Authority, Western Sydney Planning Partnership and relevant council(s);</p> <p>(b) reviewed by an independent and suitably qualified and experienced person nominated by the DRP;</p> <p>(c) submitted to the Planning Secretary prior to the construction of permanent built surface works and/or landscaping, excluding those elements which for ecological requirements, or technical requirements, or requirements as agreed by the Planning Secretary do not allow for alternate design outcomes; and</p> <p>(d) implemented during construction and operation of the CSSI.</p> <p><b>Note:</b> The PUDCLP may be developed and considered in stages to facilitate design progression and construction. Any such staging and associated approval would need to facilitate a cohesive final design and not limit final design outcomes.</p> | Not Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Letter DPHI to Metro, 14/12/22 (acknowledgement of submission of Design Review Panel Process).</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>Letter CPBUI to DPHI, 06/03/23 (CPBUI response to DPHI RFI re Council consultation)</p> <p>DPHI portal RFI, PA166 (Additional RFI from DPHI re Council consultation)</p> <p>Letter Sydney Metro to DPHI, 18/04/23 (Sydney Metro response to additional RFI re Council consultation)</p> <p>Letter DPHI to Sydney Metro, 10/05/23 (acceptance of response to additional RFI re Council consultation)</p> <p>Independent Certifier, example B14 Report (notice of substantial completion of portion)</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC, 05/07/24 (DRAFT) including summary of consultation.</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP was prepared and submitted to the Department prior to permanent built surface works. The PUDCLP addresses the content requirements of this condition. The SCAW design is complete. It is the responsibility of the Independent Certifier to verify that design is being implemented and compliance with E77(d). confirmation is issued to Sydney Metro progressively.</p> <p>The Department raised a request for information regarding an outstanding matter from Penrith City Council (Council was of the view that they were not provided an opportunity to review the PUDCLP). Additional consultation was carried out with Council by SCAW, and the Department provided their acceptance of this on 10/05/23.</p> <p>The FSM initial design presentation from April 2023 includes preliminary design and a schedule. The DRP provided feedback on the FSM initial design. The FSM PUDCLP development includes evidence of consultation with Council, Transport, Metro, Heritage NSW and PLM, plus consultation with the DRP. Comments from these stakeholders have been addressed before the document is finalized. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

| Unique ID | Compliance requirement   | SBT            | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|----------------|------------|------------|--|--|-------------------|
| E78       | <p>The PUDCLP must document how the following matters have been considered in the design and landscaping of the project:</p> <p>(a) the requirements of <b>Conditions E63 to E65</b>, and</p> <p>(b) advice and recommendations from the <b>DRP</b>.</p> | Not Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Letter DPHI to Metro, 14/12/22 (acknowledgement of submission of Design Review Panel Process).</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC, 05/07/24 (DRAFT) including summary of consultation.</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP addresses the requirements of this condition. This is set out in in Section 1.9 of the document. SCAW design is complete.</p> <p>The FSM initial design presentation from April 2023 includes preliminary design and a schedule. The DRP provided feedback on the FSM initial design. The FSM PUDCLP development includes evidence of consultation with Council, Transport, Metro, Heritage NSW and PLM, plus consultation with the DRP. Comments from these stakeholders have been addressed before the document is finalized. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|-----------|--|----------------|------------|------------|--|---|-------------------|
| E79       | <p>The PUDCLP must include descriptions and visualisations (as appropriate) of:</p> <p>(a) design of the permanent built elements of the CSSI, including stabling and maintenance and ancillary facilities, service facilities and tunnel portals;</p> <p>(b) plans for station precincts including but not limited to</p> <ul style="list-style-type: none"><li>(i) justification of the spatial scope of each station precinct plan;</li><li>(ii) provision for public art and heritage interpretation installations;</li><li>(iii) placemaking opportunities, having regard to placemaking initiatives in Western Sydney Aerotropolis planning documents;</li><li>(iv) interchange access plans developed in consultation with the Traffic and Transport Liaison Group;</li><li>(v) active transport connections and end of trip facilities, design of pedestrian and cycle access, facilities and fixtures;</li><li>(vi) design of commuter car parking elements, where relevant;</li></ul> <p>(c) landscaping and building design opportunities to mitigate visual impacts and minimise light spill on the nearby residences;</p> <p>(d) the design of watercourse crossings and east-west corridor movements to give to effect of Condition E14;</p> <p>(e) landscaping:</p> <ul style="list-style-type: none"><li>(i) landscape plan, hard and soft elements, for the corridor and the station precincts;</li><li>(ii) use of native species from the relevant native vegetation community (or communities), where identified as appropriate;</li><li>(iii) water sensitive urban design initiatives</li><li>(vii) management and routine maintenance standards and regimes for design elements and landscaping work (including weed management) to ensure the success of the design;</li><li>(viii) measures to prevent wildlife strike risk in proximity to Western Sydney International Airport;</li></ul> <p>(f) details of strategies to rehabilitate, regenerate or revegetate disturbed areas, where relevant;</p> <p>(g) management and routine maintenance standards and regimes for design elements and landscaping work (including weed management) to ensure the success of the design;</p> <p>(h) operational maintenance standards; and</p> <p>(i) the timing and responsibilities for implementation of elements included within the PUDCLP.</p> | Not Applicable | Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>Letter DPHI to Metro, 14/12/22 (acknowledgement of submission of Design Review Panel Process).</p> <p>SCAW Submission of Design Review Panel, CPBUI (issued to Metro 01/12/22, as per teambinder corro)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC, 05/07/24 (DRAFT) including summary of consultation.</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP addresses the requirements of this condition. This is set out in in Section 1.9 of the document.</p> <p>The FSM initial design presentation from April 2023 includes preliminary design and a schedule. The DRP provided feedback on the FSM initial design. The FSM PUDCLP development includes evidence of consultation with Council, Transport, Metro, Heritage NSW and PLM, plus consultation with the DRP. Comments from these stakeholders have been addressed before the document is finalized. In June the DRP had 5 remaining comments on the FSM design and in July LORAC sought to either demonstrate that all comments were considered closed or were to be transferred to Sydney Metro to manage. On 16/12/24 the Independent reviewer, nominated by the DRP, provided endorsement noting that whilst some DRP recommendations were not addressed the PUDCLP met the conditions of approval. The PUDCLP was submitted to the Department on 19/12/24 and the Auditor is not aware of the Department issuing any directions/feedback.</p> | C                 |

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|---------------------------------------|--|----------------|----------------|------------|---|--|-------------------|
| E80                                   | <p>The ongoing maintenance and operation costs of urban design, open space, landscaping and recreational items and work implemented as part of this approval remain the Proponent's responsibility until satisfactory arrangements have been put in place for the transfer of the asset to the relevant authority. Before the transfer of assets, the Proponent must maintain items and work to at least the design standards established in the PUDCLP, required by <b>Condition E79</b>.</p> <p>The Planning Secretary must be advised prior to the transfer of the asset(s) to the relevant authority</p> | Not Applicable | Applicable     | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC, 05/07/24 (DRAFT) including summary of consultation.</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p> | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP recognizes maintenance during construction, however operational maintenance does form part of SCAWs scope.</p> <p>As with SCAW, AEW FSM does not have any operational responsibility.</p> | NT                |
| E81                                   | <p>Should any plant loss occur during the maintenance period the plants must be replaced by the same plant species unless it is determined by a suitably qualified person that a different species is more suitable for that location</p>  | Not Applicable | Not Applicable | Applicable | <p>Staging Report, Sydney Metro, Rev 11, 11/10/24</p> <p>DPHI Post Approval portal lodgment, 11/10/24 (submission of Rev 11 of Staging Report)</p> <p>SCAW PUDCLP, December 2022 (including Appendix C)</p> <p>SCAW PUDCLP portal submission record, 19/12/22</p> <p>FSM DRP Architecture Design Presentation, Aug 23 - Jul 24 (first design presentation with design schedule).</p> <p>GANSW Letter of advice, Feb - Jul 24</p> <p>FSM PUDCLP, LORAC Arcadis Architectus Arcadia, 18/12/24</p> <p>FSM PUDCLP Independent review, Peter Mould, 16/12/24</p> <p>DPHI post approval portal lodgement, 19/12/24 (submission of PUDCLP to DPHI)</p>   | <p>SBT does not construct any elements that trigger this condition.</p> <p>The SCAW PUDCLP recognizes maintenance during construction, however operational maintenance does form part of SCAWs scope.</p> <p>As with SCAW, AEW FSM does not have any operational responsibility.</p> | NT                |
| Socio-Economic, Land Use and Property |  |                |                |            |   |  |                   |

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|-----------|--|------------|------------|------------|---|---|-------------------|
| E82       | The CSSI must be designed and constructed with the objective of minimising impacts to, and interference with third party property, and that such infrastructure and property is protected during construction. | Applicable | Applicable | Applicable | <p>SBT Building Effects Report, 31/01/23 and Independent Certifier acceptance, 23/02/23</p> <p>SBT Settlement and Predicted Effects Report, 18/08/23 (and associated RFI on potential building impact on house overlying cross passage).</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>SBT CPB_WSA_Properties_Log Sheet, 19/08/24 (Post-construction condition survey tracker)</p> <p>SCAW Construction Environment Management Plan, 04/11/22 (SCAW CEMP)</p> <p>Paton's Lane Road Dilapidation Report, CPBUI, 15/08/22 (and email Metro to Penrith City Council, 17/08/22)</p> <p>Luddenham Road Dilapidation Report, CPBUI</p> <p>SCAW preconstruction survey of 16-20 Lansdowne road (SBT's post construction dilapidation), 10/11/23</p> <p>SCAW Work Pack, SMF Earthworks, Rev01</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>AEW FSM preconstruction dilapidation reports, Harris Street, Roundabout, Queen Street Phillip Street, Bus terminal, TAP3 Roads (various dates) and submission to Penrith City Council.</p> <p>FSM Concession to Transport standards, 23/04/24 (approval to erect hoarding on St Marys Platforms)</p> | <p>The Project has provided evidence to show that impacts to third party property has been avoided or minimised.</p> <p>SBT has completed 146 out of 364 pre-condition surveys on potentially affected properties. 21 declined the surveys, and a further 138 did not respond. Evidence indicates that the Reports have been to the landowner prior to works that could impact on the receiver. As of 09/12/24, 205 post-construction surveys had been offered, 7 declined, 69 did not respond 110 had the survey booked or completed.</p> <p>The SBT Building Effects Report and Settlement and Predicted Effects Report identify potential impacts associated with tunnelling and station box excavation (settlement). The Instrument and Monitoring Report identifies the monitoring required to track whether adverse impacts occur. Monitoring to date indicates that settlement is well within the nominated criteria. Tunnelling was completed prior to the current audit period.</p> <p>SBT team is not aware of doing any property adjustment works. Refer to E48 regarding SBT potential for cosmetic damage. Refer to E120 regarding utilities.</p> <p>The SCAW CEMP recognizes this requirement through implementation of procedures and the Environmental Control Maps (current SCAW works are quite remote from nearby properties). Evidence sighted (refer C1) indicates that the CEMP has been implemented to date. Dilapidation Reports have been prepared for local roads (Paton's Lane and Luddenham Road) and 16-20 Lansdowne Road. SCAW have provided evidence showing that impacts to third party property (services) is being managed in consultation with the service provider. SCAW are not aware of any damage to third party property.</p> <p>AEW FSM prepared preconstruction dilapidation reports. The works to date are not significant and potential for third party property impacts is minimal. The dilapidation reports were submitted to Council for information. FSM also obtained a permit to erect hoarding on the Transport platforms (signed off by Transport on 23/04/24).</p> | C                 |

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|-----------|--|------------|------------|------------|---|--|-------------------|
| E83       | The utilities and services (hereafter “services”) potentially affected by construction must be identified to determine requirements for diversion, protection and / or support. Alterations to services must be determined by negotiation between the Proponent and the service providers. Disruption to services resulting from construction must be avoided, wherever possible, and advised to customers where it is not possible. | Applicable | Applicable | Applicable | <p>SBT Sydney Water design, protection and diversion documents, Phillip St, Station Street Lansdowne Road, Kent Road Sydney Water CASE198458PW, CASE190778PW, CASE198747PW, CASE190695PW</p> <p>SBT Telstra (non-contestable) comms protection, diversion and permanent design documents, Kent Road, Phillip St and Station Road</p> <p>SBT Building Effects Report, 31/01/23 and Independent Certifier acceptance, 23/02/23</p> <p>SBT Settlement and Predicted Effects Report, 18/08/23 (and associated RFI on potential building impact on house overlying cross passage).</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>Endeavour Energy letters of acceptance 02/08/22, 24/08/22, 23/09/22, 04/10/22</p> <p>SCAW CEMP, 29/07/24</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>Pre-construction Condition Survey Report – Infrastructure (structures), CPBUI, 14/04/23</p> <p>Pre-construction Condition Survey Report – Infrastructure (utilities), CPBUI, 13/02/23</p> <p>Complaints register current to 09/02/25</p> <p>Transport Access Program 3   Footbridge St Marys MCC, Utilities Management Plan, 20/03/23</p> <p>FSM to Council presentation, 09/02/24 (workshop with Council on flooding, drainage)</p> <p>Email Arcadis to LORAC 17/01/25 (notice of initial contact with Endeavour energy on upcoming design of stormwater potentially impacting power).</p> | <p>Evidence was provided demonstrating protection / support for services potentially affected by SBT and SCAW. These were largely completed prior to the current audit period.</p> <p>For tunnelling SBT noted that tunnelling has now been essentially completed. Consultation with potentially affected service providers, including Sydney Water and Jemena, was undertaken in order to determine appropriate utility assessment criteria. This informed the trigger levels for utilities detailed in the Instrumentation and Monitoring Report.' There have been no utility interfaces or adjustments for the current audit period.</p> <p>For SCAW works proximal to water and power networks, evidence shows consultation with the network operators. No works with the potential to impact these assets occurred during the audit period.</p> <p>AEW FSM has a Utilities Management Plan in place for when utility diversion etc. are required. FSM will be upgrading the stormwater network proximal to the FSM works. A workshop with Council was held in February 24. Consultation with Endeavour Energy has commenced in January 2025. Relevant impacting works had not commenced at the time of the audit.</p> | C                 |

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|-----------|--|------------|------------|------------|---|--|-------------------|
| E84       | A suitably qualified and experienced person must undertake condition surveys of all buildings, structures, utilities and the like identified in the documents listed in <b>Condition A1</b> and the further assessment carried out under mitigation measure GW1 of the Submissions Report as being at risk of damage before commencement of any work that could impact on the subject surface / subsurface structure. The results of the surveys must be documented in a <b>Pre-construction Condition Survey Report</b> for each item surveyed. Copies of <b>Pre-construction Condition Survey Reports</b> must be provided to the relevant owners of the items surveyed in the vicinity of the proposed work, and no later than one (1) month before the commencement of the work that could impact on the subject surface / subsurface structure. | Applicable | Applicable | Applicable | <p>SBT Building Effects Report, 31/0/1/23 and Independent Certifier acceptance, 23/02/23</p> <p>Email SBT to Jemena, 22/03/23 including attachments (survey of Jemena gas line)</p> <p>SBT sewer CCTV / survey results (various files)</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>Paton's Lane Road Dilapidation Report, CPBUI, 15/08/22 (and email Metro to Penrith City Council, 17/08/22)</p> <p>Luddenham Road Dilapidation Report, CPBUI</p> <p>SCAW preconstruction survey of 16-20 Lansdowne road (SBT's post construction dilapidation), 10/11/23</p> <p>Pre-construction Condition Survey Report – Infrastructure (structures), CPBUI, 14/04/23</p> <p>Pre-construction Condition Survey Report – Infrastructure (utilities), CPBUI, 13/02/23</p> <p>Pre-construction survey report, 16-20 Lansdowne Road, Land Surveys, 10/11/23 (SBT's post-construction acts as SCAWs pre-construction).</p> <p>AEW FSM preconstruction dilapidation reports, Harris Street, Roundabout, Queen Street Phillip Street, Bus terminal, TAP3 Roads (various dates) and submission to Penrith City Council 25/05/23</p> | <p>SBT has completed 146 out of 364 surveys on potentially affected properties. 21 declined the surveys, and a further 138 did not respond. Evidence indicates that the Reports have been to the landowner prior to works that could impact on the receiver.</p> <p>SCAW has potentially impacted local roads, structures and utilities, and 16-20 Lansdowne Road. The relevant preconstruction condition reports were completed and submitted to the relevant stakeholder.</p> <p>Pre-construction dilapidation reports were prepared for each AEW package well prior to the current audit period, and issued to the relevant stakeholders.</p> <p>Refer to the first and second audit reports for the dilapidation reports (and correspondence to stakeholders) associated with site establishment works.</p> <p>Note: The auditees and reports indicated that the persons preparing the dilapidation report were suitably qualified and experienced and this is referenced in some of the reports. That being said, Auditor cannot confirm whether the authors' are truly suitably qualified and experienced.</p> | C                 |

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|-----------|---|------------|------------|------------|--|---|-------------------|
| E85       | Condition surveys of all items for which condition surveys were undertaken in accordance with Condition E84 must be undertaken by a suitably qualified and experienced person after completion of the work identified in <b>Condition E84</b> . The results of the surveys must be documented in a Post-construction Condition Survey Report for each item surveyed. Copies of <b>Post-construction Condition Survey Reports</b> must be provided to the landowners of the items surveyed, and no later than three (3) months following the completion of the work that could impact on the subject surface / subsurface structure. | Applicable | Applicable | Applicable | <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>SBT CPB_WSA_Properties_Log Sheet, 19/08/24 (Post-construction condition survey tracker)</p> <p>Post-Construction Survey Report, 16-20 Lansdowne Road, Land Surveys, report issued 19/11/24. Works completed October 2024.</p> <p>Post-Construction Survey Report, Warragamba Pipelines and Bridges, Barnson 10/12/24, report issued 11/02/25. Works completed December 2024.</p> <p>Post-Construction Survey Report, Elizabeth Drive Overbridge, Barnson 10/12/24</p> <p>Post-Construction Survey Report, Transgrid Towers and SBT Dive Structures, Barnson 10/12/24, report issued 11/02/25. Works completed December 2024.</p> <p>Post-Construction Survey Report, M12 Bridge abutments and assets, Barnson 10/12/24, report issued 11/02/25. Works completed December 2024.</p> | <p>Refer to earlier reports for information relating to AEW Water, Power, TBI.</p> <p><b>Observation SBT: The Auditor requested evidence to demonstrate that each property that received a pre-construction condition survey report has received a post-construction survey, and that the post-construction survey report had been issued to the landowner no later than 3 months following the potentially impacting works.</b></p> <p><b>SBT stated by way of an email dated 11/04/25 that:</b></p> <ul style="list-style-type: none"><li>• <b>'212 pre condition surveys completed (including 14x second round pre surveys)</b></li><li>• <b>198 post condition surveys completed (including 4x second round post surveys)</b></li><li>• <b>All properties accepting a pre condition survey were offered a post condition survey. Some property owners didn't respond, or declined a post condition survey.'</b></li></ul> <p><b>In addition a property survey tracker was provided (CPB_WSA_Property Log Sheet_live.xls). According to this tracker:</b></p> <p><b>198 x pre-construction condition surveys were completed, with 14 x properties receiving a second round pre-construction condition survey.</b></p> <p><b>206 x post-construction condition surveys were offered. 69 x properties did not respond and 7 x properties declined.</b></p> <p><b>The Auditor observes the large number of properties that did not respond to the offer (~33%).</b></p> <p><b>It is unclear from the tracker why more properties were offered post-construction condition surveys to that which received pre-construction condition surveys..</b></p> <p>SCAW appears to have completed the post-construction condition surveys, and issued the survey reports in accordance with this condition.</p> <p>FSM have not commenced post-construction surveys. Construction works are continuing.</p> | C                 |
| E86       | The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration or from groundwater change) by the work at no cost to the owner. Alternatively, the Proponent may pay compensation for the property damage as agreed with the property owner. Rectification or compensation must be undertaken within 12 months of completion of the work identified in <b>Condition E84</b> unless another timeframe is agreed with the owner of the affected surface or sub-surface structure or recommended by the <b>Independent Property Impact Assessment Panel (IPIAP)</b> .         | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>Complaints register current to 09/02/25</p> <p>Sydney Metro interview 03-07/02/25</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p>   | <p>Construction is ongoing. For SBT property damage claims are reported to the IPAIP. As of December 2024 the four active claims were as follows:</p> <p>5/3 Station Street, St Marys – closed</p> <p>45 Derwent Road, Bringelly – escalated to Sydney Metro</p> <p>77 Kent Road, Orchard Hills – closed</p> <p>57 Kent Road, Orchard Hills – closed.</p> <p>SCAW and FSM are not aware of any third party property damage. None are recorded on the complaints register for these packages.</p>  | C                 |

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|-----------|---|------------|------------|------------|--|---|-------------------|
| E87       | Appropriate equipment to monitor areas in proximity of ancillary facilities and the tunnel route must be installed during construction with particular reference to at risk buildings, structures and utilities identified in the condition surveys required by <b>Condition E84</b> and / or geotechnical analysis as required. If monitoring during construction indicates exceedance of the vibration criteria identified in the <b>DNVIS</b> prepared under <b>Condition E47</b> , or levels otherwise determined as appropriate by a suitably qualified structural engineer, then all construction affecting settlement must cease immediately and must not resume until fully rectified or a revised method of construction is established that will ensure protection of affected buildings. | Applicable | Applicable |            | <p>SBT Building Effects Report, 31/01/23 and Independent Certifier acceptance, 23/02/23</p> <p>SBT Settlement and Predicted Effects Report, 18/08/23 (and associated RFI on potential building impact on house overlying cross passage).</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>Email Metro to SBT, 26/03/24 (email from Metro chair of the IPIAP confirming that they have no outstanding comments on the SBT pre- and post-construction condition surveys).</p> | <p>The SBT Building Effects Report identifies potential impacts associated with tunnelling and station box excavation (settlement). The Instrument and Monitoring Report identifies the monitoring required to track whether adverse impacts occur. Results to date indicate that settlement impacts are well within criteria. Tunnelling was completed prior to the current audit period.</p> <p>The DNVISs for SCAW, FSM do not identify settlement as a risk as these involve surface works. Refer E47 for DNVISs. Refer to E54 regarding vibration monitoring.</p>                              | C                 |
| E88       | An <b>IPIAP</b> must be established prior to tunnelling activities commencing. The Planning Secretary must be informed of the members of the IPIAP and must comprise geotechnical and engineering experts independent of the design and construction team. The IPIAP will be responsible for independently verifying condition surveys undertaken under <b>Conditions E84</b> and <b>E85</b> , the resolution of property damage disputes and the establishment of ongoing settlement monitoring requirements.  | Applicable | Applicable | Applicable | <p>Letter DPHI to Metro, 30/06/23 (DPHI approval of IPIAP)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>SMWSA SSI10051_IA6_SBT_RFI2_CPBG-Response (SBT response to Request for Information 2)</p> <p>IPIAP Terms of Reference, August 23</p>  | <p>The IPIAP was approved on 30/06/23. According to SBT tunnelling on NSW land commenced 20/07/23 and was completed prior to the current audit period.</p> <p>For SBT property damage claims are reported to the IPIAP. As of December 2024 the four active claims were as follows:</p> <p>5/3 Station Street, St Marys – closed</p> <p>45 Derwent Road, Bringelly – escalated to Sydney Metro</p> <p>77 Kent Road, Orchard Hills – closed</p> <p>57 Kent Road, Orchard Hills – closed.</p> <p>To the Auditor's knowledge there was no written feedback from the IPIAP during the audit period.</p> | C                 |
| E89       | Either the affected property owner or the Proponent may refer unresolved disputes arising from potential and/or actual property impacts to the IPIAP for resolution. All costs incurred in the establishing and implementing of the panel must be borne by the Proponent regardless of which party makes a referral to the IPIAP. The findings and recommendations of the IPIAP are final and binding on the Proponent.   | Applicable | Applicable | Applicable | <p>Letter DPHI to Metro, 30/06/23 (DPHI approval of IPIAP)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>SMWSA SSI10051_IA6_SBT_RFI2_CPBG-Response (SBT response to Request for Information 2)</p> <p>IPIAP Terms of Reference, August 23</p>  | <p>The IPIAP was approved on 30/06/23. Costs are borne by Sydney Metro. According to the Terms of reference, the IPIAP is charged with determining unresolved property damage disputes and its findings are final on Sydney Metro and its contractors</p> <p>According to SBT tunnelling on NSW land commenced 20/07/23 and monitoring is ongoing, with results sighted as being acceptable. Refer E88 with regards to resolution of property damage disputes in the absence of an adequate pre-construction survey.</p>  | NT                |
| E90       | Settlement must be monitored for any period beyond the minimum timeframe requirements of <b>Condition E87</b> if directed so by the <b>IPIAP</b> following its review of the monitoring data from the period not less than six (6) months after settlement has stabilised, consistent with <b>Condition E87</b> . The results of the monitoring must be made available to the Planning Secretary upon request.  | Applicable | Applicable | Applicable | <p>Letter DPHI to Metro, 30/06/23 (DPHI approval of IPIAP)</p> <p>SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p> <p>SMWSA SSI10051_IA6_SBT_RFI2_CPBG-Response (SBT response to Request for Information 2)</p> <p>IPIAP Terms of Reference, August 23</p>  | <p>The IPAIP was approved on 30/06/23. Costs are borne by Sydney Metro. According to the Terms of reference, the IPIAP is charged with determining unresolved property damage disputes and its findings are final on Sydney Metro and its contractors</p> <p>According to SBT tunnelling on NSW land commenced 20/07/23 and monitoring is ongoing, with results sighted as being acceptable. Refer E88 with regards to resolution of property damage disputes in the absence of an adequate pre-construction survey.</p>  | NT                |

| Unique ID               | Compliance requirement   | SBT        | SCAW           | SSTOM          | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-------------------------|--|------------|----------------|----------------|--|---|-------------------|
| E91                     | <b>Small Business Owners Engagement Plan(s)</b> must be prepared for St Marys and implemented in accordance with the <b>Overarching Community Communication Strategy</b> to minimise impact on small businesses directly affected by construction activities at St Marys during construction. The plan must be prepared and submitted to the Planning Secretary for information before the commencement of construction at St Marys  | Applicable | Not Applicable | Applicable     | SBT Small Business Owners Engagement Plan (St Marys), 16/05/22<br><br>DPHI post approval portal lodgement record, 19/08/21 (submission of Small Business Owners Engagement Program)<br><br>SBT Consultation Manager download, 04/11/22 – 17/02/23 (download of consultation between SBT, Penrith Chamber of Commerce and St Marys business owners)<br><br>AEW Small Business Owners Engagement Plan, July 2021 (Sydney Metro)<br><br>AEW FSM Community Liaison Plan, including Small Business Owners Engagement Plan, 03/02/23   | SBT provided a download of Consultation Manager showing correspondence with the Penrith Valley Chamber of Commerce and small businesses in the St Marys area. The vast majority of consultation provided was from November 2022. SBT works are complete.<br><br>AEW FSM have a small business owners engagement plan as part of their community plan. There are no specific outreach requirements in the document for small businesses. The commitments are about minimizing construction impacts and ensuring good communications (through Metro).<br><br>Refer to B1 with respect to ongoing implementation of the Communication Strategy, which includes communications with small businesses at St Marys.   | C                 |
| Soils and Contamination |  |            |                |                |  |   |                   |
| E92                     | Before commencement of any construction that would result in the disturbance of moderate to high risk contaminated sites as identified in the documents identified in <b>Condition A1, Detailed Site Investigations</b> (for contamination) must be conducted to determine the full nature and extent of the contamination. The <b>Detailed Site Investigation Report(s)</b> and the subsequent report(s), must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The Detailed Site Investigations must be undertaken in accordance with guidelines made or approved under section 105 of <i>Contaminated Land Management Act 1997 (NSW)</i> .<br><br><b>Note:</b> Nothing in this condition prevents the Proponent from preparing individual Detailed Site Investigation Reports (for contamination) for separate sites. | Applicable | Applicable     | Not Applicable | Metro/SBT interview 06-07/02/25<br><br>SBT Aerotropolis DSI, TTMP, 13/11/22<br><br>SBT St Marys DSI, Rev 3, 27/09/22, and Addendum 1 (to capture the Plaza, 13/10/22) and Addendum 2 (to capture groundwater, 23/11/22), and groundwater HHRA, 26/04/23<br><br>SCAW CPBUI DSI Tracker, 06/08/24, plus sample of DSIs from Douglas Partners (various dates)<br><br>Metro/SCAW interview 06-07/02/25<br><br><br><br>FSM Detailed Site Investigation Laing O'Rourke Compound, AEC1 32 - 34 Harris Street, North Saint Marys, ADE, 21/08/24<br><br>Letter DPHI to Sydney Metro 09/09/24 (acknowledgement of submission of FSM DSI Report for AEC1) | The SBT Aerotropolis and St Marys (+ Plaza) DSIs were prepared by a CEnvP(SC). The Aerotropolis DSI recommended implementation of a RAP to make the site suitable for future use. No remediation of soils at St Marys was required. However, remediation of groundwater is recommended (to manage groundwater inflow of offsite contamination that is predicted to occur following excavation below the groundwater table. The DSIs were prepared prior to work affecting the relevant contamination. Refer to the third Independent Audit Report for details.<br><br>SCAW have 15 sites that qualify for DSI and 14 have been completed (1 not required). Section B SAS have been received for 13. According to the ER, Metro and SCAW (and the audit site inspection) construction on the applicable sites did not commenced until after the DSI has been completed (refer prev audit reports).<br><br>FSM includes works in AEC 1 in the footprint of the FSM compound. a DSI was completed and reported in August 2024, with ground disturbance works commencing after the DSI was completed and submitted. | C                 |

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|-----------|--|------------|------------|----------------|---|---|-------------------|
| E93       | <p>Should remediation be required to make land suitable for the final intended land use, a <b>Remedial Action Plan</b> must be prepared, or reviewed and approved, by consultants certified under either the Environment Institute of Australia and New Zealand's Certified Environmental Practitioner (Site Contamination) scheme (CEnvP(SC)) or the Soil Science Australia Certified Professional Soil Scientist Contaminated Site Assessment and Management (CPSS CSAM) scheme. The <b>Remedial Action Plan</b> must be prepared in accordance with relevant guidelines made or approved by the EPA under section 105 of the Contaminated Land Management Act 1997 (NSW) and must include measures to remediate the contamination at the site to ensure the site will be suitable for the proposed use when the Remedial Action Plan is implemented.</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from preparing individual Remedial Action Plans for separate sites.</p> | Applicable | Applicable | Not Applicable | <p>SBT Aerotropolis RAP, 16/11/22 and 05/06/23</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 0503-2305</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 15/06/23</p> <p>SBT St Marys RAP, 23/05/23</p> <p>SBT IAA on St Marys RAP, Ramboll, 07/02/23 (Auditor approval of St Marys RAP)</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Site Audit Report and Site Audit Statement (Section A), Ramboll, 22/12/23</p> <p>SBT Bringelly Services Facility Remediation Action Plan, CPBG 21/10/22 and Validation Report, JBS&amp;G 02/12/24</p> <p>SBT Remediation Action Plan – Claremont Meadows, EES 26/09/22 and Validation Report (draft).</p> <p>SCAW CPBUI DSI Tracker, 06/08/24, plus sample of DSIs from Douglas Partners (various dates) – no change</p> <p>SCAW RAP for AEC43, Douglas Partners, 07/12/22 and SAR and Section B SAS AEC43, Senversa, 07/05/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for AEC35, Douglas Partners, March 2023 and SAR and Section B SAS AEC35, Senversa, 09/05/23 and interim validation report, Sydney Environmental 02/08/24, Section A SAS and SAR for Stage A, Senversa 02/08/24</p> <p>SCAW RAP for AEC36, Douglas Partners, July 2023 and SAR and Section B SAS AEC36, Senversa, 28/07/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for PS105 (encapsulation area), Douglas Partners 01/11/23, and Section B SAS, Senversa, 08/03/24</p> <p>SCAW RAP 31A, Douglas Partners 07/12/23 and IAA from Senversa providing initial acceptance of the RAP, 06/02/24, Section B SAS 11/03/24, Validation Report Sydney Environmental 10/10/24 (Section A SAS and SAR is pending)</p> | <p>SBT prepared a RAP for the Aerotropolis site. The RAP was prepared by CEnvP(SC) in accordance with the guidelines. The Site Auditor confirmed that the RAP is adequate. Following initial remediation, Metro directed SBT to remove the source material (in account of potential future use in the Western Sydney parklands). In response the RAP was updated for source removal. The RAP was implemented and an updated SAR and SAS was issued.</p> <p>The Contaminated Sites Auditor reviewed and approved the St Marys RAP (re groundwater) on 07/02/23. Works were carried out, involving the installation of a PRB to manage groundwater movement towards the box. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the St Marys box over to SSTOM as at October 23.</p> <p>A RAP was not required for Orchard Hills, but was prepared regardless. The Auditor reviewed and endorsed the RAP. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the Stage 1 of Orchard Hills over to SSTOM in late 2023.</p> <p>A RAP was not required for Bringelly or Claremont Meadows, but they were regardless (to deal with unexpected finds). The RAPs were required to be implemented during the audit period to deal with the unexpected finds and validation reports were prepared indicating that the sites were fit for use. The Site Audit Report and Site Audit Statement for Bringelly and the Validation Report for Claremont Meadows were still under review at the time of this Independent Audit.</p> <p>SCAW has had five RAPs prepared to date by CEnvP(SC) in accordance with the guidelines, with another 10 sites assessed as not requiring a RAP. The RAPs address the requirements of this condition. The Site Auditor has verified that the RAPs are appropriate (either via a Section B SAS or IAA (where the SAS is pending). Remediation was essentially completed, with the only outstanding matters being related to review of validation reports, the PS105 LTEMP and final SARs and SASs.</p> <p>The Auditor is not aware of remediation being required for FSM.</p> | C                 |

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|-----------|---|------------|------------|----------------|---|---|-------------------|
| E94       | <p>Before commencing remediation, a <b>Section B Site Audit Statement(s)</b> must be prepared by an NSW EPA-accredited Site Auditor that certifies that the <b>Remedial Action Plan(s)</b> is/are appropriate and that the site can be made suitable for the proposed use. The Remedial Action Plan(s) must be implemented and any changes to the Remedial Action Plan(s) must be approved in writing by the NSW EPA-accredited Site Auditor.</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from engaging an NSW EPA-accredited Site Auditor to prepare individual Site Audit Statements for Remedial Action Plans for separate sites.</p> | Applicable | Applicable | Not Applicable | <p>SBT Aerotropolis RAP, 16/11/22 and 05/06/23</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 0503-2305</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 15/06/23</p> <p>SBT St Marys RAP, 23/05/23</p> <p>SBT IAA on St Marys RAP, Ramboll, 07/02/23 (Auditor approval of St Marys RAP)</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Site Audit Report and Site Audit Statement (Section A), Ramboll, 22/12/23</p> <p>SBT Bringelly Services Facility Remediation Action Plan, CPBG 21/10/22 and Validation Report, JBS&amp;G 02/12/24</p> <p>SBT Remediation Action Plan – Claremont Meadows, EES 26/09/22 and Validation Report (draft).</p> <p>SCAW CPBUI DSI Tracker, 06/08/24, plus sample of DSIs from Douglas Partners (various dates) – no change</p> <p>SCAW RAP for AEC43, Douglas Partners, 07/12/22 and SAR and Section B SAS AEC43, Senversa, 07/05/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for AEC35, Douglas Partners, March 2023 and SAR and Section B SAS AEC35, Senversa, 09/05/23 and interim validation report, Sydney Environmental 02/08/24, Section A SAS and SAR for Stage A, Senversa 02/08/24</p> <p>SCAW RAP for AEC36, Douglas Partners, July 2023 and SAR and Section B SAS AEC36, Senversa, 28/07/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for PS105 (encapsulation area), Douglas Partners 01/11/23, and Section B SAS, Senversa, 08/03/24</p> <p>SCAW RAP 31A, Douglas Partners 07/12/23 and IAA from Senversa providing initial acceptance of the RAP, 06/02/24, Section B SAS 11/03/24, Validation Report Sydney Environmental 10/10/24 (Section A SAS and SAR is pending)</p> | <p>SBT prepared a RAP for the Aerotropolis site. The RAP was prepared by CEnvP(SC) in accordance with the guidelines. The Site Auditor confirmed that the RAP is adequate. Following initial remediation, Metro directed SBT to remove the source material (in account of potential future use in the Western Sydney parklands). In response the RAP was updated for source removal. The RAP was implemented and an updated SAR and SAS was issued.</p> <p>The Contaminated Sites Auditor reviewed and approved the St Marys RAP (re groundwater) on 07/02/23. Works were carried out, involving the installation of a PRB to manage groundwater movement towards the box. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the St Marys box over to SSTOM as at October 23.</p> <p>A RAP was not required for Orchard Hills, but was prepared regardless. The Auditor reviewed and endorsed the RAP. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the Stage 1 of Orchard Hills over to SSTOM in late 2023.</p> <p>A RAP was not required for Bringelly or Claremont Meadows, but they were regardless (to deal with unexpected finds). The RAPs were required to be implemented during the audit period to deal with the unexpected finds and validation reports were prepared indicating that the sites were fit for use. The Site Audit Report and Site Audit Statement for Bringelly and the Validation Report for Claremont Meadows were still under review at the time of this Independent Audit.</p> <p>SCAW has had five RAPs prepared to date by CEnvP(SC) in accordance with the guidelines, with another 10 sites assessed as not requiring a RAP. The RAPs address the requirements of this condition. The Site Auditor has verified that the RAPs are appropriate (either via a Section B SAS or IAA (where the SAS is pending). Remediation was essentially completed, with the only outstanding matters being related to review of validation reports, the PS105 LTEMP and final SARs and SASs.</p> <p>The Auditor is not aware of remediation being required for FSM.</p> | C                 |

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|-----------|--|------------|------------|----------------|---|---|-------------------|
| E95       | <p><b>Validation Report(s)</b> must be prepared in accordance with Consultants Reporting on Contaminated Land: Contaminated Land Guidelines (EPA, 2020) and relevant guidelines made or approved under section 105 of the Contaminated Land Management Act 1997 (NSW).</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from preparing individual Validation Reports for separate sites.</p> | Applicable | Applicable | Not Applicable | <p>SBT Aerotropolis RAP, 16/11/22 and 05/06/23</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 0503-2305</p> <p>SBT Aerotropolis Site Audit Report and Site Audit Statement (Section B), JBS&amp;G, 15/06/23</p> <p>SBT St Marys RAP, 23/05/23</p> <p>SBT IAA on St Marys RAP, Ramboll, 07/02/23 (Auditor approval of St Marys RAP)</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Site Audit Report and Site Audit Statement (Section A), Ramboll, 22/12/23</p> <p>SBT Bringelly Services Facility Remediation Action Plan, CPBG 21/10/22 and Validation Report, JBS&amp;G 02/12/24</p> <p>SBT Remediation Action Plan – Claremont Meadows, EES 26/09/22 and Validation Report (draft).</p> <p>SCAW CPBUI DSI Tracker, 06/08/24, plus sample of DSIs from Douglas Partners (various dates) – no change</p> <p>SCAW RAP for AEC43, Douglas Partners, 07/12/22 and SAR and Section B SAS AEC43, Senversa, 07/05/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for AEC35, Douglas Partners, March 2023 and SAR and Section B SAS AEC35, Senversa, 09/05/23 and interim validation report, Sydney Environmental 02/08/24, Section A SAS and SAR for Stage A, Senversa 02/08/24</p> <p>SCAW RAP for AEC36, Douglas Partners, July 2023 and SAR and Section B SAS AEC36, Senversa, 28/07/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for PS105 (encapsulation area), Douglas Partners 01/11/23, and Section B SAS, Senversa, 08/03/24</p> <p>SCAW RAP 31A, Douglas Partners 07/12/23 and IAA from Senversa providing initial acceptance of the RAP, 06/02/24, Section B SAS 11/03/24, Validation Report Sydney Environmental 10/10/24 (Section A SAS and SAR is pending)</p> | <p>SBT prepared a RAP for the Aerotropolis site. The RAP was prepared by CEnvP(SC) in accordance with the guidelines. The Site Auditor confirmed that the RAP is adequate. Following initial remediation, Metro directed SBT to remove the source material (in account of potential future use in the Western Sydney parklands). In response the RAP was updated for source removal. The RAP was implemented and an updated SAR and SAS was issued.</p> <p>The Contaminated Sites Auditor reviewed and approved the St Marys RAP (re groundwater) on 07/02/23. Works were carried out, involving the installation of a PRB to manage groundwater movement towards the box. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the St Marys box over to SSTOM as at October 23.</p> <p>A RAP was not required for Orchard Hills, but was prepared regardless. The Auditor reviewed and endorsed the RAP. The Contaminated Sites Auditor provided a SAR and SAS confirming implementation of the RAP. SBT have handed the Stage 1 of Orchard Hills over to SSTOM in late 2023.</p> <p>A RAP was not required for Bringelly or Claremont Meadows, but they were regardless (to deal with unexpected finds). The RAPs were required to be implemented during the audit period to deal with the unexpected finds and validation reports were prepared indicating that the sites were fit for use. The Site Audit Report and Site Audit Statement for Bringelly and the Validation Report for Claremont Meadows were still under review at the time of this Independent Audit.</p> <p>SCAW has had five RAPs prepared to date by CEnvP(SC) in accordance with the guidelines, with another 10 sites assessed as not requiring a RAP. The RAPs address the requirements of this condition. The Site Auditor has verified that the RAPs are appropriate (either via a Section B SAS or IAA (where the SAS is pending). Remediation was essentially completed, with the only outstanding matters being related to review of validation reports, the PS105 LTEMP and final SARs and SASs.</p> <p>The Auditor is not aware of remediation being required for FSM.</p> | C                 |

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|-----------|---|------------|------------|----------------|---|--|-------------------|
| E96       | <p><b>A Section A1 or Section A2 Site Audit Statement</b> (accompanied by an Environmental Management Plan) and its accompanying Site Audit Report, which state that the contaminated land disturbed by the work has been made suitable for the intended land use, must be submitted to the Planning Secretary and the Relevant Council(s) after remediation and before the commencement of operation of the CSSI.</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from obtaining Section A Site Audit Statements for individual parcels of remediated land.</p> | Applicable | Applicable | Not Applicable | <p>SBT Aerotropolis Validation Report, Coffey, 18/09/23, and Site Audit Report and Site Audit Statement (Section A1), JBS&amp;G, 20/09/23</p> <p>SBT St Marys Station Validation Report, TTMP, 07/09/23</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Validation Report JBS&amp;G 18/12//23 Site Audit Report and Statement, Ramboll 22/12/23</p> <p>SCAW RAP for AEC43, Douglas Partners, 07/12/22 and SAR and Section B SAS AEC43, Senversa, 07/05/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for AEC35, Douglas Partners, March 2023 and SAR and Section B SAS AEC35, Senversa, 09/05/23 and interim validation report, Sydney Environmental 02/08/24, Section A SAS and SAR for Stage A, Senversa 02/08/24</p> <p>SCAW RAP for AEC36, Douglas Partners, July 2023 and SAR and Section B SAS AEC36, Senversa, 28/07/23 and interim validation report, Sydney Environmental 02/08/24 (still under review)</p> <p>SCAW RAP for PS105 (encapsulation area), Douglas Partners 01/11/23, and Section B SAS, Senversa, 08/03/24</p> <p>SCAW RAP 31A, Douglas Partners 07/12/23 and IAA from Senversa providing initial acceptance of the RAP, 06/02/24, Section B SAS 11/03/24, Validation Report Sydney Environmental 10/10/24 (Section A SAS and SAR is pending)</p> | <p>Section B SAS has been obtained for St Marys. Section A SASs have been obtained for Aerotropolis and Orchard Hills. SBT have handed the Aerotropolis, Orchard Hills and St Marys sites over to SSTOM for ongoing construction. Submission of documents relating to contamination are proposed to be submitted to the identified stakeholders prior to operations.</p> <p>SCAW remediation was essentially completed, with the only outstanding matters being related to PS105 LTEMP and final SARs and SASs.</p> <p>The Auditor is not aware of remediation being required for FSM.</p> | NT                |
| E97       | <p>A copy of <b>Detailed Site Investigation Report(s), Remedial Action Plan(s), Validation Report(s), Site Audit Report(s) and Site Audit Statement(s)</b> must be submitted to the Planning Secretary and the Relevant Council(s) for information</p>  | Applicable | Applicable | Not Applicable | <p>Metro/SBT interview 06-07/02/25</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>FSM Detailed Site Investigation Laing O'Rourke Compound, AEC1 32 - 34 Harris Street, North Saint Marys, ADE, 21/08/24</p> <p>Letter DPHI to Sydney Metro 09/09/24 (acknowledgement of submission of FSM DSI Report for AEC1)</p> <p>Email FSM to Council, 10/02/25 (submission of FSM DSI Report for AEC1)</p>  | <p>There is no timing identified for this requirement. Sydney Metro, SBT and SCAW are of the view that this information would be sent once, following receipt of the Site Audit Reports and Site Audit Statements and before operations.</p> <p>The FSM DSI for AEC1 was submitted to the Department in September 2024 and Council in February 2025.</p>   | C                 |

| Unique ID             | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------------------|--|------------|------------|------------|---|--|-------------------|
| E98                   | An <b>Unexpected Contaminated Land and Asbestos Finds Procedure</b> must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction  | Applicable | Applicable | Applicable | <p>SBT Bringelly Unexpected Finds Notification 03/10/24 (includes details on the find and actions taken)</p> <p>SBT SWMP, 21/09/22 (Table 11)</p> <p>SCAW Soil and Water Management Plan, 04/10/2023 (Appendix C5)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module), (online)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHV permit), communications, heritage, waste and stockpiling</p> <p>FSM asbestos register, current to February 2025 (identifies each asbestos find on the project) along with the clearance certificate and waste disposal dockets</p> | <p>SBT Unexpected Contaminated Land and Asbestos Finds Procedure is captured within Table 11 of the SBT SWMP. The Procedure has been communicated to the workforce through the relevant workpacks, site environment plans, visual guides. SBT had one unexpected contamination finds during the audit period and evidence indicates that the protocol was enacted.</p> <p>The SCAW Unexpected Contaminated Land and Asbestos Finds Procedure is captured in Appendix C5 of the SWMP. The procedure has been communicated to the workforce. No new finds occurred during the audit period.</p> <p>The Sydney Metro unexpected finds procedure is included in the FSM CEMP. The requirements have been communicated to the workforce. According to FSM and their asbestos and contamination finds register there have been 3 x unexpected finds during the audit period. Evidence sighted indicates that the UFP was followed.</p> | C                 |
| E99                   | The <b>Unexpected Contaminated Land and Asbestos Finds Procedure</b> must be implemented throughout construction.  | Applicable | Applicable | Applicable | <p>SBT Bringelly Unexpected Finds Notification 03/10/24 (includes details on the find and actions taken)</p> <p>SBT SWMP, 21/09/22 (Table 11)</p> <p>SCAW Soil and Water Management Plan, 04/10/2023 (Appendix C5)</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing O'Rourke, 18/09/24</p> <p>Laing O'Rourke, Field View (checklist and inspection module), (online)</p> <p>FSM Possession Packs and Shift Manager Briefs for WE08, WE09, WE14, WE24, WE28, covers noise and vibration (including the OOHV permit), communications, heritage, waste and stockpiling</p> <p>FSM asbestos register, current to February 2025 (identifies each asbestos find on the project) along with the clearance certificate and waste disposal dockets</p> | <p>SBT Unexpected Contaminated Land and Asbestos Finds Procedure is captured within Table 11 of the SBT SWMP. The Procedure has been communicated to the workforce through the relevant workpacks, site environment plans, visual guides. SBT had one unexpected contamination finds during the audit period and evidence indicates that the protocol was enacted.</p> <p>The SCAW Unexpected Contaminated Land and Asbestos Finds Procedure is captured in Appendix C5 of the SWMP. The procedure has been communicated to the workforce. No new finds occurred during the audit period.</p> <p>The Sydney Metro unexpected finds procedure is included in the FSM CEMP. The requirements have been communicated to the workforce. According to FSM and their asbestos and contamination finds register there have been 3 x unexpected finds during the audit period. Evidence sighted indicates that the UFP was followed.</p> | C                 |
| <b>Sustainability</b> |  |            |            |            |   |  |                   |
| E100                  | A <b>Sustainability Plan</b> must be prepared to achieve an Infrastructure Sustainability Council of Australia (ISCA) Infrastructure Sustainability rating of +75 (Version 1.2) (or equivalent level of performance using a demonstrated equivalent rating tool) or a 5-Star Green Star rating (or equivalent level of performance using a demonstrated equivalent rating tool). | Applicable | Applicable | Applicable | <p>Sustainability Plan, Sydney Metro, January 2022</p> <p>Letter Sydney Metro to DPHI, 21/01/22</p> <p>DPHI post approval portal lodgement record 24/01/22</p> <p>Letter DPHI to Sydney Metro, 25/03/22 (acceptance of sustainability plan)</p>   | <p>The Metro WSA wide Sustainability Plan was prepared and submitted in line with this condition and accepted by the Department on 25/03/22.</p>   | C                 |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|---|------------|------------|------------|---|---|-------------------|
| E101      | <p>The <b>Sustainability Plan</b> must be submitted to the Planning Secretary for information within six (6) months of the date of this approval and must be implemented throughout construction and operation.</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from preparing separate Sustainability Strategies for the construction and operational stages of the CSSI.</p> | Applicable | Applicable | Applicable | <p>Sustainability Plan, Sydney Metro, January 2022</p> <p>Letter Sydney Metro to DPHI, 21/01/22</p> <p>DPHI post approval portal lodgement record 24/01/22</p> <p>Letter DPHI to Sydney Metro, 25/03/22 (acceptance of sustainability plan)</p> <p>SBT As-Built R1 Scorecard, Dec 2024</p> <p>SCAW Quarterly Sustainability Reports – Quarters 3 &amp; 4 2024</p> <p>SCAW Sustainability Plan, 04/10/22 and updated version dated 01/02/24 (approved by Metro 16/02/24)</p> <p>SCAW ISC Design Rating Scorecard, ISC, 01/03/24</p> <p>SCAW Round 2 Submission v1.2 Tracker 05/08/24</p> <p>J508 SCAW ISC scorecard_DR2, current to 09/08/24</p> <p>SCAW As-Built_v1.2 tracker, current to 09/08/24</p> <p>SCAW Climate Change Risk Assessment Report, RPT-080301</p> <p>FSM Sustainability Management Plan, Laing O'Rourke, 05/09/23</p> <p>Sustainability Design Guidelines Submission, August 2024, October 2024</p> <p>Memo TfNSW to LORAC, 17/12/24 (confirmation that TfNSW considers LORAC to be on track to achieve SDG targets)</p> | <p>The Metro WSA wide Sustainability Plan was prepared and submitted in line with this condition and accepted by the Department on 25/03/22. AEW packages were scoped out of ISC requirement, however there are elements of the overarching Sustainability Plan that must be implemented by contractors. The overarching Sustainability Plan was passed on to the contractors for localization and implementation.</p> <p>SBT Sustainability Plan has been prepared to address this condition and is consistent with the WSA wide Sustainability Plan and the target of +75 rating. The Design Report documents the compliance with sustainability requirements. According to SBT As-Built R1 Scorecard, Dec 2024, the package has scored 100.91, exceeding the +75 rating.</p> <p>SCAW Sustainability Plan has been prepared to address this condition and is consistent with the WSA wide Sustainability Plan and the target of +75 rating. The document was updated in early 2024. According to the SCAW round 1 ISC Design Submission, the Project is targeting 94 points (well in excess of the 75). The round 1 response identified a score of 24.4 points (which is normal for a round 1 submission). The round 2 submission is 95% complete with a target score of 86. The round 2 response scored 59.9 points. The As-Built submission is in process, with SCAW indicating a score of 91 points.</p> <p>According to the auditees, FSM is not captured by ISC. Sustainability is managed via Transport's Sustainability Guidelines. Reports are submitted periodically. Evidence sighted indicates that LORAC reported that they are on track to meet the SDG requirements and that TfNSW confirmed this status.</p> | C                 |

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|-----------------------|--|------------|------------|------------|---|--|-------------------|
| E102                  | <p>A <b>Water Reuse Strategy</b> must be prepared, which sets out options for the reuse of collected stormwater and groundwater during construction and operation. The Water Reuse Strategy must include, but not be limited to:</p> <p>(a) evaluation of reuse options;</p> <p>(b) details of the preferred reuse option(s), including volumes of water to be reused, proposed reuse locations and/or activities, proposed treatment (if required), and any additional licences or approvals that may be required;</p> <p>(c) measures to avoid misuse of recycled water as potable water;</p> <p>(d) consideration of the public health risks from water recycling; and</p> <p>(e) time frame for the implementation of the preferred reuse option(s).</p> <p>The <b>Water Reuse Strategy</b> must be prepared based on best practice and advice sought from relevant agencies, as required. The Strategy must be applied during construction.</p> <p>Justification must be provided to the Planning Secretary if it is concluded that no reuse options prevail.</p> <p>A copy of the Water Reuse Strategy must be made publicly available.</p> <p><b>Note:</b> Nothing in this condition prevents the Proponent from preparing separate Water Reuse Strategies for the construction and operational stages of the CSSI.</p> | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>STB Water Reuse Strategy, 29/07/24</p> <p><a href="https://edge.sitecorecloud.io/cimicgroup1634d-cimicxmcloud-production-16eb/media/project/cimic/cpb/project-documents/sydney-metro-wsa---stb/other-documents/water-reuse-strategy-v2.pdf">https://edge.sitecorecloud.io/cimicgroup1634d-cimicxmcloud-production-16eb/media/project/cimic/cpb/project-documents/sydney-metro-wsa---stb/other-documents/water-reuse-strategy-v2.pdf</a></p> <p>SCAW Water Reuse Strategy, 30/08/22</p> <p><a href="https://cimicdigital-cdn.azureedge.net/-/media/projects/cimic/cpb/pdfs/environmental-materials/wsa_scaw/other-documents/water-reuse.pdf?la=en">https://cimicdigital-cdn.azureedge.net/-/media/projects/cimic/cpb/pdfs/environmental-materials/wsa_scaw/other-documents/water-reuse.pdf?la=en</a></p> <p>Metro/SCAW interview 06-07/02/25</p> <p>AEW FSM Construction Environmental Management Plan, Rev 03, Laing Orouke, 18/09/24 (includes Water Reuse Plan)</p> <p>Letter ER to Sydney Metro, 15/03/24 (ER endorsement of minor amendment to FSM CEMP)</p> <p>Site inspection 03/02/25</p> <p>LORAC Water discharge or reuse forms (x17 permits issued since 21/08/24, with 3 x discharged to land)</p> | <p>STB had developed the Water Reuse strategy and it was posted on the contractor website. The Strategy addressed the requirements from this condition. Sediment basins were in place to reuse water. Due to the wet weather, water demand has been below average. It is noted that salinity of groundwater and selected treatment option means that groundwater reuse in tunnelling is not feasible in all cases and that STB did not recover rainwater tank water. The Water Reuse Strategy was updated during the previous audit period to reflect this and was published on the STB website. Works are complete.</p> <p>SCAW had developed the Water Reuse strategy and it was posted on the contractor website. The Strategy addresses the requirements from this condition. The main source of water was site won (from basins, depressions and potentially local water bodies). Rainwater tanks were not being used but surface water runoff was captured in basins for reuse. Construction is essentially complete.</p> <p>According to the approved staging report, no other active AEW packages require a Water Reuse Strategy. That being said, AEW FSM Water Reuse Strategy is appended to the CEMP (and therefore subject to ER endorsement). The ER has required that the Water Reuse Strategy be updated to address the requirement of E102. An updated CEMP was endorsed by the ER on 15/03/24. The Project has rainwater harvest which it reuses on site in both toilets and for dust suppression. Construction water is also retrieved / reused once testing confirms suitability.</p> | C                 |
| Traffic and Transport |  |            |            |            |   |  |                   |

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| E103 | <p><b>Construction Traffic Management Plans (CTMPs)</b> must be prepared in accordance with the Construction Traffic Management Framework. A copy of the CTMPs must be submitted to the Planning Secretary for information before the commencement of any construction in the area identified and managed within the relevant CTMP.</p> | Applicable | Applicable | Applicable | <p>Overarching Construction Traffic Management Plan, Rev C, 24/01/22</p> <p>Letter DPHI to Sydney Metro, 18/02/22 (approval of overarching Construction Traffic Management Plan)</p> <p>Metro/STB interview 06-07/02/25</p> <p>STB Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and STB CTMP Aerotropolis 09/06/22, STB CTMP Bringelly 02/06/22, STB CTMP Claremont Meadows 15/06/22, STB CTMP St Marys Site Estab (revised August 23), STB CTMP Geotech Scope North 05/04/23, STB CTMP Orchard Hills Site Estab 27/06/22, STB CTMP St Marys Demolition 27/06/22, STB CTMP Orchard Hills Operations Sep 22, STB CTMP St Marys TBM Retrieval Mar 24 , STB CTMP St Marys TBM Demobilization 24/03/24</p> <p>Letter DPHI to Sydney Metro, 16/12/21 (acknowledgement of receipt of St Marys CTMP)</p> <p>Letter DPHI to Metro, 06/12/22 (approval of overarching STB CTMP 16/06/22, Geotech Scope North 14/09/22, Aerotropolis 09/06/22, Bringelly 02/06/22, Claremont Meadows 15/06/22, Orchard Hills Site Estab 05/07/22, Orchard Hills Operations Sep 22)</p> <p>Letter DPHI to Metro (SSI-10051-PA-98), approval of local roads (HVLR) at St Marys, plus acknowledgement of receipt of CTMP for St Marys Demolition.</p> <p>DPHI post approval portal lodgement, 18/04/24 (submission of St Marys TBM removal CTMP)</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24 (no longer in use), CTMP Patons Lane (Viaduct) 09/02/24 (no longer in use) and CTMP Patons Lane Night 13/05/24</p> <p>DPHI post approval portal lodgment, 16/09/22 (submission of SCAW Overarching CTMP)</p> <p>Letter DPHI to Sydney Metro, 19/09/22 (DPHI acknowledgment of the SCAW Overarching CTMP)</p> <p>DPHI post approval portal, 14/10/22 (submission of Elizabeth Drive CTMP) note works commenced in middle of October (after PCEMP)</p> <p>DPHI post approval portal, 19/01/23 (submission of Luddenham Road CTMP) note works commenced in February 2023</p> <p>DPHI post approval portal, 26/09/23 (submission of Paton's Lane Road CTMP) note works commenced in middle of October 2022 (after PCEMP).</p> <p>DPHI post approval portal, 28/03/23 (submission of Luddenham Road Gates 4&amp;5 CTMP)</p> <p>DPHI post approval lodgement, 05/04/23 (notification of non-compliance on the delayed submission of Luddenham Road Gates 4&amp;5 CTMP)</p> <p>DPHI post approval portal, 28/03/23 (submission of Lansdowne Road Gate 1 CTMP) note works at this location have yet to commence</p> | <p>SBT has one overarching CTMP and eight site level CTMPs. SCAW has one overarching and six local CTMPs. FSM has one CTMP. All of the CTMPs identify the requirements from the CTMF. Once prepared each CTMP goes to Metro, TfNSW and Council for comment. Once comments are addressed it is sent for approval by TfNSW CJP. Once approved by TfNSW CJP the document is sent to the Department and published online.</p> <p>Based on the dates of the CTMPs and the correspondence from the Department, submission of each was completed prior to commencement of the relevant works. Updates were not resubmitted to the Department, but still go through reviews with CJP, Council, Metro etc.</p> <p>The SCAW and STB CTMPs have been deactivated as project works have been completed. Neither STB or SCAW have updated or prepared new CTMPs during the audit period. TGSs accompany the CTMPs. The ER has not identified any compliance issues with their implementation. FSM updated the CTMP during the current audit period to capture the latest HVLR.</p> <p>SBT and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the controller of speeding, marshalling, braking, fatigue and departure from approved routes. The CTMPs identify parking and internal traffic movements to prevent parking and idling, marshalling external to the site. Off site haulage for SCAW and STB had essentially been completed prior to the current audit period. FSM does not undertake any major haulage. FSM operates daily supervisor check ins which includes traffic and access, bookings and the like to make sure the deliveries are just in time and able to be pulled on to the site straight away. Trucks then radio on approach. There is no off site parking available and all the interviewees catch the train. Several spots are available on site for supervisors.</p> <p>No complaints have been received regarding parking, marshalling, access, or haul routes.</p> | C |
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|           |  |            |            |            | <p>DPHI post approval portal, 27/04/23 (submission Badgerys Creek Road Gate 9 CTMP) note works commenced at this location in April 2023.</p> <p>DPHI post approval portal, 02/08/23 (submission of Luddenham Road Roundabout Construction CTMP).</p> <p>DPHI post approval portal, 27/02/25 (submission of Pattons Lane Night CTMP).</p> <p>AEW FSM CTMP, Laing Orouke, 25/07/2024 (prev version was submitted to DPHI), inclusive of HVLR.</p> <p>Letter DPHI to Sydney Metro, 20/08/24 (approval of AEW FSM St Marys HVLR Rev G). and 19/09/24 (approval of HVLR Rev H)</p> <p>FSM Traffic Management Control Assessment, 02/09/24 and 19/11/24 (LORAC inspection on CTMP implementation)</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> |  |                   |
| E104      | The locations of all Heavy Vehicles used for spoil haulage must be monitored in real time and the records of monitoring be made available electronically to the Planning Secretary and the EPA upon request for a period of no less than one (1) year following the completion of construction | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>STB Virtual Superintendent (online tracking module)</p> <p>Geofence register updated, 12/07/24</p> <p>Daily allocations from spoil haulage subcontractors to STB (issued daily, identifying truck rego's, material types and source, and spoil disposal sites, March – July 2024).</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Virtual Superintendent (online tracking module)</p>  | <p>Both STB and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the traffic team of speeding, braking, fatigue and departure from approved routes. SCAW did not conduct off site spoiling during the audit period. STBs spoiling was limited as TBM tunnelling was completed in June 2024. Neither STB nor SCAW are aware of any breaches of the geofencing onto local roads being used to access construction sites during the audit period. No complaints were recorded to indicate any breaches of approved haul routes.</p> <p>The auditees are not aware of any requests of monitoring data from the Department or EPA during the audit period.</p> <p>Spoil haulage is not required for FSM.</p> | C                 |

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| E105      | Local roads proposed to be used by Heavy Vehicles to directly access ancillary facilities / construction sites that are not identified in the documents listed in <b>Condition A1</b> must be approved by the Planning Secretary and be included in the CTMP. | Applicable | Applicable | Applicable | <p>Metro/STB interview 06-07/02/25</p> <p>STB HVLR Report for St Marys TBM retrieval, 16/04/24 and Letter DPHI to Metro, 17/05/24 (DPHI approval of HVLR).</p> <p>STB CTMP St Marys TBM Demobilization 24/03/24</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>SCAW Virtual Superintendent (online tracking module)</p> <p>Complaints register current to 09/02/25.</p> <p>AEW FSM CTMP, Laing Orouke, 25/07/2024 (prev version was submitted to DPHI), inclusive of HVLR.</p> <p>Letter DPHI to Sydney Metro, 20/08/24 (approval of AEW FSM St Marys HVLR Rev G). and 19/09/24 (approval of HVLR Rev H)</p> <p>FSM interview, 03/02/25</p> <p>FSM CTMP and Conditions of Approval PowerPoint, November 24.</p> <p>Email LORAC to subcontractor, 26/08/24 (communication of approved haul routes to subcontractor)</p> <p>FSM 4 week lookahead spreadsheet (shows number of trucks booked per day).</p> <p>Prestart Board (daily board showing truck deliveries scheduled)</p> <p>FSM Non-compliance Report 23/09/24 (breach of E105) and DPHI post approval portal lodgement record, 30/09/24</p> <p>Letter DPHI to LOR, 19/12/24 (warning to FSM regarding non-compliance's against E105 on 18-19/09/24 (when heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19 September 2024) and A2 on 11 &amp; 31/10/24 (oversize overmass vehicles used Harris Street and Forrester Road, St Marys, without Penrith Council approval (Council had requested additional swept path information on 14 October 2024)</p> | <p>STB operates an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the traffic team of speeding, braking and departure from approved routes.</p> <p>STB and SCAW are of the view that no local roads were used during the audit period, that were not already approved. All routes are included in the CTMPs. No complaints were recorded to indicate any breaches of approved haul routes.</p> <p>AEW FSM developed an HVLR for the use of local roads around St Marys for the purposes of accessing MAFs during possession works. This was described in the HVLR and was conditionally approved by DPHI. The DPHI put limits as follows::</p> <ol style="list-style-type: none"> <li>1. Use of the local roads identified in Figure 5 of HVLR Report, by heavy vehicles, until 20/08/25.</li> <li>2. A maximum of 60 heavy vehicle movements on a Saturday, Sunday and Public Holiday and 30 heavy vehicles per day on any other weekday.</li> </ol> <p>FSM has captured the key requirements from the CTMP and HVLR into a slide pack, which is distributed to the relevant subbies prior to them commencing works, or following a change. Truck deliveries and movements are planned and tracked to ensure limits are not exceeded.</p> <p><b>Non-compliance FSM: On 18-19/09/24 heavy vehicles used Forrester Road, St Marys, (to access a private road rather than to access Harris Street) prior to Planning Secretary approval which was issued late on 19/09/24. This was subsequently reported to the Department and the Department issued a warning letter on 19/12/24.</b></p> | NC                |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
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| E106      | <p>All requests to the Planning Secretary for approval to use local roads under Condition E105 above must include the following:</p> <p>(a) a swept path analysis;</p> <p>(b) demonstration that the use of local roads by Heavy Vehicles for the CSSI will not compromise the safety of pedestrians and cyclists of the safety of two-way traffic flow on two-way roadways;</p> <p>(c) details as to the date of completion of the road dilapidation surveys for the subject local roads; and</p> <p>(d) measures that will be implemented to avoid where practicable the use of local roads past schools, aged care facilities and child care facilities during their peak operation times; and</p> <p>(e) written advice from an appropriately qualified professional on the suitability of the proposed Heavy Vehicle route which takes into consideration items (a) to(d) of this condition.</p> | Applicable | Applicable | Applicable | <p>SBT HVLR Report for St Marys TBM retrieval, 16/04/24 and Letter DPHI to Metro, 17/05/24 (DPHI approval of HVLR).</p> <p>SBT CTMP St Marys TBM Demobilization 24/03/24</p> <p>Metro/SCAW interview 06-07/02/25</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>SCAW Virtual Superintendent (online tracking module)</p> <p>AEW FSM CTMP, Laing Orouke, 25/07/2024 (prev version was submitted to DPHI), inclusive of HVLR.</p> <p>Letter DPHI to Sydney Metro, 20/08/24 (approval of AEW FSM St Marys HVLR Rev G). and 19/09/24 (approval of HVLR Rev H)</p> <p>FSM interview, 03/02/25</p> | <p>Refer E105. SBT Heavy Vehicle Local Road Report was prepared and was included the information from this condition was included. The Heavy Vehicle Local Road approval was obtained for St Marys prior to use of local roads in that location. All other roads were already identified in the EIS.</p> <p>SCAW are not using any local roads that are not already identified in the EIS.</p> <p>SBT and SCAW are of the view that no local roads were used during the audit period, that were not already approved. All routes are included in the CTMPs. No complaints were recorded to indicate any breaches of approved haul routes.</p> <p>AEW FSM developed an HVLR for the use of local roads around St Marys for the purposes of accessing MAFs during possession works. This was described in the HVLR and was conditionally approved by DPHI. The DPHI put limits as follows::</p> <p>1. Use of the local roads identified in Figure 5 of HVLR Report, by heavy vehicles, until 20/08/25.</p> <p>2. A maximum of 60 heavy vehicle movements on a Saturday, Sunday and Public Holiday and 30 heavy vehicles per day on any other weekday.</p> <p>FSM has captured the key requirements from the CTMP and HVLR into a slide pack, which is distributed to the relevant subbies prior to them commencing works, or following a change. Truck deliveries and movements are planned and tracked to ensure limits are not exceeded.</p> | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
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| E107      | Before any local road is used by a Heavy Vehicle for the purposes of construction of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the <b>Road Dilapidation Report</b> must be provided to the Relevant Road Authority(s) within three (3) weeks of completion of the survey and at no later than one (1) month before the road being used by Heavy Vehicles associated with the construction of the CSSI. | Applicable | Applicable | Applicable | <p>Metro/SBT interview 06-07/02/25</p> <p>SBT Road dilapidation Surveys 8/07/22 by Pavement Management Services provided Penrith City Council on 11/07/22 and PCC accepted it on 9/8/22</p> <p>SBT Focus Dilapidation Report, Glossip Street and Lansdowne Road, Pavement Management Services, 11/11/22, and email from Sydney Metro to SBT dated 18/01/23 confirming Penrith City Council had no comments on the documents.</p> <p>SBT WSA Dilapidation report, 08/07/22, CPBG</p> <p>AEW Preconstruction Dilapidation Report for Liverpool City Council, 20/12/21 (dilap for power supply and local roads in the Liverpool City Council)</p> <p>AEW Preconstruction Dilapidation Report for Penrith City Council, 20/12/21 (dilap for power supply and local roads in the Liverpool City Council)</p> <p>AEW Dilapidation Reports for Phillip and Lethbridge Streets, East Lane, Gidley Street, Glossop Street, Nariel Street, Queen Street, Station Street, Effective Building &amp; Consultancy, various dates</p> <p>AEW St Marys Dilapidation Investigation Register, TfNSW, dated 05/12/2021 (and accompanying dilapidation reports)</p> <p>AEW Letter TfNSW to Council, 28/09/21 and 04/02/22 (submission of road design and confirmation of road authority designation)</p> <p>Road Condition Report, ARRB, 01/12/22 (SCAW wide)</p> <p>Paton's Lane Road Dilapidation Report, CPBUI, 15/08/22 (and email Metro to Penrith City Council, 17/08/22)</p> <p>AEW FSM preconstruction dilapidation reports, Harris Street, Forrester Rd, Roundabout, Queen Street Phillip Street, Bus terminal, TAP3 Roads (various dates) and submission to Penrith City Council.</p> | <p>A number of dilapidation reports for roads around St Marys were completed by AEW prior to SBT. Refer to audit reports 1 and 2 for dilapidation reports for AEW works conducted during earlier audit periods.</p> <p>Road Dilapidation Reports for SBT (where not already completed by AEW) were prepared for the local road to be used and were provided to the Council. These reports were all encompassing of the surrounding area. No new dilapidation reports were prepared during the audit period and works are now complete.</p> <p>SCAW Road Dilapidation Reports for local roads (one of) was prepared and submitted to Council in August 2022, which was prior to construction for the local roads to be used. SCAW works are essentially complete.</p> <p>AEW Water, AEW FSM and AEW SPO prepared dilapidation reports and submitted these to the relevant parties.</p> | C                 |

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| E108      | <p>If damage to roads occurs as a result of the construction of the CSSI, the Proponent must either (at the Relevant Road Authority's discretion):</p> <p>(a) compensate the Relevant Road Authority for the damage so caused; or</p> <p>(b) rectify the damage to restore the road to at least the condition it was in pre-work as identified in the Road Dilapidation Report.</p> | Applicable | Applicable | Applicable | <p>Penrith City Council Metro WSA Delivery Meeting Minutes, 15/04/24 and 23/07/24 (includes evidence of satisfaction with Kent Road and Phillip Street repairs)</p> <p>Teambinder corro SBT to Metro 12/02/24 (submission of post construction dilapidation reports to Metro for St Marys, Orchard Hills Stage 1, Bringelly and Aerotropolis)</p> <p>Email Sydney Metro to LCC, 20/01/25 (corro regarding SBT completing road repairs of Derwent Rd in November 2024, in a good faith agreement and notifying of subsequent damage to the road not associated with the Sydney Metro Western Sydney Airport Project) and LCC response</p> <p>SCAW Luddenham Road Repair works tracker, 2024</p> <p>SMWSA and PCC Meeting Minutes, 18/09/23 and 24/11/23</p> <p>SCAW Luddenham Road Condition Report Combined, July 2024.</p> <p>DeISIG Sub-Group Meeting, 22/05/24 (allocation of repairs of Luddenham Road and Kent Road repairs to each Metro contractor).</p> <p>SCAW Contractual Notice to Sydney Metro, 23/08/24 (covers road usage, repair, DeISig meeting matters and states that given the intensity of SCAW works and repairs to date SCAW does not intend on undertaking any further monitoring or repairs).</p> <p>AEW FSM preconstruction dilapidation reports, Harris Street, Forrester Rd, Roundabout, Queen Street Phillip Street, Bus terminal, TAP3 Roads (various dates) and submission to Penrith City Council.</p> <p>Email PCC to WolfPeak, 28/01/25 (consultation on scope of seventh audit)</p> | <p>The Auditor notes the road dilapidation reports identified in E107 which has assessed the condition of local roads being used by Heavy Vehicles. Any pre-existing damage has been recorded.</p> <p>SBT identified and completed repairs to a pothole on Kent Street Orchard Hills and Phillip Street St Marys. These works were completed in April 2024 and July 2024 and Council confirmed that they did not have any outstanding concerns in the Council Delivery Meeting Minutes. SBT also conducted repairs on Derwent Road as a good faith gesture despite holding the view that their truck movements were not the only source of the damage (other businesses and projects using heavy vehicles use the road). SBT works at Bringelly were completed in December 2024. SBT post construction road dilapidation process has been completed and other than the good will works on Derwent Road SBT are of the view that no further damage remains.</p> <p>For SCAW the Delivery Site Integration Group (comprising SCAW, SSTOM and Sydney Metro) meeting in May 2024 allocated repairs of Luddenham Road and Kent Road repairs to each Metro contractor and on 23/08/24 SCAW issued a contractual notice to Sydney Metro stating that that given the intensity of SCAW works and repairs to date SCAW does not intend on undertaking any further monitoring or repairs. All repairs are to be managed by SSTOM going forward. The Auditor is not aware of any response or feedback from Sydney Metro in this regard.</p> <p>FSM completed pre-construction dilapidation reports on the surrounding road network and their truck movements are relatively minor (and used by a variety of non-Project light industrial businesses). FSM did not identify any damage arising from their works and PCC did not state any concerns during consultation on this audit report.</p> | C                 |

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| E109 | <p>Vehicles associated with the project workforce (including light vehicles and Heavy Vehicles) must be managed to:</p> <p>(a) minimise parking on public roads;</p> <p>(b) minimise idling and queueing on state and regional roads;</p> <p>(c) not carry out marshalling of construction vehicles near sensitive land use(s);</p> <p>(d) not block or disrupt access across pedestrian or shared user paths at any time unless alternate access is provided; and</p> <p>(e) ensure spoil haulage vehicles adhere to the nominated haulage routes identified in the CTMP.</p> | Applicable | Applicable | Applicable | <p>Overarching Construction Traffic Management Plan, Rev C, 24/01/22</p> <p>Letter DPHI to Sydney Metro, 18/02/22 (approval of overarching Construction Traffic Management Plan)</p> <p>Metro/STB interview 06-07/02/25</p> <p>STB Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and STB CTMP Aerotropolis 09/06/22, STB CTMP Bringelly 02/06/22, STB CTMP Claremont Meadows 15/06/22, STB CTMP St Marys Site Estab (revised August 23), STB CTMP Geotech Scope North 05/04/23, STB CTMP Orchard Hills Site Estab 27/06/22, STB CTMP St Marys Demolition 27/06/22, STB CTMP Orchard Hills Operations Sep 22, STB CTMP St Marys TBM Retrieval Mar 24 , STB CTMP St Marys TBM Demobilization 24/03/24</p> <p>Letter DPHI to Sydney Metro, 16/12/21 (acknowledgement of receipt of St Marys CTMP)</p> <p>Letter DPHI to Metro, 06/12/22 (approval of overarching STB CTMP 16/06/22, Geotech Scope North 14/09/22, Aerotropolis 09/06/22, Bringelly 02/06/22, Claremont Meadows 15/06/22, Orchard Hills Site Estab 05/07/22, Orchard Hills Operations Sep 22)</p> <p>Letter DPHI to Metro (SSI-10051-PA-98), approval of local roads (HVLR) at St Marys, plus acknowledgement of receipt of CTMP for St Marys Demolition.</p> <p>DPHI post approval portal lodgement, 18/04/24 (submission of St Marys TBM removal CTMP)</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24 (no longer in use), CTMP Patons Lane (Viaduct) 09/02/24 (no longer in use) and CTMP Patons Lane Night 13/05/24</p> <p>DPHI post approval portal lodgment, 16/09/22 (submission of SCAW Overarching CTMP)</p> <p>Letter DPHI to Sydney Metro, 19/09/22 (DPHI acknowledgment of the SCAW Overarching CTMP)</p> <p>DPHI post approval portal, 14/10/22 (submission of Elizabeth Drive CTMP) note works commenced in middle of October (after PCEMP)</p> <p>DPHI post approval portal, 19/01/23 (submission of Luddenham Road CTMP) note works commenced in February 2023</p> <p>DPHI post approval portal, 26/09/23 (submission of Paton's Lane Road CTMP) note works commenced in middle of October 2022 (after PCEMP).</p> <p>DPHI post approval portal, 28/03/23 (submission of Luddenham Road Gates 4&amp;5 CTMP)</p> <p>DPHI post approval lodgement, 05/04/23 (notification of non-compliance on the delayed submission of Luddenham Road Gates 4&amp;5 CTMP)</p> <p>DPHI post approval portal, 28/03/23 (submission of Lansdowne Road Gate 1 CTMP) note works at this location have yet to commence</p> | <p>SBT has one overarching CTMP and eight site level CTMPs. SCAW has one overarching and six local CTMPs. FSM has one CTMP. All of the CTMPs identify the requirements from the CTMF. Once prepared each CTMP goes to Metro, TfNSW and Council for comment. Once comments are addressed it is sent for approval by TfNSW CJP. Once approved by TfNSW CJP the document is sent to the Department and published online.</p> <p>Based on the dates of the CTMPs and the correspondence from the Department, submission of each was completed prior to commencement of the relevant works. Updates were not resubmitted to the Department, but still go through reviews with CJP, Council, Metro etc.</p> <p>The SCAW and STB CTMPs have been deactivated as project works have been completed. Neither STB or SCAW have updated or prepared new CTMPs during the audit period. TGSs accompany the CTMPs. The ER has not identified any compliance issues with their implementation. FSM updated the CTMP during the current audit period to capture the latest HVLR.</p> <p>SBT and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the controller of speeding, marshalling, braking, fatigue and departure from approved routes. The CTMPs identify parking and internal traffic movements to prevent parking and idling, marshalling external to the site. Off site haulage for SCAW and STB had essentially been completed prior to the current audit period. FSM does not undertake any major haulage. FSM operates daily supervisor check ins which includes traffic and access, bookings and the like to make sure the deliveries are just in time and able to be pulled on to the site straight away. Trucks then radio on approach. There is no off site parking available and all the interviewees catch the train. Several spots are available on site for supervisors.</p> <p>No complaints have been received regarding parking, marshalling, access, or haul routes. Refer E104 regarding FSM use of unapproved local road.</p> | C |
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|           |                        |     |      |       | <p>DPHI post approval portal, 27/04/23 (submission Badgerys Creek Road Gate 9 CTMP) note works commenced at this location in April 2023.</p> <p>DPHI post approval portal, 02/08/23 (submission of Luddenham Road Roundabout Construction CTMP).</p> <p>DPHI post approval portal, 27/02/25 (submission of Pattons Lane Night CTMP).</p> <p>AEW FSM CTMP, Laing Orouke, 25/07/2024 (prev version was submitted to DPHI), inclusive of HVLR.</p> <p>Letter DPHI to Sydney Metro, 20/08/24 (approval of AEW FSM St Marys HVLR Rev G). and 19/09/24 (approval of HVLR Rev H)</p> <p>FSM Traffic Management Control Assessment, 02/09/24 and 19/11/24 (LORAC inspection on CTMP implementation)</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p> |  |                   |

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| E110      | Access to all utilities and properties must be maintained during works, unless otherwise agreed with the relevant utility owner, landowner or occupier. | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25</p> <p>SBT Virtual Superintendent (online tracking module)</p> <p>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and SBT CTMP Aerotropolis 09/06/22, SBT CTMP Bringelly 02/06/22, SBT CTMP Claremont Meadows 15/06/22, SBT CTMP St Marys Site Estab (revised August 23), SBT CTMP Geotech Scope North 05/04/23, SBT CTMP Orchard Hills Site Estab 27/06/22, SBT CTMP St Marys Demolition 27/06/22, SBT CTMP Orchard Hills Operations Sep 22, SBT CTMP St Marys TBM Retrieval Mar 24</p> <p>SBT Sydney Water design, protection and diversion documents, Phillip St, Station Street Lansdowne Road, Kent Road Sydney Water CASE198458PW, CASE190778PW, CASE198747PW, CASE190695PW</p> <p>SBT Telstra (non-contestable) comms protection, diversion and permanent design documents, Kent Road, Phillip St and Station Road.</p> <p>SBT Lansdowne Road bridgework TGS, within Orchard Hills Operations CTMP.</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI).</p> <p>FSM MAC Request for WE09, and WE34 between LORAC and Sydney Trains (application/approval for disruption to services and track possession)</p> <p>Email Arcadis to LORAC 17/01/25 (notice of initial contact with Endeavour energy on upcoming design of stormwater potentially impacting power).</p> | <p>Refer E109. SBT and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the controller of speeding, marshalling, braking, fatigue and departure from approved routes. The CTMPs identify parking and internal traffic movements to prevent parking and idling, marshalling external to the site. Off site haulage for SCAW and SBT had essentially been completed prior to the current audit period. FSM does not undertake any major haulage. FSM operates daily supervisor check ins which includes traffic and access, bookings and the like to make sure the deliveries are just in time and able to be pulled on to the site straight away. Trucks then radio on approach. There is no off site parking available and all the interviewees catch the train. Several spots are available on site for supervisors. FSM obstructs access to the St Marys services and platforms during the possessions. Shuttles operate when possessions occur that restrict trains.</p> <p>No complaints have been received regarding parking, marshalling, access, or haul routes.</p> <p>Agreement had been obtained from Water NSW when SCAW are working in their corridor. No issues have been observed on site, noting the sites are relatively isolated from other properties and utilities.</p> <p>Evidence was provided demonstrating protection / support for services potentially affected by SBT, SCAW and FSM. Refer E82.</p> <p>The auditees are not aware of any disruptions during the audit period.</p> | C                 |

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| E111      | The Proponent must maintain access to properties during the entirety of works unless an alternative access is agreed in writing with the landowner(s) whose access is impacted by the CSSI works. | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25</p> <p>SBT Virtual Superintendent (online tracking module)</p> <p>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and SBT CTMP Aerotropolis 09/06/22, SBT CTMP Bringelly 02/06/22, SBT CTMP Claremont Meadows 15/06/22, SBT CTMP St Marys Site Estab (revised August 23), SBT CTMP Geotech Scope North 05/04/23, SBT CTMP Orchard Hills Site Estab 27/06/22, SBT CTMP St Marys Demolition 27/06/22, SBT CTMP Orchard Hills Operations Sep 22, SBT CTMP St Marys TBM Retrieval Mar 24</p> <p>SBT Sydney Water design, protection and diversion documents, Phillip St, Station Street Lansdowne Road, Kent Road Sydney Water CASE198458PW, CASE190778PW, CASE198747PW, CASE190695PW</p> <p>SBT Telstra (non-contestable) comms protection, diversion and permanent design documents, Kent Road, Phillip St and Station Road.</p> <p>SBT Lansdowne Road bridgework TGS, within Orchard Hills Operations CTMP.</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI).</p> <p>FSM MAC Request for WE09, and WE34 between LORAC and Sydney Trains (application/approval for disruption to services and track possession)</p> <p>Email Arcadis to LORAC 17/01/25 (notice of initial contact with Endeavour energy on upcoming design of stormwater potentially impacting power).</p> | <p>Refer E109. SBT and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the controller of speeding, marshalling, braking, fatigue and departure from approved routes. The CTMPs identify parking and internal traffic movements to prevent parking and idling, marshalling external to the site. Off site haulage for SCAW and SBT had essentially been completed prior to the current audit period. FSM does not undertake any major haulage. FSM operates daily supervisor check ins which includes traffic and access, bookings and the like to make sure the deliveries are just in time and able to be pulled on to the site straight away. Trucks then radio on approach. There is no off site parking available and all the interviewees catch the train. Several spots are available on site for supervisors. FSM obstructs access to the St Marys services and platforms during the possessions. Shuttles operate when possessions occur that restrict trains.</p> <p>No complaints have been received regarding parking, marshalling, access, or haul routes.</p> <p>Agreement had been obtained from Water NSW when SCAW are working in their corridor. No issues have been observed on site, noting the sites are relatively isolated from other properties and utilities.</p> <p>Evidence was provided demonstrating protection / support for services potentially affected by SBT, SCAW and FSM. Refer E82.</p> <p>The auditees are not aware of any disruptions during the audit period.</p> | C                 |

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| E112      | Where construction of the CSSI restricts a property's access to a public road, the Proponent must, until their primary access is reinstated, provide the property with temporary alternate access to an agreed road decided through consultation with the landowner, at no cost to the property landowner, unless otherwise agreed with the landowner. | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25</p> <p>SBT Virtual Superintendent (online tracking module)</p> <p>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and SBT CTMP Aerotropolis 09/06/22, SBT CTMP Bringelly 02/06/22, SBT CTMP Claremont Meadows 15/06/22, SBT CTMP St Marys Site Estab (revised August 23), SBT CTMP Geotech Scope North 05/04/23, SBT CTMP Orchard Hills Site Estab 27/06/22, SBT CTMP St Marys Demolition 27/06/22, SBT CTMP Orchard Hills Operations Sep 22, SBT CTMP St Marys TBM Retrieval Mar 24</p> <p>SBT Sydney Water design, protection and diversion documents, Phillip St, Station Street Lansdowne Road, Kent Road Sydney Water CASE198458PW, CASE190778PW, CASE198747PW, CASE190695PW</p> <p>SBT Telstra (non-contestable) comms protection, diversion and permanent design documents, Kent Road, Phillip St and Station Road.</p> <p>SBT Lansdowne Road bridgework TGS, within Orchard Hills Operations CTMP.</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI).</p> <p>FSM MAC Request for WE09, and WE34 between LORAC and Sydney Trains (application/approval for disruption to services and track possession)</p> <p>Email Arcadis to LORAC 17/01/25 (notice of initial contact with Endeavour energy on upcoming design of stormwater potentially impacting power).</p> | <p>Refer E109. SBT and SCAW operated an online tool with real-time GPS tracking of all spoil trucks. The system uses geofencing to identify if a truck has left the approved routes. The system alerts the controller of speeding, marshalling, braking, fatigue and departure from approved routes. The CTMPs identify parking and internal traffic movements to prevent parking and idling, marshalling external to the site. Off site haulage for SCAW and SBT had essentially been completed prior to the current audit period. FSM does not undertake any major haulage. FSM operates daily supervisor check ins which includes traffic and access, bookings and the like to make sure the deliveries are just in time and able to be pulled on to the site straight away. Trucks then radio on approach. There is no off site parking available and all the interviewees catch the train. Several spots are available on site for supervisors. FSM obstructs access to the St Marys services and platforms during the possessions. Shuttles operate when possessions occur that restrict trains.</p> <p>No complaints have been received regarding parking, marshalling, access, or haul routes.</p> <p>Agreement had been obtained from Water NSW when SCAW are working in their corridor. No issues have been observed on site, noting the sites are relatively isolated from other properties and utilities.</p> <p>Evidence was provided demonstrating protection / support for services potentially affected by SBT, SCAW and FSM. Refer E82.</p> <p>The auditees are not aware of any disruptions during the audit period.</p> | NT                |

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|-----------|--|------------|----------------|------------|---|--|-------------------|
| E113      | Any property access physically affected by the CSSI must be reinstated to at least an equivalent standard, unless otherwise agreed by the landowner or occupier. Property access must be reinstated within one (1) month of the work that physically affected the access is completed or in any other timeframe agreed with the landowner or occupier.   | Applicable | Applicable     | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25 SBT to IPIAP Presentation, Aug, Oct, Dec 24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims settlement monitoring (all within acceptable ranges)</p>   | <p>For SBT property damage claims are reported to the IPAIP. As of December 2024 the four active claims were as follows:</p> <p>5/3 Station Street, St Marys – closed</p> <p>45 Derwent Road, Bringelly – escalated to Sydney Metro</p> <p>77 Kent Road, Orchard Hills – closed</p> <p>57 Kent Road, Orchard Hills – closed.</p> <p>SCAW and FSM are not aware of any third party property damage. None are recorded on the complaints register for these packages.</p>  | C                 |
| E114      | During construction, all reasonably practicable measures must be implemented to maintain pedestrian, cyclist and vehicular access to, and parking in the vicinity of, businesses and affected properties. Disruptions are to be avoided, and where avoidance is not possible, minimised. Where disruption cannot be avoided, alternative pedestrian, cyclist and vehicular access, and parking arrangements must be developed in consultation with affected businesses and landowners and implemented before the disruption. Adequate signage and directions to businesses must be provided before, and for the duration of, any disruption. | Applicable | Applicable     | Applicable | <p>Site inspection 03, 07/02/25</p> <p>Complaints register current to 09/02/25</p> <p>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and SBT CTMP Aerotropolis 09/06/22, SBT CTMP Bringelly 02/06/22, SBT CTMP Claremont Meadows 15/06/22, SBT CTMP St Marys Site Estab (revised August 23), SBT CTMP Geotech Scope North 05/04/23, SBT CTMP Orchard Hills Site Estab 27/06/22, SBT CTMP St Marys Demolition 27/06/22, SBT CTMP Orchard Hills Operations Sep 22, SBT CTMP St Marys TBM Retrieval Mar 24</p> <p>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&amp;5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24</p> <p>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI).</p> | <p>With the exception of St Marys, SBT sites are relatively isolated from pedestrian, cyclist and vehicular access and parking. SBT is not aware of any works requiring obstruction to access. Sites have been progressively handed over to SSTOM between June and January. No obstructions were observed during the inspection.</p> <p>SCAW sites during the audit period are very isolated from pedestrian, cyclist and vehicular access and parking. CTMPs recognize that access must be maintained. Pedestrian, cyclist, vehicular access interfaces of risk. No complaints received regarding this requirement on SCAW during the audit period.</p> <p>FSM are not activities / sites that restrict access.</p> <p>The ER has not identified any non-compliances with this requirement.</p> | C                 |
| E115      | Safe pedestrian and cyclist access must be maintained around the St Marys construction site during construction. In circumstances where pedestrian and cyclist access is restricted or removed due to construction activities, a proximate alternate route which complies with the relevant standards, must be provided and signposted before the restriction or removal of the impacted access.   | Applicable | Not Applicable | Applicable | <p>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) SBT CTMP St Marys Site Estab May 22 (revised Jan 23), SBT CTMP St Marys Demolition 27/06/22</p> <p>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI).</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>Complaints register current to 09/02/25</p>   | <p>No issues observed. No non-compliances with this requirement identified by the auditees or ER during the audit period. No complaints received regarding access during the audit period.</p>   | C                 |

| Unique ID            | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|----------------------|---|------------|------------|------------|--|---|-------------------|
| E116                 | A <b>Traffic and Transport Liaison Group(s)</b> must be established in accordance with the Construction Traffic Management Framework to inform the development of CTMP.   | Applicable | Applicable | Applicable | TTLG Terms of Reference SM-WSA-04/02/2022<br>Sydney Metro WSA TTLG meeting minutes Sep - Dec 24  | The WSA TTLG has been established and meets monthly. It runs through any works that may impact on traffic and road safety. The group is made up of each contractor, Road Authority, Metro, emergency services, transport operators. Each contractor presents updates to CTMPs and works. According to the auditees there have not been any material disagreements over traffic management during the audit period.  | C                 |
| E117                 | Supplementary analysis and modelling as required by TfNSW and / or the Traffic and Transport Liaison Group(s) must be undertaken to demonstrate that construction and operational traffic can be managed to minimise disruption to traffic network operations, including changes to and the management of pedestrian, bicycle and public transport networks, public transport services, and pedestrian and cyclist movements. Revised traffic management measures must be incorporated into the CTMP.<br><br>Permanent road works included in the CSSI must be designed, constructed and operated with the objective of integrating with existing and proposed road and related transport networks and minimising adverse changes to the safety, efficiency and, accessibility of the network. Design and assessment of related traffic, parking, pedestrian and cycle accessibility impacts and changes shall be undertaken:<br><br>(a) in consultation with, and to the reasonable requirements of the relevant Traffic and Transport Liaison Group;<br><br>(b) in consideration of existing and future demand, connectivity (in relation to permanent changes), performance and safety requirements;<br><br>(c) to minimise and manage local area traffic impacts;<br><br>(d) to, where possible and appropriate, retain or reinstate parking in St Marys;<br><br>(e) to ensure access is maintained to property and infrastructure<br><br>(f) to address relevant design, engineering and safety guidelines, including Austroads, Australian Standards and TfNSW requirements.<br><br>Copies of civil, structural and traffic signal design plans shall be submitted to the Relevant Road Authority for consultation during design development and before completion of construction of the CSSI. | Applicable | Applicable | Applicable | TTLG Terms of Reference SM-WSA-04/02/2022<br>Sydney Metro WSA TTLG meeting minutes Sep - Dec 24<br><br>SBT Overarching Construction Traffic Management Plan, 16/06/22 (CTMP) and SBT CTMP Aerotropolis 09/06/22, SBT CTMP Bringelly 02/06/22, SBT CTMP Claremont Meadows 15/06/22, SBT CTMP St Marys Site Estab (revised August 23), SBT CTMP Geotech Scope North 05/04/23, SBT CTMP Orchard Hills Site Estab 27/06/22, SBT CTMP St Marys Demolition 27/06/22, SBT CTMP Orchard Hills Operations Sep 22, SBT CTMP St Marys TBM Retrieval Mar 24<br><br>SCAW Overarching Construction Traffic Management Plan 29/09/22 (CTMP), SCAW CTMP Paton's Lane 28/09/22, SCAW CTMP Elizabeth Drive 17/10/22, SCAW CTMP Luddenham Road Gate 3 28/09/23, CTMP Lansdowne Road Gate 1 14/05/24, CTMP Luddenham Road Gates 4&5 04/05/23, CTMP Badgerys Creek Road Gate 9 18/04/23, CTMP Luddenham Road Roundabout and Viaduct Construction 15/01/24, CTMP Patons Lane (Viaduct) 09/02/24<br><br>AEW FSM CTMP, inclusive of HVLR, Laing Orouke, 25/07/24 (prev version was submitted to DPHI). | Supplementary analysis and modelling has been completed and incorporated into the CTMPs, or has not been deemed as being required by TfNSW / TTLG. The CTMPs identify how traffic can be managed in accordance with this requirement. The CTMPs go to Metro, TTLG, TfNSW and Council for comment. Once comments are addressed it is sent for approval by TfNSW CJP. Once approved by TfNSW CJP the document is sent to the Department.<br><br>SBT and SCAW CTMPs have now been deactivated with the completion of construction works.<br><br>SBT, SCAW and FSM are not delivering any permanent road works. | C                 |
| E118                 | As part of Condition E117 the Traffic and Transport Liaison Group(s) is to identify opportunities to improve the intersection performance during operation at:<br><br>(a) Queen Street/Great Western Highway/Mamre Road in St Marys;<br><br>(b) Glossop Street/ Forrester Road in St Marys; and<br><br>(c) Glossop Street / Great Western highway in St Marys. Identified improvements must be implemented prior to the commencement of operation.  | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br>TTLG Terms of Reference SM-WSA-04/02/2022<br>Sydney Metro WSA TTLG meeting minutes Sep - Dec 24  | SBT, SCAW, FSM are not delivering any permanent road works.   | NT                |
| E119                 | Permanent road works, including vehicular access, signalised intersection works, and works relating to pedestrians, cyclists, and public transport users must be subject to safety audits demonstrating consistency with relevant design, engineering and safety standards and guidelines. Safety audits must be prepared in consultation with the relevant <b>Traffic and Transport Liaison Group</b> before the completion and use of the subject infrastructure and must be made available to the Planning Secretary upon request.   | Applicable | Applicable | Applicable | Staging Report, Sydney Metro, Rev 11, 11/10/24<br>TTLG Terms of Reference SM-WSA-04/02/2022<br>Sydney Metro WSA TTLG meeting minutes Sep - Dec 24  | SBT, SCAW, FSM are not delivering any permanent road works.   | NT                |
| Utilities Management |   |            |            |            |  |   |                   |

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|------|---|----------------|----------------|------------|--|---|---|
| E120 | <p>The CSSI must be designed and constructed with the objective of minimising impacts to, and interference with utilities infrastructure, and that such infrastructure and property is protected during construction. Utilities, services and other infrastructure potentially affected by construction must be identified before works affecting the item, to determine requirements for access to, diversion protection, and / or support. The relevant owner(s) and / or provider(s) of services must be consulted to make suitable arrangements for access to diversion, protection, and / or support of the affected infrastructure as required. The Proponent must ensure that disruption to any service is minimised and be responsible for advising local residents and businesses affected before any planned disruption of service.</p> | Applicable     | Not Applicable | Applicable | <p>SBT Settlement and Predicted Impacts Report, 16/06/23</p> <p>SBT Utilities Design Report (various)</p> <p>SBT Sydney Water design, protection and diversion documents, Phillip St, Station Street Lansdowne Road, Kent Road Sydney Water CASE198458PW, CASE190778PW, CASE198747PW, CASE190695PW</p> <p>SBT Telstra (non-contestable) comms protection, diversion and permanent design documents, Kent Road, Phillip St and Station Road</p> <p>SBT Building Effects Report, 31/01/23 and Independent Certifier acceptance, 23/02/23</p> <p>SBT Settlement and Predicted Effects Report, 18/08/23 (and associated RFI on potential building impact on house overlying cross passage).</p> <p>WSA SBT Instrumentation and Monitoring Monthly Status Reports, 22 March 23 – 22 June 24 (vibration monitoring at the Goods Shed)</p> <p>Geosense WSA-SBT (online GIS based monitoring module)</p> <p>SBT to IPIAP Presentation, 30/01/24 (update to IPIAP on project progress, pre- and pos-construction surveys, damage claims (1 x under investigation), settlement monitoring (all within acceptable ranges)</p> <p>IPIAP Memos to SBT, and SBT responses, July 23 – February 24.</p> <p>Endeavour Energy letters of acceptance 02/08/22, 24/08/22, 23/09/22, 04/10/22</p> <p>SCAW CEMP, 29/07/24</p> <p>SCAW Work Pack, SMF Earthworks, Rev01</p> <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Email TransGrid to SCAW, 12/12/22 (consultation on works near towers 632 and 633)</p> <p>Pre-construction Condition Survey Report – Infrastructure (structures), CPBUI, 14/04/23</p> <p>Pre-construction Condition Survey Report – Infrastructure (utilities), CPBUI, 13/02/23</p> <p>SCAW Utilities Impacts Register, 09/05/23 and Utilities Works Summary, 15/09/23</p> <p>Transport Access Program 3   Footbridge St Marys MCC, Utilities Management Plan, 20/03/23</p> <p>FSM to Council presentation, 09/02/24 (workshop with Council on flooding, drainage)</p> <p>Email Arcadis to LORAC 17/01/25 (notice of initial contact with Endeavour energy on upcoming design of stormwater potentially impacting power).</p> <p>Complaints register current to 09/02/25</p> | <p>SBT Settlement and Predicted Impacts Report assesses the predicted impacts on properties, utilities and services as a result of settlement. The Report identifies a range of properties and services along the alignment, but for all that have been assessed so far, the influence is not expected to adversely influence the service. The Utility Design Reports identify all utilities likely to be impacted during the works and the level / type of treatment required. Evidence shows that SBT has identified utilities that are potentially impacted and that utilities had been consulted with on impacts and necessary actions to manage impacts. There is no change from the previous audit period and works are now complete.</p> <p>The SCAW CEMP and Work Packs include guidance on the identification and management of services. This includes the requirement to complete DBYD investigations and (if anything identified) contact with service provider. To date SCAW has shown consultation with Water NSW and TransGrid. They are not aware of other services potentially affected during the audit period. Construction works with the potential to impact utilities are complete.</p> <p>AEW FSM has a Utilities Management Plan in place for when utility diversion etc. are required. FSM will be upgrading the stormwater network proximal to the FSM works. A workshop with Council was held in February 24. Consultation with Endeavour Energy has commenced in January 2025. Relevant impacting works had not commenced at the time of the audit</p> <p>Two complaints were recorded regarding service disruptions but these were not related to the Project.</p> | C |
| E121 | <p>The proponent must consult with WaterNSW regarding design, construction and operational management where the proposal interacts with the Warragamba to Prospect Water Supply Pipeline, and ensure that proposed construction and operational agreements are consistent with the “Guidelines for Development Adjacent to the Upper Canal and Warragamba Pipelines” and implement all practical measures to protect the Warragamba to Prospect Water Supply Pipelines infrastructure, or as otherwise agreed to by WaterNSW.</p>   | Not Applicable | Applicable     | Applicable | <p>Email Water NSW and SCAW, 28/11/22</p> <p>Water NSW Early Works Access Licence, 19/09/22 (access to Water NSW corridor)</p> <p>Water NSW Construction Licence, 03/10/23 (Pier 7 construction) and accompanying SCAW Work Pack Peir 7 construction, Rev 4.</p>   | <p>SBT and FSM do not interact with the Warragamba to Prospect Water Supply Pipeline.</p> <p>SCAW obtained three licences from WaterNSW to work in the pipeline corridor. These licences have been granted off the back of consultation with WaterNSW on the construction methods and controls. Works are complete and Water NSW issued an email accepting completion.</p>  | C |

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected   | Independent Audit findings and recommendations | Compliance Status |
|-----------|------------------------|-----|------|-------|--|--|-------------------|
|           |                        |     |      |       | Water NSW Interface Agreement 18/07/24 (agreement for construction of all remaining works associated with the viaduct).<br><br>Post-Construction Survey Report, Warragamba Pipelines and Bridges, Barnson 10/12/24<br><br>Email Water NSW to SCAW, 11/10/24 (acceptance of completion of works in Warragamba easement) |  |                   |
| Waste     |                        |     |      |       |  |  |                   |

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|------|---|------------|------------|------------|---|--|---|
| E122 | <p>Waste generated during construction and operation must be dealt with in accordance with the following priorities:</p> <p>(a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced;</p> <p>(b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and</p> <p>(c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of.</p> | Applicable | Applicable | Applicable | <p>SBT Material Importation Tracker and Aerotropolis tracker, current to August 2023</p> <p>SBT Material Importation Form and accompanying Material Classification Report, 07/10/22</p> <p>SCAW Sandstone Import Tracker current to 18/08/24</p> <p>The M6 Stage 1 (hard ground) tunnel spoil exemption February 2022</p> <p>The M6 Stage 1 (hard ground) tunnel spoil order February 2022</p> <p>Spoil Receival Letter and Section 143 Certificate 31/08/22 (for acceptance of M6 tunnel soil)</p> <p>Eastern Tunneling Package (ETP) RRO and RRE, , Letter SCAW to Eastern Tunneling Package (spoil acceptance letter and S143) 08/09/23</p> <p>Central Tunnelling Package (CTP) RRO and RRE, Letter SCAW to Central Tunneling Package (spoil acceptance letter and S143) 04/10/23</p> <p>Western Harbour Tunnel RRO and RRE, Letter SCAW to Western Harbour Tunnel (spoil acceptance letter and S143) 09/07/24</p> <p>S143 certificate, 24/07/24 and material import acceptance letter 24/07/24 (SCAW acceptance of VENM from 311 South Street Marsden park)</p> <p>Letter Concrete Recyclers to SCAW, 12/10/23, Boral to SCAW, 10/10/23 (confirmation of supply of recovered aggregate under the Recovered Aggregate RRO/RRE)</p> <p>FSM Imported material tracker current to 12/12/24 and associated delivery dockets.</p> <p>SBT Waste Disposal Site Approval Guidance, 08/09/22</p> <p>The Western Sydney Airport Tunnel Spoil RRO / RRE, 2023</p> <p>SBT Approved Waste Disposal Site Register, 22/02/24</p> <p>SBT Spoil Tracker Orchard Hills, 06/08/24</p> <p>SBT Spoil Tracker, Bringelly, 31/07/24</p> <p>SBT CMF Tracker, 31/07/24</p> <p>S143 statements, Light Horse Interchange 09/03/22, Cornwallis Road 01/06/23, JKW development, 13/12/22, Kemps Creek Warehouse 26/09/22, Nepean Business Park 4/11/21, Gipps Street 16/01/23, Brandown 23/07/24, Penrith Lakes 23/05/24 &amp; 18/06/24 and associated consents and letters as relevant.</p> <p>SBT Aerotropolis Validation Report, Coffey, 18/09/23, and Site Audit Report and Site Audit Statement (Section A1), JBS&amp;G, 20/09/23</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Validation Report JBS&amp;G 18/12/23 Site Audit Report and Statement, Ramboll 22/12/23</p> <p>SBT waste register and skip bin monthly reports current to December 24</p> | <p>The SBT Waste CEMP Sub-plan identifies waste avoidance, reduction, reuse/recycle options and disposal requirements. The measures during the current audit period have limited applicability due to the phase down of works through to completion. Lines of evidence largely remain unchanged for spoil as spoiling was completed prior to or early within the current audit period, with TBM tunnelling completed in June 2024. The SCAW Waste Management Plan identifies waste avoidance, reduction, reuse/recycle options and disposal requirements. As with SBT, SCAW has wound down construction to near completion. Waste management approaches have been communicated to the workforce. Works conducted during the audit period have involved earthworks whereby material suitable for reuse has been reused on site (cut to fill) and the site is actually consuming material for construction. The environmental inspections include checks on waste segregation, stabilized, bin use etc. Records of classification, off site disposal or recycling were reviewed, Refer E123 – E125</p> <p>Waste generation for FSM has been minor. According to the sustainability reporting, ~&gt;95% of waste has been diverted from landfill.</p> | C |
|------|---|------------|------------|------------|---|--|---|

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected   | Independent Audit findings and recommendations | Compliance Status |
|-----------|------------------------|-----|------|-------|--|--|-------------------|
|           |                        |     |      |       | <p>SCAW Waste Management Plan 19/06/24</p> <p>SCAW Waste Tracking Register, current to 31/01/25</p> <p>SCAW Waste disposal facility environment protection licences: EPL 12168, EPL 1342, EPL 114976, EPL 20855, EPL 21389, EPL 21577, EPL 21421</p> <p>SCAW Austip Recycling Monthly Reports, current to Jan 25 and EPL 21421</p> <p>Waste Classification Reports ADE Consulting, 57 Hobart Street, 11/04/24, TAP Footbridge 23/05/24, Harris Street portion of Lots 1 and 2 05/08/24, 32 Harris Stret 29/04/24, 57 Hobar Street 11/04/24</p> <p>FSM Waste Classification Reports Opposite 57 Hobart Street 07/08/24, 32-34 Harris Street 10/05/24, Harris Street 23/05/24.</p> <p>FSM Waste Classification Reports Platforms, Alliance, 13/09/24</p> <p>FSM Waste Tracking Register current to 11/12/24 and 20 x disposal dockets (various)</p> <p>FSM Grasshopper Monthly Reports, Aug - Dec 24</p> <p>FSM WE38 disposal records, Aussie Recycling, EPL 21389 and EPL 20885</p> <p>EPL 5186 (Brandown), 12618 (Brandown), 5065 (Cleanaway), 5897 (NCC), 20033 (Organic Recycling Group), 20647 (Grima), 21636 (Remondis))</p> |  |                   |

| Unique ID | Compliance requirement   | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|------------|------------|------------|---|--|-------------------|
| E123      | The importation of waste and the storage, treatment, processing, reprocessing or disposal of such waste must comply with the conditions of the current EPL for the CSSI, or be done in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, as the case may be. | Applicable | Applicable | Applicable | <p>SBT Material Importation Tracker and Aerotropolis tracker, current to August 2023</p> <p>SBT Material Importation Form and accompanying Material Classification Report, 07/10/22</p> <p>SCAW Sandstone Import Tracker current to 18/08/24</p> <p>The M6 Stage 1 (hard ground) tunnel spoil exemption February 2022</p> <p>The M6 Stage 1 (hard ground) tunnel spoil order February 2022</p> <p>Spoil Receival Letter and Section 143 Certificate 31/08/22 (for acceptance of M6 tunnel soil)</p> <p>Eastern Tunneling Package (ETP) RRO and RRE, , Letter SCAW to Eastern Tunneling Package (spoil acceptance letter and S143) 08/09/23</p> <p>Central Tunnelling Package (CTP) RRO and RRE, Letter SCAW to Central Tunneling Package (spoil acceptance letter and S143) 04/10/23</p> <p>Western Harbour Tunnel RRO and RRE, Letter SCAW to Western Harbour Tunnel (spoil acceptance letter and S143) 09/07/24</p> <p>S143 certificate, 24/07/24 and material import acceptance letter 24/07/24 (SCAW acceptance of VENM from 311 South Street Marsden park)</p> <p>Letter Concrete Recyclers to SCAW, 12/10/23, Boral to SCAW, 10/10/23 (confirmation of supply of recovered aggregate under the Recovered Aggregate RRO/RRE)</p> <p>FSM Imported material tracker current to 12/12/24 and associated delivery dockets.</p> <p>Letter Kilmac to LORAC, 08/04/25 (verification that imported recycled material met requirements of recovered aggregate order 2014)</p> | <p>SBT material import is managed through a material importation form. The form identifies under what mechanism the material can be imported (i.e.: EPL, RRO, POEO Waste Reg). The form is accompanied by a material classification report where applicable. No material has been imported to SBT during the current audit period.</p> <p>SCAW imported fill for construction of the whole alignment during the previous audit periods. Evidence shows that the material was VENM or was covered under the EPL and Resource Recovery Exemption. No additional material import occurred during the audit period.</p> <p><b>FSM imported recycled sand and aggregate during the audit period and provided evidence to demonstrate that the material met the recycled aggregate order of 2014.</b></p> <p>Note: The Auditor has reviewed the material import register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the imported material is that same volume, classification or type as that described in the sighted material classification records</p> | C                 |

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|------|---|------------|------------|------------|--|---|---|
| E124 | Waste must only be exported to a site licensed by the EPA for the storage, treatment, processing, reprocessing or disposal of the subject waste, or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014, or to any other place that can lawfully accept such waste. | Applicable | Applicable | Applicable | <p>SBT Waste Disposal Site Approval Guidance, 08/09/22</p> <p>The Western Sydney Airport Tunnel Spoil RRO / RRE, 2023</p> <p>SBT Approved Waste Disposal Site Register, 22/02/24</p> <p>SBT Spoil Tracker Orchard Hills, 06/08/24</p> <p>SBT Spoil Tracker, Bringelly, 31/07/24</p> <p>SBT CMF Tracker, 31/07/24</p> <p>S143 statements, Light Horse Interchange 09/03/22, Cornwallis Road 01/06/23, JKW development, 13/12/22, Kemps Creek Warehouse 26/09/22, Nepean Business Park 4/11/21, Gipps Street 16/01/23, Brandown 23/07/24, Penrith Lakes 23/05/24 &amp; 18/06/24 and associated consents and letters as relevant.</p> <p>SBT Aerotropolis Validation Report, Coffey, 18/09/23, and Site Audit Report and Site Audit Statement (Section A1), JBS&amp;G, 20/09/23</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Validation Report JBS&amp;G 18/12/23 Site Audit Report and Statement, Ramboll 22/12/23</p> <p>SBT waste register and skip bin monthly reports current to December 24</p> <p>SCAW Waste Management Plan 19/06/24</p> <p>SCAW Waste Tracking Register, current to 31/01/25</p> <p>SCAW Waste disposal facility environment protection licences: EPL 12168, EPL 1342, EPL 114976, EPL 20855, EPL 21389, EPL 21577, EPL 21421</p> <p>SCAW Austip Recycling Monthly Reports, current to Jan 25 and EPL 21421</p> <p>Waste Classification Reports ADE Consulting, 57 Hobart Street, 11/04/24, TAP Footbridge 23/05/24, Harris Street portion of Lots 1 and 2 05/08/24, 32 Harris Street 29/04/24, 57 Hobart Street 11/04/24</p> <p>FSM Waste Classification Reports Opposite 57 Hobart Street 07/08/24, 32-34 Harris Street 10/05/24, Harris Street 23/05/24.</p> <p>FSM Waste Classification Reports Platforms, Alliance, 13/09/24</p> <p>FSM Waste Tracking Register current to 11/12/24 and 20 x disposal dockets (various)</p> <p>FSM Grasshopper Monthly Reports, Aug - Dec 24</p> <p>FSM WE38 disposal records, Aussie Recycling, EPL 21389 and EPL 20885</p> <p>EPL 5186 (Brandown), 12618 (Brandown), 5065 (Cleanaway), 5897 (NCC), 20033 (Organic Recycling Group), 20647 (Grima), 21636 (Remondis))</p> | <p>For SBT refer to earlier audit reports for the process of securing waste disposal sites (including development sites for reuse of soil). TBM spoiling was completed prior to the audit period. The Site Audit Reports for St Marys, Aerotropolis and Orchard Hills states that the material appears to have been managed in accordance with EPA requirements. General construction waste is also tracked with records retained for the current audit period.</p> <p>For SCAW, works conducted during the audit period have involved final earthworks whereby material suitable for reuse has been reused on site. Building and demolition waste is tracked to demonstrate that the waste has gone for recycling / recover / disposal.</p> <p>AEW FSM has disposed of the GSW and Special Waste. . Excavated material has been classified and disposed to facilities lawfully permitted to receive it.</p> <p>Note: The Auditor has conducted a high level review of the material export register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted a forensic audit, any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</p> | C |
| E125 | All waste must be classified in accordance with the EPA's Waste Classification Guidelines, with appropriate records and disposal dockets retained for audit purposes.   | Applicable | Applicable | Applicable | <p>SBT Waste Disposal Site Approval Guidance, 08/09/22</p> <p>The Western Sydney Airport Tunnel Spoil RRO / RRE, 2023</p> <p>SBT Approved Waste Disposal Site Register, 22/02/24</p>   | <p>The evidence provided demonstrates that all material removed from the project during the audit period was either pre-classified under the Waste Classification Guidelines or underwent material characterization. Refer to E124 regarding disposal.</p>  | C |

|       |  |            |            |            |   |   |   |
|-------|--|------------|------------|------------|---|---|---|
|       |  |            |            |            | <p>SBT Spoil Tracker Orchard Hills, 06/08/24</p> <p>SBT Spoil Tracker, Bringelly, 31/07/24</p> <p>SBT CMF Tracker, 31/07/24</p> <p>S143 statements, Light Horse Interchange 09/03/22, Cornwallis Road 01/06/23, JKW development, 13/12/22, Kemps Creek Warehouse 26/09/22, Nepean Business Park 4/11/21, Gipps Street 16/01/23, Brandown 23/07/24, Penrith Lakes 23/05/24 &amp; 18/06/24 and associated consents and letters as relevant.</p> <p>SBT Aerotropolis Validation Report, Coffey, 18/09/23, and Site Audit Report and Site Audit Statement (Section A1), JBS&amp;G, 20/09/23</p> <p>SBT St Marys Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24 and St Marys Groundwater Site Audit Report and Site Audit Statement (Section B), Ramboll, 16/02/24</p> <p>SBT Orchard Hills Section A Validation Report JBS&amp;G 18/12/23 Site Audit Report and Statement, Ramboll 22/12/23</p> <p>SBT waste register and skip bin monthly reports current to December 24</p> <p>SCAW Waste Management Plan 19/06/24</p> <p>SCAW Waste Tracking Register, current to 31/01/25</p> <p>SCAW Waste disposal facility environment protection licences: EPL 12168, EPL 1342, EPL 114976, EPL 20855, EPL 21389, EPL 21577, EPL 21421</p> <p>SCAW Austip Recycling Monthly Reports, current to Jan 25 and EPL 21421</p> <p>Waste Classification Reports ADE Consulting, 57 Hobart Street, 11/04/24, TAP Footbridge 23/05/24, Harris Street portion of Lots 1 and 2 05/08/24, 32 Harris Street 29/04/24, 57 Hobart Street 11/04/24</p> <p>FSM Waste Classification Reports Opposite 57 Hobart Street 07/08/24, 32-34 Harris Street 10/05/24, Harris Street 23/05/24.</p> <p>FSM Waste Classification Reports Platforms, Alliance, 13/09/24</p> <p>FSM Waste Tracking Register current to 11/12/24 and 20 x disposal dockets (various)</p> <p>FSM Grasshopper Monthly Reports, Aug - Dec 24</p> <p>FSM WE38 disposal records, Aussie Recycling, EPL 21389 and EPL 20885</p> <p>EPL 5186 (Brandown), 12618 (Brandown), 5065 (Cleanaway), 5897 (NCC), 20033 (Organic Recycling Group), 20647 (Grima), 21636 (Remondis))</p> | <p>Note: The Auditor has conducted a high level review of the material export register/s and material classification records prepared by others and presented by the auditee/client. The Auditor has not conducted a forensic audit, any testing, analysis or visual inspection of the material to independently verify its classification, nor does the Auditor guarantee that the exported material is that same volume, classification or type as that described in the sighted material classification records</p>  |   |
| Water |  |            |            |            |   |   |   |
| E126  | The CSSI must be designed and constructed so as to maintain the NSW Water Quality Objectives (NSW WQO) where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW WQO over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW WQO, in which case those requirements must be complied with. | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SBT EPL 21672</p> <p>Sydney Water Tradewaste agreement, 52629, and 52722.</p> <p>SBT Water Quality Impact Assessment, 17/11/22 and addendum, 19/01/23 – Feb 24</p>  | <p>The SBT Design Reports include design elements for site that relate to compliance with Blue Book (with the objective of meeting water quality objectives). Refer to the third Audit Report for details. SBT Water Treatment Plants were operating and regulated through an EPL. Water Quality Impact Assessment has been prepared to assist with the approval to discharge under the EPL or via tradewaste. ERSED Plans have been prepared and implemented.</p> <p>The SCAW Design Reports include assessment of design and modelling to demonstrate conformance with the WQOs (identified</p> | C |

| Unique ID | Compliance requirement | SBT | SCAW | SSTOM | Evidence collected  | Independent Audit findings and recommendations  | Compliance Status |
|-----------|------------------------|-----|------|-------|---|---|-------------------|
|           |                        |     |      |       | <p>SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPPI post approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPPI acceptance dated 27/09/24</p> <p>SBT EPL Monitoring reports available on CPBG website <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>Email SBT to ER 30/11/23 (submission of second 6 monthly surface water and noise and vibration monitoring reports to the ER).</p> <p>SBT ERSED Plans for CMF 10/09/24, OHE 11/10/24, BSF 26/08/24</p> <p>SCAW Design Report, drainage water quality and scour protection North to SMF, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection SMF to Cosgrove, Rev 1</p> <p>SCAW Design Report, drainage water quality and scour protection south, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection WSI, Rev 00</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPPI 16/12/24 and EPA and PCC on 18/12/24</p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPPI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SCAW Erosion and Sediment Control Plans (ERSED Plan) Luddenham Road to Pipeline Rev 09, Elizabeth Drive Compound Rev 10, Paton's Lane to Lansdowne Rev6, Defence Rev04.</p> <p>SCAW Dewater and Discharge Permits (81- 124) (current to 30/07/24) and discharge register with all results compliant with criteria)</p> <p>FSM ERSED Plans, (ECMs), Rev 8.</p> | <p>as being achieved). There are no Water Treatment Plants on SCAW during the audit period. ERSED plans have been prepared and implemented on site. The local surface water quality results indicate poor water quality in the catchment both upstream and downstream. The results do not indicate a clear pattern of behaviour or construction water impacts.</p> <p>FSM works have negligible influence / impact on waters. ERSED Plans are in place and controls on site were observed to be consistent with the ERSED plans.</p> <p>The ER has identified instances whereby the works appeared to have had minor departures from the ERSED plans on site. The ER has not stated that this has resulted in any breaches of S120 or risked non-conformance with the WQOs.</p> |                   |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected   | Independent Audit findings and recommendations  | Compliance Status |
|-----------|---|------------|------------|------------|--|---|-------------------|
| E127      | The Proponent must consider the Guidelines for controlled activities on waterfront land riparian corridors (Department of Industry 2018) when carrying out work within 40 metres of a watercourse, including its bed. | Applicable | Applicable | Applicable | Site inspection 03, 07/02/25<br><br>SCAW Design Report, drainage water quality and scour protection North to SMF, Rev 00<br><br>SCAW Design Report, drainage water quality and scour protection SMF to Cosgrove, Rev 1<br><br>SCAW Design Report, drainage water quality and scour protection south, Rev 00<br><br>SCAW Design Report, drainage water quality and scour protection WSI, Rev 00 | SBT and FSM do not work on waterfront land.<br><br>SCAW Design Reports involving works in riparian zone show that the controlled activity guidelines have been considered. Works in riparian zones is complete. | C                 |

|      |   |            |            |            |  |  |   |
|------|---|------------|------------|------------|--|--|---|
| E128 | Before undertaking any work and during maintenance or construction activities, erosion and sediment controls must be implemented and maintained to prevent water pollution consistent with Managing Urban Stormwater: Soils and Construction Vol 1 4th ed. by Landcom, 2004 (The Blue Book).  | Applicable | Applicable | Applicable | <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SBT EPL 21672</p> <p>Sydney Water Tradewaste agreement, 52629, and 52722.</p> <p>SBT Water Quality Impact Assessment, 17/11/22 and addendum, 19/01/23 – Feb 24</p> <p>SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPHI post approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>SBT EPL Monitoring reports available on CPBG website <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>Email SBT to ER 30/11/23 (submission of second 6 monthly surface water and noise and vibration monitoring reports to the ER).</p> <p>SBT ERSED Plans for CMF 10/09/24, OHE 11/10/24, BSF 26/08/24</p> <p>SCAW Design Report, drainage water quality and scour protection North to SMF, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection SMF to Cosgrove, Rev 1</p> <p>SCAW Design Report, drainage water quality and scour protection south, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection WSI, Rev 00</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p><a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> <p>ER Inspection Reports and Monthly Reports for August 24 - January 25, and DPHI post approval portal lodgement records of submission of ER Monthly Reports</p> <p>SCAW Erosion and Sediment Control Plans (ERSED Plan) Luddenham Road to Pipeline Rev 09, Elizabeth Drive Compound Rev 10, Paton's Lane to Lansdowne Rev6, Defence Rev04.</p> <p>SCAW Dewater and Discharge Permits (81- 124) (current to 30/07/24) and discharge register with all results compliant with criteria)</p> <p>FSM ERSED Plans, (ECMs), Rev 8.</p> | <p>The SBT Design Reports include design elements for site that relate to compliance with Blue Book (with the objective of meeting water quality objectives). Refer to the third Audit Report for details. SBT Water Treatment Plants were operating and regulated through an EPL. Water Quality Impact Assessment has been prepared to assist with the approval to discharge under the EPL or via tradewaste. ERSED Plans have been prepared and implemented.</p> <p>The SCAW Design Reports include assessment of design and modelling to demonstrate conformance with the WQOs (identified as being achieved). There are no Water Treatment Plants on SCAW during the audit period. ERSED plans have been prepared and implemented on site. The local surface water quality results indicate poor water quality in the catchment both upstream and downstream. The results do not indicate a clear pattern of behaviour or construction water impacts.</p> <p>FSM works have negligible influence / impact on waters. ERSED Plans are in place and controls on site were observed to be consistent with the ERSED plans.</p> <p>The ER has identified instances whereby the works appeared to have had minor departures from the ERSED plans on site. The ER has not stated that this has resulted in any breaches of S120 or risked non-conformance with the WQOs.</p> | C |
| E129 | <p>Unless an EPL is in force in respect to the CSSI and that licence specifies alternative criteria, discharges from construction wastewater treatment plants to surface waters must not exceed:</p> <p>(a) the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2018 (ANZG (2018)) default guideline values for toxicants at the 95 per cent species protection level;</p> <p>(b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality 2000 (ANZECC/ARMCANZ); and</p> | Applicable | Applicable | Applicable | <p>SBT EPL 21672</p> <p>Sydney Water Tradewaste agreement, 52629, and 52722.</p> <p>SBT Water Quality Impact Assessment, 17/11/22 and addendum, 19/01/23 – Feb 24</p> <p>SBT 6-Monthly Surface Water Monitoring Report: April to October 2024, 08/11/24 and DPHI post</p>  | <p>SBT EPL is in force and contains basin discharge monitoring and water quality requirements. SBT Water Treatment Plants have are regulated through an EPL. Water Quality Impact Assessment has been prepared to assist with the approval to discharge from WTP under the EPL. To date, water has been discharged to tradewaste only and not environment. Construction is now complete.</p> <p>SCAW and FSM do not have any construction water treatment plants on site.</p>  | C |

| Unique ID | Compliance requirement  | SBT        | SCAW       | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|------------|------------|------------|---|--|-------------------|
|           | <p>(c) for bioaccumulative and persistent toxicants, the ANZG (2018) guidelines values at a minimum of 99 per cent species protection level.</p> <p>Where the ANZG (2018) does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG (2018) for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.</p> |            |            |            | <p>approval portal lodgment 10/11/24 note that no further monitoring required after this monitoring period</p> <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>SBT EPL Monitoring reports available on CPBG website <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p>  |  |                   |
| E130      | <p>If construction stage stormwater discharges are proposed, a <b>Water Pollution Impact Assessment</b> will be required. Any such assessment must be prepared in consultation with the EPA and be consistent with the National Water Quality Guidelines, with a level of detail commensurate with the potential water pollution risk.</p> <p><b>Note:</b> If an EPL is required the Water Pollution Impact Assessment will be required to inform licensing consistent with section 45 of the POEO Act.</p>   | Applicable | Applicable | Applicable | <p>SBT Discharge Impact Assessment, 24/03/22</p> <p>SBT EPL 21672</p> <p>SBT Water Quality Impact Assessment, 17/11/22 and addendum, 19/01/23</p> <p>SBT EPL Monitoring Reports, Aug 24 - Jan 25 <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-station-boxes-and-tunnels</a></p> <p>Construction Discharge Impact Assessment, SEEC, 13/07/22</p> <p>Email SCAW to EPA, 17/06/22</p> <p>SCAW EPL 21695</p> <p>SCAW 6-monthly construction monitoring report (May - Oct 24) and submission to DPHI 16/12/24 and EPA and PCC on 18/12/24</p> <p>SCAW EPL Monitoring Reports, Aug 24 – Jan 25 <a href="https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works">https://www.cpbcon.com.au/our-projects/2022/sydney-metro-western-sydney-airport-surface-and-civil-alignment-works</a></p> <p>SCAW Monthly Monitoring Register, October 24 – February 25 (includes dust and surface water)</p> | <p>The SBT Discharge Impact Assessment was prepared as part of the original EPL application. The EPL was granted prior to construction. SBT EPL is in force and contains basin discharge monitoring and water quality requirements. SBT Water Treatment Plants have are regulated through an EPL. Water Quality Impact Assessment has been prepared to assist with the approval to discharge from WTP under the EPL. To date, water from the WTP has been discharged to tradewaste only and not environment. Discharges from basins are reported in the EPL Monitoring Reports.</p> <p>The SCAW Discharge Impact Assessment was prepared as part of the original EPL application. The EPL was granted prior to construction, is in force and contains basin discharge monitoring and water quality requirements. Discharges from basins are reported in the EPL Monitoring Reports.</p> <p>FSM does not hold an EPL and has not prepared any Discharge Impact Assessments.</p> | C                 |

| Unique ID | Compliance requirement  | SBT            | SCAW           | SSTOM      | Evidence collected  | Independent Audit findings and recommendations   | Compliance Status |
|-----------|---|----------------|----------------|------------|---|--|-------------------|
| E131      | Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.  | Applicable     | Applicable     | Applicable | <p>SBT Site Establishment Design Reports Orchard Hills (031801), Aerotropolis (033701)</p> <p>SBT ERSED Plans for CMF 10/09/24, OHE 11/10/24, BSF 26/08/24</p> <p>SCAW Design Report, drainage water quality and scour protection North to SMF, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection SMF to Cosgrove, Rev 1</p> <p>SCAW Design Report, drainage water quality and scour protection south, Rev 00</p> <p>SCAW Design Report, drainage water quality and scour protection WSI, Rev 00</p> <p>SCAW Inspection and Test Plan, drainage at Celestino, (lot closed 13/12/23)</p> <p>SCAW Erosion and Sediment Control Plans (ERSED Plan) Luddenham Road to Pipeline Rev 09, Elizabeth Drive Compound Rev 10, Paton's Lane to Lansdowne Rev6, Defence Rev04.</p> <p>FSM ERSED Plans, (ECMs), Rev 8.</p> | <p>No drainage crossings and swales have been constructed for SBT, FSM. Site ERSED plans have been prepared for temporary works where ground disturbance has occurred, in accordance with the Blue Book.</p> <p>SCAW Design Reports have been prepared to satisfy this condition. The Reports include details of the authors (drainage engineers) and application of the design guidelines and engineering standards (section 2). All drainage works are complete.</p> | C                 |
| E132      | <p>Unless an EPL is in force in respect to the CSSI and that licence specifies alternative criteria, discharges from operational water treatment plants to surface waters must not exceed:</p> <p>(a) the ANZG 2018 default guideline values for toxicants at the 95 per cent species protection level;</p> <p>(b) for physical and chemical stressors, the guideline values set out in Tables 3.3.2 and 3.3.3 of the Australian and New Zealand Guidelines for Fresh and Marine Water Quality (ANZECC/ARMCANZ, 2000); and</p> <p>(c) for bioaccumulative and persistent toxicants, the ANZG 2018 guideline values at a minimum of 99 per cent species protection level.</p> <p>Where the ANZG 2018 does not provide a default guideline value for a particular pollutant, the approaches set out in the ANZG 2018 for deriving guideline values, using interim guideline values and/or using other lines of evidence such as international scientific literature or water quality guidelines from other countries, must be used.</p> | Not Applicable | Not Applicable | Applicable | Site inspection 03, 07/02/25  | The Project is in construction.  | NT                |
| E133      | Make good provisions for groundwater users must be provided in the event of a material decline in water supply levels, quality or quantity from registered existing bores associated with groundwater changes from either construction and/or ongoing operational dewatering caused by the CSSI.  | Not Applicable | Not Applicable | Applicable | <p>SBT Groundwater Monitoring Report, December 23 - June 24, 12/08/24 (submitted 23/08/24) and DPHI acceptance dated 27/09/24</p> <p>Email (teambinder) SBT to ER, 07/06/23 (submission of baseline 6 monthly groundwater monitoring report to ER)</p> <p>Letter DPHI to Sydney Metro 31/01/24 (acknowledgement of receipt of second 6 monthly groundwater monitoring report).</p>  | <p>The Project is in construction. The latest groundwater monitoring report states that there has not been an unacceptable level of groundwater drawdown. Tunnelling was completed prior to the current audit period. The Auditor is not aware of the Department direction on the matter.</p> <p>SCAW and FSM do not drawdown groundwater.</p>   | C                 |

| Unique ID | Compliance requirement   | SBT        | SCAW           | SSTOM      | Evidence collected   | Independent Audit findings and recommendations   | Compliance Status |
|-----------|--|------------|----------------|------------|--|--|-------------------|
| E134      | <p>The Proponent must submit a revised Groundwater Modelling Report to the Planning Secretary for information before bulk excavation at the relevant construction location. The Groundwater Modelling Report must include:</p> <p>(a) for each construction site where excavation will be undertaken, cumulative (additive) impacts from nearby developments, parallel transport projects and nearby excavation associated with the CSSI;</p> <p>(b) predicted incidental groundwater take (dewatering) including cumulative project effects;</p> <p>(c) potential impacts of the CSSI or detail and demonstrate why the CSSI will not have lasting impacts to the groundwater system, ongoing groundwater incidental take and groundwater level drawdown effects;</p> <p>(d) actions required to minimise the risk of inflows (including in the event the CSSI are delayed or do not progress) and a strategy for accounting for any water taken beyond the life of the operation of the CSSI;</p> <p>(e) saltwater intrusion modelling analysis, from saline groundwater in shale, into metro station sites; and</p> <p>(f) a schematic of the conceptual hydrogeological model.</p> | Applicable | Not Applicable | Applicable | <p>SBT Groundwater Modelling Report, 22/02/23</p> <p>SMWSA SSI10051_IA3_Request for Information_Sydney Metro_Rev1.1, 23/02/23 (Sydney Metro response to Auditor request for information)</p> <p>Sydney Metro response to draft Audit Report, including Post approval portal lodgement of non-compliance with E134, Letter for E134 submission, received 19/03/23</p> | <p>SBT Groundwater Modelling Report was prepared and it addresses the requirements of this condition, noting that some detailed requirements are presented in subordinate groundwater plans for each structure.</p> <p>This requirement does not apply to SCAW or FSM.</p> | C                 |

## **APPENDIX B – PLANNING SECRETARY AGREEMENT OF INDEPENDENT AUDITORS**

## Department of Planning, Housing and Infrastructure

Our ref: SSI-10051-PA-528

via Major Projects Portal

11 December 2024

Attention: [REDACTED], Sydney Metro Director Environment, Sustainability and Planning

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**Subject:** Sydney Metro Western Sydney Airport (SSI-10051) – approval of independent auditors

Dear [REDACTED]

I refer to your submission lodged 6 December 2024 (your ref. SM-24-00319051) requesting the Planning Secretary's approval of suitably qualified, experienced, and independent persons as independent auditors of the Sydney Metro - Western Sydney Airport project (SSI-10051, as modified).

Further to our letter dated 4 July 2024 regarding the sixth construction phase independent environmental audit and WolfPeak having an identified conflict of interest for the stations, systems, trains, operations and maintenance (**SSTOM**) stage delivered by the Parklife Metro consortium, the seventh construction phase independent audit is also proposed to comprise two separate audits by two separate auditors.

### Independent auditors

NSW Planning has reviewed your submission against the *Independent Audit Post Approval Requirements* (2020; **Independent Audit PARs**). NSW Planning is satisfied that all three nominees are certified with Exemplar Global as either lead or principal auditors in environmental management systems, are suitably experienced in state significant projects, and have supplied declarations of independence.

NSW Planning acknowledges that Ms Josephine Heltborg performed work for Healthy Buildings International, which provides environment representative services to various Sydney Metro projects, until August 2024 and has previously performed work for WolfPeak, which provides independent auditor services to various Sydney Metro projects, but does not consider that this would preclude her provision of independent audit services on this project at this point in time.

Consequently, I can advise that under Condition A38 of SSI-10051, the Planning Secretary has approved the following auditors for the seventh construction phase independent audit:

#### SSTOM stage excluded:

- Mr [REDACTED], Wolfpeak, as lead auditor
- Mr [REDACTED], WolfPeak, as auditor.

## Department of Planning, Housing and Infrastructure

### SSTOM stage only:

- [REDACTED], Morasey Environment, as lead auditor

NSW Planning reminds the lead auditor that the Independent Audit must be prepared, undertaken and finalised in accordance with the Independent Audit PARs. Failure to meet these requirements will require revision and resubmission.

NSW Planning reserves the right to request an alternate auditor(s) for future audits.

### **Independent audits and reports, and Sydney Metro response**

Under Condition A36 of SSI-10051 independent audits must be conducted and carried out in accordance with the Independent Audit PARs. Under condition A40 of SSI-10051, the independent audit report and the proponent's response to audit findings must be submitted within two months of the audit site inspection, unless otherwise agreed by the Planning Secretary.

As the seventh construction phase independent environmental audit is to comprise two separate audits, please ensure that:

- the two audit inspections are carried out within a fortnight of each other and no later than 28 February 2025
- Sydney Metro, as the proponent, prepares a single response to the two audit reports, with the two audit reports and Sydney Metro's response submitted via the Major Projects Portal as a single submission within two months of the latest audit inspection.

Please ensure this correspondence is appended to each Independent Audit Report.

Should you wish to discuss the matter further, please contact Alex McGuirk, Senior Compliance Officer.

Yours sincerely,

[REDACTED]

[REDACTED]

**A/Team Leader Compliance – Government Projects**

NSW Planning

*As nominee of the Planning Secretary*

## APPENDIX C – ATTENDANCE RECORDS

### *Opening meeting attendance 3 and 6-7 February 2025*

| Organisation      | Stage / Package | Position Title                    | Name           |
|-------------------|-----------------|-----------------------------------|----------------|
| Sydney Metro      | SBT and SCAW    | A/ Senior Manager Environment     | ██████         |
| Sydney Metro      | SBT and SCAW    | A/Environmental Coordinator       | ██████████     |
| Sydney Metro      | AEW FSM         | Environmental Officer             | ██████████     |
| Transport for NSW | AEW FSM         | Manager Enviro and Sustainability | ██████████     |
| LORAC             | AEW FSM         | Environmental Manager             | ██████████     |
| LORAC             | AEW FSM         | Environmental Graduate            | ██████████████ |
| LORAC             | AEW FSM         | Project Manager                   | ██████████     |
| LORAC             | AEW FSM         | Environmental Graduate            | ██████████████ |

***Closing meeting attendance 3 and 6-7 February 2025***

| Organisation      | Stage / Package | Position Title                    | Name       |
|-------------------|-----------------|-----------------------------------|------------|
| Sydney Metro      | SBT and SCAW    | A/ Senior Manager Environment     | ██████     |
| Sydney Metro      | SBT and SCAW    | A/Environmental Coordinator       | ██████████ |
| Sydney Metro      | AEW FSM         | Environmental Officer             | ██████████ |
| Transport for NSW | AEW FSM         | Manager Enviro and Sustainability | ██████████ |
| LORAC             | AEW FSM         | Environmental Manager             | ██████████ |

## APPENDIX D – CONSULTATION RECORDS

[REDACTED]

---

**From:** [REDACTED]  
**Sent:** Friday, 17 January 2025 4:54 PM  
**To:** [REDACTED]  
**Subject:** RE: Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 - Department consultation

**Follow Up Flag:** Follow up  
**Due By:** Friday, 24 January 2025 10:00 AM  
**Flag Status:** Completed

Hi [REDACTED]

Thank you for your email below and your time earlier this week.

Further to the approval (our ref. PA-538) of the independent auditors for the seventh construction phase independent audit of the Sydney Metro Western Sydney Airport project (SSI-10051), which include yourself and Mr [REDACTED] – excluding the stations, systems, trains, operations and maintenance stage delivered by the Parklife Metro consortium, please ensure the audit is conducted in accordance with Condition A36 of Infrastructure Approval SS10051, which requires the audit to be carried out in accordance with the *Independent Audit Post Approval Requirements* (May, 2020).

Given that construction activities are largely complete for the purposes of this audit, please ensure that particular attention is paid during the audit to any requirements that have not been fully satisfied and/or that have been transferred to Sydney Metro or to the Parklife Metro consortium.

Please also consult with the NSW EPA, Penrith and Liverpool City Councils.

Kind regards,

[REDACTED]  
**A/Team Leader Compliance – Government Projects**

NSW Planning | Department of Planning Housing & Infrastructure  
Locked Bag 5022 | PARRAMATTA NSW 2124  
[www.dpie.nsw.gov.au](http://www.dpie.nsw.gov.au)



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**From:** [REDACTED]  
**Sent:** Wednesday, December 11, 2024 4:42 PM  
**To:** DPE PSVC Compliance Mailbox <compliance@planning.nsw.gov.au>; [REDACTED]

**Cc:** [REDACTED]

**Subject:** Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 - Department consultation

Hi there.

I am one of the approved auditors on the Sydney Metro Western Sydney Airport – SSI 10051 (the Project).

The Project is required to undertake Independent Audits in accordance with SSI 10051 condition A36 and the Department's 2020 Independent Audits Post Approval Requirements (or IAPAR).

The Approval is available at the following link: <https://www.planningportal.nsw.gov.au/major-projects/project/35016>

The IAPAR is available at the following link: <https://www.planning.nsw.gov.au/assess-and-regulate/about-compliance/inspections-and-enforcements/independent-audit-post-approval-requirements>

The seventh audit on the Project is scheduled to commence in early February 2025. The audit pertains to post-approval requirements and compliance covering packages SBT, SCAW and AEW. WolfPeak will not be auditing the SSTOM package (as previously communicated to Sydney Metro and the Department).

In accordance with Section 3.2 of the IAPAR, I am consulting with the Department on the scope of the audit and for confirmation as to whether other parties or agencies are to be consulted.

As you will see the required scope (outlined in Section 3.3 of the IAPAR) already covers an assessment of each relevant condition along with all post approval documents prepared to satisfy the conditions of Approval, including an assessment of the implementation of Environmental Management Plans and Sub-plans, complaints, incidents and so forth. These are included in the audit scope for this Project.

In providing input to the scope, I kindly request Department confirm:

- if it any key issues it would like examined, relating to post-approval requirements and compliance that are not already called up by the scope in Section 3.3 of the IAPAR; or
- if it recommends that other parties or agencies are to be consulted. If so, I request that the Department identify those parties.

If the Department would like to meet to discuss any of the above, I would welcome this opportunity.

I look forward to hearing from you.

Regards,

 | Principal  
Executive Director - Infrastructure & Environmental Assurance



**A: Gadigal Country – 180 George Street, Sydney NSW 2000**

*At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, **we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.*

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[REDACTED]

---

**From:** [REDACTED]  
**Sent:** Friday, 24 January 2025 9:43 AM  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 (SBT, SCAW, AEW)

Hi there.

I am one of the Department of Planning Housing and Infrastructure (the Department) approved independent auditors on the Sydney Metro Western Sydney Airport – SSI 10051 (the Project).

I am currently preparing to undertake the seventh independent audit on the Project. The audit is required to be conducted in accordance with SSI 10051 condition A36 and the Department's 2020 *Independent Audits Post Approval Requirements* (or IAPAR).

The Approval is available at the following link: <https://www.planningportal.nsw.gov.au/major-projects/projects/sydney-metro-western-sydney-airport>

The IAPAR is available at the following link: <https://www.planning.nsw.gov.au/assess-and-regulate/compliance/inspections-and-enforcements/independent-audit-post-approval-requirements>

The on-site component of the audit is scheduled to occur in early February 2025, with the report to be submitted to the Department two months afterwards. The audit pertains to post-approval requirements and compliance on the SBT, SCAW and AEW packages for the last 6 months. SSTOM is being audited by another auditor, and does not form part of the scope of the WolfPeak audit.

In accordance with Section 3.2 of the IAPAR and the Department's instructions, I am consulting with the EPA on the scope of the audit on the SBT, SCAW and AEW packages.

As you will see the required scope (outlined in Section 3.3 of the IAPAR) already covers an assessment of each relevant condition along with all post approval documents prepared to satisfy the conditions of Approval, including an assessment of the implementation of Environmental Management Plans and Sub-plans, complaints, incidents and so forth. These are included in the audit scope for this Project.

In providing input to the scope, I kindly request the EPA confirm if it any key issues it would like examined, relating to post-approval requirements and compliance that are not already called up by the scope in Section 3.3 of the IAPAR.

If you do intend to respond, it would be appreciated that this be provided by 07/02/25. Responses after this time may not be able to be included in this audit cycle.

Any questions please let me know. I look forward to hearing from you.

Regards,

[REDACTED] | Principal  
Executive Director - Infrastructure & Environmental Assurance



**A:** Gadigal Country – 180 George Street, Sydney NSW 2000

*At WolfPeak, we live by our values and are committed to building a better future by enabling prosperity, environmental protection and positive stakeholder outcomes. In doing so, **we acknowledge the Traditional Owners of Country and all Aboriginal and Torres Strait Islander people with whom we collaborate with, and respect their continuous connection to the land, waters and community.** We commit to amplifying their voices in all aspects of our business and recognise their continued custodianship over the lands that have built modern Australia.*

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[REDACTED]

---

**From:** [REDACTED]  
**Sent:** Friday, 31 January 2025 6:03 PM  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** RE: Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 (SBT, SCAW, AEW)

Good Morning [REDACTED]

Council have reviewed the scope in section 3.3 of the IAPAR and are satisfied that this is an appropriate approach to Audit No 7.

In terms of key issues Council would like examined, relating to post-approval requirements and compliance, internal SME comment has requested that the audit confirm that sufficient information has been provided to ensure that any damage caused to Derwent Road as a result of the approved works (including heavy vehicle movements) may be rectified in accordance with condition E86 of the Instrument of Approval.

Should you have any questions in relation to this correspondence, please contact me to discuss.

I hope you are keeping well.

Regards,

[REDACTED]  
Acting Coordinator Strategic Planning



[REDACTED]  
Customer Service: 1300 36 2170 | 33 Moore Street Liverpool, NSW 2170, Australia



[www.liverpool.nsw.gov.au](http://www.liverpool.nsw.gov.au)



*We acknowledge the traditional custodians of the land that now resides within Liverpool City Council's boundaries, the Darug and Dharawal nations.*

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**From:** [REDACTED]  
**Sent:** Friday, 24 January 2025 9:44 AM  
**To:** [REDACTED]  
**Cc:** [REDACTED]  
**Subject:** Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 (SBT, SCAW, AEW)

Hi there.

I am one of the Department of Planning Housing and Infrastructure (the Department) approved independent auditors on the Sydney Metro Western Sydney Airport – SSI 10051 (the Project).

I am currently preparing to undertake the seventh independent audit on the Project. The audit is required to be conducted in accordance with SSI 10051 condition A36 and the Department's 2020 *Independent Audits Post Approval Requirements* (or IAPAR).

The Approval is available at the following link: <https://www.planningportal.nsw.gov.au/major-projects/projects/sydney-metro-western-sydney-airport>

The IAPAR is available at the following link: <https://www.planning.nsw.gov.au/assess-and-regulate/compliance/inspections-and-enforcements/independent-audit-post-approval-requirements>

The on-site component of the audit is scheduled to occur in early February 2025, with the report to be submitted to the Department two months afterwards. The audit pertains to post-approval requirements and compliance on the SBT, SCAW and AEW packages for the last 6 months. SSTOM is being audited by another auditor, and does not form part of the scope of the WolfPeak audit.

In accordance with Section 3.2 of the IAPAR and the Department's instructions, I am consulting with Liverpool City Council on the scope of the audit on the SBT, SCAW and AEW packages.

As you will see the required scope (outlined in Section 3.3 of the IAPAR) already covers an assessment of each relevant condition along with all post approval documents prepared to satisfy the conditions of Approval, including an assessment of the implementation of Environmental Management Plans and Sub-plans, complaints, incidents and so forth. These are included in the audit scope for this Project.

In providing input to the scope, I kindly request the Council confirm if it any key issues it would like examined, relating to post-approval requirements and compliance that are not already called up by the scope in Section 3.3 of the IAPAR.

If you do intend to respond, it would be appreciated that this be provided by 07/02/25. Responses after this time may not be able to be included in this audit cycle.

Any questions please let me know. I look forward to hearing from you.

Regards,

 | Principal  
Executive Director - Infrastructure & Environmental Assurance



A: Gadigal Country – 180 George Street, Sydney NSW 2000

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[REDACTED]

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**From:** [REDACTED]  
**Sent:** Tuesday, 28 January 2025 8:02 AM  
**To:** [REDACTED] Penrith City Council - RECORDS  
**Cc:** [REDACTED]  
**Subject:** RE: Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 (SBT, SCAW, AEW)

Hi [REDACTED]

In specific regard to SBT, SCAW and AEW contract packages, I do not have any matter to raise at this time.

Thank you for the opportunity.

Kind Regards  
[REDACTED]  
[REDACTED]  
Sydney Metro Interface Lead  
City Strategy

[REDACTED]  
PO Box 60, PENRITH NSW 2751  
[www.visitpenrith.com.au](http://www.visitpenrith.com.au)  
[www.penrithcity.nsw.gov.au](http://www.penrithcity.nsw.gov.au)



---

**From:** [REDACTED]  
**Sent:** Friday, 24 January 2025 9:46 AM  
**To:** [REDACTED]  
**Subject:** Sydney Metro Western Sydney Airport - SSI 10051 - Independent Audit No. 7 (SBT, SCAW, AEW)

Hi there.

I am one of the Department of Planning Housing and Infrastructure (the Department) approved independent auditors on the Sydney Metro Western Sydney Airport – SSI 10051 (the Project).

I am currently preparing to undertake the seventh independent audit on the Project. The audit is required to be conducted in accordance with SSI 10051 condition A36 and the Department’s 2020 *Independent Audits Post Approval Requirements* (or IAPAR).

The Approval is available at the following link: <https://www.planningportal.nsw.gov.au/major-projects/projects/sydney-metro-western-sydney-airport>

The IAPAR is available at the following link: <https://www.planning.nsw.gov.au/assess-and-regulate/compliance/inspections-and-enforcements/independent-audit-post-approval-requirements>

The on-site component of the audit is scheduled to occur in early February 2025, with the report to be submitted to the Department two months afterwards. The audit pertains to post-approval requirements and compliance on the SBT, SCAW and AEW packages for the last 6 months. SSTOM is being audited by another auditor, and does not form part of the scope of the WolfPeak audit.

In accordance with Section 3.2 of the IAPAR and the Department's instructions, I am consulting with Penrith City Council on the scope of the audit on the SBT, SCAW and AEW packages.

As you will see the required scope (outlined in Section 3.3 of the IAPAR) already covers an assessment of each relevant condition along with all post approval documents prepared to satisfy the conditions of Approval, including an assessment of the implementation of Environmental Management Plans and Sub-plans, complaints, incidents and so forth. These are included in the audit scope for this Project.

In providing input to the scope, I kindly request the Council confirm if it any key issues it would like examined, relating to post-approval requirements and compliance that are not already called up by the scope in Section 3.3 of the IAPAR.

If you do intend to respond, it would be appreciated that this be provided by 07/02/25. Responses after this time may not be able to be included in this audit cycle.

Any questions please let me know. I look forward to hearing from you.

Regards,

 | Principal  
Executive Director - Infrastructure & Environmental Assurance



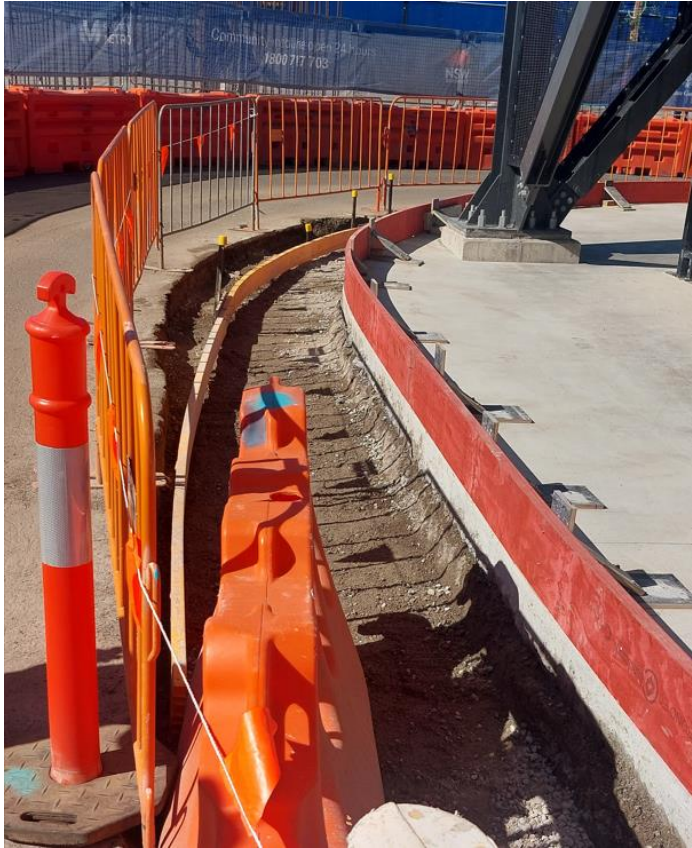
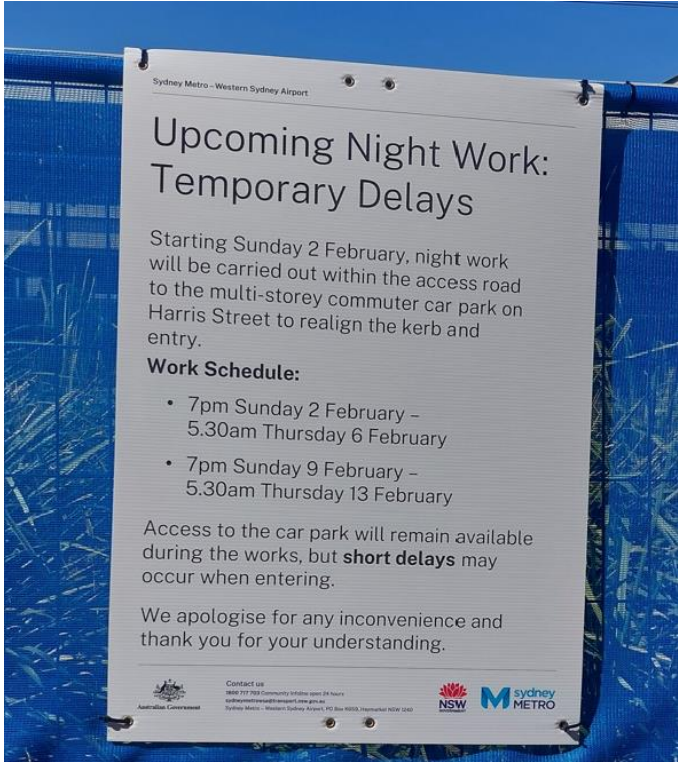
A: Gadigal Country – 180 George Street, Sydney NSW 2000

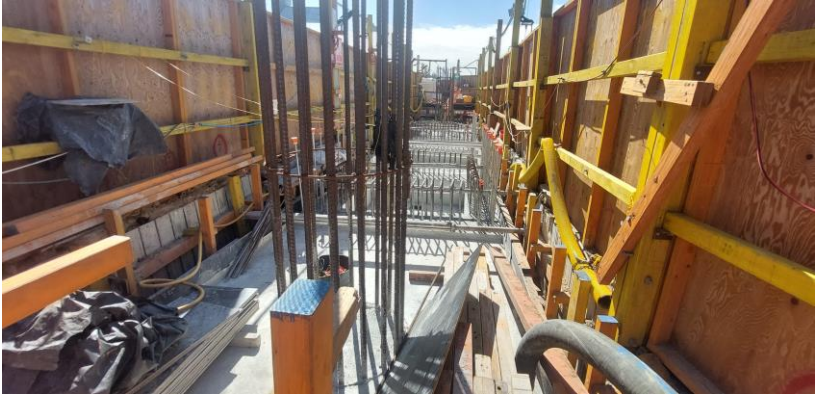



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## APPENDIX E – PHOTOS

| No.            | Comment   | Photograph   |
|----------------|---|--|
| <b>AEW FSM</b> |   |  |
| 1              | FRP works underway for northern abutment of footbridge. |    |
| 2              | Waste segregation prior to collection.                  |   |
| 3              | Stormwater pit protection.                              |  |

| No. | Comment                                       | Photograph   |
|-----|---|--|
| 4   | New kerb being installed at existing carpark. |   |
| 5   | Notice of upcoming OOHW and potential delays. |  |

| No.                              | Comment   | Photograph   |
|----------------------------------|---|--|
| 6                                | FRP on foundations within the platform are well advanced.   |    |
| 7                                | Waste concrete bay. This allows for concrete to be pumped to and from the platform. The bay was away from any live stormwater pits. |   |
| <b>SCAW Defence (Warragamba)</b> |   |  |
| 8                                | Last remaining laydown for SCAW.  |  |
| 9                                | Wetting down haul road adjacent to SCAW compound.   |  |

## APPENDIX F – DECLARATION

|                                |   |
|--------------------------------|---|
| <b>Project Name:</b>           | Sydney Metro Western Sydney Airport   |
| <b>Consent Number:</b>         | SSI 10051   |
| <b>Description of Project:</b> | <p>Development of the Sydney Metro Western Sydney Airport project comprising:</p> <ul style="list-style-type: none"> <li>• construction and operation of approximately 23 kilometres of railway track between the T1 Western Line rail line and the proposed Western Sydney Aerotropolis in Bringelly,</li> <li>• construction and operation of new stations and associated ancillary infrastructure at St Marys, Orchard Hills, Luddenham and the Aerotropolis Core precinct,</li> <li>• interchange links with the existing T1 Western Line rail line,</li> <li>• construction and operation of a train stabling and maintenance facility, including an operational control centre,</li> <li>• construction and operation of associated rail infrastructure facilities,</li> <li>• construction of tunnels, bridges, viaducts and associated works,</li> <li>• site preparation and enabling earthworks, including land remediation,</li> <li>• associated ancillary infrastructure and works.</li> </ul> |
| <b>Project Address:</b>        | Sydney Metropolitan area  |
| <b>Proponent:</b>              | Sydney Metro  |
| <b>Title of Audit</b>          | Independent Audit No. 7   |
| <b>Date:</b>                   | 29 March 2025   |

I declare that I have undertaken the Independent Audit and prepared the contents of the attached Independent Audit Report and to the best of my knowledge:

- the audit has been undertaken in accordance with relevant condition(s) of consent and the *Independent Audit Post Approval Requirements (Department 2020)*;
- the findings of the audit are reported truthfully, accurately and completely;
- I have exercised due diligence and professional judgement in conducting the audit;
- I have acted professionally, objectively and in an unbiased manner;
- I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child;
- I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child;
- neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit; and
- I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project, their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

**WolfPeak has involvements in this Project. Details are declared on page 2 of this document.**

Notes:

- Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both)

|                         |   |
|-------------------------|---|
| <b>Name of Auditor:</b> | ██████████  |
| <b>Signature:</b>       | ██████████  |
| <b>Qualification:</b>   | Master of Environmental Engineering Management<br>Exemplar Global Auditor Number 114283 |
| <b>Company:</b>         | WolfPeak Group Pty Ltd  |

Derek Low has no personal conflicts.

WolfPeak would like to declare the following involvement in the Project (as notified to the Department prior to the audit):

### ***Sydney Metro Western Sydney Airport SSI 10051 – Station Box and Tunnels***

One (1) staff member is acting as the ISC Independent Sustainability Professional (ISP) on the SBT package. According to the IS Technical Manual, the ISP must be independent from a Project. This staff member does not form part of the audit team. This support is expected to continue for the life of the package. This ISP engagement commenced after the first Independent Audit and was declared to Sydney Metro and the Department prior to commencing each subsequent audit undertaken to date.

#### Historical involvements

Two (2) WolfPeak staff members were providing environmental support to the contractor. These people did not form part of the audit team. This was declared to Sydney Metro and the Department prior to commencing each of the audits undertaken to date.

WolfPeak's involvement in this package was completed in October 2022 and we do not expect any further involvement at this time.

### ***Sydney Metro Western Sydney Airport SSI 10051 – Surface and Civil Alignment Works***

Two (2) staff members are providing sustainability support to the contractor. This support is expected to continue until mid-2025. The staff members do not form part of the audit. This involvement commenced after the first Independent Audit and was declared to the Sydney Metro and the Department prior to commencing each subsequent audit undertaken to date.

#### Historical involvements

One (1) WolfPeak staff member was providing environmental support to the contractor. This person did not form part of the audit team. This involvement commenced after the first Independent Audit and was declared to Sydney Metro and the Department prior to commencing each subsequent audit undertaken to date.

WolfPeak's involvement in this package was completed in October 2022 and we do not expect any further involvement at this time.

### ***Sydney Metro Western Sydney Airport SSI 10051 – SSTOM***

WolfPeak is supporting the contractor with preconstruction environmental documentation including the Construction Environmental Management Plan and associated documents.

WolfPeak has not audited SSTOM at any time.

### ***Controls in place to manage potential conflict***

The following controls were/are in place to manage potential conflicts during the Independent Audit.

- WolfPeak will not and did not audit its own work.
- None of the nominated WolfPeak audit team have provided or will provide any other services to the Project.
- None of the WolfPeak employees who have or are working for the contractor/s are on the WolfPeak audit team.
- The WolfPeak audit team has signed non-disclosure agreements with Sydney Metro.

- The WolfPeak employees who have or are working for the contractor/s have signed non-disclosures with the contractor/s.
- The following controls were in place to manage the potential for unintended sharing of information:
  - The WolfPeak employees who have or are working for the contractor/s have worked / are working in the contractor systems and drives. They have not or do not undertake work on the Project within WolfPeak systems and drives. The only records retained on the WolfPeak drives are the engagement contract / agreement and information required for invoicing (timesheets). To note, the WolfPeak ISC Independent Sustainability Professional is an independent role and therefore continues to work on WolfPeak systems.
  - The WolfPeak audit team did not have access to the contractor/s systems and drives unless this is arranged by the contractor during an Independent Audit in their role as an auditee and as a method of sharing files for the purposes of being subject to audit.
  - The WolfPeak Project (i.e.: Sydney Metro Independent Audit services) files / folders have been locked so only the WolfPeak audit team has access.
- WolfPeak team who have or are working for the contractor/s have not been / are not the owners of any of the documents being produced. WolfPeak assisted with drafting and preparation for the contractor managers / advisors to finalise and implement.
- WolfPeak team who have worked on site for the contractor/s were not responsible for site works. WolfPeak provided feedback to the contractor managers / advisors to consider.

## **APPENDIX G – EXTENSION REQUEST AND APPROVAL**

7 March 2025

██████████  
Director Environment, Sustainability and Planning  
Sydney Metro – Western Sydney Airport  
Level 43, 680 George Street,  
Sydney NSW 2000  
PO Box K659, Haymarket NSW 1240

Dear ██████,

## Sydney Metro Western Sydney Airport (SSI 10051) – condition A40 – Request for extension of submission of final Audit Report

WolfPeak have been engaged as the independent auditor on the Sydney Metro Western Sydney Airport (SSI 10051) (the Project). The seventh Independent Audit has commenced in accordance with condition A36, which requires implementation of the *Independent Audit Post Approval Requirements* (IAPAR).

Condition A40 requires that Independent Audit Reports and the Proponent's response to audit findings must be submitted to the Planning Secretary within two (2) months of undertaking the independent audit site inspection, unless otherwise agreed by the Planning Secretary. The audit site inspection occurred on 4 February 2025 and, therefore, the Audit Report and Proponent's response is due for submission to the Planning Secretary by 4 April 2025.

As raised via a phone call with Sydney Metro's ██████ on 6 March 2025 and the Department's ██████ on 7 March 2025, the auditor has had to manage some personal matters incurred between 24 February and 7 March 2025 and this has resulted in delays in progressing the Independent Audit.

As such, and in accordance with condition A40, I kindly request the agreement from the Planning Secretary of a two week extension for the submission of the final Audit Report (making the revised due date 18 April 2025). This would also require an extension for submission of the Proponent's response to the audit findings to 18 April 2025.

It would be appreciated if Sydney Metro submit this request for the Planning Secretary's agreement under condition A40 to the Department via the Major Projects Portal at its next opportunity. Should you, or the Department have any questions in relation to the extension request please do not hesitate to contact the undersigned.

Yours sincerely,

██████████  
██████████  
██████████  
████████████████████

## Department of Planning, Housing and Infrastructure

Our ref: SSI-10051-PA-565

Your ref: not supplied

via Major Projects Portal

25 March 2025

Attention: [REDACTED], Sydney Metro Senior Environment Manager

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**Subject:** Sydney Metro Western Sydney Airport (SSI-10051) – extension to submission date for seventh independent audit report

Dear [REDACTED]

I refer to the request received on 10 March 2025 for the Planning Secretary's agreement under conditions A9 and A40 of the Sydney Metro – Western Sydney Airport infrastructure approval (SSI-10051, as modified) to extend the due date for submission of the seventh construction phase independent audit report (our ref. PA-565).

Condition A40 requires submission of the report within two months of undertaking the independent audit site inspection. The request sets out that the inspection was undertaken on 4 February 2025 (Wolfpeak) and that the auditor is requesting a two-week extension until Friday 18 April 2025 to submit the report. NSW Planning is satisfied that a two-week extension is reasonable.

Consequently, I can advise that under condition A40 of SSI-10051, the Planning Secretary has agreed that the seventh construction phase independent audit reports (WolfPeak & Morasey), and Sydney Metro's consolidated response to both reports, must be submitted by **Friday 18 April 2025**.

Should you wish to discuss the matter further, please contact [REDACTED], Senior Compliance Officer.

Yours sincerely,

[REDACTED]

[REDACTED]

Team Leader Compliance – Government Projects  
NSW Planning

*As nominee of the Planning Secretary*